COMMON COUNCIL **AGENDA** **May 10, 2016**
NORWALK, CONNECTICUT **7:30 PM EST** **COUNCIL CHAMBERS**

ALL COMMON COUNCIL ACTIONS TAKEN AT THIS MEETING TO APPROVE EXPENDITURES AND CONTRACTS OR TO ACCEPT BIDS AND OTHER PROPOSALS REQUIRING THE EXPENDITURE OF CITY FUNDS ARE SUBJECT TO THE AVAILABILITY OF FUNDS

I. **ROLL CALL**

II. **ACCEPTANCE OF MINUTES**

Regular Meeting(s): **April 26, 2016**

III. **PUBLIC PARTICIPATION**

IV. **MAYOR**

A. **RESIGNATIONS AND APPOINTMENTS**

RESIGNATIONS:

APPOINTMENTS:

REAPPOINTMENTS:

MAYOR'S REMARKS:

V. **COUNCIL PRESIDENT**

A. **GENERAL COUNCIL BUSINESS:**

RESIGNATIONS AND APPOINTMENTS

RESIGNATIONS:

APPOINTMENTS:

REAPPOINTMENTS:

B. **CONSENT CALENDAR**

VI. **REPORTS: DEPARTMENTS, BOARD AND COMMISSIONS**

VII. COMMON COUNCIL COMMITTEES

A. LAND USE AND BUILDING MANAGEMENT COMMITTEE

1a. Authorize the Purchasing Agent to issue a Purchase Order to Insalco Corporation for the purchase of classroom furniture for Norwalk Early Childhood Center based on State Contract pricing. Cost shall be for a total not to exceed $125,285.91. Acct. #09155010 5777 C0555 & #09165010 5799 C0555

1b. Authorize the Purchasing Agent to issue increases to the Purchase Order for a total not to exceed $2,500.

2a. Authorize the Purchasing Agent to issue a Purchase Order to ePlus for the purchase of IT Network Equipment for Norwalk Early Childhood Center based on State Contract pricing. Cost shall be for a total not to exceed $53,337.43 #09175010 5777 C0112 & #09165010 5799 C0555

2b. Authorize the Purchasing Agent to issue increases to the Purchase Order for a total not to exceed $2,500.

B. PLANNING COMMITTEE

1. Authorize the Mayor to execute any and all documents associated with the submission of the PY42 AAP to HUD by May 15, 2016 and all documents consistent with the approved 2015-2019 Consolidated Plan and PY42 Annual Action Plan.

2. Approve the CDBG Citizen Participation Plan.

3. Approve the applications listed in the attached memo for referral to the State for inclusion in the 2016 NAA tax credit program.

4a. Approve the Norwalk Redevelopment Agency as the borrower of the Section 108 loan funds for the purpose of the Globe Theater Redevelopment Project.

4b. Approve the attached 2013, 2014 and 2015 certified financial statements of the Norwalk Redevelopment Agency as evidence of the NRA's financial capacity to repay the Section 108 loan and as additional security for the guaranteed loan as required by HUD.

4c. Approve as to form, the attached Common Council Consent Resolution to be approved by the Common Council prior to the Redevelopment Agency closing on a loan with the Wall Street Theater Company Inc. which will not be unreasonably withheld.

C. ORDINANCE COMMITTEE

1. Approve proposed revisions to City Code Chapter 11A – Alarm Systems

2. Approve proposed revisions to City Code Chapter 98 Vehicle and Traffic, §98-26 Parking of Commercial Vehicles

D. PUBLIC WORKS COMMITTEE

1. Authorize the Purchasing Agent to issue a purchase order to VSI Sales, LLC for the purchase of a replacement 45' Mast Arm for a sum not to exceed $16,726.00.

   Account No.: 16 13 43 5414

VIII. RESOLUTIONS FROM COMMON COUNCIL

IX. MOTIONS POSTPONED TO A SPECIFIC DATE

X. SUSPENSION OF RULES

XI. ADJOURNMENT

ALL COMMON COUNCIL ACTIONS TAKEN AT THIS MEETING TO APPROVE EXPENDITURES AND CONTRACTS OR TO ACCEPT BIDS AND OTHER PROPOSALS REQUIRING THE EXPENDITURE OF CITY FUNDS ARE SUBJECT TO THE AVAILABILITY OF FUNDS.

CALL TO ORDER

Mayor Rilling called the meeting to order at 7:30 p.m. and led the assembly with the pledge of allegiance.

City Clerk King read the notice that this meeting is being video taped and audio recorded for public broadcast, and assisted listening devices are available.

I. ROLL CALL

City Clerk King called the roll. The following Council Members were present:

Council at Large:	Mr. Richard Bonenfant	
	Mr. Douglas Hempstead	Mr. Nick Sacchinelli
	Mr. Bruce Kimmel, Council President	
District A:	Ms. Eloisa Melendez	Mr. Steve Serasis
District B:		Mr. Travis Simms
District C:	Mr. John Kydes	Ms. Michelle Maggio
District D:		Michael DePalma
District E:	Mr. Thomas Livingston	Mr. John Igneri

Mayor Harry Rilling; Attorney Mario Coppola, Corporation Counsel; Donna King, City Clerk; 12 present. Absent: Michael Corsello, Faye Bowman, Shannon O'Toole-Giandurco

II. ACCEPTANCE OF MINUTES - Regular Meeting – April 12, 2106

Mr. Sacchinelli requested a correction to page 8, paragraph 1, first sentence, Change 'Ibsen' to 'Hibson.'

** **MR. SIMMS MOVED TO APPROVE THE MINUTES FROM THE MEETING OF APRIL 12, 2016 AS AMENDED WITH CORRECTION NOTED.**

** **THE MOTION PASSED WITH ELEVEN VOTES IN FAVOR, NONE OPPOSED AND ONE ABSTENTION (DEPALMA).**

PUBLIC PARTICIPATION

Note: Public comments are not verbatim and represent summarizations of statements made unless otherwise noted.

Public Comments:

1. Mike Mushak, 50 Elmwood Avenue, Norwalk spoke in favor of the approval of the appointments and reappointments on the agenda. He said he wanted to acknowledge Manny Langella and Elsa Peterson Obuchowski as dedicated individuals with outstanding resumes who will serve the city well. Mr. Mushak spoke in favor of the capital budget items that are for ADA improvements at the Historical Society Museum and Lockwood Mathews Mansion and other state grants on the agenda.

Mayor Rilling asked if there were others who wished to speak and hearing none, closed the public participation portion of the meeting at 7:40 p.m.

MAYOR:

RESIGNATIONS/APPOINTMENTS/REAPPOINTMENTS:

Resignation: David Hollar, Oak Hills Park Authority

Appointments: Manny Langella, Fair Rent Commission Alternate

** **MR. SACHINELLI MOVED TO APPROVE THE APPOINTMENT OF MANNY LANGELLA AS ALTERNATE TO THE FAIR RENT COMMISSION WITH A TERM TO EXPIRE JULY 1, 2018.**

Mr. Sacchinelli spoke in support of Mr. Langella as a dedicated and active member of the community and a great resource.

** **MOTION TO APPROVE THE APPOINTMENT PASSED UNANIMOUSLY.**

Greg Brasher, Zoning Board of Appeals Alternate

** **MR. KYDES MOVED TO APPROVE THE APPOINTMENT OF GREG BRASHER AS ALTERNATE TO THE ZONING BOARD OF APPEALS WITH A TERM TO EXPIRE NOVEMBER 1, 2021.**

Mr. Kydes, Mr. Igneri and Ms. Maggio each spoke in support of Mr. Brasher's appointment and referred to him as a dedicated and active member of the community and someone that will give 100%.

** **MOTION TO APPROVE THE APPOINTMENT PASSED UNANIMOUSLY.**

Patricia A. Williams, Oak Hills Park Authority

** **MR. HEMPSTEAD MOVED TO APPROVE THE APPOINTMENT OF PATRICIA WILLIAMS TO THE OAK HILLS PARK AUTHORITY WITH A TERM TO EXPIRE JUNE 24, 2017.**

Mr. Hempstead spoke in support of Ms. Williams's appointment and noted that a few years ago she had helped clear up the books for the Authority and was a great resource.

Mr. Bonenfant explained that both Ms. Williams and Ms. Walters were members of the Ladies Golf Association and that he had spoken to them on their role as members of the Authority. He added that their interests should be focused on the authority and would not be compromised by their personal association in the golf club.

** **MOTION TO APPROVE THE APPOINTMENT PASSED UNANIMOUSLY.**

Jane Walters, Oak Hills Park Authority

** **MR. IGNERI MOVED TO APPROVE THE APPOINTMENT OF JANE WALTERS TO THE OAK HILLS PARK AUTHORITY WITH A TERM TO EXPIRE JUNE 24, 2017.**

Mr. Igneri stated that he wanted to echo the comments made by others and he supports the appointment.

Reappointments: Elsa Peterson Obuchowski, Oak Hills Park Authority

** **MS. MELENDEZ MOVED TO APPROVE THE REAPPOINTMENT OF ELSA PETERSON OBUCHOWSKI TO THE OAK HILLS PARK AUTHORITY WITH A TERM TO EXPIRE JUNE 24, 2018.**

Ms. Melendez commented that it is an honor to support this reappointment. Ms. Obuchowski is highly dedicated in all she does for the City, in particular her involvement with the nature trails and public realm improvements to Oak Hills are very impressive. Mr. Serasis and Mr. Kydes also echoed comments in support and acknowledgement of her dedication and service to the City and to the Authority.

** **MOTION TO APPROVE THE REAPPOINTMENT PASSED UNANIMOUSLY.**

Joseph Kendy, Jr., Oak Hills Park Authority

** **MR. BONENFANT MOVED TO APPROVE THE REAPPOINTMENT OF JOSEPH KENDY JR. TO THE OAK HILLS PARK AUTHORITY WITH A TERM TO EXPIRE JUNE 24, 2018.**

Mr. Hempstead commented on Mr. Kendy as a man of integrity and his legal experience provides a tremendous resource to the Authority.

** **MOTION TO APPROVE THE REAPPOINTMENT PASSED UNANIMOUSLY.**

Carol Frank, Human Relations

** **MR. HEMPSTEAD MOVED TO APPROVE THE REAPPOINTMENT OF CAROL FRANK TO THE HUMAN RELATIONS COMMISSION WITH A TERM TO EXPIRE SEPTEMBER 1, 2018.**

Mr. Hempstead commented on Ms. Frank as a long term servant for the City who has put her passion for Human Relations into the Commission and has made a positive impact on the City for quite some time.

** **MOTION TO APPROVE THE REAPPOINTMENT PASSED UNANIMOUSLY.**

Yvonne Rodriguez, Human Relations

** **MR. SIMMS MOVED TO APPROVE THE REAPPOINTMENT OF WITH YVONNE RODRIGUEZ TO THE HUMAN RELATIONS COMMISSION WITH A TERM TO EXPIRE SEPTEMBER 1, 2017.**

Mr. Simms stated that he is pleased to support the reappointment of Ms. Rodriguez, and she has done an outstanding job on the Human Relations Commission. Mr. Kimmel added that Ms. Rodriguez has done a great job on the Charter Revision Committee and he also fully supports this reappointment.

** **MOTION TO APPROVE THE REAPPOINTMENT PASSED UNANIMOUSLY.**

Mayor Rilling commented on the reappointments and stated that he can attest to the knowledge and dedication of the individuals and thanked them for their willingness to serve.

City Clerk King administered the oath of office to the appointees and re-appointees in attendance and they were greeted and congratulated by the Council members.

MAYOR'S REMARKS

Mayor Rilling noted the following upcoming events:

April 26 was Norwalk Day in Hartford and was well attended by the state delegation and showcased the wonderful things going on in Norwalk. He highlighted representatives in attendance from the Lockwood Mathews Mansion, Norwalk Historical Society, Maritime Aquarium, Norwalk Public Library and Stepping Stones, along with a performance by the Norwalk Bag Piper Group.

On April 29, the new positioning of the City of Norwalk 'Sound of Connecticut" will be celebrated at the Waypointe Arcade at 3:30 p.m. with refreshments and informational displays in the lobby.

Norwalk ACTS, in partnership with Horizons National, is hosting a "Call to Action" Summer Learning Symposium this Saturday, April 30 at NCC to gather city and district leadership, community leaders, program providers, and stakeholders around building a better summer learning system for Norwalk's children.

May 5 is a block party at Stepping Stones and Lockwood Mathews Mansion to kick off the hosting of an International Conference of Children's Museums at Stepping Stones.

*It is noted that later on the agenda Mr. Serasis asked to return back to this section to add an announcement: May 2 WPA Murals at 6:30 p.m. in the City Hall Art Gallery.

COUNCIL PRESIDENT

Mr. Kimmel commended the City Tax Collector and office for once achieving a 98% collection rate which is among the highest in the state. He shared his perspective that that equates to a very high number on a $294 million budget should that rate drop by one percentage point. He thanked the Tax Collector and the office for the ongoing efficient job that they do for the City.

Mr. Kimmel spoke about the Pine Island Cemetery and recent press coverage. He noted that the City is very fortunate to have such dedicated volunteers that serve on the Historical Commission that do a great deal of work managing the Lockwood Mathews Mansion Building, Mill Hill, Norwalk Museum and other historical sites.

Mr. Kimmel reminded the Council that May 2 is a public hearing and workshop on the Charter Revision at 7 p.m. at the Community Room. There is a second public hearing on May 9, 2016 at 7 p.m. in the Common Council Chambers. The complete document is posted on the website for further information.

Mr. Kimmel spoke about a Freedom of Information session back in February that prompted a discussion of the use of cell phones during meetings. He provided his rationale for the suggestion that cell phones be put out of site during meetings rather than on the meeting table and if a Council member needs to take a message or call, they would be excused to the caucus rooms.

<u>CONSENT CALENDAR</u>

Mr. Kimmel asked Ms. Maggio to present the consent calendar and she read the items.
*It is noted that Item VII A4 was presented on consent then was removed at the request of Mr. Simms due to a correction to the times.

**** MR. KIMMEL MOVED THE CONSENT CALENDAR AS PRESENTED FOLLOWS:**
VII. A1, A2, A3; B1, B2, B3, B4; C1, C2a, C2b, C3; D1, D2.
**** MOTION TO APPROVE THE CONSENT CALENDAR PASSED UNANIMOUSLY.**

Items on the Consent Calendar are in bold as follows:

VII. COMMON COUNCIL COMMITTEES

A. RECREATION, PARKS AND CULTURAL AFFAIRS COMMITTEE

1. Authorize the Mayor, Harry W. Rilling to enter into an agreement with Chozen Few Entertainment LLC for the use of Mathews Park for an S.O.S. Music Fest Finale to be held Saturday, August 27, 2016 from 4:00 PM -10:00 PM. Set up to take place Saturday, August 27, 2016 at 9:00 AM with tear down no later than Noon on Sunday, August 28, 2016. Estimated attendance 200+.

2. Authorize the Mayor, Harry W. Rilling to enter into an agreement with Chozen Few Entertainment LLC for the use of Andrews Field Parking Lot for a L.I.F.T. (Learning Is Fun To) Carnival to be held Friday, June 24, 2016, Saturday, June 25, 2016 and Sunday, June 26, 2016 from 10:00 AM -10:00 PM daily. Set up to take place Sunday, June 19, 2016 at 8:00 AM with tear down no later than Noon on Monday, June 27, 2016. Estimated attendance 500+.

3. Authorize the Mayor, Harry W. Rilling to enter into an agreement with the Norwalk High Marching Bears, Inc. for the use of Andrews Field for a Fundraiser Carnival to be held Wednesday, May 11, 2016 from 6:00 PM -10:00 PM, Thursday, May 12, 2016 from 6:00 PM -10:00 PM and Friday, May 13, 2016 from 3:00 PM - 10:00 PM. Set up to take place Noon on Monday, May 9, 2016 with tear down no later than Noon on Sunday, May 15, 2016. Estimated attendance 500+.

B. FINANCE COMMITTEE
1. Accept and Approve the Report of the Claims Committee Dated: April 5, 2016.

2. For informational purposes only: Narrative on Tax Collections dated April 14, 2016.

3. For informational purposes only: Monthly Tax Collector's Report Dated: March 31, 2015.

Consent Calendar – continued

B. FINANCE COMMITTEE--continued

4. Authorize the Mayor to execute a four (4) year master lease agreement for Office Copiers with TGI Office Automation and authorize the Purchasing Agent to add office copiers to this master agreement for a total amount not to exceed $268,505.21 (various City Accounts).

C. LAND USE AND BUILDING MANAGEMENT COMMITTEE

1. Authorize the Mayor, Harry W. Rilling, to execute a license agreement with Marinello's Pizzeria & Deli to operate the Newsstand Concession at City Hall for an initial term of 3years plus two - one (1) year options. Effective dates from 5/1/16 - 4/30/2019 and two - one (1) year options from 5/1/2019 - 413012021. Annual payment to the City shall be as follows:

FY 2016 – 2017	**$3,600.00**
FY 2017 – 2018	**$3,700.00**
FY 2018 – 2019	**$3,800.00**
Option Years:	
FY 2019 – 2020	**$3,800.00**
FY 2020 – 2021	**$3,800.00**

2a. Authorize the Mayor, Harry W. Rilling, to execute a contract with Milan's Expert Renovation, LLC, for Phase I of ADA enhancements, foundation water-proofing and drainage improvements to the Norwalk Museum Building at City Hall for a total amount not to exceed $169,202.50. Accts 091563105777 C0549, 09166310 5777 C0573, 091763105777 C0573, &0915 7100 5777 C0235.

2b. Authorize the Historical Commission to issue Change Orders on Contract for a total not to exceed $22,000.

3. RESOLUTION

WHEREAS, pursuant to PA 79·607, Sec 21 (b)(6)(B), the Connecticut Department of Economic and Community Development is authorized to extend financial assistance for economic development projects; and
WHEREAS, it is desirable and in the public interest that the City of Norwalk make an application to the State for $500,000 in order to undertake the ADA Compliance Improvements at the Lockwood Mathews Mansion and to execute an Assistance Agreement.

Consent Calendar – continued

Resolution—continued

NOW, THEREFORE, BE IT RESOLVED BY THE NORWALK COMMON COUNCIL:
1. That it is cognizant of the conditions and prerequisites for the state financial assistance imposed by PA 79607, Sec 21 (b)(6)(B);

2. That the filing of an application for State financial assistance by the City of Norwalk in an amount not to exceed $500,000 is hereby approved and that Mayor Harry W. Rilling is directed to execute and file such application with the Connecticut Department of Economic and Community Development. to provide such additional information. to execute such other documents as may be required, to execute an assistance Agreement with the State of Connecticut for Sate financial assistance if such an agreement is offered, to execute any amendments, decisions, and revisions thereto, and to act as the authorized representative of the City of Norwalk.

D. ORDINANCE COMMITTEE

1. Approve proposed revisions to City Code Chapter 11A - Alarm Systems

2. Approve proposed revisions to City Code Chapter 98 Vehicle and Traffic, §98-26 Parking of Commercial Vehicles

VII. COMMON COUNCIL COMMITTEES
Items deliberated on the floor:

A. RECREATION, PARKS AND CULTURAL AFFAIRS COMMITTEE

4. Authorize the Mayor, Harry W. Rilling to enter into an agreement with the Rowayton School PTA for the use of the Rowayton School Grounds for a Carnival to be held Thursday, May 12, 2016 from 5:00 PM - 9:00 PM, Friday, May 13, 2016 from 5:00 PM - 9:00 PM and Saturday, May 14, 2016 from 5:00 PM - 9:00 PM. Set up to take place Noon on Wednesday, May 11, 2016 with tear down no later than Noon on Sunday, May 15, 2016. Estimated attendance 500.

Mr. Simms noted a correction to the dates and requested an amendment to the item. Ms. Maggio clarified that the carnival is not on Thursday as school is in session. There was discussion on how the dates should appear in the item. Mr. Simms asked members of the item to come forward for clarification.

B. RECREATION, PARKS AND CULTURAL AFFAIRS COMMITTEE
Item 4 --continued

Ms. Stephanie Griffith and Ms. Kate Hall came forward and introduced themselves as co-chairs of the Rowayton Carnival. Ms. Griffith clarified the dates of the carnival and set up times needed. Mayor Rilling re-read the item and there was further discussion on how to best state the motion.

Mr. Hempstead suggested that the carnival dates and set up/take down times be listed separately as included in the back up documentation on the application.

Mr. Simms read the suggested amended item as follows:

4. Authorize the Mayor, Harry W. Rilling to enter into an agreement with the Rowayton School PTA for the use of the Rowayton School Grounds for a Carnival to be held as follows:

Friday, May 13, 2016 from 5:00 p.m. - 9:00 p.m. and
Saturday, May 14, 2016 from 10:00 am - 5:00 p.m.

Set up is to take place starting at noon on Wednesday, May 11, 2016 and continuing through Thursday and Friday, May 12 and 13. Tear down will continue through noon on Sunday, May 15, 2016. Estimated attendance is 500.

**** MR. SIMMS MOVED TO APPROVE THE ITEM AS AMENDED.**
**** MOTION PASSED UNANIMOUSLY.**

MOTIONS POSTPONED TO A SPECIFIC DATE – none

IX. SUSPENSION OF RULES – As made by Mr. Kimmel as follows:

**** MR. KIMMEL MOVED TO SUSPEND THE RULES TO ADD AN ITEM TO THE AGENDA:**
APPROVAL OF THE APPOINTMENT OF CITY PURCHASING AGENT.
**** MOTION PASSED WITH TEN VOTES IN FAVOR, TWO OPPOSED (BONENFANT, HEMPSTEAD) AND NO ABSTENTIONS.**

Mr. Kimmel stated that Joseph Messina is highly qualified with comparable experience, and the letters of recommendation are glowing. He added that this appointment fills a critical need for the position that should be filled as soon as possible.

Mr. Hempstead stated that he had concerns with the process of vetting appointments through the Personnel Committee. Mr. Bonenfant stated that he echoes those concerns and understands the urgency but there was no public input on the appointment.

Ms. Maggio stated that she feels we need to trust the interview committee and recommendation by Mr. Hibson, Personnel Director and she will support this appointment.

Mr. Barron came forward and referred to the charter on this Mayoral appointment that the Council confirms the salary. He provided the justification and rationale for the salary and appointment and noted that once approved, Mr. Macina would turn his resignation and provide notice to begin his position the end of May.

**** MR. KIMMEL MOVED TO APPROVE OF THE APPOINTMENT OF CITY PURCHASING AGENT, JOSEPH MACINA.**
**** MOTION PASSED WITH ELEVEN VOTES IN FAVOR, ONE OPPOSED (BONENFANT) AND NO ABSTENTIONS.**

Mr. Macina was in attendance and came forward to be introduced.

VIII. RESOLUTIONS FROM COMMON COUNCIL – Item C3. As noted on consent.

IX. MOTIONS POSTPONED TO A SPECIFIC DATE -- none

X. SUSPENSION OF RULES – As noted on page 9

XI. ADJOURNMENT

**** MS. MAGGIO MOVED TO ADJOURN.**
**** MOTION PASSED UNANIMOUSLY.**

The meeting was adjourned at 9:00 p.m.

Respectfully submitted,
M. Knox;
Telesco Secretarial Services

ATTEST ____________________________
Donna King, City Clerk Date:

NORWALK

DEPARTMENT OF PUBLIC WORKS

VII A
1a - 2b

TO : MEMBERS OF LAND USE AND BUILDING MANAGEMENT COMMITTEE
NORWALK FACILITIES CONSTRUCTION COMMISSION

FROM: ALAN LO, BUILDINGS AND FACILITIES MANAGER AL

RE : NORWALK EARLY CHILDHOOD CENTER (NECC) FF&E AND IT NETWORK EQUIPMENT

DATE: APRIL 28, 2016 (Revised May 4, 2016)

****

I am pleased to advise you that AP Construction, our Construction Manager for the NECC project, has confirmed that they are on schedule to complete the renovation of this project by August 15, 2016.

As we proceed toward this completion date, I would like to request approval to purchase FF&E and IT Network Equipment. During the State project review process, Office of School Facilities staff recommended that we secure the equipment through State approved vendor contracts and pricing in order to expedite the procurement process and simplify State approval process.

Attached are State contract pricing for FF&E ($125,285.91) and updated IT Network Equipment ($53,337.43) for review and approval. Please note that the City's current phone system and licensing are outdated. Bd of Ed IT Department is developing a strategy to address this issue as well as the timing of the recently approved Municipal Area Network (MAN) agreement to extend the network to Roosevelt Center.

ACTION REQUESTED:

1a. Authorize the Purchasing Agent to issue a Purchase Order to Insalco Corporation for the purchase of classroom furniture for Norwalk Early Childhood Center based on State Contract pricing. Cost shall be for a total not to exceed $125,285.91. Acct. #09155010 5777 C0555 & #09165010 5799 C0555

125 East Avenue • Post Office Box 5125 • Norwalk, CT 06856-5125 • Telephone 203-854-7791 • Fax 203-857-0143

1b. **Authorize the Purchasing Agent to issue increases to the Purchase Order for a total not to exceed $2,500.**

2a. **Authorize the Purchasing Agent to issue a Purchase Order to ePlus for the purchase of IT Network Equipment for Norwalk Early Childhood Center based on State Contract pricing. Cost shall be for a total not to exceed $53,337.43 #09175010 5777 C0112 & #09165010 5799 C0555**

2b. **Authorize the Purchasing Agent to issue increases to the Purchase Order for a total not to exceed $2,500.**

Insalco Corporation
7 Capital Drive
Wallingford, CT 06492
Phone: (203) 269-1238
Fax: (203) 265-9378
www.insalco.com

INSALCO
"Your Contract Furniture Source"

Customer: CITY OF NORWALK

Sales Rep: Sandy Collar sandy-insalco@snet.net
203-269-1238 (phone) 203-265-9378 (fax)

Proposal

2016-9183
4/06/2016

Propose To:

CITY OF NORWALK : City of Norwalk
Construction Management
Room 115
125 East Avenue
Norwalk, CT 06851

Installation Location:

Norwalk Early Childhood Center
11 Allen Road
Norwalk, CT 06851

Attention: Alan Lo
203-854-7791 (phone)
alo@norwalkct.org

NECC Roosevelt ES Study

Customer PO:

Item No.	Item Description	Qty	Item Sell	Ext Sell
Line: 1 A254	COMMUNITY PLAYTHINGS - Furniture Sales Compact Toddler Cubby 6 w/ Compact Totes Tag1: J01 Tag2: Contract #11PSX0257	18	$831.86	$14,973.48
Line: 2 A811	COMMUNITY PLAYTHINGS - Furniture Sales Classroom Activity Table Medium Adjustable Leg for Table Tag1: J02 Tag2: Contract #11PSX0257	15	$277.29	$4,159.35
Line: 3 J710	COMMUNITY PLAYTHINGS - Furniture Sales Woodcrest Chair 10" Tag1: J03 Tag2: Contract #11PSX0257	36	$106.19	$3,822.84
Line: 4 J712	COMMUNITY PLAYTHINGS - Furniture Sales Woodcrest Chair 12" Tag1: J04 Tag2: Contract #11PSX0257	81	$122.71	$9,939.51

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Proposal

2016-9183
4/06/2016

Item No.	Item Description	Qty	Item Sell	Ext Sell
Line: 5 J432	COMMUNITY PLAYTHINGS - Furniture Sales Teacher Chair 12" Tag1: J05 Tag2: Contract #11PSX0257	26	$202.95	$5,276.70
Line: 6 C233	COMMUNITY PLAYTHINGS - Furniture Sales Round Woodcrest Table 18" and Two Chairs 10" Tag1: J06 Tag2: Contract #11PSX0257	6	$471.98	$2,831.88
Line: 7 C705	COMMUNITY PLAYTHINGS - Furniture Sales Dress Up Unit Tag1: J07 Tag2: Contract #11PSX0257	6	$584.07	$3,504.42
Line: 8 J651	COMMUNITY PLAYTHINGS - Furniture Sales Childs Sofa Blue Tag1: J12 Tag2: Contract #11PSX0257	6	$477.88	$2,867.28
Line: 9 F622	COMMUNITY PLAYTHINGS - Furniture Sales Adjustable Shelf 2' x 32" Tag1: J13 Tag2: Contract #11PSX0257	12	$389.38	$4,672.56
Line: 10 F667	COMMUNITY PLAYTHINGS - Furniture Sales Open Shelf Tag1: J14 Tag2: Contract #11PSX0257	6	$436.58	$2,619.48
Line: 11 F775	COMMUNITY PLAYTHINGS - Furniture Sales Library Rack Tag1: J15 Tag2: Contract #11PSX0257	6	$300.89	$1,805.34

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Proposal

2016-9183
4/06/2016

Item No.	Item Description	Qty	Item Sell	Ext Sell
Line: 12 F642	COMMUNITY PLAYTHINGS - Furniture Sales Adjustable Shelf 4' x 32" Tag1: J16 Tag2: Contract #11PSX0257	6	$584.07	$3,504.42
Line: 13 F645	COMMUNITY PLAYTHINGS - Furniture Sales Fixed Shelf 4' x 32" Tag1: J17 Tag2: Contract #11PSX0257	14	$613.57	$8,589.98
Line: 14 H558	COMMUNITY PLAYTHINGS - Furniture Sales Help Yourself Trolley w/totes Clear Deep Totes Tag1: J19 Tag2: Contract #11PSX0257	6	$713.86	$4,283.16
Line: 15 J718	COMMUNITY PLAYTHINGS - Furniture Sales Woodcrest Chair 18" Tag1: J21 Tag2: Contract #11PSX0257	6	$212.39	$1,274.34
Line: 16 G268	COMMUNITY PLAYTHINGS - Furniture Sales Changing Table with Steps and 6" Pan Tag1: J24 Tag2: Contract #11PSX0257	4	$1,828.91	$7,315.84
Line: 17 G28	COMMUNITY PLAYTHINGS - Furniture Sales Wall Mounted Shelf Tag1: J25 Tag2: Contract #11PSX0257	4	$448.38	$1,793.52
Line: 18 A520	COMMUNITY PLAYTHINGS - Furniture Sales Two Seater Desk High Adj Leg Tag1: J26 Tag2: Contract #11PSX0257	6	$424.78	$2,548.68

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7 Capital Drive
Wallingford, CT 06492
Phone: (203) 269-1238
Fax: (203) 265-9378
www.insalco.com

INSALCO
"Your Contract Furniture Source"

Customer: CITY OF NORWALK
Sales Rep: Sandy Collar sandy-insalco@snet.net
203-269-1238 (phone) 203-265-9378 (fax)

Proposal
2016-9183
4/06/2016

Item No.	Item Description	Qty	Item Sell	Ext Sell
Line: 19 A831	COMMUNITY PLAYTHINGS - Furniture Sales Round Table 30" Adjustable Legs, Medium Tag1: J26 Tag2: Contract #11PSX0257	2	$230.09	$460.18
Line: 20 G770	COMMUNITY PLAYTHINGS - Furniture Sales Nursery Gym #7 Carpeted Platforms, Safety Railings, Removable Gates, Interchangeable Mirror, Carpet & Hardwood Dowel Panels Tag1: J28 Tag2: Contract #11PSX0257	1	$3,469.03	$3,469.03
Line: 21 AH4R4229P-74P	KRUEGER INTERNATIONAL - Tables (Delivered) Athens Round Tbl,4"Col,24" Base,Powder Coat,74P,29"H,42"Dia Tag1: A02 Tag2: Contract #15PSX0041 Item Finishes & Options: : Kensington Maple edge (/EKM) : KI Laminates (Standard) : KENSINGTON MAPLE 10776-60 (/LKM) : Starlight Silver Metallic (/SX)	1	$516.88	$516.88
Line: 22 KIUV72/KR200	KRUEGER INTERNATIONAL - Seating (Delivered) Avail Task, Adjustable Task Arm Tag1: E01 Tag2: Contract #15PSX0041 Item Finishes & Options: : Black (/BL) : Base Option (TBD) : Compliance to TB 117-2013 (NFR) : Pallas Fabric Group P0 (GRPP0) : POINT (POINT) : COPPER (/27.203.111.P)	17	$299.60	$5,093.20

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4/06/2016

Item No.	Item Description	Qty	Item Sell	Ext Sell
Line: 23 TALWA	KRUEGER INTERNATIONAL - Seating (Delivered) Torsion Air Four-Leg Armchair,Uph Seat/Mesh Back Tag1: EA01 Tag2: Contract #15PSX0041 Item Finishes & Options: : Starlight Silver Metallic (/SX) : Plastic glides (/P) : Compliance to TB 117-2013 (/NFR) : Pallas Fabric Group P0 (GRPP0) : POINT (POINT) : COPPER (/27.203.111.P) : Black (/BLMH)	11	$304.08	$3,344.88
Line: 24 26161	SMITH SYSTEMS - Furniture Sales Teacher Desk Right Cascade Single Bullet Single Ped Desk Box/Box/File Ped Laminate: 4877-38 Grey Mesh Edge: Clementine Frame: Platinum Tag1: B01 Tag2: Contract #11PSX0257	7	$792.20	$5,545.40
Line: 25 26160	SMITH SYSTEMS - Furniture Sales Teacher Desk Left Cascade Single Bullet Single Ped DeskBox/Box/File Ped Laminate: 4877-38 Grey Mesh Edge: Clementine Frame: Platinum Tag1: B02 Tag2: Contract #11PSX0257	9	$792.20	$7,129.80

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4/06/2016

Item No.	Item Description	Qty	Item Sell	Ext Sell
Line: 26 R10006062P	SMITH SYSTEMS - Furniture Sales Cascade Wardrobe on Casters Open with 6-3" Totes 6-6" Totes and 2-12" Totes Shelf and Coat Hook Frame: Platinum Powder Coat: Clementine Tag1: B04 Tag2: Contract #11PSX0257	1	$1,379.55	$1,379.55
Line: 27 Freight	SMITH SYSTEMS - Freight Freight Tag2: Contract #11PSX0257	1	$0.00	$0.00
Line: 28 SR6033R	MAYLINE CO - Furniture Sales Mailflow to go 1-tier With Riser 25 Slots Paint: Graphite Tag1: W02 Tag2: Contract #15PSX0041	1	$496.10	$496.10
Line: 29 TW60	MAYLINE CO - Furniture Sales Sorter Table 60" wide x 30"D x 24-36" Chrome legs are adjustable in height from 24-36" Paint: Graphite Tag1: W01 Tag2: Contract #15PSX0041	1	$1,025.20	$1,025.20

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Proposal

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4/06/2016

Item No.	Item Description	Qty	Item Sell	Ext Sell
Line: 30 WW2454VHHR2M	National Office Furniture - Furniture Sales Waveworks Storage/Wardrobe With File/File Pedestal 24 x 24 x 54"H Tag1: B03 Tag2: Contract #15PSX0041 Item Finishes & Options: : Surface Finish (TBD) : Edge Color (TBD) : Unit Color (TBD)	8	$709.34	$5,674.72
Line: 31 WW2460CSHFLM	National Office Furniture - Furniture Sales Waveworks Metal 24" x 60" Desk Shell Left and Right Grommets Trim Color: Laminate Top Color: Base Color: Tag1: A01 Tag2: Contract #15PSX0041 Item Finishes & Options: : Surface Finish (TBD) : Edge Color (TBD) : Unit Color (TBD)	1	$466.29	$466.29
Line: 32 WW2315PUBBFM	National Office Furniture - Furniture Sales WaveWorks, Box/Box/File Pedestal Color: Tag1: A01.1 Tag2: Contract #15PSX0041 Item Finishes & Options: : Pull Options (TBD) : Unit Color (TBD) : No Counterbalance (-NOCBW) : Key alike (/KA) : Key Alike Lock #101 (/L101)	1	$223.25	$223.25

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Proposal

2016-9183
4/06/2016

Item No.	Item Description	Qty	Item Sell	Ext Sell
Line: 33 WW1836LFF3M	National Office Furniture - Furniture Sales Waveworks 3 Drawer Lateral File 18 x 36 x 40"H Tag1: A03 Tag2: Contract #15PSX0041 Item Finishes & Options: : Pull Options (TBD) : Unit Color (TBD) : No Counterbalance (-NOCBW) : Key alike (/KA) : Key Alike Lock #101 (/L101)	1	$567.77	$567.77
Line: 34 WWN48120RT2L	National Office Furniture - Furniture Sales WaveWorks Rectangle Table Top 48 x 108 Color: Tag1: AA01 Tag2: Contract #15PSX0041 Item Finishes & Options: : Pull Options (TBD) : Unit Color (TBD) : No Counterbalance (-NOCBW) : Key alike (/KA) : Key Alike Lock #101 (/L101)	1	$1,672.61	$1,672.61

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4/06/2016

Item No.	Item Description	Qty	Item Sell	Ext Sell
Line: 35 BP-2427S	National Office Furniture - Furniture Sales WaveWorks Thin Panel Base Laminate: Tag1: AA01 Tag2: Contract #15PSX0041 Item Finishes & Options: : Pull Options (TBD) : Unit Color (TBD) : No Counterbalance (-NOCBW) : Key alike (/KA) : Key Alike Lock #101 (/L101)	3	$104.45	$313.35
Line: 36 26-402	GLOBAL - Furniture Sales 2600 Series Vertical File Recessed Pulls 4 Drawer 26"D x 15"W x 52.25" H Color: Tag1: A04 Tag2: Contract #15PSX0041	8	$265.64	$2,125.12
Line: 37 Freestanding Office, Lounge, Dormitory and Residential Furniture	INSALCO CORPORATION - Contract Information Priced @ State Of Connecticut Contract Discount. Contract #15PSX0041 Sch "C" Discount = Delivered & Installed. Contract Duration: 6/01/2015 - 06/30/2020	1	$0.00	$0.00
Line: 38 Classroom/School Furniture	INSALCO CORPORATION - Contract Information Priced @ State Of Connecticut Contract Discount. Contract #11PSX0257 Sch "C" Discount = Delivered & Installed. Contract Duration: 04/01/2012- 03/31/2017	1	$0.00	$0.00
Line: 39 SBE	INSALCO CORPORATION - Contract Information Insalco Corporation is a Certified Small Business Set-Aside Vendor to the State of Connecticut.	1	$0.00	$0.00

Subtotal.. ....$125,285.91

Printed On: 4/06/2016 11:25 am

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Proposal

2016-9183
4/06/2016

Total Amount.......................... ....$125,285.91

Thank you for your business! Please accept this proposal by signing below.

Accepted by: ______________________________ Date: __________

Quote Name:

ePlus

Quotation

Bill To	Ship To
NORWALK PUBLIC SCHOOLS	NORWALK PUBLIC SCHOOLS
125 EAST AVENUE	125 EAST AVENUE
PO BOX 8001	PO BOX 6001
NORWALK CT 06851	NORWALK CT 08851-5702
FINANCE OFFICE	Dave Hopp

Quolation #:	21897782
Ordar #:	
Quotation Dale:	04/19/2016
Expiration Data:	05/19/2016
Client Reference:	
Account Rep:	Slave Planle

Line No.	Quantity	Part Number	MFG	Description	Unit Price	Ext Price
001	1	R-CBE6K-K9	CISCO	CISCO BUSINESS EDITION 6000-ELECTRONIC SW DELIVERY-TOP LEVEL	$0.00	$0.00
002	1	CON-ECMU-RCBE6KK	CISCO	SWSS UPGRADES CISCO BUSINESS EDITI	$0.00	$0.00
003	1	BE6K-SW-10.X	CISCO	BUSINESS EDITION 6000 V10 EXPORT RESTRICTED SOFTWARE	$0.00	$0.00
004	20	BE6K-UCL-ENH	CISCO	CISCO BUSINESS EDITION 6000 - ENHANCED USER CONNECT LICENSE	$90.30	$1,806.00
005	20	BE8K-UCL-VM	CISCO	CISCO BUSINESS EDITION 6000- VOICEMAIL/UNIFIED MESSAGING LIC	$32.25	$645.00
006	1	BE6K-PAK	CISCO	CISCO BUSINESS EDITION 6000 - PAK - SINGLE FULFILLMENT	$0.00	$0.00
007	20	UCM-10X-ENH-UCL	CISCO	BE6K UCM 10X ENHANCED USER CONNECT LICENSE - SINGLE	$0.00	$0.00
008	20	CON-ECMU-UCMENHUC	CISCO	SWSS UPGRADES BE8K UCM 10X ENHANCE	$11.04	$220.80
009	20	UCN-10X-VM-UCL	CISCO	BE6000 UNITY CONNECTION 10X BASIC VOICEMAIL LICENSE	$0.00	$0.00
010	20	CON-ECMU-UCN10XVM	CISCO	SWSS UPGRADES BE6K - UNITY CONNECT	$4.14	$82.80
011	7	CP-7841-K9=	CISCO	CISCO UC PHONE 7841	$175.20	$1,226.40
012	1	CP-8831-K9=	CISCO	CISCO 8831 BASE/CONTROL PANEL FOR NORTH AMERICA	$669.60	$669.80
013	1	CON-SNT-CP8831K9	CISCO	SNTC-8X5XNBD CISCO 8831 IP CONFER PHONE W/ CONTROLLER	$35.28	$35.28
014	1	CP-8831-DCU-S	CISCO	SPARE CISCO 8831 DISPLAY CONTROL UNIT (DCU)	$0.00	$0.00
015	11	CP-8841-K9=	CISCO	CISCO IP PHONE 8841	$237.60	$2,613.60
016	1	CP-PWR-CORD-NA=	CISCO	POWER CORD, NORTH AMERICA	$4.80	$4.80

017	1	EMRGNCY-RSPNDR	CISCO	EMERGENCY RESPONDER ELECTRONIC OR PHYSICAL SOFTWARE DELIVERY	$0.00	$0.00
018	1	CON-ECMU-EMRGNCY	CISCO	SWSS UPGRADES EMRGNCY RSPNDR	$0.00	$0.00
019	20	ER90-USR-10	CISCO	EMRGNCY RSPNDR 90 USR LIC 10 PHNS	$0.00	$0.00
020	1	ER-PAK	CISCO	EMRGNCY RSPNDR PAK	$0.00	$0.00
021	20	ER90-USR-10-ADD	CISCO	EMRGNCY RSPNDR 90 USR LIC 10 PHNS ADDL	$96.00	$1,920.00
022	20	CON-ECMU-ER90USRA	CISCO	SWSS UPGRADES EMRGNCY RSPNDR 90 US	$11.04	$220.80
023	4	WS-C2960X-48FPS-L	CISCO	CATALYST 2960-X 48 GIGE POE 740W, 4 X 1G SFP, LAN BASE	$3,231.55	$12,928.20
024	4	CON-SNT-WSC294SL	CISCO	SNTC-8X5XNBD CATALYST 2960-X 48 G	$288.43	$1,153.72
025	4	CAB-16AWG-AC	CISCO	AC POWER CORD, 16AWG	$0.00	$0.00
026	4	C2980X-STACK	CISCO	CATALYST 2960-X FLEXSTACK PLUS STACKING MODULE	$639.33	$2,557.32
027	4	CAB-STK-E-0.5M	CISCO	CISCO FLEXSTACK 50CM STACKING CABLE	$0.00	$0.00
028	2	GLC-SX-MMD=	CISCO	1000BASE-SX SFP TRANSCEIVER MODULE, MMF, 850NM, DOM	$267.50	$535.00
029	2	GLC-T=	CISCO	1000BASE-T SFP	$211.33	$422.86
030	1	C2901-CME-SRST/K9	CISCO	2901 VOICE BUNDLE W/PVDM3-18,FL-CME-SRST-25,UC LIC,FL-CUBE10	$1,629.60	$1,829.80
031	1	CON-SNT-2901CMST	CISCO	SNTC-8X5XNBD 2901 VOICE BUNDLE W/ UC LICENSE PAK	$197.82	$197.82
032	1	PWR-2901-AC	CISCO	CISCO 2901 AC POWER SUPPLY	$0.00	$0.00
033	1	CAB-AC	CISCO	AC POWER CORD (NORTH AMERICA), C13, NEMA 5-15P, 2.1M	$0.00	$0.00
034	2	FL-CUBEE-5	CISCO	UNIFIED BORDER ELEMENT ENTERPRISE LICENSE - 5 SESSIONS	$0.00	$0.00
035	1	SL-29-IPB-K9	CISCO	IP BASE LICENSE FOR CISCO 2901-2951	$0.00	$0.00
036	1	FL-CME-SRST-25	CISCO	COMMUNICATION MANAGER EXPRESS OR SRST - 25 SEAT LICENSE	$0.00	$0.00
037	1	ISR-CCP-EXP	CISCO	CISCO CONFIG PRO EXPRESS ON ROUTER FLASH	$0.00	$0.00
038	1	MEM-2900-512MB-DEF	CISCO	512MB DRAM FOR CISCO 2901-2921 ISR (DEFAULT)	$0.00	$0.00
039	1	SL-29-UC-K9	CISCO	UNIFIED COMMUNICATION LICENSE FOR CISCO 2901-2951	$0.00	$0.00
040	1	PVDM3-16	CISCO	16-CHANNEL HIGH-DENSITY VOICE DSP MODULE	$0.00	$0.00
041	1	MEM-CF-256MB	CISCO	256MB COMPACT FLASH FOR CISCO 1900, 2900, 3900 ISR	$0.00	$0.00
042	3	HWIC-BLANK	CISCO	BLANK FACEPLATE FOR HWIC SLOT ON CISCO ISR	$0.00	$0.00

043	1	PVDM3-16	CISCO	16-CHANNEL HIGH-DENSITY VOICE DSP MODULE	$384.00	$384.00
044	1	S29UK9-15403M	CISCO	CISCO 2901-2921 IOS UNIVERSAL	$0.00	$0.00
045	1	FL-SRST	CISCO	CISCO SURVIVABLE REMOTE SITE TELEPHONY LICENSE	$0.00	$0.00
046	1	VIC2-4FXO	CISCO	FOUR-PORT VOICE INTERFACE CARD - FXO (UNIVERSAL)	$422.40	$422.40
047	10	MR18-HW	CISCO	MR18 CLOUD MANAGED AP	$347.22	$3,472.20
048	10	LIC-ENT-1YR	CISCO	1YR ENT CLOUD CONTROLLER LICS	$80.25	$802.50
049	1	MS220-24P-HW	CISCO	MERAKI MS220-24P L2 CLOUD MGD 24PT GIGE	$1,538.13	$1,538.13
050	1	LIC-MS22-1YR	CISCO	MS22 ENT LICS AND 1YR SUP QTY 1000 AVAIL AND SHIP DIRECT	$107.00	$107.00
051	1	SMX3000LVNC	SCHNEIDER	SMARTUPS X 3000VA TWR 127V NTWK CARD	$1,441.07	$1,441.07
052	1	SMX120BP	SCHNEIDER	SMARTUPS X 120V EXT BATT PK RACK/TWR	$551.48	$551.48
053	1	EPLUS PROF SERVICES	EPLUS	EPLUS PROF SERVICES Line Note: Includes Project Manager/Meetings Unbox and deploy the following list of equipment. Rack mount switches and gateway to customer supplied rack: DEPLOY Desktop phones (x10) Classroom phones (x6) Cisco switches (x3) Conference room (x1) Phone? Cisco router (x1) APC UPS (1x) Meraki APs (x8) ePlus to clean and remove packing materials and cardboard to customer supplied dumpster CONFIGURE Configure Cisco router with: IP address, Gateway, local admin account, and SSH remote administration For FXO/FXS and call manger Integration Survivable Remote System Telephone (Call Control only) Configure new switches for: IPT Call Manager integration IP address, Gateway, local admin account, and SSH remote administration Up to 4 vlans Uplink trunk port to upstream switch IPT Call Manager Integration CER Create new location (for 1x Meraki switch only, New Meraki dashboard location in existing Controller) IPT phone configurations Extensions and locations details Create and assign Voicemail Meraki APs Add Meraki APs to Meraki dashboard (for 8x Meraki APs in existing Controller)	$15,751.25	$15,751.25

Create up to 3 SSID for user access with "802.1n" radio configurations
Default splash screen, no AVC, default local DHCP
Place AP on map
APC UPS
Configure remote network monitoring card

Documentation
High Level Visio information as it related to IP addresses and management of deployed equipment.

Training
There is no administration nor end user training provided

Assumptions
Customer to:
Have all Call Manager Unity Voicemail and Cisco Emergency Responder to updated support revision 10 prior to ePlus services
Wall mount all phones
Provide all IP addresses, Telephony Carrier circuit, Dial plan and extensions information
To have installed centralized UPS monitoring software
All Meraki APs to mounted on customer supplied mounting hardware or suspended ceiling grid with a height no greater than 12 feet.
Provide architecture drawing in jpg format (for Meraki maps)
perform all end user phone training
Provide all cabling, patch cords, patch panels, networking and or telco racks

By placing an order with ePlus for products or services you agree to comply with the Terms and Conditions for Purchasing Products and Services located at http://www.eplus.com/terms-and-conditions/Pages/Products-Services-Terms-and-Conditions.aspx (the Order Terms). Unless there is a separate written agreement signed on behalf of both you and ePlus by a duly authorized officer, the Order Terms shall be the only terms and conditions applicable to transactions between you and ePlus, and no additional or contrary terms referenced in a purchase order, document, or electronic communication shall apply. In no event shall ePlus performance under a purchase order be deemed to constitute acceptance of any terms and conditions set forth therein.

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Thank you for your inquiry. Please note the following about this quotation: It will expire on the date stated above. Unless freight amount is indicated, or is zero, freight will be added to the invoice. Unless Bill-To company is exempt from Sales Tax, it will be added to the invoice. Extended Warranties and Professional Services are available.

Customer Acceptance

Signature: ____________________ Date: __________
Name: ____________________ PO #: __________
Title: ____________________ Ship Via: __________

This quotation is confidential for your internal use only.

This is a solicitation for an offer and is subject to credit approval. No contract is formed unless a purchase order or other offer is received and accepted by our office. If you accept this quotation with the intent to have your chosen leasing company to pay the costs directly, please note that if the Lessor does not pay ePlus for any reason, you will be responsible for payment to ePlus.

To Place An Order , Please Contact:

Sales: Ed Howard
Phone: 603-283-3512
Fax: 803-263-3590
Email: ehoward@eplus.com
Address: 2 Commerce Drive - Bedford, NH 03110
Note: THANK YOU FOR YOUR BUSINESS!

Sub Total:	$ 53,337.43
Est. Tax:	TBD If Applicable
Shp&Hnd:	$ 0.00
Total:	$ 53,337.43

THE PLANNING COMMITTEE OF THE COMMON COUNCIL

125 East Avenue
P.O. Box 5125
Norwalk, CT 06856

VIII B

1 - 4

TO: MEMBERS OF THE COMMON COUNCIL

FROM: TAMI STRAUSS, DIRECTOR OF COMMUNITY DEVELOPMENT PLANNING

DATE: MAY 6, 2016

RE: PROGRAM YEAR 42 (July 1, 2016 – June 30, 2017) CDBG ANNUAL ACTION PLAN

As the administering agent for the City's CDBG program, the Redevelopment Agency developed the Annual Action Plan (AAP) for Program Year 42 (PY42), which runs July 1, 2016 through June 30, 2017. The draft AAP outlines the allocation of $894,880 in CDBG funds for PY42 activities, based on funding recommendations made by the Planning Committee. The activities recommended for PY42 are consistent with the 2015-2019 Consolidated Plan (ConPlan) approved last year by the Common Council.

The draft AAP was available for public review and comment from February 25, 2016 until March 24, 2016. A public hearing was held during the Planning Committee meeting on March 3, 2016. All public comment received during the comment period and public hearing is attached to the draft AAP and is incorporated into the draft Plan.

Upon approval by the full Common Council, the Norwalk Redevelopment Agency will submit the PY42 AAP to HUD in order to become a binding document guiding the CDBG program for the upcoming program year.

REQUESTED ACTIONS:

- Authorize the Mayor to execute any and all documents associated with submission of the PY42 AAP to HUD by May 15, 2016 and all documents consistent with the approved 2015-2019 Consolidated Plan and PY42 Annual Action Plan.

ANNUAL ACTION PLAN

for

Community Development Block Grant Program Year 42

- Llame al (203) 854-7810 x46783 o visite nuestra oficina para solicitar un versión traducida de este documento.
- Παρακαλούμε καλέστε (203) 854-7810 x46783 ή επισκεφθείτε το γραφείο μας για να ζητήσετε μεταφρασμένη έκδοση αυτού του εγγράφου.
- Chiama lo (203) 854-7810 x46783 o visita i nostri uffici per richiedere una versione tradotta di questo documento.
- Veuillez composer le (203) 854-7810 x46783 ou visitez notre bureau pour demander une version traduite de ce document.
- Silvouplèrele (203) 854-7810 x46783 oswavizitebiwonoupoufèdemann yon vèsyondokimansa-akitradwi.

Prepared by the Norwalk Redevelopment Agency

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

Program Year 42 (PY42), July 1, 2016 - June 30, 2017, of the Community Development Block Grant (CDBG) Program represents the second of five years of the City of Norwalk's 5-year Consolidated Plan for Housing and Community Development (ConPlan) (July 1, 2015-June 30, 2019). The Annual Action Plan contained herein summarizes the manner in which the City will utilize and administer its available community development resources to address the needs of Norwalk's low and moderate income households.

The United States Department of Housing and Urban Development (HUD) allocated $854,502 in CDBG funding for the City of Norwalk in PY42. When combined with anticipated program income, the actual amount available in PY42 is $894,880. The recommendations contained herein are based upon the estimated funds available.

PY42 CDBG sub-awards are expected to directly benefit over 1,716 households with 4 additional projects providing an area benefit. Approximately 92% of those served are expected to be of low or moderate income.

2. Summarize the objectives and outcomes identified in the Plan

The 5-year Consolidated Plan (ConPlan) establishes four priority needs, in addition to planning and programmatic administration, with associated goals to be met over the five-year period (July 1, 2015-June 30, 2019). They are:

- Increase high quality and affordable housing opportunities
- Expand economic opportunities
- Modernize public facilities and infrastructure
- Increase available social services

In PY42, $894,880 of CDBG funds are committed to each priority need with the listed outcome expected:

- Increase high quality and affordable housing opportunities - $208,000, 3 projects, 4 owner occupied housing units and 26 rental housing units are renovated
- Expand economic opportunities - $28,215, 1 project, 4 businesses receive technical assistance
- Modernize public facilities and infrastructure - $303,192, 6 organizations, 8 projects, 19,861 people with improved access to modern facilities and infrastructure and 8 acres of brownfields assessed
- Increase available social services - $134,232, 10 organizations, 760 people with increased access to social services
- Planning and CDBG administration - $221,241, 1 organization, city-wide area benefit due to the implementation of the PY42 CDBG program

3. Evaluation of past performance

Program Year 42 (PY42) is the second of five years in the 2015-2019 Consolidated Plan period. At this time, Norwalk is on track towards accomplishing its Goals and Priority Needs by the close of the 5-year period.

Initiatives supported by CDBG funding in the current plan year (PY41: July 1, 2015-June 30, 2016) are expected to address high quality and affordable housing opportunities in 137 housing units (five-year goal is 400 housing units); expand economic opportunities to 4 businesses (five-year goal is 20 businesses); modernize public facilities and infrastructure used by 19,743 people plus community cleanup activities directed at 2 acres (five-year goal is 77,000 people and 8 acres); increase available social services to 1,206 people and 43 households (five-year goal is 2,800 people and 400 households). The success of these initiatives will be reported in the year-end PY41 Consolidated Annual Performance and Evaluation Report (CAPER), released in September 2016.

4. Summary of Citizen Participation Process and consultation process

The planning and citizen participation activities for PY42 (July 1, 2016 – June 30, 2017) began in October 2015.

On November 4, 2015, the Notice of Funding Availability was released following two legal notices of its upcoming availability (October 21, 2015 and October 29, 2015). PY42 applications were due on December 1, 2015 and were presented by the applicants at a public meeting of the Planning Committee of the Common Council on January 7, 2016. They were also posted for public viewing on the Agency's website.

Following two legal notices of its upcoming availability (February 11, 2016 and February 18, 2016), the draft PY42 Annual Action Plan (AAP) was available for a 30-day public comment period from February 25, 2016 until March 24, 2016. The AAP was made available online and in hard copy at organizations throughout Norwalk's Urban Core. A public hearing was held on March 3, 2016 at a fully accessible location during the regular meeting of the Planning Committee of the Norwalk Common Council to discuss the Plan. The public hearing was announced in multiple media including legal notices on February 18, 2016 and February 25, 2016.

5. Summary of public comments

Representatives of five organizations attended the public hearing on March 3, 2016 to inform the Planning Committee further about their proposed programs. Ms. Epstein represented both the Senior Coordinating Council and the Norwalk Senior Center, neither of which were initially recommended funding.

During the Public Comment Period (February 25, 2016-March 24, 2016), ten letters were submitted on behalf of the Norwalk Senior Center's Restroom Renovation project and one letter was submitted on behalf of the Senior Coordinating Council's Financial and Physical Safety program. Public comment was shared with the Planning Committee which voted to adjust their funding recommendations in order to support the Senior Center and Coordinating Council's applications. All public comment is attached to the Annual Action Plan.

6. Summary of comments or views not accepted and the reasons for not accepting them

All received public comment was accepted.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Agency Role	Name	Department/Agency
CDBG Administrator		Norwalk Redevelopment Agency

Table 1 – Responsible Agencies

Narrative (optional)

The City of Norwalk designated the Norwalk Redevelopment Agency (Agency) as the administrator of Norwalk's annual entitlement of Community Development Block Grant (CDBG) funds. As administrator of the CDBG program, the Agency is responsible for overall program management, coordination, monitoring, reporting and evaluation.

The Agency is responsible for the implementation of the City's CDBG program and ensuring its activities are carried out in a timely manner consistent with grant conditions, State and Federal regulations; for acting as contact point between the CDBG program and other City departments, Federal and State agencies and the general public; for seeking out and applying for other funding sources to help leverage funds; for monitoring all activities for timely implementation; for preparing certificates of consistency for Norwalk social service providers; for compiling and submitting grant reports; for supervising personnel; for initiating and obtaining approvals for program and budget amendments; for processing payment requests; and for ensuring that appropriate program and financial records are maintained. The Agency works with the Mayor and Common Council for appropriate program approval, as stated in the Citizen Participation Plan.

Consolidated Plan Public Contact Information

Norwalk Redevelopment Agency
125 East Avenue, Room 202
Norwalk, CT 06851
tstrauss@norwalkct.org
(203)854-7810 x46787

AP-10 Consultation – 91.100, 91.200(b), 91.215(l)

1. Introduction

As administrator of the City of Norwalk's CDBG program, the Norwalk Redevelopment Agency coordinates the development of the Annual Action Plan. All funding recommendations are made by the Common Council of the City of Norwalk and approved for endorsement by the Mayor prior to submission to HUD. Agency staff support the Planning Committee of the Common Council which initiates the funding recommendations. The Planning Committee is made up of seven elected officials from four of the five districts, plus an at-large member.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))

The City of Norwalk enhances coordination in planning and implementation at several levels. The City, with the Norwalk Redevelopment Agency (Agency) playing a lead role, works with regional nonprofits such as United Way of Coastal Fairfield County and Fairfield County's Community Foundation to determine needs in areas such as housing, mental health and other services. The Agency is in continual contact with developers, owners and executives of small and large businesses and others discussing economic development opportunities in Norwalk. The City works continuously within the Continuum of Care to address homelessness on a regional basis. The Norwalk Hospital Foundation's Services Navigator coordinates activities of homeless service providers. This position was originally created with the support of a CDBG grant.

For citywide programs and multiple target areas, the City works extensively with housing providers such as the Housing Development Fund (HDF) and the Norwalk Housing Authority (NHA). The City works with HDF and NHA to define need. The City has funded both of these entities for projects including accessibility improvements and housing counseling. As part of an annual process for community development, the Agency assesses need in the community. Housing and social service agencies detail need for their clients; the Agency and the City review that need and fund projects to meet it.

The City/Agency also coordinates work for redevelopment at a neighborhood scale. The Washington Village/South Norwalk Transformation Plan is funded in large part by a U.S. Department of Housing and Urban Development (HUD) Choice Neighborhood Implementation grant. The Plan and its implementation is a joint project with the NHA and the Agency. As part of that initiative, a 273 unit mixed-income housing development will replace 136 pubic housing units at Washington Village. The plan also addresses safety, open space, parks and recreation improvements and storm resiliency. The self-sufficiency part of the plan addresses needs and formulates goals in several areas including educational improvements for early education, K-12 education and college and career readiness. The planning and implementation of the education improvements will involve the community college and the local school board.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City of Norwalk has committed significant resources to the planning and implementation of efforts to prevent and end homelessness. In 2010, the Mayor of Norwalk and United Way of Coastal Fairfield County convened a broad coalition of public and private, nonprofit and for-profit, organizations to develop the "Greater Norwalk Ten Year Plan to Prevent and End Homelessness." As a result of this planning, Norwalk has been leading the drive for increasingly regional approaches to meet the needs of homeless persons. The Norwalk/Fairfield County Continuum of Care (CoC), known as Opening Doors of Fairfield County, serves the communities of Norwalk, Weston, Wilton, Westport, Darien, Brookfield, Redding, Ridgefield, Sherman, Newtown, and New Canaan.

Early in 2014, the Norwalk/Fairfield County CoC and its neighboring CoCs, Stamford/Greenwich and Bridgeport, submitted an application to the U.S. Department of Housing and Urban Development (HUD) to merge, forming the Fairfield County CoC, also known as Opening Doors of Fairfield County. The new service area includes Bridgeport, Brookfield, Darien, Easton, Fairfield, Greenwich, Monroe, Newtown, New Canaan, New Fairfield, Norwalk, Redding, Ridgefield, Shelton, Sherman, Stamford, Stratford, Trumbull, Weston, Westport and Wilton. The Director of the City of Norwalk Human Relations and Fair Rent Department led the merger and serves as the Co-Chair of the Coordinating Council of Opening Doors of Fairfield County.

The State of Connecticut has mandated policies for the discharge of youth aging out of foster care, patients exiting health and mental care facilities and offenders exiting the corrections system. The CoC works with the appropriate state departments – the Department of Children & Families, the Department of Public Health, the Department of Mental Health and Addiction and the Department of Corrections – to enforce these policies. The Food/Fuel/Shelter and Housing Committees of the CoC are responsible for distributing these policies to CoC members. Consultation with area stakeholders revealed that while the new Service Navigator position at Norwalk Hospital is a step in the right direction toward coordinating effective and safe discharge of homeless people from physical and mental health institutions there are still institutions that are not effectively utilizing emergency housing providers as partners in the discharge planning process. Importantly, the infrastructure to provide the level of care required for homeless persons discharged from a medical facility but still in need of a low level of care does not currently exist in Norwalk. Developing the appropriate facilities is goal number seven of the "Greater Norwalk Ten Year Plan to Prevent and End Homelessness."

In its January 2016 report, Opening Doors of Fairfield County reports successfully approaching the first goal of ending Veteran homelessness by 2015 and is now working to end chronic homelessness by 2016 and end family and youth homelessness by 2021. Other recent successes include: decreasing the total number of homeless including a reduction in chronically homeless; decreasing the number of homeless families and increasing diversion resources; prioritizing the most vulnerable homeless families and individuals for housing; decreasing the number of homeless veterans including those deemed "ineligible" for veteran services.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The Opening Doors of Fairfield County Continuum of Care (CoC) works with the Connecticut Department of Housing (DOH) to ensure alignment between the CoC's strategic goals and the uses of Emergency Shelter Grants (ESG). Homeless housing assistance and service providers within the Opening Doors of Fairfield County CoC are eligible to submit competitive grant applications to the State for ESG. The CoC is working to increase coordination with the DOH by acting as a quality control agent when an agency within the Norwalk/Fairfield County CoC receives ESG from the State. In this role the CoC collects project performance reports and ensures the project maintains compliance with ESG requirements. The Opening Doors of Fairfield County CoC designated the Connecticut Coalition to End Homeless (CCEH) as the lead homeless management information systems (HMIS) organization. The CoC has a HMIS policy and Procedures Manual which requires the lead organization to input data accurately and in a timely manner.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	**Agency/Group/Organization**	Norwalk Human Relations Commission
	Agency/Group/Organization Type	Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Staff members are active in housing issues, especially homeless issues.
2	**Agency/Group/Organization**	Norwalk Fair Rent Commission
	Agency/Group/Organization Type	Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This commission work on affirmative action items for the City. It mediates rent and repair issues between landlords and tenants. Staff members are active in housing issues, especially homeless issues.

Identify any Agency Types not consulted and provide rationale for not consulting

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	United Way of Coastal Fairfield County	Goal three of the continuum of care is "affordable housing is available on a continuum of need in Greater Norwalk" this is aligned with the Strategic Plan priority need, "limited high quality and affordable housing opportunities."
Five-Year Plan - Norwalk Public Housing Authority	Norwalk Public Housing Authority	The five-year plan and the Consolidated Plan explicitly coordinated efforts to address housing issues in the City.
Ten-Year Plan to Prevent and End Homelessness	City of Norwalk & United Way of Coastal Fairfield County	The goals of the ten-year plan address housing and supportive services which are identified as priority needs in the Consolidated Plan.

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Choice Neighborhoods Initiative	Norwalk Redevelopment Agency & Norwalk Public Housing Authority	This collaborative effort addresses the needs of a low-opportunity neighborhood in a comprehensive manner, in-line with the priority needs and goals identified in the Consolidated Plan.

Table 3 – Other local / regional / federal planning efforts

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation

The planning and citizen participation activities for PY42 (July 1, 2016 – June 30, 2017) began in October 2015.

On November 4, 2015, the Notice of Funding Availability was released following two legal notices of its upcoming availability (October 21, 2015 and October 29, 2015). PY42 applications were due on December 1, 2015 and were presented by the applicants at a public meeting of the Planning Committee of the Common Council on January 7, 2016. At this time, they were also posted for public viewing on the Agency's website.

Following two legal notices of its upcoming availability (February 11, 2016 and February 18, 2016), the draft PY42 Annual Action Plan (AAP) was available for a 30-day public comment period from February 25, 2016 until March 24, 2016. The AAP was made available online and in hard copy at organizations throughout Norwalk's Urban Core. A public hearing will be held on March 3, 2016 to discuss the Plan. The public hearing was announced in multiple media including legal notices on February 18, 2016 and February 25, 2016.

Comments received during the public hearing and public comment period were shared with the Planning Committee of the Common Council which adjusted its funding recommendations in response to community support for the Norwalk Senior Center's project and the Senior Coordinating Council's program.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	Minorities Non-targeted/broad community Residents of Public and Assisted Housing Applicant organizations	Representatives of five organizations attended the public hearing to inform the Planning Committee further about their proposed programs. Ms. Epstein represented both the Senior Coordinating Council and the Norwalk Senior Center, neither of which were initially recommended funding.	Representatives thanked the Planning Committee for recommending their organizations for funding and elaborated on their needs. The person representing the Senior Services Coordinating Council and the Norwalk Senior Center advocated for funding for their proposals.	All comments were accepted.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Public Comment Period	Non-English Speaking - Specify other language: Spanish Non-targeted/broad community Residents of Public and Assisted Housing Applicant organizations	During the Public Comment Period, ten letters were submitted on behalf of the Norwalk Senior Center's Restroom Renovation project and one letter was submitted on behalf of the Senior Coordinating Council's Financial and Physical Safety program. Public comment was shared with the Planning Committee which voted to adjust their funding recommendations in order to support the Senior Center and Coordinating Council's applications. All public comment is attached to the Annual Action Plan.	The received written comment provided personal stories as to why the Norwalk Senior Center's Restroom Renovation project and the Senior Services Coordinating Council's Physical and Financial Safety program are so critical.	All comments were accepted.	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c) (1, 2)

Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan $	Narrative Description
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	$854,502	$40,378	$0	$894,880	$1,924,471	The City will use HUD, CPD funds to increase high quality affordable housing, expand economic opportunities, modernize public facilities and infrastructure, and increase available social services to the residents of Norwalk.
Other	public - local	Other	$0	$0	$0	$0	$0	

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City awards a portion of its annual Community Development Block Grant (CDBG) allocation as grants to local organizations through a competitive process and recommends applicants provide matching funds, $2/$1 match for public service programs and a $1/$1 match for all other programs. Organizations in receipt of these grants receive support from an array of public and private sources to provide high quality and innovative programming in service of the community.

The Norwalk Redevelopment Agency (Agency) works strategically so that federal funds attract additional funding and spur private development to meet the needs of the community. The Agency leveraged portions of its CDBG award to access additional federal funds: two Section 108 loan guarantees, one providing seed capital to the Economic and Community Development Loan Fund and the other for the

Wall Street Theater project. The Norwalk Housing Authority and the Agency were awarded a $30 million HUD Choice Neighborhood Implementation grant in June 2014 and are leveraging significant public and private resources to reposition the neighborhood to build a better future for current residents and to welcome new households and businesses to the area.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Two City-owned vacant properties will be developed into mixed-income housing for the CNI project described above. Ryan Park, a community park adjacent to Washington Village, will be redesigned to be more responsive to community needs.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Residential rehabilitation	2015	2019	Affordable Housing	South Norwalk Citywide	Increase high quality and affordable housing	CDBG: $208,000	Rental units rehabilitated: 28 Household Housing Unit Homeowner Housing Rehabilitated: 4 Household Housing Unit
2	Economic development: technical assistance	2015	2019	Non-Housing Community Development	Wall Street South Norwalk Citywide	Expand economic opportunities	CDBG: $28,215	Businesses assisted: 4 Businesses Assisted
3	Public facility rehabilitation	2015	2019	Non-Housing Community Development	Wall Street South Norwalk Citywide	Modernize public facilities and infrastructure	CDBG: $265,472	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 6581 Persons Assisted Homeless Person Overnight Shelter: 3437 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Improve public infrastructure: modern & safe	2015	2019	Non-Housing Community Development	Wall Street South Norwalk Citywide	Modernize public facilities and infrastructure	CDBG: $16,930	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 9843 Persons Assisted
5	Community cleanups	2015	2019	Non-Housing Community Development	South Norwalk	Modernize public facilities and infrastructure	CDBG: $20,790	Brownfield acres remediated: 8 Acre
6	Basic services	2015	2019	Non-Homeless Special Needs	Wall Street South Norwalk Citywide	Increase in available social services	CDBG: $49,684	Public service activities other than Low/Moderate Income Housing Benefit: 220 Persons Assisted
7	Health & mental health services	2015	2019	Homeless Non-Homeless Special Needs	Wall Street South Norwalk Citywide	Increase in available social services	CDBG: $49,548	Public service activities other than Low/Moderate Income Housing Benefit: 314 Persons Assisted
8	Housing services	2015	2019	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	Citywide	Increase in available social services	CDBG: $35,000	Homelessness Prevention: 228 Persons Assisted

Table 6 – Goals Summary

Goal Descriptions

1	**Goal Name**	Residential rehabilitation
	Goal Description	The residential rehab goal is inclusive of single- and multi-unit residential rehab CDBG matrix codes 14A and 14B, respectively; public housing modernization CDBG matrix code 14C; acquisition CDBG matrix code 14G; and housing rehab administration CDBG matrix code 14H.
2	**Goal Name**	Economic development: technical assistance
	Goal Description	The City will award a competitive grant to an organization in Norwalk that will provide economic development technical assistance to businesses in the area; the CDBG matrix code 18B.
3	**Goal Name**	Public facility rehabilitation
	Goal Description	The public facility rehab goal is inclusive of senior centers CDBG matrix code 03A; handicapped centers CDBG matrix code 03B; homeless facilities CDBG matrix code 03C; youth centers CDBG matrix code 03D; neighborhood facilities CDBG matrix code 03E; parks and recreational facilities CDBG matrix code 03F; fire stations/equipment CDBG matrix code 03O; and facilities for AIDS patients CDBG matrix code 03S.
4	**Goal Name**	Improve public infrastructure: modern & safe
	Goal Description	The public infrastructure modernization goal is inclusive of: street improvements, CDBG matrix code 03K; sidewalks, CDBG matrix code 03L.
5	**Goal Name**	Community cleanups
	Goal Description	The community cleanups goal is inclusive of: cleanup of contaminated sites and neighborhood cleanups, CDBG matrix codes 04A and 05V, respectively.
6	**Goal Name**	Basic services
	Goal Description	Basic services are inclusive of: senior services CDBG matrix code 05A; youth services CDBG matrix code 05D; and childcare services CDBG matrix code 05L.
7	**Goal Name**	Health & mental health services
	Goal Description	Health and mental health services are inclusive of substance abuse services CDBG matrix code 05F; services for battered and abused spouses CDBG matrix code 05G; services for abused and neglected children CDBG matrix code 05N; and mental health services CDBG matrix code 05O.
8	**Goal Name**	Housing services
	Goal Description	Housing services are inclusive of: operating costs of homeless and HIV/AIDS patients programs CDBG matrix code 03T; fair housing activities CDBG matrix code 05J; subsistence payments CDBG matrix code 05Q; security deposits CDBG matrix code 05T; and housing counseling CDBG matrix code 05U.

Table 7 – Goal Descriptions

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):

Affordable housing will be provided by 5 organizations to an estimated 228 people through housing services, 4 homeowner occupied housing units through residential rehabilitation and 28 rental housing units through residential rehabilitation. All beneficiaries are expected to be of extremely low, low or moderate-income.

AP-35 Projects – 91.220(d)

Introduction

The projects funded in the 2016 Annual Action Plan meet the needs of low- and moderate-income individuals and households. Public service projects comprise 15% of Norwalk CDBG grant and are designed to serve low- and moderate-income individuals and families, including seniors, youth, children, persons with disabilities, victims of domestic abuse and the LGBTQ community.

Public facility activities will address building and site improvements to a community center, a senior center, a homeless shelter and a day-center for adults with developmental disabilities.

Housing activities include a home revitalization project which will repair 2 owner-occupied homes and an energy efficiency initiative at a senior living facility which offers 26 rental units.

Planning and Administration activities will be carried out by the Norwalk Redevelopment Agency which will coordinate the development of a master plan for a public park in the Wall Street district and the administration of the City's CDBG program.

The City Neighborhoods program includes the continued implementation of the South Norwalk Renovation program through renovation of 4 housing units (2 unoccupied small-multifamily homes, 1 of which is within the CNI area); provision of technical assistance to 4 businesses; modernization of 1 park; street improvements via the development of a wayfinding system; and the assessment of 8.75 acres of potentially contaminated land.

All projects are defined in accordance with HUD's uncapped income limits. Please see the appendix of this document for a detailed list of the PY42 projects and their corresponding activities.

#	Project Name
1	PY42 - Public Services
2	PY42 - Public Facilities
3	PY42 - Housing
4	PY42 - Planning and Administration
5	PY42 - City Neighborhoods

Table 8 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The activities which support Norwalk's projects, including public service, housing, public facility and public infrastructure activities, are selected through a competitive process. All of the CDBG projects and activities funded in 2016 were chosen because they address the high priority needs identified by the Cit through the needs assessment. PY42 activities and funding amounts are detailed in the appendix.
The greatest obstacle to meeting underserved needs is not enough funds to cover the costs associated with the submitted applications. In PY42, 22 applications were submitted, requesting a total of $1,516,915 or 170% of the funds avialble. Projects selected for CDBG funding were prioritized, in part, on the project applicants' ability to maximize the utilization of non-CDBG funds and services to serve the greatest number of beneficiaries. Following deliberation, six applications were fully funded, thirteen

were partially funded and three applications were not funded. The three unfunded applications proposed assisting 310 housing units and providing an area benefit to 9,661 people through the development of a masterplan for an urban core park.

Projects

AP-38 Projects Summary

Project Summary Information

Table 9 – Project Summary

1	**Project Name**	PY42 - Public Services
	Target Area	Citywide
	Goals Supported	Basic services Health & mental health services Housing services
	Needs Addressed	Increase in available social services
	Funding	CDBG: $134,232
	Description	Public service projects comprise 15% of Norwalk CDBG grant and are designed to serve low- and moderate-income individuals and families, including seniors, youth, children, persons with disabilities, victims of domestic abuse and the LGBTQ community.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	762 LMI people are expected to benefit from the Public Service activities
	Location Description	Projects are available to persons from all census tracts, but are focused on those which are LMI.

	Planned Activities	• Breakthrough Options for Families - College Edge Program: The College Edge Program is a series of readiness workshops, SAT test preparation lessons, college tours, and private coaching sessions designed to guide students to and through the college application process. $14,000 • Child Guidance Center Parenting Skills Group: Child Guidance Center of Mid-Fairfield County will provide clients access to socio-emotional skills groups, including, the Latino Parenting Group and the Outdoor Adventure Group. $10,000 • ChoZen Few Entertainment - LIFT Program - Peer Pressure:Through hands-on training, the LIFT Program will produce a documentary and PSA on "peer Pressure" with a focus on drugs and bullying. $15,000 • Community Health Center - Dental Care for Seniors: Community Health Center, Inc. (CHCI) will provide comprehensive dental services to Norwalk patients over 60 years of age who would otherwise not be able to afford dental care. $10,000 • Domestic Violence Crisis Center - SustainAbilityCT (iACT): SustainAbilityCT (iACT) program will serve SafeHouse and Outreach clients and focus on economic solutions that drive employment, education, and housing. $14,548 • Family & Children's Agency - Project REWARD: Project REWARD will provide outpatient substance abuse treatment for women to include Seeking Safety, a treatment for women who have experienced trauma and are at high risk of re-victimization. $10,000 • Liberation Programs - Case Management Services: Liberation Programs' case management services will support the residents of Gini's House, a permanent supportive housing complex for families. $15,000 • Person to Person - Emergency Assistance: Person-to-Person's Emergency Assistance Program assists low-income clients through their situational crises by providing them with subsistence payments. $20,000 • Senior Services Coordinating Council - Financial & Physical Safety: Senior Services Coordinating Council will offer home inspections to prevent unnecessary falls, injuries, fires and accidents; and teach seniors to avoid fraud, theft, scams and financial abuse. $10,684 • Triangle Community Center - Case Management: Triangle Community Center's health and mental health case management services are available to Norwalk's LGBTQ community. $15,000
2	**Project Name**	PY42 - Public Facilities
	Target Area	Wall Street South Norwalk Citywide
	Goals Supported	Public facility rehabilitation

	Needs Addressed	Modernize public facilities and infrastructure
	Funding	CDBG: $237,257
	Description	Public facility activities will address building and site improvements to a community center, a senior center, a homeless shelter and a day-center for adults with developmental disabilities.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	6,581 LMI families will be served through public facility projects in PY42
	Location Description	Facility projects will occur in the following census tracts: 425, 426, 437 (LMI), 438 (LMI) and 441 (LMI)
	Planned Activities	• Carver Foundation - HVAC Replacement: The Carver Foundation will replace the first floor HVAC and duct system at the Carver Center in order to make the CEnter healthier and more energy efficient. $50,000 • ElderHouse - Automatic Restroom Doors: ElderHouse will purchase and install two "automatic swing door systems" for its client restrooms in order to improve access to the restrooms for seniors with disabilities, limited uppoer body strength and partial paralysis. $2,250 • Norwalk Senior Center - The restrooms at the Senior Center require renovations including the replacement of toilets, partitions, doors, grab bars, mirrors, dispensers and tile flooring as well as updating electrical lights and outlets. $45,007 • Open Door Shelter - Elevator, HVAC and Fire Suppression for SoNo Life Center: The Open Door Shelter will perform asbestos and lead-based paint abatement, as well as install clean utility corridors, geo venting and vapor barriers to remediate contaminants at 2 Merritt Place. $100,000 • STAR - Teaching Greenhouse: STAR will install a handicapped accessible teaching greenhouse for adults with developmental disabilities to promote occupational/physical therapy and healthy eating. $40,000
3	**Project Name**	PY42 - Housing
	Target Area	South Norwalk Citywide
	Goals Supported	Residential rehabilitation
	Needs Addressed	Increase high quality and affordable housing
	Funding	CDBG: $20,000
	Description	Housing activities include a home revitalization project which will repair 2 owner-occupied homes and an energy efficiency initiative at a senior living facility which offers 26 rental units.
	Target Date	6/30/2017

	Estimate the number and type of families that will benefit from the proposed activities	2 LMI homeowner households and 26 LMI renter households
	Location Description	One project will occur in the 446 census tract, while the other will occur in up to two of the following LMI tracts: 432, 433, 434, 437, 438, 440, 441.
	Planned Activities	• HomeFront - Home Revitalization: HomeFront will perform critical home repairs and improve handicap accessibility for two very low income homeowners in Norwalk. $10,000 • Rowayton Senior Housing - Hill Top Homes Windows: Rowayton Senior Housing will install energy efficient windows in its residences in order to benefit the low-income seniors living at Hill Top Homes. $10,000
4	**Project Name**	PY42 - Planning and Administration
	Target Area	Wall Street Citywide
	Goals Supported	Residential rehabilitation Economic development: technical assistance Public facility rehabilitation Improve public infrastructure: modern & safe Community cleanups Basic services Health & mental health services Housing services
	Needs Addressed	Increase high quality and affordable housing Expand economic opportunities Modernize public facilities and infrastructure Increase in available social services
	Funding	CDBG: $221,241
	Description	Planning and Administration activities will be carried out by one subrecipient which will coordinate the development of a master plan for a public park in the Wall Street district and the administration of the City's CDBG program.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	36,236 households
	Location Description	Administration of CDBG activities will take place city-wide. Public park planning efforts will be concentrated in the Wall Street district.
	Planned Activities	• Norwalk Redevelopment Agency - CDBG Planning & Administration: The Agency will coordinate the development of amaster plan for a public park in the Wall Street district and administer the City's CDBG program for the 2016-2017 fiscal year. $221,241
5	**Project Name**	PY42 - City Neighborhoods

Target Area	Wall Street South Norwalk
Goals Supported	Residential rehabilitation Economic development: technical assistance Public facility rehabilitation Improve public infrastructure: modern & safe Community cleanups
Needs Addressed	Increase high quality and affordable housing Expand economic opportunities Modernize public facilities and infrastructure
Funding	CDBG: $282,150
Description	The City Neighborhoods program includes the continued implementation of the South Norwalk Renovation program through renovation of 4 housing units (2 unoccupied small-multifamily homes, 1 of which is within the CNI area); provision of technical assistance to 4 businesses; modernization of 1 park; street improvements via the development of a wayfinding system; and the assessment of 8.75 acres of potentially contaminated land.
Target Date	6/30/2017
Estimate the number and type of families that will benefit from the proposed activities	2 owner occupied households, 2 rental households, 4 businesses, 8 acres of brownfields and 13,280 people
Location Description	These activities will occur in census tracts 0434, 0437 and 0441
Planned Activities	• Norwalk Redevelopment Agency - City Neighborhoods: The Agency will continue the SoNo Renovation program, provide technical assistance to businesses, modernize a City park, implement street improvements, and assess potentially contaminated land. - $282,150

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City will allocate CDBG funds to assist low-income neighborhoods and individuals throughout the City. Within the City there are two geographic areas of critical need, which are the focus of extensive ongoing planning and redevelopment efforts. These areas are Wall Street Redevelopment Area and South Norwalk.

Per HUD's definition and since June 2004, the Wall Street Redevelopment Area of Norwalk has been determined to be "deteriorated, substandard or detrimental to the safety, health, morals or welfare of the City". Wall Street is the northern anchor of Norwalk's urban corridor. Approximately 94% of residents in the Wall Street Redevelopment Area are low/moderate-income.

South Norwalk is the southern anchor of Norwalk's urban corridor. Within the South Norwalk neighborhood is HUD's "Choice Neighborhood," bounded to the north by Washington Street, the east by Water Street, the south by Concord Street and the west by Dr. Martin Luther King, Jr. Drive. South Norwalk, specifically, the Choice Neighborhood, was severely damaged by flooding caused by Superstorm Sandy, resulting in the need to replace the Norwalk Housing Authority's (NHA) Washington Village complex. The NHA and the Agency were awarded a $30 million HUD Choice Neighborhood Implementation grant to build a new development replacing the Washington Village units, adding mixed-income and market rate units to the project and other community improvements.

Geographic Distribution

Target Area	Percentage of Funds
Wall Street	6
South Norwalk	40
Citywide	57

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Wall Street and the Choice Neighborhood have experienced an extended period of social and financial divestment resulting in abandoned and poorly maintained properties. These conditions have cultivated the perception that the area is distressed and have detracted from residents' experiences. There are valuable assets and new projects in South Norwalk and Wall Street and continued and significant community and financial resources are required in order to fully capitalize on the investments being made in these areas.

The 6% of HUD entitlement funds distributed to the Wall Street area will be leveraged by a HUD approved $1.6 million Section 108 loan for the redevelopment of the Wall Street Theater.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

Increasing the number of high quality and affordable housing is a high priority in the City of Norwalk. Sufficient amounts of affordable housing in a community can have multiple positive impacts on lower-income households, including housing choice and the opportunity to save money and build financial security. HUD's 2007-2011 Comprehensive Housing Affordability Strategy (CHAS) data show housing need is most prevalent among the extremely low-income group (at or below 30% AMI), which is also the group most at risk of losing their housing because of cost burden. The age of the housing stock in Norwalk has implications for the need for housing rehabilitation and lead abatement. Housing needs and qualities are analyzed in discussed in more detail in the Needs Assessment and Market Analysis sections of the Consolidated Plan. Housing activities include a home revitalization project which will repair 2 owner-occupied homes and an energy efficiency initiative at a senior living facility which offers 26 rental units. The City Neighborhoods project will renovate an additional 2 homeowner units and 2 units of rental housing.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	32
Special-Needs	0
Total	32

Table 11 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	32
Acquisition of Existing Units	0
Total	32

Table 12 - One Year Goals for Affordable Housing by Support Type

AP-60 Public Housing – 91.220(h)

Introduction

The Norwalk Housing Authority (NHA), the principal provider of assisted housing in the City has 1,077 public housing units and 715 housing choice vouchers. The NHA forms strategic partnerships with the City and nonprofits to serve its residents.

Actions planned during the next year to address the needs to public housing

With City of Norwalk Capital Budget funding, the NHA plans on addressing the following in its developments (with the exception of Washington Village): health and safety issues and meeting ADA requirements; heating systems; other needs, with priorities to older properties.

The NHA, partnering with the Norwalk Redevelopment Agency, was awarded a Choice Neighborhood Implementation grant in 2014 for South Norwalk. The Choice Neighborhood Initiative (CNI) project represents the largest capital improvement for the NHA in 2016. The development will replace 136 units of housing at Washington Village (an NHA family development) with 273 units of mixed income housing. When finished, the development will include a Community Center and a resource center.

According to the Norwalk Housing Authority's (NHA) FY2016 Annual Plan, the NHA intends to explore all opportunities including voluntary conversion, demolition and disposition, financing and funding sources for the improvement and stabilization of Roodner Court, Colonial Village and Leroy Downs, including RAD (Rental Assistance Demonstration).

The NHA and Vesta Inc., acting through their respective limited liability corporations, formed a joint venture to purchase and rehabilitate Cendar Court at 92 Cedar Street which has 92 senior apartments to maintain and increase long-term housing affordability. This will also support the NHA's FY16 Annual Plan Goal #3: Increase Assisted Housing Choices.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The NHA has a resident advisory board (RAB) with membership of 18 to 22 people. On occasion, a member resigns or leaves the NHA and a volunteer member is recruited to fill the vacancy. The RAB meets at least quarterly and is briefed by the NHA staff on changes to policy and improvements to the housing developments. In addition to the RAB, the NHA Board of Directors has a resident representative, and each development has a resident group that meets three to four times a year or as needed. Each of these groups participated in the formulation of the Five Year Plan for the NHA which was completed in 2014.

Goal #4 of the NHA's FY16 Annual Plan is to "Promote Self-Sufficiency of NHA Customers". The NHA works to encourage self-sufficiency, reduce dependency and help break the cycle of poverty. The NHA has over 100 participants in the targeted Family Self-Sufficiency program. This program serves a range of households with programs ranging from managing finances to establishing careers and improving employment.

For the CNI, the NHA and its consultants will reach out continuously to Washington Village residents to keep them engaged in the development of the replacement units. The NHA will implement a general "people plan" that includes a broad network of community partners. The total CNI funded commitment for the "people plan" is over $4 million, leveraging over $18 million. For instance, the Norwalk Community College and Workforce Inc. will provide educational and workforce training opportunities to Washington Village residents that could lead to an income level that would support homeownership. Supported by the CNI, the NHA will help Washington Village residents become economically self-sufficient and more able to meet their personal wellness goals. Key partners include Norwalk Community College, Career Resources, Inc., Norwalk Community Health Center, Day Street Health Center and Norwalk Hospital.

Other goals of the NHA's FY16 Annual Plan are to "Promote Education of NHA Participants" and "Promote Fair Housing and Equal Opportunity." In fulfilling these goals, the NHA encourages residents to become more involved in management and participatory in homeownership.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The PHA is not designated as troubled.

Discussion

The Norwalk Housing Authority (NHA) continually evaluates the needs of residents and institutes program initiatives to meet those needs. Those efforts include programs often found at housing agencies, like the Family Self-Sufficiency program. In addition, the NHA reaches out with programs not normally found at housing authorities.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The City of Norwalk is not a direct recipient of Emergency Solutions Grant (ESG) or Housing Opportunities for Persons with AIDS (HOPWA) funds. The City's Director of Fair Rent/Human Relations is the co-chair of the Opening Doors of Fairfield County Continuum of Care (CoC). The Agency works closely with social service providers in the City. The City has awarded CDBG grants and loans in support of efforts to prevent and end homelessness and meet the needs of non-homeless people with special needs. Through the CDBG goals for basic, health and mental health and housing services and employment training the City will support homeless housing and service providers to prevent and end homelessness. The Agency will continue to support social service providers in the City, financially and as a collaborative partner, in the coming year.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The members of the CoC will continue working together to reach out to homeless persons and assess their needs. Homeless and special needs housing and service providers, the Norwalk Police Department and local businesses have joined the SoNo Alliance and are connecting unsheltered homeless people with services through information and transportation. To ensure housing first, United Way's 2-1-1 call center and homeless housing and service providers will continue to conduct initial assessments with people in need followed by the Vulnerability Index and Service Prioritization Decision Assistance Tool (VI-SPDAT) assessment when people are housed.

Addressing the emergency shelter and transitional housing needs of homeless persons

Open Door Shelter, Malta House and 40 South Main, the emergency shelter and transitional housing providers in Norwalk, respectively, will continue to provide comprehensive services to their clients. It is the CoC's policy that no funded program (CoC or ESG) contracted to serve families may deny admission to any family with a child under 18 or to any member of the family.

The Domestic Violence Crisis Center will continue operating its Norwalk SafeHouse emergency shelter for survivors of domestic violence.

In PY42, the Open Door Shelter will utilize CDBG funds to assist with transforming a blighted former factory building into a housing, medical and job training facility for homeless persons. This project will increase the number of supportive housing units from 27 to 43 as well as add health care services and expand their job training program.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming

homeless again

Homeless housing and service providers in norwalk will continue to help homeless persons make the transition into permanent housing and independent living by implementing the strategies established by the CoC. These strategies will continue to include diversion when possible; prioritizing placement of households into permanent housing by length of time homeless; working with partners such as the Agency and the Norwalk Housing Authority to facilitate access to affordable housing and preventing relapses into homelessness by connecting clients to the appropriate service and following up with clients on a regular basis until it is determined that support services are no longer required.
The Norwalk Housing Authority has designated a number of Section 8 Housing Choice Vouchers to homeless individuals and families.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Homeless housing and service providers in Norwalk will continue to be partners by participating in planning and coordinating activities through the CoC.

The City uses CDBG monies to support anti-poverty programs which help get households on the road toward financial security in order to avoid homelessness. The Agency will provide technical assistance to area businesses and employment training programs which will work in concert to strengthen and grow the job market and develop a qualified workforce. The Agency will continue the South Norwalk Renovation Program in order to increase the number of affordable housing units on the market.

Discussion

One year goals for the number of households to be provided housing through the use of HOPWA for:
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family
Tenant-based rental assistance
Units provided in housing facilities (transitional or permanent) that are being developed, leased, or operated
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds
Total

AP-75 Barriers to affordable housing – 91.220(j)

Introduction

While it does not involve a public policy, the greatest barrier to affordable housing in Norwalk is the general market condition and the cost of housing. Norwalk uses all possible sources of funding and leverage to create and preserve affordable housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The Choice Neighborhood Initiative (CNI) is an example of the City's work to overcome expectations for a return on private investment for housing, and the general condition of the housing market. By combining resources, including the CNI grant of $30 million, housing tax credits and other resources, the City and the Norwalk Housing Authority can offer an attractive investment for housing development. The CNI will feature a mixed income housing development of 273 units, including 136 replacement units for Washington Village, which will be owned and managed by Trinity Financial.

Land use and building regulations in Norwalk have overcome impediments to affordable housing allowing and promoting such innovations as accessory apartments. For the "inclusionary zoning" benefits for affordable housing, the development has to be located in the City's urban core. The City will examine the extension of the requirement to other areas in the City.

The City will consider changes to its Housing Code (Chapter #59-9) which defines rooming houses as three unrelated individuals living together and includes extensive requirements for certifying/permitting a rooming house. Agencies assisting people with disabilities contract with owners of residential buildings to house people with disabilities; however, the requirements for obtaining a rooming house permit create an impediment to housing people with disabilities.

AP-85 Other Actions – 91.220(k)

Actions planned to address obstacles to meeting underserved needs

The City of Norwalk will continue to work to meet the needs of the community, including continued implementation of its Language Access Plan.

Actions planned to foster and maintain affordable housing

Through a mix of funds, including a HUD Choice Neighborhood Implementation grant and State and private resources, an increasing number of new affordable rental and ownership units will be made available over the next year and in the following three years for extremely low-, low- and moderate-income households.

The City will continue implementing its inclusionary zoning ordinance which requires new, converted or renovated housing developments in the Urban Core to make 10% of their housing units affordable to persons of very-low, low and moderate income once 20 or more units have been built. Additionally, the City will consider expanding the geographic scope of this ordinance beyond the Urban Core.

Norwalk Redevelopment Agency's (Agency) South Norwalk Renovation Program will acquire and rehabilitate unoccupied multi-family residential properties (two-four family) within a half-mile of the South Norwalk Train Station. The rehabilitated homes will be sold to owner-occupants earning up to 80% of the Stamford-Norwalk Area Median Income. Rental units will be restricted to households earning up to 50% of the AMI. Deed restrictions will be in place for 20 years. Counseling, landlord training and down payment assistance will be administered in partnership with the Housing Development Fund.

Actions planned to reduce lead-based paint hazards

Norwalk Housing Authority (NHA) will address lead based paint (LBP) hazards and increase access to housing without LBP hazards through the replacement of all 136 units of Washington Village apartments. When Washington Village was built in the late 1930s, lead paint was still in use.
The Agency will continue rehabilitating units in the South Norwalk area. When these affordable housing units are finished, they will be free of LBP hazards. All housing developments supported by the Agency, in South Norwalk or elsewhere in the City, will be without LBP hazards.

Actions planned to reduce the number of poverty-level families

The Choice Neighborhood Initiative (CNI) will provide intensive case management to connect residents of the Norwalk Housing Authority (NHA) with services and programs that will help achieve their goals. Key partners include Norwalk Community College, Career Resources, Inc., Norwalk Community Health Center, Day Street Health Center and Norwalk Hospital. The plan also includes educational improvements and reforms. The NHA's scholarship program assists NHA residents to continue their education after high school.

The Agency will provide technical assistance to area businesses and employment-training programs, which together will strengthen and grow the job market by developing a qualified workforce.
The Economic and Community Development loan fund will provide capital to projects with positive economic and community development benefits within the City of Norwalk's Urban Renewal Areas, expanding job opportunities.

Actions planned to develop institutional structure

Opening Doors of Fairfield Counting Continuum of Care (CoC) provides structure to the organizations which comprise the homeless institutional delivery system. The merger of the Norwalk/Fairfield CoC, Stamford/Greenwich CoC and the Bridgeport CoC into Opening Doors of Fairfield County, greatly expands the CoC's capacity.

Actions planned to enhance coordination between public and private housing and social service agencies

The Choice Neighborhood Initiative will significantly enhance coordination between public and private housing as the development will include replacement public housing units, workforce housing units and market rate units mixed together will all units designed to the same standards, regardless of the resident's income level.

The CoC will continue to be the main organization by which social service agencies coordinate and collaborate.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Community Development Block Grant Program (CDBG)
Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	$40,378
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	**$40,378**

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	70.00%

CDBG PY42 Public Comment

Public Comment Period:
February 25 – March 24, 2016

Public Hearing:
March 3, 2016

Hour Classifieds 203.354.1100

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LEGAL NOTICE –
PY42 ANNUAL ACTION PLAN COMMENT PERIOD & PUBLIC HEARING

As the administering agent for the City of Norwalk's Community Development Block Grant (CDBG) Program, the Norwalk Redevelopment Agency (Agency) is crafting the Annual Action Plan (AAP) for Program Year 42 (PY42) which runs July 1, 2016 – June 30, 2017.

The draft AAP outlines the allocation of an anticipated $823,657 in CDBG funds for PY42 activities, based on funding recommendations made by the Planning Committee of the Norwalk Common Council. All activities funded in the draft AAP are directly associated with priority needs and goals identified in the 2015-2019 Consolidated Plan for Housing and Community Development.

A 30-day public comment period on the draft PY42 AAP begins at 12:00PM on Thursday, February 25 and ends at 11:59PM on Thursday, March 24, 2016.

The draft PY42 AAP is available for public review at: the Norwalk Redevelopment Agency in City Hall, 125 East Avenue, room 202; the Norwalk Public Library at 1 Belden Avenue; the South Norwalk Branch Library at 10 Washington Street; the Norwalk Housing Authority's administrative offices at 24 ½ Monroe Street; and the South Norwalk Community Center at 98 South Main Street. The draft document is also available at the Redevelopment Agency's CDBG page on the City of Norwalk's website: http://norwalkct.org/index.aspx?nid=1412.

Public comment must be received by the Agency no later than 11:59PM on Thursday, March 24, 2016 and may be mailed, delivered or emailed to Tami Strauss, Director of Community Development Planning (tstrauss@norwalkct.org or Norwalk Redevelopment Agency City Hall, Room 202, 125 East Avenue, Norwalk, CT 06851).

A public hearing to receive comments on the draft PY42 AAP will be held on Thursday, March 3, 2016 at 7:00PM in Room 231 of Norwalk City Hall, 125 East Avenue. This is an accessible room. Oral language assistance for the hearing may be obtained by calling (203) 854-7810 ext 46783 or by visiting the Redevelopment Agency office (City Hall, room 202) at least 48 hours prior to the hearing.

Members of the public are invited to attend and are encouraged to speak. Persons unable to attend may submit written comments for the record no later than 12:00PM on Thursday, March 3, 2016 to Tami Strauss at the above address.

Following the close of the comment period and recommendation by the Planning Committee, the document, including public comments, will be forwarded to the Common Council. Upon Council approval, the PY42 AAP will be sent to the U.S. Department of Housing and Urban Development and upon approval (anticipated in June 2016), will serve as a binding document.

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LEGAL NOTICES | LEGAL NOTICES

BRIDGEPORT DIOCESAN HEALTH CARE CORPORATION

NOTICE OF DISSOLUTION

Notice is hereby given pursuant to Section 33-1178 of the Connecticut Revised Nonstock Corporation Act (the "Act"), that the Bridgeport Diocesan Health Care Corporation, a Connecticut nonstock corpora-

LEGAL NOTICE
Notice is hereby given that the Inland Wetland Agency will hold a public hearing on Tuesday, February 23, 2016, beginning at 7:00 PM in Room 231, 2nd floor, Norwalk City Hall, 125 East Ave., Norwalk, CT. The Agency will hear comments on the following Inland Wetland Permit Application: APPLICATION: #2016-425 PROPERTY: 467 Connecticut Avenue, Norwalk, CT Fifth

LEGAL NOTICE
The Norwalk Zoning Commission will hold a Public Hearing on Wednesday, February 17, 2016 at 7:00 p.m. in the Council Chambers, Third Floor, City Hall, 125 East Avenue, Norwalk, CT on the following applications:
PROPOSED CHANGES TO THE BUILDING ZONE MAP
#3-15M – Highpointe Holding LLC – 37, 41, 42, 44, 45 and 48 High Street and 8 North Avenue - Proposed zone change from entirely Neighborhood Business (NB) to Central Business Design District (CBDD) Subarea A (including portions of High Street and North Avenue right-of-way) as shown upon a certain document entitled "#3-15M – Highpointe Holding, LLC – 37, 41, 42, 44, 45 & 48 High St/8 North Av - Proposed zone change from Neighborhood Business to Central Business Design District (7 lots)" and dated September 17, 2015. The proposed area of change affects property located in the First Taxing District, Block 66, Lots 6, 34, 35 and 36 and Block 57, Lots 7, 8 and 9 including portions of North Avenue and High Street ROW, totalling ±2.9 acres, all of which are now zoned Neighborhood Business and proposed for change to Central Business Design District Subarea A in its entirety. Said area of change affects property on or in the vicinity of the following streets: High Street, North Avenue (U. S. Route 1) and Main Street. A large scale map of this area proposed for change is available for review at the Planning & Zoning office.
PROPOSED AMENDMENTS TO THE BUILDING ZONE REGULATIONS
#11-15R - Highpointe Holding, LLC – Proposed amendments to Central Business Design District (CBDD) regulations to add wider sidewalks as new amenity throughout CBDD and to increase FAR and increase residential density in CBDD Subarea A as shown on a certain document entitled "#11-15R - Highpointe Holding, LLC - Proposed amendments to Section 118-504 Central Business Design District (CBDD) to revise amenity provisions to allow wider sidewalk bonuses throughout the CBDD and to revise schedule for Subarea A to increase base floor area ratio from 2.0 to 2.25 and to increase residential density from one dwelling unit per 800 sf of lot area to one dwelling unit per 500 sf of lot area" and dated October 14, 2015. The zone change together with the proposed amendments will result in a significant increase in the development potential of the properties affected: including an increase in the permitted FAR from .7 to 2.25; an increase in permitted building height from 2 ½ stories and 35 feet to 6 stories and 72 feet; and an increase in residential density from 1 unit per 1,650 square feet to 1 unit per 500 square feet if both the zoning amendment and zoning map change are approved. The proposed changes would permit construction of larger scale commercial developments than are presently allowed.
SITE PLAN REVIEWS AND COASTAL SITE PLAN REVIEWS
#7-15SPR/#26-15CAM - Highpointe Holding, LLC – 74-76, 78, 80, 82-84, 86 and 88 Main Street; 6 and 8 North Avenue; 37, 41 and 45 High Street - Highpointe West 8 story 284,130 square foot mixed use development with 212 units (22 workforce housing units and 190 market-rate units), 12,730 sf retail and 304 space parking facility with wider sidewalks and through block arcade amenities (District 1, Block 66, Lots 1, 2, 3, 4, 5, 6, 34, 35, 36, 37 and 48)
#8-15SPR - Highpointe Holding, LLC – 42, 44 and 48 High Street - Highpointe East 6 story, 91,174 square foot mixed use development with 66 units (7 workforce housing units and 59 market-rate units), 4,320 sf retail and 94 space parking facility with wider sidewalk amenities (District 1, Block 67, Lots 7, 8 and 9)
At this hearing interested persons may appear and be heard and written communications submitted. A complete copy of the proposed changes to the Building Zone Map and proposed amendments to the Building Zone Regulations is available for review at the Planning & Zoning office, 125 East Avenue Norwalk, CT. A copy of the proposed changes to the Building Zone Map and the proposed amendments to the Building Zone Regulations is also posted at the Town Clerk's office at the same address.
DATED THIS FOURTH DAY OF FEBRUARY, 2016.
ADAM BLANK, CHAIR LINDA E. KRUK, SECRETARY

LEGAL NOTICES | GENERAL

LEGAL NOTICES

The Norwalk Zoning Commission ... on Wednesday, F... Chambers, Third ... on the following ... SPECIAL PERMIT ... #16-15SP/#23-15 ... South Block – 17 ... traveling alley wi... mixed use deve... Block 11, Lots 6 ... SITE PLAN REVIE... #0-14SPR/#9-14 ... Street/3 Quincy ... plans for a 3 st... ment to increas... development wi... sf restaurant, 4, ... 15SP) and 80 rac... nities to permit ... bonus height a... #9-14SPR/#10-1... At this hearing ... and written com... Plans are on file ... East Avenue, No... DATED THIS FOL... ADAM BLANK, C...

Services

COMPUTER

HOME/SMALL ... Setup/training, ... ing, virus prob... backup, netwo... Call Tom, (2...

FIREWOOD

ALL AM... FIREW... $250 ... Season ... Free Deliver... Snow Plow... 203-...

BELLA F... $225 ... FREE ... 203-...

Albert ... Best Wo... Prop... Seasonal ... 203-...

GENERAL

LICENSE... Easy Opini...

THURSDAY, FEBRUARY 25, 2016 | D4

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A public hearing to receive comments on the draft PY42 AAP will be held on Thursday, March 3, 2016 at 6:30PM in Room 231 of Norwalk City Hall, 125 East Avenue. This is an accessible room. Oral language assistance for the hearing may be obtained by calling (203) 854-7810 ext 46789 or by visiting the Redevelopment Agency office (City Hall, room 202) at least 48 hours prior to the hearing.

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LEGAL NOTICES

LEGAL NOTICE

At a meeting of the Norwalk Zoning Commission held on Wednesday, February 17, 2016 the following action was taken:

APPROVED THE FOLLOWING AMENDMENTS TO THE BUILDING ZONE REGULATIONS, EFFECTIVE FEBRUARY 26, 2016:
#14-15R - A.J. Penna & Son - Amendments to add contractors storage yards as a Special permit use in Business #2 [illegible] document entitled "#14-15R - A.J. Penna & Son - Proposed amendment to add contractors storage yards as a Special permit use on parcels of 2 acres or more that abut a limited access highway in Business #2 zones" and dated November 12, 2015. The adopted amendments will add contractor's storage yard as a new use in the Business #2 zone limited to parcels that are a minimum of 2 acres in size; that abut a limited access highway and that do not abut a multifamily development.

APPROVED THE FOLLOWING APPLICATION WITH CONDITIONS, EFFECTIVE FEBRUARY 26, 2016:
#15-15SP – A. J. Penna ar…

From: Arton, Hunter
To: rrferrone@sbcglobal.net
Subject: RE:
Date: Tuesday, March 22, 2016 12:12:36 PM

Dear Mr and Mrs. Ferrone,

Thank you for submitting your letter and information for the record. It is up to the Planning Committee and ultimately, the Common Council, to determine which projects receive PY42 funding.

Your message was provided to the Planning Committee prior to their meeting on March 3, 2016. We will also make sure your message is included in the information received by the Planning Committee prior to their April 7, 2016 meeting. It will also be included in the documents submitted to the Common Council for their May 10, 2016 meeting and to HUD on May 15, 2016.

If funding recommendations are changed, we will be sure to alert the Norwalk Senior Center the morning following the evening meeting.

Take care,
Hunter

Hunter Arton
(203) 854-7810 ext 46783
Norwalk Redevelopment Agency
125 East Avenue, room 202
Norwalk, CT 06851
Fax: (203) 854-7734
City website: http://norwalkct.org/index.aspx?nid=652
Agency website: http://www.norwalkredevelopmentagency.com/

We hope you enjoy our most recent Urban Highlights.

From: rrferrone@sbcglobal.net [mailto:rrferrone@sbcglobal.net]
Sent: Thursday, March 03, 2016 10:15 AM
To: Strauss, Tami
Subject:

Sent from Windows Mail

Have you ever tried to use the restrooms at the Norwalk Senior Center? The stalls are so narrow it is almost impossible in a winter coat, pocketbook over your shoulder and a cane, plus you have to "hover" because the toilets are so low to the ground. At our ages you can't find someone who doesn't have back pain, knee pain, arthritis or worse! It is a real pain in the "butt". Something should be done. Please give restroom renovations a consideration. Bob and Ruth Ferrone

From:	Arton, Hunter
To:	cbesearles75@optonline.net
Subject:	RE: Community Block Grant
Date	Tuesday, March 22, 2016 12:12:04 PM

Dear Ms. Searles,

Thank you for submitting your letter and information for the record. It is up to the Planning Committee and ultimately, the Common Council, to determine which projects receive PY42 funding.

We will make sure your message is included in the information received by the Planning Committee prior to their April 7, 2016 meeting. Additionally, it will be included in the documents submitted to the Common Council for their May 10, 2016 meeting and to HUD on May 15, 2016.

If funding recommendations are changed, we will be sure to alert the Norwalk Senior Center the morning following the evening meeting.

Take care,
Hunter

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Agency website: http://www.norwalkredevelopmentagency.com/

We hope you enjoy our most recent Urban Highlights.

-----Original Message-----
From: Eleanor Searles [mailto:cbesearles75@optonline.net]
Sent: Friday, March 04, 2016 12:04 PM
To: Strauss, Tami
Subject: Community Block Grant

Please reconsider the grant to renovate the women' s and men's bathrooms at the senior center. This is necessary for those with limited mobility and/or wheelchairs. The height of the toilet and the size of the cubicle is important to those persons.
Thank You
Eleanor Searles

From:	Arton, Hunter
To:	jtscribs2@AOL.COM
Subject:	RE: Restrooms at Norwalk Senior Centre (Allen Rd)
Date	Tuesday, March 22, 2016 12:14:49 PM

Dear Ms. Scribner,

Thank you for submitting your thoughtful letter and information for the record. It is up to the Planning Committee and ultimately, the Common Council, to determine which projects receive PY42 funding.

We will make sure your message is included in the information received by the Planning Committee prior to their April 7, 2016 meeting. Additionally, it will be included in the documents submitted to the Common Council for their May 10, 2016 meeting and to HUD on May 15, 2016.

If funding recommendations are changed, we will be sure to alert the Norwalk Senior Center the morning following the evening meeting.

Take care,
Hunter

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Agency website: http://www.norwalkredevelopmentagency.com/

We hope you enjoy our most recent Urban Highlights.

-----Original Message-----
From: Joan M. Scribner [mailto:jtscribs2@AOL.COM]
Sent: Sunday, March 06, 2016 3:04 PM
To: Strauss, Tami
Subject: Restrooms at Norwalk Senior Centre (Allen Rd)

This is to advise that the restrooms at the above referenced facility are inadequate to accommodate senior citizens, especially those who are handicapped in any way. The stalls are too small and the toilets are entirely too low. We really need more space and higher toilets.

Please would it be possible to reconsider your decision and recommend this project for funding. It is desperately needed.

Thank you.

Joan Scribner

From:	Arton, Hunter
To:	gvitali@optimum.net
Subject:	RE: Norwalk Senior Center
Date	Tuesday, March 22, 2016 12:12:11 PM

Dear Ms. Vitali,

Thank you for submitting your thoughtful letter and information for the record. It is up to the Planning Committee and ultimately, the Common Council, to determine which projects receive PY42 funding.

Your message was provided to the Planning Committee prior to their meeting on March 3, 2016. We will also make sure your message is included in the information received by the Planning Committee prior to their April 7, 2016 meeting. Additionally, it will be included in the documents submitted to the Common Council for their May 10, 2016 meeting and to HUD on May 15, 2016.

If funding recommendations are changed, we will be sure to alert the Norwalk Senior Center the morning following the evening meeting.

Take care,
Hunter

Hunter Arton
(203) 854-7810 ext 46783
Norwalk Redevelopment Agency
125 East Avenue, room 202
Norwalk, CT 06851
Fax: (203) 854-7734
City website: http://norwalkct.org/index.aspx?nid=652
Agency website: http://www.norwalkredevelopmentagency.com/

-----Original Message-----
From: Gino Vital [mailto:gvitali@optimum.net]
Sent: Wednesday, March 02, 2016 4:47 PM
To: Strauss, Tami
Subject: Norwalk Senior Center

Dear Sir or Madam,

Beatrix Winter, Director of the Norwalk Senior Center, has recently applied for Community Block Grant funds to renovate and improve accessibility to the bathrooms at the Senior Center on Allen Road. It is my understanding that this project has not been recommended for funding although the criteria for the grant states that Seniors are a "priority need".

I am a regular volunteer at the Senior Center and can state unequivocally that the current bathrooms are not only old and shabby, but the toilets themselves are low, the stalls narrow and old-fashioned and difficult to negotiate for most of our Seniors, but particularly for our Seniors with disabilities. No doubt these are the original toilet facilities that were in place in the former Roosevelt School and are now totally obsolete for the type of facility they serve and the amount of usage they receive.

The Senior Center is a hive of activity every day with Seniors of all ages and abilities attending programs, classes, having lunch and socializing. It is a vital organization and integral to the Norwalk community. Considering the age of the building it is not beyond the scope of understanding that new toilet facilities are needed now. I respectfully request a further in-depth review of Ms. Winter's application for Community Block funds and ask that you give serious consideration to this need.

I note that construction of the new central Special Needs Child Center is beginning at the Senior Center on Allen Road. Perhaps this update could be tied in to the remodel of the Child Center. In a climate of austerity, City funds should be directed to practical needs. I respectfully ask that serious consideration be given to the Senior Center's need and the Community Block Grant directed toward this issue.

Very truly yours,
Joan Vitali, Volunteer
Norwalk Senior Center

From:	Arton, Hunter
To:	ljjawors@optonline.net
Subject:	RE: Senior Center Needs
Date	Tuesday, March 22, 2016 12:12:17 PM

Dear Ms. Jaworski,

Thank you for submitting your letter and information for the record. It is up to the Planning Committee and ultimately, the Common Council, to determine which projects receive PY42 funding.

Your message was provided to the Planning Committee prior to their meeting on March 3, 2016. We will also make sure your message is included in the information received by the Planning Committee prior to their April 7, 2016 meeting. Additionally, it will be included in the documents submitted to the Common Council for their May 10, 2016 meeting and to HUD on May 15, 2016.

If funding recommendations are changed, we will be sure to alert the Norwalk Senior Center the morning following the evening meeting.

Take care,
Hunter

Hunter Arton
(203) 854-7810 ext 46783
Norwalk Redevelopment Agency
125 East Avenue, room 202
Norwalk, CT 06851
Fax: (203) 854-7734
City website: http://norwalkct.org/index.aspx?nid=652
Agency website: http://www.norwalkredevelopmentagency.com/

We hope you enjoy our most recent Urban Highlights.

From: Leanne Jaworski [mailto:ljjawors@optonline.net]
Sent: Thursday, March 03, 2016 10:07 AM
To: Strauss, Tami
Subject: Senior Center Needs

Dear Ms. Strauss,

I am writing to ask for your help in improving the facilities at the Senior Center. We are in need of upgrades to our restrooms. They are old and difficult for the members to use. The challenges of aging are enough without these personal difficulties.'

Thank you for you consideration

Lee Jaworski "A Senior Center Member with an attitude of gratitude"

NORWALK DEVELOPMENT AGENCY

73 Barbara Drive
Norwalk, CT 06851

March 22, 2016

Dear Ms. Bogdany,

Thank you for your thoughtful letter and information regarding the bathrooms at the Norwalk Senior Center. It is up to the Planning Committee and ultimately, the Common Council, to determine which projects receive PY42 funding.

We will make sure your message is included in the information received by the Planning Committee prior to their April 7, 2016 meeting. Additionally, it will be included in the documents submitted to the Common Council for their May 10, 2016 meeti and to HUD on May 15, 2016.

If funding recommendations are changed, we will be sure to alert the Norwalk Senior Center the morning following the evening meeting.

Take care,

Hunter Artor
Community Outreach Administrator

just a note

Hello! 3/12/16

I am writing on behalf of the Norwalk Senior Center regarding the very important toilet situation facing us. This is a place where people come to enjoy many activities, a place to have lunch, dinners, meet a friend, express themselves with our friendly staff, go on an outing, shopping, read a book, get advice on medical problems.

just a note

(2)

a place that is a home away from home for all needs. A place that is so outdated and receives little support or funds to help this Vital place that is so important to many people.

Please give us the help we need to keep us going to make a pleasant environment to help our seniors!

Ms. Mary Bogdany
73 Barbara Dr
Norwalk, CT 06851-5227

WESTCHESTER NY 105
15 MAR 2016 PM 6 L

Redevelopment Agency
City Hall, (Room 202)
125 East Ave.
Norwalk CT 06851

06851$5702 C005

just a
thank you!!
Your help would
be greatly appreciated

Sincerely,
Volunteer
Mary Bogdany
a proud resident
for 15 years.

From: Arton, Hunter
To: michaelwdong2015@gmail.com
Subject: RE: Please help Norwalk Sr. Center Update its Bathrooms
Date Tuesday, March 22, 2016 12:12:21 PM

Dear Mr. Dong,

Thank you for submitting your letter and information for the record. It is up to the Planning Committee and ultimately, the Common Council, to determine which projects receive PY42 funding.

Your message was provided to the Planning Committee prior to their meeting on March 3, 2016. We will also make sure your message is included in the information received by the Planning Committee prior to their April 7, 2016 meeting. Additionally, it will be included in the documents submitted to the Common Council for their May 10, 2016 meeting and to HUD on May 15, 2016.

If funding recommendations are changed, we will be sure to alert the Norwalk Senior Center the morning following the evening meeting.

Take care,
Hunter

Hunter Arton
(203) 854-7810 ext 46783
Norwalk Redevelopment Agency
125 East Avenue, room 202
Norwalk, CT 06851
Fax: (203) 854-7734
City website: http://norwalkct.org/index.aspx?nid=652
Agency website: http://www.norwalkredevelopmentagency.com/

We hope you enjoy our most recent Urban Highlights.

From: Michael Dong [mailto:michaelwdong2015@gmail.com]
Sent: Wednesday, March 02, 2016 4:55 PM
To: Strauss, Tami
Subject: Please help Norwalk Sr. Center Update its Bathrooms

Tami:

Please help Norwalk Sr. Center to update its bathrooms since they are totally out of dates and are not really wheel chair accessible.

Your re-consideration is highly appreciated for the many senior visitors that use the facilities daily.

thanks,

Michael Dong, Norwalk CT, michaelwdong2015@gmail.com
http://www.mwd-consulting.com/
phone 203-984-9777

From: Anon, Hunter
To: paddychennai2012@gmail.com
Subject: RE: Bathrooms Renovation at Senior Center
Date: Tuesday, March 22, 2016 12:12:28 PM

Dear Mr. Padmanabhan,

Thank you for submitting your letter and information for the record. It is up to the Planning Committee and ultimately, the Common Council, to determine which projects receive PY42 funding.

Your message was provided to the Planning Committee prior to their meeting on March 3, 2016. We will also make sure your message is included in the information received by the Planning Committee prior to their April 7, 2016 meeting. Additionally, it will be included in the documents submitted to the Common Council for their May 10, 2016 meeting and to HUD on May 15, 2016.

If funding recommendations are changed, we will be sure to alert the Norwalk Senior Center the morning following the evening meeting.

Take care,
Hunter

Hunter Anon
(203) 854-7810 ext 46783
Norwalk Redevelopment Agency
125 East Avenue, room 202
Norwalk, CT 06851
Fax: (203) 854-7734
City website: http://norwalkct.org/index.aspx?nid=652
Agency website: http://www.norwalkredevelopmentagency.com/

We hope you enjoy our most recent Urban Highlights.

From: Subramanya Padmanabhan [mailto:paddychennai2012@gmail.com]
Sent: Wednesday, March 02, 2016 9:38 PM
To: Strauss, Tami
Subject: Bathrooms Renovation at Senior Center

Norwalk Redevelopment Agency, City Hall ,Room 202, 125 East Avenue, Norwalk,CT 06851,
Kind Attention : Tami Strauss (Director- Community Dev. Planning)

Subject: "Bathrooms" (so called Rest Rooms) at the Senior Center (Allen Road, Norwalk)
Regarding : Accessibility and Convenience of using in both Men's and women's bathrooms.

I have been using the above said utilities (toilets, urinals, wash basins etc.) since the year 2014 and felt they could be better located and equipped and have spoken to fellow seniors and the Center Management about it .There seems to be a funds crunch.
Kindly therefore, necessary funds may please be provided to enable Ms. Beatrix Winter (

Executive Director of the Center) to go ahead with the modification and renovation project which she has in mind.
Thanking you and with regards,
Yours sincerely,
(Mr.)Subramanya Padmanabhan (79),
Mobile: 475 266 7148
Resident at North Taylor Ave.,CT06854-1421

From:	Arton, Hunter
To:	seniorumbrella@sbcglobal.net
Subject:	RE
Date	Tuesday, March 22, 2016 12:12:43 PM

Dear Ms. Epstein,

Thank you for submitting your letter and this information for the record. It will be included with the Annual Action Plan when it is submitted to HUD in May.

As you know, it is up to the Planning Committee and ultimately, the Common Council, to determine which projects receive PY42 funding.

We will make sure your message is included in the information received by the Planning Committee prior to their April 7, 2016 meeting. It will also be included in the documents submitted to the Common Council for their May 10, 2016 meeting and to HUD on May 15, 2016.

If funding recommendations are changed, we will be sure to send an email to all applicants the morning following the evening meeting.

Take care,
Hunter

Hunter Arton
(203) 854-7810 ext 46783
Norwalk Redevelopment Agency
125 East Avenue, room 202
Norwalk, CT 06851
Fax: (203) 854-7734
City website: http://norwalkct.org/index.aspx?nid=652
Agency website: http://www.norwalkredevelopmentagency.com/

We hope you enjoy our most recent Urban Highlights.

From: Laura Epstein [mailto:seniorumbrella@sbcglobal.net]
Sent: Monday, February 29, 2016 1:56 PM
To: Strauss, Tami
Subject:

Tami,
Here is a copy of a letter that we sent to the Planning Committee.

Dear Planning Committee Members:
We are extremely surprised and disappointed that our application for Senior Financial and Physical Safety was not recommended for any amount of funding, particularly since we serve the very poorest elderly in Norwalk. Most of the seniors we serve are living on incomes of $8,000 to $11,000 a year, and they are not aware of the physical

and financial dangers around them. Too many seniors are experiencing unnecessary falls, or devious financial scams everyday.
The Director of the Norwalk Health Department, Timothy Callahan, Police Lieutenant Terrence Blake and Deputy Fire Marshal Broderick Sawyer are eager to join with us in this educational, training and advocacy project. We also have "matching funds," but they are only available if we have something to match. Therefore, we hope you will grant us partial funding of $9,500 so that we can go forward with this urgent project to alert, educate and do home inspections for our most vulnerable seniors. With your $9,500 plus our matching funds and inter-agency assistance, we can alert, train and protect at least 150 very low income seniors in the coming year.

Thank you for your kind consideration.

Laura Epstein, Executive Director

Senior Services Coordinating Council
11 Allen Rd. Room #8
Norwalk, CT 06851
203-847-2830

Senior Services Coordinating Council
11 Allen Rd. Room 8
Norwalk. CT 06851
203 847-2830
203 849-1285 Fax
seniorumbrella@sbcglobal.net

NORWALK DEVELOPMENT AGENCY

3 Katy Lane
Norwalk, CT 06851

March 28, 2016

Dear Mr. and Mrs. Cade,

Thank you for your letter and Information regarding the bathrooms at the Norwalk Senior Center. It is up to the Planning Committee and ultimately, the Common Ccuncil, to determine which projects receive PY42 funding.

We will make sure your message Is Included in the information received by the Planning Committee prior to their April 7, 2016 meeting. Additionally, it will be included In the documents submitted to the Common Council for their May 10, 2016 meeting and to HUD on May 15, 2016.

If funding recommendations are changed, we will be sure to alert the Norwalk Senior Center the morning following the evening meeting.

Take care,

Hunter Arton
Community Outreach Administrator

Tami PLEASE help fix Norwalk Senior Center on Allen Rd in Norwalk. IT WAS The Old Roosevelt School BUILT in 1945. & STILL has the old untouched Unupdated Rest Rooms. Thank you. Julia P. Rick Cardle

We Need Your Help!

Beatrix has applied for Community Block Grant funding to renovate both the women's & men's bathrooms to improve accessibility. Although the criteria states that seniors are a "priority need" the project has not been recommended for funding.

<u>We need every senior who has used the restrooms & had difficulty</u> using the toilets which are too low to the ground or the stalls are too tight <u>to write or e-mail</u> Tami Strauss Director of Community Development Planning (<u>tstrauss@norwalkct.org</u> or Norwalk Redevelopment Agency, City Hall, Room 202, 125 East Avenue, Norwalk, CT 06851 by Thursday March 24th.

Thank you! Together, we can change the decision & get the bathrooms you need!

NORWALK re:DEVELOPMENT AGENCY

CHAIRMAN
Felix II. Serrano

COMMISSIONERS
Lori Torrano
Lisa N. Cooper
La Tanya Langley
Thomas Devine

EXECUTIVE DIRECTOR
Timothy T. Sheehan

25 Donahue Drive
Norwalk, CT 06851

April 1, 2016

Dear Mr. and Mrs. Burke,

Thank you for your thoughtful letter, signatures and information regarding the bathrooms at the Norwalk Senior Center. It is up to the Planning Committee and ultimately, the Common Council, to determine which projects receive PY42 funding.

We will make sure your message is included in the information received by the Planning Committee prior to their April 7, 2016 meeting. Additionally, it will be included in the documents submitted to the Common Council for their May 10, 2016 meeting and to HUD on May 15, 2016.

If funding recommendations are changed, we will be sure to alert the Norwalk Senior Center the morning following the evening meeting.

Take care,

Hunter

Hunter Artor
Community Outreach Administrator

PO BOX 5125 · 125 EAST AVENUE, NORWALK, CT 06856-5125 · TELEPHONE 203-854-7810 · FAX 203-854-7734

Copy

Mayor Harry W. Rilling
125 East Avenue
Norwalk, CT 06856-5125

March 24, 2016

Dear Mayor,

We feel it is necessary to address the Community Block Grant that was not approved for the renovation of both women and men's bathrooms at the Senior Center on Allen Road. The building was built many decades ago for grammar school age children, now it is used for seniors, who have different needs such as higher toilets and roomier stalls.

The whole building could use updating, but the bathrooms need to be addressed immediately. The toilets leak, causing water on the floor which is hazardous (I almost fell myself). There is no warm or hot water to wash one's hands – only cold.

Please renovate these bathrooms before someone gets seriously hurt.

If the bathrooms at city hall were in the same condition, it would have been renovated a long time ago.

We have been home owners and tax payers for over 50 years in Norwalk, and now that we are retired, we enjoy using the Senior Center several times per week so hopefully you will address this situation immediately.

Thank you in advance for the new bathrooms.

Sincerely, Richard and Doris Burke R Burke Doris Burke

Below you will find a list of names that are all hoping you will correct this problem:

Hilde Schwartz	203 853 4115
Vera Fiedding	203-846-2033
Joyce Carlton	203-849-1017
Lillian Dullan	203 838 8745
[illegible]	203-642-8972
[illegible]	203-845-0800
Mary Olson	203-846-9348
Marion Arnold	203-853-9355
Marie Stergeson	203-268-7976
[illegible]	

[illegible] Lucas 203-840-1848

CDBG Public Hearing
March 3, 2016

Order	Name (Please Print Clearly)	Organization (Please Print Clearly)
1	Maureen Sheehan	Person-to-Person ✓
2	LAURA EPSTEIN	Senior Services ✓
3	[illegible]	[illegible]
4	Gail McKinson / Kristin August	Child Guidance ✓
5	Rosalie Gonzalez	Community Health Center ✓
6	[illegible]	[illegible]
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CITY OF NORWALK
PLANNING COMMITTEE
REGULAR MEETING
MARCH 3, 2016

ATTENDANCE: John Kydes, Chair; Douglas Hempstead, John Igneri, Tom Livingston, Fay Bowman, Shannon O'Toole-Giandurco (6:35 p.m.); Travis Simms (6:50 p.m.)

STAFF: Timothy Sheehan, Redevelopment Director; Tami Strauss, Community Development Planning Director; Susan Sweitzer, Senior Project Manager

OTHERS: Mayor Harry Rilling, Council Member Bruce Kimmel, Maureen Sheehan, Person to Person; Laura Epstein, Senior Services Coordinating Council; Dr. Gail Melanson, Fairfield County Child Guidance Center; Adele Gordon, Senior Dental Program; Mr. Alan Miller, M.F. DiScala Company; Bruce Beinfield; Michael Lyons, BOE Chairman; John Ireland, Silver Petrucelli; Michelle Miller, Silver Petrucelli; Ryan Chmielowski, Malone & MacBroom; Emily Innes, Cecil Group; Brian Meek, Norwalk Board of Education Member

PUBLIC HEARING –
REGARDING PY 42 DRAFT ANNUAL ACTION PLAN

Mr. Kydes called the Public Hearing regarding the PY 42 Draft Annual Action Plan at 6:32 p.m.

Mr. Kydes announced that there had been some additional funding allocated to the City and reviewed the figures with those present.

He then asked if there was anyone present who wished to address the Committee at this time.

Ms. Maureen Sheehan, from Person To Person, came forward and said that last year, over 8,000 people were served.

Ms. O'Toole-Giandurco joined the meeting at 6:35 p.m.

Ms. Sheehan went on to give a brief overview of the various services that Person to Person was able to provide for the local residents and thanked the Committee for their support.

Ms. Laura Epstein, from the Senior Services Coordinating Council, spoke next. She held up a sign with information about a recent article in the newspaper regarding a senior's residence that was recently robbed by a fake electric employee. She said that there were 3 billion dollars in scams that were happening each year.

Ms. Epstein said that she hoped that the Committee would find some funding for the bathrooms at the Senior Center. The bathrooms were designed for grade school children and too low for the seniors.

Ms. Epstein then spoke about some of the aspects of the services that the Senior Services provides for their clients.

Dr. Gail McLamson, the Clinical Director of the Child Guidance Center of Mid Fairfield County, thanked the Committee for the $10,000 for the group programming. She said that she hoped that some the extra funding could be used to work on the waiting list, mostly comprised of Spanish speaking families.

Ms. Adele Gordon, from the Senior Dental Program, thanked the Committee for their funding. She listed the various services the group provided.

Mr. Kydes said that the Committee would be making the recommendations at the next meeting. He then closed the public hearing on the PY 42 Draft Annual Action Plan.

CALL TO ORDER

Mr. Kydes called the regular meeting of the Planning Committee to order at 6:45 p.m.

ROLL CALL

Mr. Kydes called the roll and announced that there was a quorum present.

PUBLIC PARTICIPATION.

Mr. Alan Wahner, the Chief Financial Officer of the M.F. DiScala Company, came forward to speak about a parcel of land locate at 1 Bates Court, which is just off of Martin Luther King Drive. He said that the 15,000 sq. ft. building on the site was abandoned and he would like to develop the building into apartments for commuters. However, to do this, the zoning needs to be changed.

Mr. Bruce Beinfield, an architect, came forward and said that he has had an office in SoNo for many years. The re-zoning for the Transit Oriented District (TOD) is very important for the area because there is so much potential available there that can be realized.

City of Norwalk CDBG PY42 Recommended Programs and Projects

Activity Name	Funding	Description	Number of Beneficiaries	Location Description
PY42 - Public Service ($134,232)				
Breakthrough Options for Families - College Edge Program	$14,000	The College Edge Program is a series of readiness workshops, SAT test preparation lessons, college tours, and private coaching sessions designed to guide students to and through the college application process.	20 people	1 Belden Avenue, Norwalk, CT 06851
Child Guidance Center - Parenting Skills Group	$10,000	Child Guidance Center of Mid-Fairfield County will provide clients access to socio-emotional skills groups, including, the Latino Parenting Group and the Outdoor Adventure Group.	24 people	100 East Avenue; Norwalk, CT 06851
ChoZen Few Entertainment - LIFT Program - Peer Pressure	$15,000	Through hands-on training, the LIFT Program will produce a documentary and PSA on `Peer Pressure` - with a focus on drugs and bullying.	15 people	56 North Main St; Norwalk, CT 06856
Community Health Center - Dental Care for Seniors	$10,000	Community Health Center, Inc. (CHCI) will provide comprehensive dental services to Norwalk patients over 60 years of age who would otherwise not be able to afford dental care.	30 people	49 Day Street; Norwalk, CT 06854
Domestic Violence Crisis Center - SustainAbilityCT (IACT)	$14,548	SustainAbilityCT (IACT) program will serve SafeHouse and Outreach clients and focus on economic solutions that drive employment, education, and housing.	75 people	16 River Street; Norwalk, CT 06850
Family & Children's Agency - Project REWARD	$10,000	Project REWARD will provide outpatient substance abuse treatment for women to include Seeking Safety, atreatment for women who have experienced trauma and are at high risk of re-victimization.	35 people	9 Mott Avenue; Norwalk, CT 06850
Liberation Programs - Case Management Services	$15,000	Liberation Programs' case management services will support the residents of Gini's House, a permanent supportive housing complex for families	18 people	4 Elmcrest Terrace; Norwalk, CT 06850
Person to Person - Emergency Assistance	$20,000	Person-to-Person's Emergency Assistance Program assists low-income clients through their situational crises by providing them with subsistence payments.	210 people	76 South Main St; Norwalk, CT 06854
Senior Services Coordinating Council - Financial & Physical Safety	$10,684	Senior Services Coordinating Council will create a Senior Safety Net in order to prevent unnecessary falls and fires and secumbment to scams and financial abuse by providing training and home-safety inspections to at least 425 seniors. We shall	155 people	multiple locations
Triangle Community Center - Case Management	$15,000	Triangle Community Center's health and mental health case management services are available to Norwalk's LGBT community.	180 people	618 West Avenue; Norwalk, CT 06850
PY42 - Public Facilities ($237,257)				
Carver Foundation - HVAC Replacement	$50,000	The Carver Foundation will replace the first floor HVAC and duct system at the Carver Center in order to make the Center healthier and more energy efficient.	2,224 people	7 Academy Street; Norwalk, CT 06850
Elderhouse - Automatic Restroom Doors	$2,250	ElderHouse will purchase and install two "automatic swing door systems" for its client restrooms in order to improve access to the restrooms for seniors with disabilities, limited upper body strength and partial paralysis.	95 people	7 Lewis Street; Norwalk, CT 06851
Norwalk Senior Center - Restroom Renovation	$45,007	The restrooms at the Senior Center require renovations including the replacement of toilets, partitions, doors, grab bars, mirrors, dispensers and tile flooring as well as updating electrical lights and outlets. The facilities do not meet ADA	225 people	11 Allen Road; Norwalk, CT 06851
Open Door Shelter - Elevator, HVAC and Fire Suppression for SoNo Life Center	$100,000	The Open Door Shelter will perform asbestos and lead-based paint abatement, as well as install clean utility corridors, geo venting and vapor barriers to remediate contaminants at 2 Merritt Place.	3,437 people	2 Merritt Place; Norwalk, CT 06854
STAR - Teaching Greenhouse	$40,000	developmental disabilities to promote occupational/physical therapy and healthy eating.	600 people	182 Wolfpit Avenue; Norwalk, CT 06851
PY42 - Housing ($20,000)				
HomeFront - Home Revitalization	$10,000	HomeFront will perform critical home repairs and improve handicap accessibility for two very low income homeowners in Norwalk.	2 housing units (owner occupied)	LMI census tracts: 432, 433, 434, 437, 438, 440, 441
Rowayton Senior Housing - Hilltop Homes Windows	$10,000	Rowayton Senior Housing will install energy efficient windows in its residences in order to benefit the low-income seniors living at Hill Top Homes.	26 housing units (rental)	212 Rowayton Ave; Norwalk, CT 06853
PY42 - Planning and Administration ($221,241)				
Norwalk Redevelopment Agency - CDBG Administration	$221,241	The Agency will coordinate the development of a master plan for a public park in the Wall Street district and administer the City's CDBG program for the 2016-2017 fiscal year .	36,236 households	This activity will occur citywide.
PY42 - City Neighborhoods ($282,150)				
Norwalk Redevelopment Agency - City Neighborhoods	$282,150	The Agency will continue the SoNo Renovation program, provide technical assistance to businesses, modernize a City park, implement street improvements, and assess potentially contaminated land.	8 acres, 4 businesses, 2 rental units, 2 homeowner units, 9,843 people in the urban core	LMI census tracts: 0434, 0437 and 0441.

**THE PLANNING COMMITTEE
OF THE COMMON COUNCIL**
125 East Avenue
P.O. Box 5125
Norwalk, CT 06856

TO: MEMBERS OF THE COMMON COUNCIL

FROM: TAMI STRAUSS, DIRECTOR OF COMMUNITY PLANNING AND DEVELOPMENT

RE: CITIZEN PARTICIPATION PLAN

DATE: MAY 6, 2016

As the administering agent for the City's Community Development Block Grant (CDBG) program, the Agency is responsible for maintaining the Citizen Participation Plan (CPP) as is directed by the U.S. Department of Housing and Urban Development (HUD). The CPP outlines the strategy and formal processes for securing comment regarding the development and implementation of the CDBG program.

The amendments were drafted in accordance with the recent revisions to the federal regulation which defines citizen participation for local governments (24 CFR 91.105). The amended CPP was available for a 15-day public comment period April 4 through April 19, 2016. A public hearing was not required. No public comment was received during the comment period.

The document, with amendments in red, is attached to this memo. Per the Citizen Participation Plan, amendments to the CPP must be approved by the Common Council.

REQUESTED ACTION:

- Approve the CDBG Citizen Participation Plan

CITIZEN PARTICIPATION PLAN

City of Norwalk, CT
Community Development Block Grant Program

Proposed additions to the document are in red font.

- Llame al (203) 854-7810 x46783 o visite nuestra oficina para solicitar un versión traducida de este documento.
- Παρακαλούμε καλέστε (203) 854-7810 x46783 ή επισκεφθείτε το γραφείο μας για να ζητήσετε μεταφρασμένη έκδοση αυτού του εγγράφου.
- Chiama lo (203) 854-7810 x46783 o visita i nostri uffici per richiedere una versione tradotta di questo documento.
- Veuillez composer le (203) 854-7810 x46783 ou visitez notre bureau pour demander une version traduite de ce document.
- Silvouplèrele (203) 854-7810 x46783 oswavizitebiwonoupoufèdemann yon vèsyondokimansa-akitradwi.

Table of Contents

I. Overview

As an entitlement community, the City of Norwalk receives federal Community Development Block Grant (CDBG) funds annually from the U.S. Department of Housing & Urban Development (HUD) and as such, is required to adopt and follow a Citizen Participation Plan (CPP) which establishes the City's policies and procedures for citizen participation. Title 24 of the *Code of Federal Regulations*, Part 91.105 establishes the components of the citizen participation plan.

The following acronyms and abbreviations are used in this document:

AAP – Annual Action Plan
AFH – Assessment of Fair Housing
CAPER – Consolidated Annual Performance & Evaluation Report
CPP – Citizen Participation Plan
ConPlan – Consolidated Plan for Housing and Community Development
HUD – U.S. Department of Housing and Urban Development
NOFA – Notice of Funding Availability

II. Lead Agency

The City of Norwalk contracted with the Norwalk Redevelopment Agency (Agency) to serve as the Lead Agency for the administration of the City's CDBG entitlement funds. The Agency is responsible for informing citizens about the planning process, facilitating meetings, preparing the Annual Action Plan and the Five Year Consolidated Plan, preparing substantial amendments, developing the CAPER, applying for guaranteed loans and providing opportunities for public participation.

III. Citizen Participation

a. **Encouragement of Citizen Participation in CDBG Planning & Activities**

All residents, including low- and moderate-income persons, persons living in low- and moderate-income neighborhoods, minority populations, persons with limited English proficiency, persons with disabilities, homeless persons and residents of public and assisted housing developments, including resident advisory boards, resident councils, and resident management corporations, are encouraged to participate in the development of the consolidated plan (ConPlan), the Assessment of Fair Housing (AFH), the annual action plan (AAP), the performance report (CAPER), any guaranteed loan application and any substantial amendment.

Additionally, local and regional institutions, the Continuum of Care and other organizations (including businesses, developers, nonprofit organizations, philanthropic organizations, and community-based and faith-based organizations) are encouraged to participate in the process of developing and implementing the consolidated plan and Assessment of Fair Housing (AFH).

All meetings are open to the public with efforts made to be scheduled at times and locations convenient to potential and actual beneficiaries with accommodations for persons with disabilities and assistance available for persons with limited-English proficiency.

b. **Jurisdictional Responsibility**
The Citizen Participation Plan recognizes the Norwalk Common Council, as the elected governing body for the City of Norwalk, as ultimately responsible for the development and implementation of the CDBG program.

Citizen participation requirements do not restrict the responsibility or authority of the City of Norwalk for the development and execution of its CDBG program.

c. **Access to Records**
The Agency will provide citizens, public agencies and other interested parties with reasonable and timely access to information and records, including HUD-provided data and other supplemental information, relating to the consolidated plan, the Assessment of Fair Housing and the allocation of funding through the CDBG program.

Program documents are available online on the Agency's page of the City of Norwalk's website (http://www.norwalkct.org/index.aspx?nid=652) or by contacting the Norwalk Redevelopment Agency, City Hall, room 202, Norwalk, CT 06851 or (203) 854-7810 x46778.

During public comment periods, drafted program documents are available at the Norwalk Redevelopment Agency in City Hall, 125 East Avenue, room 202; the Norwalk Public Library at 1 Belden Avenue; the South Norwalk Branch Library at 10 Washington Street; the Norwalk Housing Authority's administrative offices at 24 ½ Monroe Street; and the South Norwalk Community Center at 98 South Main Street as well as on the Agency's page on the City of Norwalk's website: http://norwalkct.org/index.aspx?NID=652.

d. **Limited English Proficiency & Disabled Residents Accommodation**
All public hearings and focus groups are held in facilities which are accessible to people with disabilities.

Oral language assistance for public hearings and focus groups may be obtained by contacting the Agency at least 48 business hours prior to the event. Program documents may be translated upon request by contacting the Agency.

Contact information for the Agency is as follows: Norwalk Redevelopment Agency, City Hall, room 202, Norwalk, CT 06851 or (203) 854-7810 x46778.

e. **Public Notification**
Unless otherwise stated in the CPP, public notice will be made through an advertisement in *The Hour* newspaper, the City's website, the Agency's Facebook

page and the Agency's LinkedIn group and email notice to those expressing an interest in the CDBG program. Public notice will be made twice within two weeks of the availability of a document or schedule date of a public hearing.

Public notice of documents available for review will include direction for accessing and commenting on the drafted document and the means by which language assistance may be obtained. If an activity reviewed in the drafted document will result in displacement, the notice will provide information on how to access the displacement plan.

Public notice of public hearings will include the subject, time and location of the hearing and the means by which language assistance may be obtained.

f. **Public Hearings**

Public hearings occur no earlier than 5:00 PM in order to maximize opportunities for citizen participation. Hearings are held in handicap accessible rooms in City Hall. Oral language assistance may be obtained by contacting the Agency at least 48 business hours prior to the hearing. Minutes are taken during public hearings and are incorporated into the appropriate document when submitted to HUD.

Public hearings and meetings rescheduled due to weather-related events will be held at the following meeting of the Planning Committee of the Common Council. Notice will be made via the City's website and Agency's Facebook page.

At least two public hearings will be held at different stages of the CDBG program to obtain citizens' views and to respond to proposals and questions. At minimum, such hearings will be conducted during the planning process (AAP development) and the review period (CAPER development).

IV. Criteria & Procedures for Public Hearings & Public Comment Periods

a. **Summary of Comment Period and Public Hearing Requirements**

Document	Comment Period	Public Hearing
ConPlan	30-days	At least two
AFH	30-days	None
NOFA	None	None
AAP	30-days	One
CAPER	15-days	One
Guaranteed Loan Application	30-days	At least one
CPP Amendment	15-days	None
Substantial Amendment	30-days	One
Legal Notice	2x within 2 weeks	2x within 2 weeks

b. 5-Year Consolidated Plan for Housing and Community Development (ConPlan)

Public Notice:	Notice of the ConPlan comment period and public hearings will be made according to the "Public Notification" section of the CPP.
Comment Period:	The drafted ConPlan detailing the amount of anticipated funding and the estimated amount to benefit persons of low- and moderate-income, and the range of activities that may be undertaken will be available for a 30-day public comment period according to the "Access to Records" section of the CPP.
Public Hearing:	There will be at least two public hearings during the development of the ConPlan, one prior to publishing the draft document (to identify the needs of the City) and one upon publishing (to receive feedback on the proposed activities and expenditure of funds).
Public Comments:	Comments will be received and considered according to the "Response to Citizen Participation & Concerns" section of the CPP.
Adoption Policy:	The Planning Committee of the Common Council will review the proposed ConPlan and commentary and forward the document to the Common Council for approval. Upon approval by the Common Council, the ConPlan will be submitted to HUD for approval/adoption.

c. Assessment of Fair Housing (AFH)

Public Notice:	Notice of the AFH comment period will be made according to the "Public Notification" section of the CPP. The Public Hearing must occur before the proposed consolidated plan is published for comment.
Comment Period:	The drafted AFH will be available for a 30-day public comment period according to the "Access to Records" section of the CPP.
Public Hearing:	An AFH does not require a public hearing.
Public Comments:	Comments will be received and considered according to the "Response to Citizen Participation & Concerns" section of the CPP.

Adoption Policy: The Planning Committee of the Common Council will review the proposed AFH and commentary and forward the document to the Common Council for approval. Upon approval by the Common Council, the AFH will be submitted to HUD for approval/adoption.

d. **Notice of Funding Availability (NOFA)**

Public Notice: The availability of a NOFA for any program or project using CDBG funds will be made according to the "Public Notification" section of the CPP.

Comment Period: A NOFA does not require a comment period.

Public Hearing: A NOFA does not require a public hearing.

Public Comments: Comments will be received and considered according to the "Response to Citizen Participation & Concerns" section of the CPP.

Adoption Policy: A NOFA is not a document intended for adoption.

e. **Annual Action Plan (AAP)**

Public Notice: Notice of the AAP's comment period and public hearing will be made according to the "Public Notification" section of the CPP.

Comment Period: The drafted AAP detailing the amount of anticipated funding and the estimated amount to benefit persons of low- and moderate-income, and the range of activities that may be undertaken will be available for a 30-day public comment period according to the "Access to Records" section of the CPP.

Public Hearing: A public hearing will be held during the comment period in order to receive feedback on housing and community development needs and the proposed activities and expenditure of funds.

Public Comments: Comments will be received and considered according to the "Response to Citizen Participation & Concerns" section of the CPP.

Adoption Policy: The Planning Committee of the Common Council will review the proposed AAP and commentary and forward the document to the Common Council for approval. Upon approval by the Common Council, the AAP will be submitted to HUD for approval/adoption.

f. **Consolidated Annual Performance Evaluation Review (CAPER)**

Public Notice:	Notice of the CAPER's comment period and public hearing will be made according to the "Public Notification" section of the CPP.
Comment Period:	The drafted CAPER will be available for a 15-day public comment period according to the "Access to Records" section of the CPP.
Public Hearing:	A public hearing will be held during the comment period in order to review the CDBG program's performance.
Public Comments:	Comments will be received and considered according to the "Response to Citizen Participation & Concerns" section of the CPP.
Adoption Policy:	The Planning Committee of the Common Council will review the proposed CAPER and commentary and forward the document to the Common Council for approval. Upon approval by the Common Council, the CAPER will be submitted to HUD for approval/adoption.

g. **Guaranteed Loan Application**

Public Notice:	Notice of the Guaranteed Loan Application's comment period and public hearing will be made according to the "Public Notification" section of the CPP.
Comment Period:	The Guaranteed Loan Application will be available for a 30-day public comment period according to the "Access to Records" section of the CPP.
Public Hearing:	At least one public hearing will be held prior to submitting a Guaranteed Loan Application to obtain the views of citizens on the proposed activity.
Public Comments:	Comments will be received and considered according to the "Response to Citizen Participation & Concerns" section of the CPP.
Adoption Policy:	The Planning Committee of the Common Council will review the proposed Guaranteed Loan Application and commentary and forward the document to the Common

Council for approval. Upon approval by the Common Council, the Guaranteed Loan Application will be submitted to HUD for approval.

h. Citizen Participation Plan Amendment

Public Notice: Notice of a proposed amendment to the CPP will be made according to the "Public Notification" section of the CPP.

Comment Period: A proposed amendment to the CPP will be available for a 15-day comment period according to the "Access to Records" section of the CPP.

Public Hearing: An amendment to the CPP does not require a public hearing.

Public Comments: Comments will be received and considered according to the "Response to Citizen Participation & Concerns" section of the CPP.

Adoption Policy: The Planning Committee of the Common Council will review the proposed amendment and commentary and forward the document to the Common Council for approval. Upon approval by the Common Council, the amendment will be adopted into policy.

i. Substantial Amendment

The City of Norwalk defines a Substantial Amendment as follows:

- A change in which the total grant award for an entitlement program increases or decreases by more than 15% during the program year.
- To carry out an activity that was not previously identified in the plan.
- A change in purpose, scope, location and beneficiaries from one eligible activity to another by more than 15% of total funds previously authorized.

The following activities do not constitute a Substantial Amendment:

- Updates to ConPlan data including, but not limited to, census data, income limits and fair market rents.
- Change in location of implementing an approved activity so long as the purpose, scope and intended beneficiaries remain constant.
- Transfer of entitlement funds amongst program years' budget lines for synonymous activities.

Public Notice: Notice of a Substantial Amendment to the ConPlan, AAP or CAPER will be made according to the "Public Notification" section of the CPP.

Comment Period:	A drafted Substantial Amendment will be available for a 30-day public comment period according to the "Access to Records" section of the CPP.
Public Hearing:	A public hearing will be held during the comment period.
Public Comments:	Comments will be received and considered according to the "Response to Citizen Participation & Concerns" section of the CPP.
Adoption Policy:	The Planning Committee of the Common Council will review the proposed Substantial Amendment and commentary and forward the document to the Common Council for approval. Upon approval by the Common Council, the Substantial Amendment will be submitted to HUD for approval/adoption.

V. **Technical Assistance**

The Agency will provide technical assistance to organizations representing low- and moderate-income persons requesting such assistance to develop an application for CDBG funding. Technical assistance will not constitute a guarantee of funding.

VI. **Program Year Schedule**

The City of Norwalk's CDBG program year runs July 1 through June 30. Specific dates are included in the NOFA and may be obtained by contacting the Agency. An outline of an anticipated program year schedule follows.

July	Start of program year
August	15-day comment period for CAPER
August	Public hearing regarding program performance (CAPER)
September 30	CAPER submitted to HUD
September/October	Public hearing on ConPlan development (every five years)
November/December	NOFA and CDBG applications made available
December/January	Applications due
January/February	Public meeting to present projects proposed for funding
February/March	Planning Committee recommends projects for funding
March/April	30-day comment period for AAP and every five years, the ConPlan
April	Public hearing on AAP and every five years, the ConPlan
May	Common Council approval on AAP
May 15	AAP submitted to HUD

VII. **Minimizing Displacement**

Projects and activities funded through the CDBG program will be planned in order to minimize the displacement of low/moderate income residents. In the event displacement occurs, the City will offer relocation assistance to eligible low/moderate income persons who are displaced as a result of acquiring, rehabilitating or demolishing real property assisted with entitlement funds. The City will provide proper notice to impacted persons for vacating property and provide assistance consistent with the provisions of the Federal Uniform Relocation Act and its regulations at 49 CFR Part 24 and Section 104(d) of the Housing and Community Development Act of 1974 and the City's Residential Antidisplacement and Relocation Assistance Plan (RARAP). The RARAP specifies the types and levels of assistance to be made available and states when and how the City will make this information available.

VIII. **Response to Citizen Participation & Concerns**

The Agency strives to maintain an open door policy with respect to citizen input, comments and complaints throughout the program year. The Agency will provide a substantive written response to every written citizen complaint within 15 working days of its receipt. Written comments shall be addressed to:

Norwalk Redevelopment Agency
City Hall, room 202
125 East Avenue
Norwalk, CT 06851

The Agency will consider any comments or views of citizens received in writing, or orally at a public hearing, when preparing documents for submission to HUD. A summary of these comments or views, and a summary of any comments or views not accepted and the reasons therefore, will be attached to the final documents submitted to HUD.

THE PLANNING COMMITTEE OF THE COMMON COUNCIL

125 East Avenue
P.O. Box 5125
Norwalk, CT 06856

TO: MEMBERS OF THE COMMON COUNCIL

FROM: HUNTER ARTON, COMMUNITY OUTREACH ADMINISTRATOR

RE: 2016 NEIGHBORHOOD ASSISTANCE ACT (NAA) TAX CREDIT PROGRAM

DATE: MAY 6, 2016

The City of Norwalk received seven applications for Connecticut's 2016 Neighborhood Assistance Act Tax Credit Program (NAA-TCP), which provides a tax credit to business firms making cash investments in qualifying community programs conducted by tax exempt or municipal agencies.

Please note:

- This is not a Norwalk grants program, nor are any funds controlled by the City of Norwalk involved.
- The City's role is one of acknowledging the existence and legitimacy of the local non-profits and their stated needs to the state and corporate seekers of tax credit opportunities.
- Application review is carried out by the State's Department of Revenue Services.

Under statute, the non-profits must be referred by the local legislative body following a public hearing. The hearing took place during the Planning Committee meeting on May 5, 2016.

The Planning Committee recommended the following proposals for inclusion in the 2016 NAA tax credit program:

Organization	Program Title
Mid-Fairfield AIDS Project	More Place Transitional Housing HVAC Project
Mid-Fairfield AIDS Project	Moore Place Transitional Housing Solar Planel Project
Norwalk Congregate Homes DBA Broad River Homes	AC Upgrades and Window Replacement Project
STAR, Inc., Lighting the Way...	Energy Savings Improvements
The Human Services Council, Inc.	One Park Street Interior Improvements
The Maritime Aquarium	Rooftop Solar Power (Phase 2)
The Open Door Shelter, Inc	Energy Efficient System - 2 Merritt Place

REQUESTED ACTION:

1. Approve the applications listed above for referral to the State for inclusion in the 2016 NAA tax credit program.

Department of Revenue Services
State of Connecticut
(Rev. 02/16)

Municipality: City of Norwalk

Form NAA-01
2016 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

Complete this form in blue or black ink only.

This form must be completed and submitted to your municipality for approval. All items must be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: Mid-Fairfield AIDS Project, Inc.

Address:
618 West Avenue Norwalk CT 06850

Federal Employer Identification Number: 06-1229965

Program title: Moore Place Transitional Housing Program, HVAC

Name of contact person: Stuart Lane

Telephone number: 203 855-9535 x101

Email address: SLane@MFAP.Com

Total NAA funding requested ($250 minimum, $150,000 maximum): $ 60,000

Credit percentage for which your organization is applying:

_____ *60%* ✓ *100% (Energy conservation programs only)*

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

✓ Yes No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ______ Job training/education for unemployed persons aged 50 or over;
- ______ Job training/education for disabled persons;
- __✓__ Program serving low-income persons;
- __✓__ Energy conservation;
- ______ Child care services;
- ______ Open space acquisition fund; or
- ______ Other: Specify ______________________.

Part II — Program Information

Description of program: 10 Room House For Homeless disabled Men + Women.

Need for program: HVAC + Duct work throughout

Neighborhood area to be served: East Norwalk, all of lower Fairfield County.

Total number of recipients: Total occupancy is 10. We have turnover so serve approximately 16 per year.

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Stuart Lane

   Address: 618 West Ave Norwalk

   Duties and responsibilities: Executive Director, GC.

2. Name: Desmond Cordovez

   Address: 618 West Ave

   Duties and responsibilities: Program Supervisor

Elliot Morales + Rosie Rodriguez
618 West Ave.
Residence Manager + Housing Coordinator

Timetable:

Program start date: Jan 2015

Program completion date: Dec 2016

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving $25,000 or more in NAA funding.

Month your annual accounting period ends: Dec

Method of accounting: Cash ✓ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	60,000
Other funding sources - itemized sources:	
a)	
b)	
c)	
d)	
Total Funding:	60,000

Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) HVAC Costs	60,000
b)	
c)	
d)	
Administrative expenses:	
Professional fund-raising fees	
Accounting/legal & other expenses - itemized:	
a)	
b)	
c)	
d)	
Total Proposed Expenditures:	60,000

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: Norwalk Redevelopment Agency

Mailing address: 125 East Avenue, room 202; Norwalk, CT 06851

Name of municipal liaison: Timothy Sheehan

Telephone number: 203-854-7810 x46783

Fax number: 203-854-7734

Email address: tsheehan@norwalkct.org

Post-Project Review

Is a post-project review required for this proposal?

X Yes No

If Yes, date post-project review due:

March 2017

Date

EXTENDED TO AUGUST 17, 2015

Form **990** Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047 **2014** Open to Public Inspection

A For the 2014 calendar year, or tax year beginning and ending

B Check if applicable: Address change; Name change; Initial return; Final return/terminated; Amended return; Application pending

C Name of organization: MID FAIRFIELD AIDS PROJECT

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) Room/suite: 618 WEST AVENUE

City or town, state or province, country, and ZIP or foreign postal code: NORWALK, CT 06850

D Employer identification number: 06-1229965

E Telephone number: 203-855-9535

G Gross receipts $ 925,448.

F Name and address of principal officer: STUART LANE SAME AS C ABOVE

H(a) Is this a group return for subordinates? [ ] Yes [X] No

H(b) Are all subordinates included? [ ] Yes [ ] No

If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: [X] 501(c)(3) [ ] 501(c) ( ) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.MFAP.COM

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation: 1987 M State of legal domicile: CT

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: TO PROVIDE SUPPORT AND ADVOCACY FOR PEOPLE INFECTED WITH AIDS/HIV AS WELL AS THEIR FAMILY AND

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	5
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	5
5	Total number of individuals employed in calendar year 2014 (Part V, line 2a)	5	0
6	Total number of volunteers (estimate if necessary)	6	0
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	716,461.	902,163.
	9	Program service revenue (Part VIII, line 2g)	0.	0.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	2,847.	1,511.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	26,627.	6,078.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	745,935.	909,752.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	414,500.	510,818.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 58,956.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	415,971.	351,438.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	830,471.	862,256.
	19	Revenue less expenses. Subtract line 18 from line 12	<84,536.>	47,496.
			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	724,628.	903,670.
	21	Total liabilities (Part X, line 26)	276,537.	408,083.
	22	Net assets or fund balances. Subtract line 21 from line 20	448,091.	495,587.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here ▶ Signature of officer Date

▶ STUART LANE, EXECUTIVE DIRECTOR

Type or print name and title

Paid Preparer Use Only	Print/Type preparer's name: BRIAN C WHITE	Preparer's signature	Date	Check [ ] if self-employed	PTIN P00058320
	Firm's name ▶ STUDLEY, WHITE & ASSOCIATES P C			Firm's EIN ▶ 06-0990132	
	Firm's address ▶ P.O. BOX 399 DANBURY, CT 06813			Phone no. 203-748-6517	

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

432001 11-07-14 LHA For Paperwork Reduction Act Notice, see the separate instructions. Form 990 (2014)

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

Department of Revenue Services
State of Connecticut
(Rev. 02/16)

Municipality: City of Norwalk

Form NAA-01

2016 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

Complete this form in blue or black ink only.

This form must be completed and submitted to your municipality for approval. All items must be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: MID-FAirfield AIDS Project, Inc.

Address: 618 West Avenue, Norwalk

Federal Employer Identification Number: 06-1229965

Program title: Moore Place Solar Panels

Name of contact person: STUART LANE

Telephone number: 203 855-9535 x101

Email address: SLane@MFAP.com

Total NAA funding requested ($250 minimum, $150,000 maximum): $20,000

Credit percentage for which your organization is applying:

____ 60% ✓ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, *Return of Organization Exempt from Income Tax?*

✓ Yes No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ______ Job training/education for unemployed persons aged 50 or over;
- ______ Job training/education for disabled persons;
- __✓__ Program serving low-income persons;
- __✓__ Energy conservation;
- ______ Child care services;
- ______ Open space acquisition fund; or
- ______ Other: Specify ______________________.

Part II — Program Information

Description of program: A ten Room congregate transitional living program for homeless disabled men + Women. They must have low incomes and willing to rebuild their lives.

Need for program: Solar System - Panels, inverters + the rest.

Neighborhood area to be served: EAST Norwalk.

Total number of recipients: 25

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Stuart LANE

   Address: 618 West Avenue Norwalk CT 06850

   Duties and responsibilities: Executive Director and General Construction supervision

2. Name: Eliot Morales

   Address: 618 West Avenue, Norwalk CT 06850

   Duties and responsibilities: Residence Manager of Clients + Physical Plant

Rosie Rodriguez, 618 West Ave, Norwalk CT 06850
Housing Coordinator.

Timetable:

Program start date: 1/1/15

Program completion date: 6/30/16

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving $25,000 or more in NAA funding.

Month your annual accounting period ends: Dec

Method of accounting: Cash ✓ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	20,000
Other funding sources - itemized sources:	
a)	
b)	
c)	
d)	
Total Funding:	20,000

Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) Solar panels and system	20,000
b)	
c)	
d)	
Administrative expenses:	
Professional fund-raising fees	
Accounting/legal & other expenses - itemized:	
a)	
b)	
c)	
d)	
Total Proposed Expenditures:	20,000

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: Norwalk Redevelopment Agency

Mailing address: t25 East Avenue, room 202; Norwalk, CT 0685t

Name of municipal liaison: Timothy Sheehan

Telephone number: 203-854-7810 x46783

Fax number: 203-854-7734

Email address: tsheehan@norwalkct.org

Post-Project Review

Is a post-project review required for this proposal?

Yes ☐ ☒ No

If Yes, date post-project review due:

____

Date

EXTENDED TO AUGUST 17, 2015

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990

OMB No. 1545-0047

2014

Open to Public Inspection

A For the 2014 calendar year, or tax year beginning and ending

B Check if applicable: Address change; Name change; Initial return; Final return/terminated; Amended return; Application pending

C Name of organization: MID FAIRFIELD AIDS PROJECT

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) Room/suite: 618 WEST AVENUE

City or town, state or province, country, and ZIP or foreign postal code: NORWALK, CT 06850

F Name and address of principal officer: STUART LANE SAME AS C ABOVE

D Employer identification number: 06-1229965

E Telephone number: 203-855-9535

G Gross receipts $ 925,448.

H(a) Is this a group return for subordinates? [ ] Yes [X] No

H(b) Are all subordinates included? [ ] Yes [ ] No

If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: [X] 501(c)(3) [ ] 501(c) ( ) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.MFAP.COM

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation: 1987 M State of legal domicile: CT

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: TO PROVIDE SUPPORT AND ADVOCACY FOR PEOPLE INFECTED WITH AIDS/HIV AS WELL AS THEIR FAMILY AND

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	5
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	5
5	Total number of individuals employed in calendar year 2014 (Part V, line 2a)	5	0
6	Total number of volunteers (estimate if necessary)	6	0
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

		Prior Year	Current Year
Revenue			
8	Contributions and grants (Part VIII, line 1h)	716,461.	902,163.
9	Program service revenue (Part VIII, line 2g)	0.	0.
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	2,847.	1,511.
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	26,627.	6,078.
12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	745,935.	909,752.
Expenses			
13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	414,500.	510,818.
16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 58,956.		
17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	415,971.	351,438.
18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	830,471.	862,256.
19	Revenue less expenses. Subtract line 18 from line 12	-84,536.	47,496.

		Beginning of Current Year	End of Year
Net Assets or Fund Balances			
20	Total assets (Part X, line 16)	724,628.	903,670.
21	Total liabilities (Part X, line 26)	276,537.	408,083.
22	Net assets or fund balances. Subtract line 21 from line 20	448,091.	495,587.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ Signature of officer — Date

▶ STUART LANE, EXECUTIVE DIRECTOR
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name: BRIAN C WHITE — Preparer's signature — Date — Check [ ] if self-employed — PTIN P00058320

Firm's name ▶ STUDLEY, WHITE & ASSOCIATES P C — Firm's EIN ▶ 06-0990132

Firm's address ▶ P.O. BOX 399 DANBURY, CT 06813 — Phone no. 203-748-6517

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

Department of Revenue Services
State of Connecticut
(Rev. 02/16)

Municipality: City of Norwalk

Form NAA-01
2016 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

Complete this form in blue or black ink only.

This form must be completed and submitted to your municipality for approval. All items must be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency:
Norwalk Congregate Homes for the Elderly, Inc. Doing Business as: Broad River Homes

Address: t08 New Canaan Ave.,
Norwalk, CT 06850

Federal Employer Identification Number: 06-t0t9968

Program title: AC Upgrades & Window Replacement Project

Name of contact person: Gerry Stuhlman

Telephone number: (203) 846-3700

Email address: BroadRiver@ehmchm.org

Total NAA funding requested ($250 minimum, $150,000 maximum): $ tt0,000.00

Credit percentage for which your organization is applying:

☐ 60% ☒ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ☐ Job training/education for unemployed persons aged 50 or over;
- ☐ Job training/education for disabled persons;
- ☒ Program serving low-income persons;
- ☐ Energy conservation;
- ☐ Child care services;
- ☐ Open space acquisition fund; or
- ☐ Other: Specify ______.

Part II — Program Information

Description of program:

We have 48 apartments rented to low income elderly and disabled who pay 30% of their income for rent. We also serve 2 meals per day 365 days a year. We have a record number of elderly on our waiting list. It is a great place to live in a convenient location for residents and their families. Our plans are to continue our ongoing project of window replacement and simultaneously replace/upgrade selected air conditioning.

Need for program:

Since 1982, Broad River Homes has occupied a building which had formerly served as an elementary school. Most of our windows date to that period and are in need of repair or replacement. The air conditioning in the apartments of our tenants is handled by window units, owned by the tenants. Many are aging and inefficient and in need of replacement. With the ongoing window replacement project, the need to address the need for an AC solution has become obvious - for energy saving, cost saving and tenant comfort reasons.

Neighborhood area to be served:

We are in the Broad River neighborhood. However, our tenants move here from many different towns.

Total number of recipients: We have 50 tenants. However, over time, hundreds more will be served.

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Gerry Stuhlman

   Address: 108 New Canaan Ave.
   Norwalk, CT 06850

   Duties and responsibilities: As the on-site Administrator, reporting to the Board of Directors, will be responsible for all Project Management responsibilities.

2. Name: Christopher J. Jarboe, Esq.

   Address: Lovejoy and Rimer, P.C. 65 East End Ave. Norwalk, CT 06851

   Duties and responsibilities: As the President of the Board of Directors, together with the Board, will consult with and oversee the work of, the on-site Administrator.

Timetable:

Program start date: October 15, 20t6

Program completion date: May t5, 20t7

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving $25,000 or more in NAA funding.

Month your annual accounting period ends: October

Method of accounting: ☐ Cash ☒ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	$t t0,000.00
Other funding sources - itemized sources:	
a) Replacement Reserves in place for Broad River Homes	$50,000.00
b) In Kind Personnel Services	$5,000.00
c)	
d)	
Total Funding:	$t65,000.00

Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) Contract for Selected Window Replacement	$20,000.00
b) Contract for Installation of HVAC units	$120,000.00
c) Project Consultant's Fee	$6,000.00
d) Reserve for Change Orders to Scope of Work	$5,000.00
Administrative expenses:	
Professional fund-raising fees	$7,000.00
Accounting/legal & other expenses - itemized:	
a) Post Project Review by CPA firm	$t,000.00
b) In kind on-site administrative supervision of project	$3,000.00
c) In kind on site superintendant's supervision of project	$2,000.00
d) Attorney Review of Contracts	$t,000.00
Total Proposed Expenditures:	$t65,000.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: Norwalk Redevelopment Agency

Mailing address: t25 East Avenue, room 202; Norwalk, CT 0685t

Name of municipal liaison: Timothy Sheehan

Telephone number: 203-854-78t0 x46783

Fax number: 203-854-7734

Email address: tsheehan@norwalkct.org

Post-Project Review

Is a post-project review required for this proposal?

☒ Yes ☐ No

If **Yes**, date post-project review due:

June 15, 2017

Date

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2014

Open to Public Inspection

A For the 2014 calendar year, or tax year beginning 11/1/2014 , and ending 10/31/2015

B Check if applicable:
- [ ] Address change
- [ ] Name change
- [ ] Initial return
- [ ] Final return/terminated
- [ ] Amended return
- [ ] Application pending

C Name of organization: NORWALK CONGREGATE HOMES FOR THE ELDER

Doing business as: BROAD RIVER HOMES

Number and street (or P.O. box if mail is not delivered to street address): C/O EHM 127 WASHINGTON AVE — Room/suite: 5TH FL EAST

City or town: NORTH HAVEN — State: CT — ZIP code: 06473-1715

Foreign country name — Foreign province/state/county — Foreign postal code

D Employer identification number: 06-1019968

E Telephone number: 203-230-4809

G Gross receipts $ 1,225,411

F Name and address of principal officer: CHRISTOPHER JARBOE 65 EAST AVENUE, NORWALK, CT 06851

H(a) Is this a group return for subordinates? [ ] Yes [X] No

H(b) Are all subordinates included? [ ] Yes [ ] No

If "No," attach a list. (see instructions)

I Tax-exempt status: [X] 501(c)(3) [ ] 501(c) ( ) ◄ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ NONE

H(c) Group exemption number ▶

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation: 1980 — M State of legal domicile: CT

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: THE CORPORATION OWNS AND OPERATES A 49 UNIT HUD SUBSIDIZED LOW INCOME ELDERLY HOUSING PROJECT.

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	8
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	8
5	Total number of individuals employed in calendar year 2014 (Part V, line 2a)	5	0
6	Total number of volunteers (estimate if necessary)	6	6
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	89,191	25,726
	9	Program service revenue (Part VIII, line 2g)	1,120,068	1,133,454
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	13,586	15,992
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0	0
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,222,845	1,175,172
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)		0
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	335,142	361,322
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 0		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	760,724	706,367
	18	Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	1,095,866	1,067,689
	19	Revenue less expenses. Subtract line 18 from line 12	126,979	107,483
			Beginning of Current Year	**End of Year**
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	2,042,027	2,108,996
	21	Total liabilities (Part X, line 26)	2,299,063	2,273,838
	22	Net assets or fund balances. Subtract line 21 from line 20	-257,036	-164,842

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ Signature of officer — Date

▶ Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [X] if self-employed	PTIN
Alan J Tucker, CPA	Alan J Tucker, CPA	2/1/2016		P00129141

Firm's name ▶ Alan J. Tucker, CPA — Firm's EIN ▶ 38-0689675

Firm's address ▶ 26 Honey Hill Rd., Norwalk, CT 06851 — Phone no. 203-847-1323

May the IRS discuss this return with the preparer shown above? (see instructions) [ ] Yes [X] No

For Paperwork Reduction Act Notice, see the separate instructions.

HTA

Form 990 (2014)

Department of Revenue Services
State of Connecticut
(Rev. 02/16)

Municipality: City of Norwalk

Form NAA-01

2016 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

Complete this form in blue or black ink only

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency:
STAR, INC., LIGHTING THE WAY...

Address:
182 WOLFPIT AVENUE, NORWALK, CT 06851

Federal Employer Identification Number:
06-0726489

Program title:
STAR, INC. ENERGY SAVINGS IMPROVEMENTS

Name of contact person:
PETER C. SAVERINE

Telephone number:
(203)846-9581

Email address:
PSAVERINE@STARINCONLINE.COM

Total NAA funding requested ($250 minimum, $150,000 maximum): $
50,000

Credit percentage for which your organization is applying:

☐ 60% ☒ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☑ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Reset Page

Please check the appropriate description of your program:

- [ ] Job training/education for unemployed persons aged 50 or over;
- [ ] Job training/education for disabled persons;
- [ ] Program serving low-income persons;
- [x] Energy conservation;
- [ ] Child care services;
- [ ] Open space acquisition fund; or
- [ ] Other: Specify

Part II — Program Information

Description of program:

Program to improve the energy-savings of our aged infrastructure to comply with current safety and energy standards to effect cost savings that will enhance our client services and programs. Proposed program includes exterior solar/energy efficient lighting along handicapped entrance ramps and in parking areas, install smart switches, vacancy sensors, and remote thermostats to administrative buildings to conserve energy, install solar panels for hot water.

Need for program:

Grant will benefit about 100 individuals with intellectual and developmental disabilities who we serve daily, and over 600 annually (100% of whom fall under the poverty level), by improving the safety of all who occupy the building (including dozens of therapeutic staff members, counselors, instructors and coaches) while rendering significant conservation of energy and related cost savings that can be redirected to sustaining and enhancing our client-focused programs. During this time of challenging budgets and uncertain funding from government, foundation, and private sources every dollar saved is impactful.

Neighborhood area to be served:

Three facilities located in Norwalk, East Norwalk, and South Norwalk

Total number of recipients:

STAR serves over 600 clients per year and their families

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Katie J. Banzhef

   Address:

   182 Wolfpit Avenue, Norwalk, CT 06851

   Duties and responsibilities:

   Executive Director, STAR, Inc., Lighting the Way...

2. Name; Bill Casale and Peter Saverine

   Address:

   182 Wolfpit Avenue, Norwalk, CT 06851

   Duties and responsibilities:

   Bill Casale- Director of Operations and Facilities Peter Saverine- Director of Philanthropy at STAR, Inc., Lighting the Way...

Timetable:

Program start date: 07/01/2016

Program completion date: 06/30/2017

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving $25,000 or more in NAA funding.

Month your annual accounting period ends: July

Method of accounting: ☑ Cash ☐ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	$ 40,000.00
Other funding sources - Itemized sources:	
a) STAR Capital account	$ 10,000.00
b)	
c)	
d)	
Total Funding:	$ 50,000.00

Proposed Program Expenditures:

Direct operating expenses - Itemized description:	
a) General supplies	$ 10,000.00
b) subcontractors	$ 40,000.00
c)	
d)	
Administrative expenses:	
Professional fund-raising fees	$ 0.00
Accounting/legal & other expenses - Itemized:	
a)	$ 0.00
b)	
c)	
d)	
Total Proposed Expenditures:	$ 50,000.00

Reset Page

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program:
Norwalk Redevelopment Agency

Mailing address: 125 East Avenue, Room 202, Norwalk, CT 06851

Name of municipal liaison: Timothy Sheehan

Telephone number: (203)854-7810 ext 46783

Fax number: (203) 854-7734

Email address: tsheehan@norwalkct.org

Post-Project Review

Is a post-project review required for this proposal?

☒ Yes ☐ No

If Yes, date post-project review due:

09/30/2017
Date

Reset Page

Form **8879-EO**

Department of the Treasury
Internal Revenue Service

IRS e-file Signature Authorization for an Exempt Organization

For calendar year 2013, or fiscal year beginning JUL 1, 2013, and ending JUN 30, 2014

▶ Do not send to the IRS. Keep for your records.

▶ Information about Form 8879-EO and its instructions is at www.irs.gov/form8879eo.

OMB No. 1545-1878

2013

Name of exempt organization: STAR, INC. - LIGHTING THE WAY

Employer identification number: 06-0726489

Name and title of officer:
KATIE BANZHAF
EXECUTIVE DIRECTOR

Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a, below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than 1 line in Part I.

1a Form 990 check here ▶	[X]	b Total revenue, if any (Form 990, Part VIII, column (A), line 12)	1b	12,169,042.
2a Form 990-EZ check here ▶	[ ]	b Total revenue, if any (Form 990-EZ, line 9)	2b	
3a Form 1120-POL check here ▶	[ ]	b Total tax (Form 1120-POL, line 22)	3b	
4a Form 990-PF check here ▶	[ ]	b Tax based on investment income (Form 990-PF, Part VI, line 5)	4b	
5a Form 8868 check here ▶	[ ]	b Balance Due (Form 8868, Part I, line 3c or Part II, line 8c)	5b	

Part II Declaration and Signature Authorization of Officer

Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2013 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal.

Officer's PIN: check one box only

[X] I authorize COHNREZNICK LLP (ERO firm name) to enter my PIN 11111 (Enter five numbers, but do not enter all zeros)

as my signature on the organization's tax year 2013 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

[ ] ~~As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2013 electronically filed return.~~ If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Officer's signature ▶ ______________________ Date ▶ ____________

Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN. 06444622222 (do not enter all zeros)

I certify that the above numeric entry is my PIN, which is my signature on the 2013 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS *e-file* Providers for Business Returns.

ERO's signature ▶ ______________________ Date ▶ ____________

ERO Must Retain This Form - See Instructions
Do Not Submit This Form To the IRS Unless Requested To Do So

Department of Revenue Services
State of Connecticut
(Rev. 02/16)

Municipality: City of Norwalk

Form NAA-01
2016 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

Complete this form in blue or black ink only.

This form must be completed and submitted to your municipality for approval. All items must be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: Human Services Council, Inc.

Address: One Park Street, Second Floor, Norwalk, CT 06851

Federal Employer Identification Number: 06-6102160

Program title: One Park Street - Interior Improvements - paint and carpeting

Name of contact person: Anthony Dilauro, Executive Director

Telephone number: (203) 849-1111

Email address: adilauro@hscct.org

Total NAA funding requested ($250 minimum, $150,000 maximum): $122,650.00

Credit percentage for which your organization is applying:

☒ 60% ☐ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- [ ] Job training/education for unemployed persons aged 50 or over;
- [ ] Job training/education for disabled persons;
- [ ] Program serving low-income persons;
- [ ] Energy conservation;
- [ ] Child care services;
- [ ] Open space acquisition fund; or
- [x] Other: Specify community services agency.

Part II — Program Information

Description of program:

Improvements to the One Park Street building include wallpaper removel and peinting as well as carpet 'removal and new cerpet Installation.

Need for program:

These Improvements to the One Perk Street facility will creete a professionel, cleen end sefe dwelling where many agencies, including the Human Services Council, reside. Our building is e high traffic facility where several non-profit agenices serve the Norwalk community. Our carpets are stained, ripped and tattered. Our walls have 30 year old wallpaper onthem that is peeling, filthy and in need of removal.

Neighborhood area to be served:

Building at One Park Street

Total number of recipients: All tenants/occupants (3 floors) and visitors to the agencies housed here

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Neel Esposito, CFO, Human Services Council, Inc.

   Address: One Park Street, Second Floor, Norwalk, CT 06851.

   Duties and responsibilities: Oversee Implementation and completion of project end work done by contractors.

2. Name: Anthony Dilauro, Executive Director, Human Services Council, Inc.

   Address: One Park Street, Second Floor, Norwalk, CT 06851.

   Duties and responsibilities: Oversee Implementation and completion of project and work done by contractors.

Timetable:

Program start date: 10/01/2017

Program completion date: 10/01/2018

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving $25,000 or more in NAA funding.

Month your annual accounting period ends: June

Method of accounting: ☐ Cash ☒ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	$122,650.00
Other funding sources - Itemized sources:	
a)	
b)	
c)	
d)	
Total Funding:	

Proposed Program Expenditures:

Direct operating expenses - Itemized description:	
a) One floor of carpeting, padding, trim, removal of old carpeting	$54,650.00
b) Painting of three floors, wallpaper removal first/second floor	$68,000.00
c)	
d)	
Administrative expenses:	
Professional fund-raising fees	
Accounting/legal & other expenses - Itemized:	
a)	
b)	
c)	
d)	
Total Proposed Expenditures:	$122,650.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: Norwalk Redevelopment Agency

Mailing address: 125 East Avenue, room 202; Norwalk, CT 06851

Name of municipal liaison: Timothy Sheehan

Telephone number: 203-854-7810 x46783

Fax number: 203-854-7734

Email address: tsheehan@norwalkct.org

Post-Project Review

Is a post-project review required for this proposal?

☒ Yes ☐ No

If **Yes**, date post-project review due:

10/01/2018

Date

A-1 Carpet & Floors Purchase Order

Cash, Certified Check
Money Order, or Bankcard
C.O.D.
No Personal Checks

DATE	ORDER NO.	ESTIMATED DELIVERY DATE	SALESMAN
4/1/16			

Neil Esposito
Buyer's Name
1 Park Street
Buyer's Address
Norwalk CT 06851
Buyer's City Zip
203-849-1111
Buyer's Phone Cell#
fax 203 849-1151
Buyer's Work Phone E Mail

DELIVER TO:
Name
Address
City State Zip
Phone Cell

A-1 Carpet & Floors
770 Connecticut Ave.
Norwalk, CT 06854
Tel: 203-956-0090
HIC# 0623972

AREA	REF#	STYLE	COLOR	CASH PRICE
2 Floors		Primus	Gem	@54 sq yd
Common Area		54510	10310	
Stairs		Shaw	Nylon	91800
wall to wall installed pad or glue				
rip up & haul away old carpet				8500
				4000
Move furniture and put back				
Vinyl wall base, remove & put new				5000
color coordinating				
State Farm Insurance -				
1 year free labor warranty				

EXISTING FLOOR: O CONCRETE O TILE O WOOD O OTHER O TAKE-UP O SCRAPER

TYPE OF INSTALLATION: ________ TYPE OF PADDING: ________

ESTIMATED STARTING DATE: __/__/__ ESTIMATED COMPLETION DATE: __/__/__

TERMS: ________

ACCT. # ________ $ B/C CK EXP. DATE __/__/__ APP# ________

TAX	
TOTAL	109300 xx
DEPOSIT	
BALANCE	

PLEASE INSPECT CARPET AND INSTALLATION FOR ANY PROBLEM WHILE THE INSTALLERS ARE AT YOUR HOME. THEY WILL BE HAPPY TO CORRECT ANY PROBLEM BEFORE LEAVING. JOB COMPLETED SATISFACTION

X

Accepted by: PURCHASER (Print name) Accepted by: PURCHASER (Print name)

Accepted by: PURCHASER SIGNATURE Accepted by: PURCHASER SIGNATURE Accepted by: A-1 CARPET & FLOORS REPRESENTATIVE

You, the Buyer(s), may cancel this transaction at any time prior to midnight of the third business day after the date of this transaction. See the attached Notice of Cancellation on back for an explanation of this right 50% cancellation fee. Rugs - Final sale, no return.

ADDITIONAL TERMS AND CONDITIONS ON BACK

* we will use half of this quote + do one floor $54,650

RICHARD HANDYMAN
41 High Street
Norwalk CT 06851
Cell: (203) 515-7190

Richard Arias, Owner
Date: 04/13/2016

Submitted to: HUMAN SERVICE COUNCIL
Property Address: One Park Street, Norwalk CT
Phone # 203-849-111
Email: nesposito@hsccr.org

Specifications and Estimates

FIRST FLOOR

1. Remove wall paper
2. Clean the glue
3. Apply one coat Primer
4. Paint the ceiling
5. Paint the windows
6. Paint the doors
7. Molding
8. Two coats of paint on the walls

SECOND FLOOR

1. Remove wall paper
2. Clean the glue
3. Apply one coat Primer
4. Paint the ceiling
5. Paint the windows
6. Paint the doors
7. Molding
8. Two coats of paint on the walls

THIRD FLOOR

1 Paint the windows
2 Paint the doors
3 Molding

Total Price: $ 68,000.00 only Labor not included materials

TERMS OF PAYMENT: 2 PAYMENTS. A first payment of ($ 34, 00,00) at front and a final payment of ($ 34,000,00) when the job is completed.

Form **990** Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047 **2014** Open to Public Inspection

A For the 2014 calendar year, or tax year beginning JUL 1, 2014 and ending JUN 30, 2015

B Check if applicable: Address change; Name change; Initial return; Final return/terminated; Amended return; Application pending

C Name of organization: HUMAN SERVICES COUNCIL, INC

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) Room/suite: 1 PARK STREET

City or town, state or province, country, and ZIP or foreign postal code: NORWALK, CT 06851

D Employer identification number: 06-6102160

E Telephone number: 203-849-1111

G Gross receipts $ 1,616,544.

F Name and address of principal officer: ANTHONY DILAURO ONE PARK STREET, NORWALK, CT 06851

H(a) Is this a group return for subordinates? ☐ Yes [X] No

H(b) Are all subordinates included? ☐ Yes ☐ No If "No," attach a list. (see instructions)

I Tax-exempt status: [X] 501(c)(3) ☐ 501(c) ( ) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.HSCCT.ORG

H(c) Group exemption number ▶

K Form of organization: [X] Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: 1944 M State of legal domicile: CT

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: THE ORGANIZATION HELPS THE COMMUNITY RECOGNIZE AND UNDERSTAND ITS HUMAN SERVICES NEEDS,

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a)	3	25
4 Number of independent voting members of the governing body (Part VI, line 1b)	4	25
5 Total number of individuals employed in calendar year 2014 (Part V, line 2a)	5	23
6 Total number of volunteers (estimate if necessary)	6	47
7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b Net unrelated business taxable income from Form 990-T, line 34	7b	0.

	Prior Year	Current Year
Revenue		
8 Contributions and grants (Part VIII, line 1h)	947,044.	920,659.
9 Program service revenue (Part VIII, line 2g)	645,432.	649,836.
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	129.	374.
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	33,422.	28,397.
12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,626,027.	1,599,266.
Expenses		
13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	1,099,295.	1,025,290.
16a Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
b Total fundraising expenses (Part IX, column (D), line 25) ▶ 7,487.		
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	733,347.	677,460.
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,832,642.	1,702,750.
19 Revenue less expenses. Subtract line 18 from line 12	-206,615.	-103,484.

Net Assets or Fund Balances	Beginning of Current Year	End of Year
20 Total assets (Part X, line 16)	3,725,799.	3,539,295.
21 Total liabilities (Part X, line 26)	3,089,935.	3,006,915.
22 Net assets or fund balances. Subtract line 21 from line 20	635,864.	532,380.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here ▶ Signature of officer Date

▶ ANTHONY DILAURO, EXECUTIVE DIRECTOR
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name: STEVE C. ERICKSON Preparer's signature: Steve Erickson CPA Date: 11/11/15 Check ☐ if self-employed PTIN: P00544148

Firm's name ▶ WHITTLESEY & HADLEY, PC Firm's EIN ▶ 06-0903326

Firm's address ▶ 280 TRUMBULL ST 24TH FL HARTFORD, CT 06103 Phone no. 860.522.3111

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes ☐ No

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

Department of Revenue Services
State of Connecticut
(Rev. 02/16)

Municipality: City of Norwalk

Form NAA-01
2016 Connecticut Neighborhood Assistance Act (NAA)
Program Proposal

Complete this form in blue or black ink only.

This form must be completed and submitted to your municipality for approval. All items must be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: The Maritime Aquarium at Norwalk

Address: 10 North Water Street, Norwalk, CT 06854

Federal Employer Identification Number: 06-1062912

Program title: Rooftop Solar Power (Phase 2)

Name of contact person: Mary Becchi

Telephone number: (203) 852-0700

Email address: mbecchi@maritimeaquarium.org

Total NAA funding requested ($250 minimum, $150,000 maximum): $ 150,000.00

Credit percentage for which your organization is applying:

☐ 60%   ☒ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes   ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- [ ] Job training/education for unemployed persons aged 50 or over;
- [ ] Job training/education for disabled persons;
- [ ] Program serving low-income persons;
- [x] Energy conservation;
- [ ] Child care services;
- [ ] Open space acquisition fund; or
- [ ] Other: Specify ______ .

Part II — Program Information

Description of program:

The Maritime Aquarium at Norwalk is applying to the State of Connecticut Neighborhood Assistance Tax Credit program under the Energy Conservation category to continue with Phase 2 of the installation of rooftop solar power on the Aquarium's buildings. Under the phase 1 engineering and planning is being conducted, with installation scheduled for 9/2016-8/2017.

Need for program:

The Aquarium's complex life support systems, which are required to maintain animals in the exhibits all day every day, as well as the large surface covered by the exhibits, require approximately 4 million kW of energy consumption each year, costing the Aquarium roughly $600,000. Installation of a solar photovoltaic system will produce 62,478 kW of energy each year, offsetting 1.6%. With an estimated system life of 20 years, the system will produce 1.25 million kW over the project's lifespan, totaling approximately $400,000.

Neighborhood area to be served:
The project serves the Aquarium building at 10 North Water Street, Norwalk Ct.

Total number of recipients: 420,000 visitors annually

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: David Truedson

   Address: 10 North Water Street, Norwalk, CT 06854

   Duties and responsibilities: Director of Operations

2. Name:

   Address:

   Duties and responsibilities:

Timetable:

Program start date: 1/1/2017 (phase 2)

Program completion date: 9/30/2017

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving $25,000 or more in NAA funding.

Month your annual accounting period ends: June

Method of accounting: ☐ Cash ☒ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	$150,000.00
Other funding sources - itemized sources:	
a) Aquarium Operating Funds	$15,000.00
b)	
c)	
d)	
Total Funding:	$165,000.00

Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) Total System Cost (Phase 2)	$150,000.00
b)	
c)	
d)	
Administrative expenses:	
Professional fund-raising fees	
Accounting/legal & other expenses - itemized:	
a) In house project oversight	$13,000.00
b) Accoounting	$2,000.00
c)	
d)	
Total Proposed Expenditures:	$165,000.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: Norwalk Redevelopment Agency

Mailing address: 125 East Avenue, rcom 202; Norwalk, CT 06851

Name of municipal liaison: Timothy Sheehan

Telephone number: 203-854-7810 x 46783

Fax number: 203-854-7734

Email address: tsheehan@norwalkct.org

Post-Project Review

Is a post-project review required for this proposal?

☒ Yes ☐ No

If **Yes**, date post-project review due:

12/31/2017

Date

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990 and its instructions is at www.irs.gov/form990

OMB No. 1545-0047
2013
Open to Public Inspection

A For the 2013 calendar year, or tax year beginning JUL 1, 2013 and ending JUN 30, 2014

B Check if applicable: [ ] Address change [ ] Name change [ ] Initial return [ ] Terminated [ ] Amended return [ ] Application pending

C Name of organization: THE MARITIME AQUARIUM AT NORWALK, INC.
Doing Business As
Number and street (or P.O. box if mail is not delivered to street address) / Room/suite: 10 NORTH WATER STREET
City or town, state or province, country, and ZIP or foreign postal code: SOUTH NORWALK, CT 06854

D Employer identification number: 06-1062912
E Telephone number: (203)852-0700
G Gross receipts $ 11,589,499.

F Name and address of principal officer: ROY A. DOWDELL
SAME AS C ABOVE

H(a) Is this a group return for subordinates? [ ] Yes [X] No
H(b) Are all subordinates included? [ ] Yes [ ] No
If "No," attach a list. (see instructions)
H(c) Group exemption number ▶

I Tax-exempt status: [X] 501(c)(3) [ ] 501(c) ( ) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.MARITIMEAQUARIUM.ORG

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶
L Year of formation: 1985
M State of legal domicile: CT

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: THE MARITIME AQUARIUM INSPIRES PEOPLE OF ALL AGES TO APPRECIATE LONG ISLAND SOUND AND PROTECT IT

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	34
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	34
5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	113
6	Total number of volunteers (estimate if necessary)	6	254
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

		Prior Year	Current Year
Revenue			
8	Contributions and grants (Part VIII, line 1h)	3,305,229.	2,918,110.
9	Program service revenue (Part VIII, line 2g)	6,001,287.	6,211,257.
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	11,743.	7,238.
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,068,652.	1,741,247.
12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	10,386,911.	10,877,852.
Expenses			
13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	4,206,337.	4,494,311.
16a	Professional fundraising fees (Part IX, column (A), line 11e)	6,050.	0.
b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 609,180.		
17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	6,130,713.	5,909,627.
18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	10,343,100.	10,403,938.
19	Revenue less expenses. Subtract line 18 from line 12	43,811.	473,914.

	Net Assets or Fund Balances	Beginning of Current Year	End of Year
20	Total assets (Part X, line 16)	18,191,972.	18,989,670.
21	Total liabilities (Part X, line 26)	1,408,244.	1,686,652.
22	Net assets or fund balances. Subtract line 21 from line 20	16,783,728.	17,303,018.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here
▶ Signature of officer — Date
▶ PRISCO DEMERCURIO, CFO
Type or print name and title

Paid Preparer Use Only
Print/Type preparer's name: DOUGLAS FARRINGTON | Preparer's signature | Date | Check [ ] if self-employed | PTIN P00370668
Firm's name ▶ MARCUM LLP | Firm's EIN ▶ 11-1986323
Firm's address ▶ 555 LONG WHARF DRIVE
NEW HAVEN, CT 06511 | Phone no. 203-777-1099

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

Department of Revenue Services
State of Connecticut
(Rev. 02/16)

Municipality: City of Norwalk

Form NAA-01
2016 Connecticut Neighborhood Assistance Act (NAA)
Program Proposal

Complete this form in blue or black ink only.

This form must be completed and submitted to your municipality for approval. All items must be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: Open Door Shelter

Address: 4 Merritt Street, Norwalk, CT 06854

Federal Employer Identification Number: 22-2536909

Program title: Energy Efficiency System - Two Merritt

Name of contact person: Jeannette Archer-Simons

Telephone number: (203) 866-1057

Email address: jarcher@opendoorshelter.org

Total NAA funding requested ($250 minimum, $150,000 maximum): $150,000.00

Credit percentage for which your organization is applying:

☐ 60% ☒ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If No, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- [ ] Job training/education for unemployed persons aged 50 or over;
- [ ] Job training/education for disabled persons;
- [ ] Program serving low-income persons;
- [x] Energy conservation;
- [ ] Child care services;
- [ ] Open space acquisition fund; or
- [ ] Other: Specify ______________________.

Part II — Program Information

Description of program:

Funding is requested to install the internal systems high efficient heating and cooling - as part of the development of the SoNo Life Center located at 2 Merritt Place in Norwalk. The HVAC system will ensure energy-efficient heat in the winter and cooling in the summer for a mixed use building which includes a job training (vocational) program, a health center and 15 efficiency and 1 one bedroom housing units.

Need for program:

More than 29% of the families living in the Greater Norwalk area or 8,500 people, have been identified as struggling to survive or as the working poor and some are homeless. This project, located in one of the most blighted areas of the community will serve the working poor, individuals and families and the homeless community. It will provide critical housing and repurpose an old factory building. Healthcare, job training and housing are all solutions to addressing the root causes of poverty and homelessness.

Neighborhood area to be served:
South Norwalk

Total number of recipients: 1000

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Jeannette Archer-Simons

   Address: 4 Merritt Street, Norwalk, CT 06854

   Duties and responsibilities: Executive Director. Responsible for financial and program oversight, achieving program outcomes and effective impact on community needs

2. Name: Frank Concepcion, Director of Operations.

   Address: 4 Merritt St., Norwalk, CT 06854

   Duties and responsibilities: Responsible for building/building maintenance and housing programs in addition to day to day operations the food program, shelter and current

Timetable:

Program start date: 10/1/2016

Program completion date: 10/31/2017

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving $25,000 or more in NAA funding.

Month your annual accounting period ends: 6/30/2016

Method of accounting: ☐ Cash ☒ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	$150,000.00
Other funding sources - itemized sources:	
a) Private Individuals and Foundations	$2,000,000.00
b) State Grants	$3,750,000.00
c) Local Government Grants	$100,000.00
d)	
Total Funding:	$8,000,000.00

Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) HVAC	$359,170.00
b) Other Construction Costs.	$2,804,587.00
c)	
d)	
Administrative expenses:	
Professional fund-raising fees	$35,000.00
Accounting/legal & other expenses - itemized:	
a)	$25,000.00
b)	
c)	
d)	
Total Proposed Expenditures:	$3,223,757.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: Norwalk Redevelopment Agency

Mailing address: 125 East Avenue, room 202; Norwalk, CT 08851

Name of municipal liaison: Timothy Sheehan

Telephone number: 203-854-7810 x46783

Fax number: 203-854-7734

Email address: tsheehan@norwalkct.org

Post-Project Review

Is a post-project review required for this proposal?

☒ Yes ☐ No

If **Yes**, date post-project review due:

1/31/2018

Date

EXTENDED TO MAY 16, 2016

Form **990** Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047 **2014** Open to Public Inspection

A For the 2014 calendar year, or tax year beginning JUL 1, 2014 and ending JUN 30, 2015

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Final return/terminated ☐ Amended return ☐ Application pending

C Name of organization: THE OPEN DOOR SHELTER, INC.

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) / Room/suite: P.O. BOX 496, 4 MERRITT STREET

City or town, state or province, country, and ZIP or foreign postal code: SOUTH NORWALK, CT 06856

F Name and address of principal officer: CURTIS STEWART SAME AS C ABOVE

D Employer identification number: 22-2536909

E Telephone number: 203-866-1057

G Gross receipts $ 2,880,719.

H(a) Is this a group return for subordinates? ☐ Yes ☒ No

H(b) Are all subordinates included? ☐ Yes ☐ No If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.OPENDOORSHELTER.ORG

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: 1984 M State of legal domicile: CT

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: PROVIDES EMERGENCY SHELTER, FOOD, COUNSELING, HOUSING AND SUPPORT SERVICES TO ADDRESS THE CAUSES

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	14
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	14
5	Total number of individuals employed in calendar year 2014 (Part V, line 2a)	5	56
6	Total number of volunteers (estimate if necessary)	6	450
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	2,116,737.	2,564,290.
	9	Program service revenue (Part VIII, line 2g)	175,075.	219,283.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	81.	26.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	7,967.	52,527.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	2,299,860.	2,836,126.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	1,261,291.	1,241,193.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 174,269.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	1,253,684.	1,324,559.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	2,514,975.	2,565,752.
	19	Revenue less expenses. Subtract line 18 from line 12	-215,115.	270,374.
			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	3,872,269.	4,195,839.
	21	Total liabilities (Part X, line 26)	1,451,561.	1,504,757.
	22	Net assets or fund balances. Subtract line 21 from line 20	2,420,708.	2,691,082.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here ▶ Signature of officer — Date

▶ CURTIS STEWART, BOARD PRESIDENT
Type or print name and title

Paid Preparer Use Only				
Print/Type preparer's name: BRUCE BLASNIK	Preparer's signature: BRUCE BLASNIK	Date: 03/03/16	Check ☐ if self-employed	PTIN: P00733345
Firm's name ▶ PKF O'CONNOR DAVIES, LLP			Firm's EIN ▶ 27-1728945	
Firm's address ▶ 3001 SUMMER STREET, 5TH FL EAST STAMFORD, CT 06905			Phone no. 203-323-2400	

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

THE PLANNING COMMITTEE OF THE COMMON COUNCIL

125 East Avenue
P.O. Box 5125
Norwalk, CT 06856

To: Members of the Norwalk Common Council

From: Tami Strauss, Director of Community Development Planning

Date: May 6, 2016

Re: Restructure of the 108 Application for the Wall Street Theater.

In June 2013, the Common Council approved the Redevelopment Agency to advance to HUD an application for a Section 108 loan guarantee for the redevelopment of the old Globe Theater on Wall Street. In September 2015, HUD approved the City of Norwalk's request for loan guarantee assistance under Section 108 of the Housing and Community Development Act of 1974 for the Globe Theater Redevelopment Project to be named the Wall Street Theater in the amount of $1,666,000.

As reviewed with the Planning Committee in September 2014, HUD stipulated that the Norwalk Redevelopment Agency (NRA) is to be the Section 108 borrower. The Norwalk Redevelopment Agency agreed to assume that responsibility in September 2014.

Upon receipt of funds, the NRA will underwrite a twenty-year leasehold mortgage with the Wall Street Theater Company Inc., the operator of the theater, in the amount of $1,666,000.

As the loan structure is now a HUD to Norwalk Redevelopment Agency transaction, the Agency requires the following to be approved by the Common Council:

Actions Requested:

- Approve the Norwalk Redevelopment Agency as the borrower of the Section 108 loan funds for the purpose of the Globe Theater Redevelopment Project.
- Approve the attached 2013, 2014 and 2015 certified financial statements of the Norwalk Redevelopment Agency as evidence of the NRA's financial capacity to repay the Section 108 loan and as additional security for the guaranteed loan as required by HUD.
- Approve as to form the attached Common Council Consent Resolution to be approved by the Common Council prior to the Redevelopment Agency closing on a loan with the Wall Street Theater Company Inc. which will not be unreasonably withheld.

Resolution

From: Common Council City of Norwalk
Regarding: Section 108 Loan Guarantee request for the Globe Theater Redevelopment Project

WHEREAS, the Common Council of the City of Norwalk, CT recognizes the significance of the redevelopment of the old Globe Theater to house a public cultural use that would elevate the Wall Street area as an emerging arts district; and

WHEREAS, the Common Council understands the need for public financing to accomplish this objective and recognizes that public financing is required to make the project, to be named the Wall Street Theater, economically viable; and

WHEREAS, investors in the construction of the Wall Street Theater are: (a) Patriot Bank construction loan - $8.2 million; (b) State of CT DECD grant for acquisition - $1.5 million; (c) Federal Historic Tax Credits - $1.8 million; (d) State of CT Historic Tax Credits - $1.6 million; (e) State of CT Infrastructure Tax Credits - $2 million; (f) Private grants and donations - $260k; and

WHEREAS, the Wall Street Theater Company, Inc. has requested the City's investment be in the form of a Section 108 Loan Guarantee in the amount of $1,666,000 as approved by HUD; and

WHEREAS, Norwalk Redevelopment has agreed to be the borrower of the Section 108 loan funds and the responsible party to effectively underwrite an associated leasehold improvement loan with the Wall Street Theater Company, Inc.; and

WHEREAS, the Norwalk Redevelopment Agency has underwritten the loan to Wall Street Theater Company, Inc. and has made such underwriting available to the Common Council for its review prior to closing the loan;

NOW THEREFORE BE IT RESOLVED: that the Common Council of the City of Norwalk on this date herby approves this resolution and indicates its support of the loan structure and underwriting of the $1,666,000 loan to the Wall Street Theater Company, Inc. as structured by and due to the Norwalk Redevelopment Agency.

Solakian & Company, LLC
Certified Public Accountants

Michael Solakian, CPA
71 Harrison Avenue
Branford, Connecticut 06405-3607 USA

TEL: 203-483-8115
FAX: 203-483-0367

EMAIL: solakian@solakiancpa.com

NORWALK REDEVELOPMENT AGENCY

Government-wide Financial Statements and Single Audit Reports

Year ended June 30, 2013

NORWALK REDEVELOPMENT AGENCY

Table of Contents

Year ended June 30, 2013

Supplementary Information

Solakian & Company, LLC
Certified Public Accountants

Michael Solakian, CPA
P.O. Box 716
North Branford, CT 06471-9995 USA

TEL: 203-483-8115
FAX: 203-483-0367

EMAIL: solakian@solakiancpa.com

INDEPENDENT AUDITORS' REPORT

To the Board of Commissioners
Norwalk Redevelopment Agency:

We have audited the accompanying financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of ***Norwalk Redevelopment Agency*** as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the Agency's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of ***Norwalk Redevelopment Agency***, as of June 30, 2013, and the respective changes in financial position, and, where applicable, cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis and budgetary comparison information on pages 1-6 and 20 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the *Governmental Accounting Standards Board*, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise ***Norwalk Redevelopment Agency's*** basic financial statements. The schedule of expenditures of federal awards is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, and is also not a required part of the basic financial statements.

The schedule of expenditures of federal awards is the responsibility of management and was derived from and relate directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole..

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated November 9, 2013, on our consideration of ***Norwalk Redevelopment Agency's*** internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Agency's internal control over financial reporting and compliance.

Solakian & Company, LLC

November 9, 2013

NORWALK REDEVELOPMENT AGENCY, INC.

Management's Discussion and Analysis

June 30, 2013

As management of the Norwalk Redevelopment Agency, Inc. (the "Agency"), we offer readers of the Agency's financial statements this narrative overview and analysis of the financial activities of the Agency for the fiscal year ended June 30, 2013. We encourage readers to consider the information presented here in conjunction with additional information that we have furnished in the Agency's basic financial statements that follow this section.

Financial Highlights – Norwalk Redevelopment Agency

The assets of the Norwalk Redevelopment Agency exceeded its liabilities at the close of the most recent fiscal year by $4,835,286 (net assets). There was no increase or decrease in net assets due to a break even operating result for the fiscal year ended June 30, 2013.

Overview of the Financial Statements

This discussion and analysis is intended to serve as an introduction to the Agency's basic financial statements. The Agency's financial statements are comprised of three components: 1) government-wide financial statements, 2) fund financial statements, and 3) notes to financial statements. As outlined in GASB 34, this report also contains other supplementary information in addition to the basic financial statements themselves.

Government-Wide Financial Statements

The government-wide financial statements are designed to provide readers with a broad overview of the Agency's finances, in a manner similar to a private-sector business. The statement of net assets presents information on all of the Agency's assets and liabilities, with the difference between the two reported as net assets. Over time increases or decreases in net assets may serve as a useful indicator of whether the financial position of the Agency is trending up or down. The statement of activities presents information showing how the Agency's net assets changed during the most recent fiscal year. All changes in net assets are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of related cash flows. Thus, revenues and expenses are reported in this statement for some items that will only result in cash flows in future fiscal periods. Both of the government-wide financial statements distinguish functions of the Agency that are principally supported by CDBG, DECD, and City of Norwalk grants (governmental activities). Norwalk Redevelopment Agency projects are essential to the City of Norwalk so that the City of Norwalk can maintain stable taxes, avoid stagnation, and preserve the City's AAA bond rating.

(Continued)

Government-Wide Financial Statements (continued)

The governmental activities of the Agency include Non-Revolving Loan Activities funded by the Federal HUD Community Development Block Grant program (CDBG), Revolving Loan Activities funded by paid-off loans that are invested back into the Norwalk community as new loans, the Department of Economic Community Development (DECD) grants funded by the State and City of Norwalk which are used for Urban Renewal projects in Norwalk, and the Operating Activities which manage and perform the daily activities in the various programs and projects. In addition to the governmental funds containing special revenue, there is an account group containing general long term obligations of the Agency for sick and vacation time earned but not paid out until a later period. The government-wide financial statements can be found on pages 7 - 8 of this report.

Fund financial statements

A fund is a grouping of related accounts that is used to maintain control over resources that have been segregated for specific activities or objectives. The Norwalk Redevelopment Agency, like other redevelopment agencies of local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements. All of the funds of the Agency can be divided into two categories: governmental funds for special revenue, and account groups for general long-term obligations.

Governmental funds

Governmental funds are used to account for essentially the same functions reported as governmental activities in the government-wide financial statements. However, unlike the government-wide financial statements, governmental fund financial statements focus on near-term inflows and outflows of spendable resources, as well as on balances of spendable resources available at the end of the fiscal year. Such information may be useful in evaluating the Agency's near-term financing requirements. Because the focus of governmental funds is narrower than that of the government-wide financial statements, it is useful to compare the information presented for governmental funds with similar information presented for governmental activities in the government-wide financial statements. By doing so, readers may better understand the long-term impact of the Agency's near-term financing decisions. Both the governmental fund balance sheet and the governmental fund statement of revenues, expenditures, and changes in fund balances provide a reconciliation to facilitate this comparison between governmental funds and governmental activities.

(Continued)

Governmental funds (continued)

The reconciliation usually centers on debt and fixed assets of which the Agency has none. The Norwalk Redevelopment Agency maintains five (5) individual governmental funds. Information is presented separately in the governmental fund balance sheet and in the governmental fund statement of revenues, expenditures, and changes in fund balances for the governmental fund and account group for general long term obligations. Individual fund data for each of these non-major governmental funds is provided in the form of combining statements elsewhere in this report. The basic governmental fund financial statements can be found on pages 9 - 10 of this report.

Notes to the financial statements

The notes provide additional information that is essential to a full understanding of the data provided in the government-wide and fund financial statements. The notes to the financial statements can be found on pages 11 - 17 of this report.

Government-Wide Financial Analysis

As noted earlier, net assets may serve over time as a useful indicator of an agency's financial position. In the case of the Norwalk Redevelopment Agency, assets exceeded liabilities by $4,835,286 at the close of the most recent fiscal year. The net assets did not increase or decrease due to a break even operating result for the fiscal year ended June 30, 2013.

The largest portion of the Agency's assets (68%) is made up of cash and investments. Interest earned on cash and investnents generate a small portion of the revenue for the Agency's operating budget. The second largest portion of the Agency's assets (26%) is made up of accounts and loans receivable. Accounts receivable are due from government entities, and the loan portfolio consists of loans to qualified sub-prime borrowers priced in accordance with HUD guidelines. At the end of the current fiscal year, the Agency is able to report positive balances in net assets.

Governmental activities

Governmental activities had no effect on the Agency's net assets of $4,835,286 for the current fiscal year ending June 30, 2013.

(Continued)

NORWALK REDEVELOPMENT AGENCY, INC.

Management's Discussion and Analysis

June 30, 2013

GOVERNMENT WIDE STATEMENT

Statement of Revenues, Expenditures and Changes in Net Assets

The following schedule shows the revenues and expenses for the current fiscal year as comparec to the previous fiscal year.

	Governmental Funds Special Revenue 2013	Governmental Funds Special Revenue 2012
Revenues:		
Other government grants for City projects	$ 4,570,118	$ 4,535,055
Federal grants	1,553,369	1,106,393
Program and other income	164,930	172,264
Investment income	19,139	42,312
Total revenues	6,307,556	5,856,024
Expenditures:		
Project outlays	6,307,556	5,846,237
Net changes in fund balances	-	9,787
Fund balances - beginning of year	4,835,286	5,423,440
Prior period	-	(597,941)
Fund balances - beginning of year, as restated	4,835,286	4,825,499
Fund balances - end of year	$ 4,835,286	$ 4,835,286

(Continued)

NORWALK REDEVELOPMENT AGENCY, INC.

Management's Discussion and Analysis

June 30, 2013

GOVERNMENT WIDE STATEMENT

Statement of Net Assets - Current Year versus Prior Year

The following schedule shows the assets, liabilities, and net assets for the current fiscal year as compared to the previous fiscal year.

	Governmental Funds 2013	Governmental Funds 2012
Assets		
Cash	$ 282,226	$ 397,477
Investments	8,524,146	9,910,480
Grants and accounts receivable	1,915,778	1,811,208
Loans receivable, less allowance of $4,460,845	1,503,252	1,734,154
Other assets	732,767	1,252,087
Total assets	$ 12,958,169	$ 15,105,406
Liabilities and Net Assets		
Notes payable to bank	$590,000	1,100,000
Accounts payable and accrued expenses	852,439	1,167,597
Due to governmental agencies	2,192,998	3,160,076
Deferred revenues	4,352,093	4,709,562
Compensated absences	135,353	132,885
Total liabilities	8,122,883	10,270,120
Restricted net assets-urban redevelopment	4,835,286	4,835,286
Total liabilities and net assets	$ 12,958,169	$ 15,105,406

(Continued)

Expenses and Program Revenues – Government-Wide Activities

Revenues and expenditures were break even during the fiscal year ending June 30, 2013.

Financial Analysis of the Government's Funds

As noted earlier, the Agency uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Governmental Funds

The focus of the Agency's governmental funds is to provide information on near-term inflows, outflows, and balances of spendable resources. Such information is useful in assessing the Agency's financing requirements. In particular, fund balances may serve as a useful measure of a government's net resources available. As of the end of the current fiscal year, the Agency's governmental funds reported combined ending fund balances of $4,835,286. There was no increase or decrease in net assets due to a break even operating result for the fiscal year ended June 30, 2013.

Governmental Fund Budgetary Highlights

There was no difference between the original budget and the final budget.

Factors Affecting CDBG Federal Funding

The Federal HUD Community Development Block Grant (CDBG) funding has substantially decreased over the years. In the year 2001, the CDBG entitlement grant shared with non-profits was $1,209,000. In the year 2008, the grant had continued its yearly downward spiral to where the CDBG entitlement grant was only $935,102. Over the 7 year period, there was an actual decrease in CDBG dollar funding of $273,898, or 23%. In addition, a 3% Cost of Living increase in federal CDBG funding was never provided which resulted in an additional decrease in CDBG funding of $277,917, or 23%. This resulted in a significant 46% decrease in total real CDBG dollars received over seven years of $551,322. The CDBG entitlement grant of $849,889 shared with non-profits again had a funding decrease for the fiscal year ending June 30, 2013.

Requests for Information

This financial report is designed to provide a general overview of the Agency's finances for all those with an interest in the finances of the Agency. Questions concerning any of the information provided in this report or requests for additional financial information should be addressed to the Comptroller at the Norwalk Redevelopment Agency, 125 East Avenue - Room 202, Norwalk, CT 06856.

NORWALK REDEVELOPMENT AGENCY

Statement of Net Assets

June 30, 2013

Assets		Governmental Activities
Cash	$	282,226
Investments		8,524,146
Grants and accounts receivable		1,915,778
Loans receivable, less allowance of $4,460,845		1,503,252
Other assets		732,767
Total assets	$	12,958,169

Liabilities and Net Assets		
Note payable to bank	$	590,000
Accounts payable and accrued expenses		852,439
Due to governmental agencies		2,192,998
Deferred revenues		4,352,093
Other liabilities		135,353
Total liabilities		8,122,883
Restricted net assets-urban redevelopment		4,835,286
Total liabilities and net assets	$	12,958,169

See accompanying notes to basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Statement of Activities

Year ended June 30, 2013

Functions/Programs	Expenses	Program Revenues	Net (Expense) Revenue and Changes in Net Assets: Capital Grants and Contributions	Net (Expense) Revenue and Changes in Net Assets: Primary Government-Total Governmental Activities
Primary government-governmental activities:				
Operating	$ 1,551,915	104,423	1,430,403	(17,089)
Community Development Block Grant-Revolving Loan Fund (RLF)	41,314	41,314	-	-
Community Development Block Grant-NRLF	1,572,562	19,193	1,553,369	-
Other programs and City projects	3,141,764	-	3,140,915	(849)
Total governmental activities	$ 6,307,555	164,930	6,124,687	(17,938)
General revenues:				
Unrestricted investment income				17,938
Change in net assets				-
Net assets-beginning of year				4,835,286
Net assets-end of year				$ 4,835,286

See accompanying notes to basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Balance Sheet-Governmental Fund Type

June 30, 2013

		Governmental Fund
		Special Revenue
Assets		
Cash	$	282,226
Investments		8,524,146
Grants and accounts receivable		1,915,778
Loans receivable, less allowance of $4,460,845		1,503,252
Other assets		732,767
Total assets	$	12,958,169
Liabilities and Fund Balances		
Note payable to bank	$	590,000
Accounts payable and accrued expenses		852,439
Due to governmental agencies and third parties		2,192,998
Deferred revenues		4,352,093
Other liabilities		135,353
Total liabilities		8,122,883
Fund balances-restricted		4,835,286
Total liabilities and fund balances	$	12,958,169

Reconciliation of governmental fund balances:

Total fund balances	$	4,835,286
Reconciling items		-
Net assets of governmental activities	$	4,835,286

See accompanying notes to basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Statement of Revenues, Expenditures and Changes in Fund Balance-Governmental Funds

Year ended June 30, 2013

		Special Revenue
Revenues:		
Other government grants for City projects	$	4,571,318
Federal grants		1,553,369
Program and other income		164,930
Investment income		17,938
Total revenues		6,307,555
Expenditures:		
Project outlays		6,307,555
Net changes in fund balances		-
Fund balances - beginning of year		4,835,286
Fund balances - end of year	$	4,835,286

Reconciliation of Statement of Revenues, Expenditures and Changes in Fund Balance:

Total net change in fund balances-governmental funds		-
Reconciling items		-
Change in net assets of governmental activities	$	-

See accompanying notes to basic financial statements.

(1) Summary of Significant Accounting Policies

The Norwalk Redevelopment Agency (Agency), an agent of the City of Norwalk, Connecticut (City) and is defined by the City as an Administrative Department of the municipality. The Agency was established by state statute and City code to oversee the development of the City's six project areas. The Agency functions autonomously from the City and is managed by a board of five commissioners who are appointed by the Mayor and approved by the Common Council of the City.

The Agency prepares its basic financial statements in conformity with generally accepted accounting principles promulgated by the Governmental Accounting Standards Board (GASB) and other authoritative sources identified in *Statement on Auditing Standards No. 69*; has implemented the financial reporting model, as required by the provisions of GASB Statement No. 34, *Basic Financial Statements-and Management's Discussion and Analysis-for State and Local Governments*, as of July 1, 2003; and it complies with the requirements of contracts and grants of agencies from which it receives funds.

Reporting Entity

The Board of Commissioners (Board) is appointed by the Mayor and it has the authority to make decisions, appoint administrators and managers, and significantly influence operations. It also has the primary accountability for fiscal matters. Therefore, the Agency is a financial reporting entity as defined by GASB in its Statement No. 14, "*The Financial Reporting Entity.*" There are no component units included within the reporting entity.

Government-wide and Fund Financial Statements

The Statement of Net Assets and the Statement of Activities are government-wide financial statements. They report information on all of the Agency's non-fiduciary activities. Governmental activities include programs supported primarily by grants and other intergovernmental revenues. Business-type activities include operations that rely to a significant extent on fees and charges for support. There were no business-type activities during 2013.

The Statement of Activities demonstrates how other people or entities that participate in programs the Agency operates have shared in the payment of the direct costs. The "Capital grants and contributions" column includes amounts paid by organizations outside the Agency to help meet the operational or capital requirements of a given function. If revenue is not program revenue, it is general revenue used to support all of the Agency's functions.

All interfund transactions between governmental funds are eliminated on the government-wide statements. Interfund activities between governmental funds and fiduciary funds would remain on the government-wide Statement of Activities.

(Continued)

(1) Summary of Significant Accounting Policies (continued)

The fund financial statements provide reports on the financial condition and results of operations for two fund categories – governmental and special revenue funds.

Measurement Focus, Basis of Accounting, and Financial Statement Presentation

The government-wide financial statements use the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of the related cash flows. Grants and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.

Governmental fund financial statements use the current financial resources measurement focus and the modified accrual basis of accounting. With this measurement focus, only current assets, current liabilities and fund balances are included on the balance sheet. Operating statements of these funds present net increases and decreases in current assets (i.e., revenues and other financing sources and expenditures and other financing uses).

The modified accrual basis of accounting recognizes revenues in the accounting period in which they become both measurable and available, and it recognizes expenditures in the accounting period in which the fund liability is incurred, if measurable. The expenditures related to certain compensated absences and claims and judgments are recognized when the obligations are expected to be liquidated with expendable available financial resources. The Agency considers all revenues available if they are collectible within 60 days after year end.

Miscellaneous revenues are recorded as revenue when received in cash because they are generally not measurable until actually received. Investment earnings are recorded as earned, since they are both measurable and available.

Grant funds are considered to be earned to the extent of expenditures made under the provisions of the grant. Accordingly, when such funds are received, they are recorded as deferred revenues until related and authorized expenditures have been made. If balances have not been expended by the end of the project period, grantors some times require the Agency to refund all or part of the unused amount.

The Agency applies all GASB pronouncements as well as the Financial Accounting Standards Board pronouncements issued on or before November 30, 1989, unless these pronouncements conflict or contradict GASB pronouncements. With this measurement focus, all assets and all liabilities associated with the operation of these funds are included on the fund Statement of Net Assets.

(Continued)

(1) Summary of Significant Accounting Policies (continued)

Funds and Account Groups

The accounts of the Agency are organized on the basis of funds or account groups, each of which is considered a separate accounting entity. The operations of each fund are accounted for with a separate set of self-balancing accounts that comprise its assets, liabilities, reserves, fund balance, revenues and expenditures or expenses, as appropriate. The following fund types and account groups are used by the Agency:

Governmental Funds

Governmental funds are accounted for on a spending or "financial flow" measurement focus. That means only current assets and liabilities are generally included on their balance sheets. The reported fund balance (net current assets) is considered a measure of "available spendable resources". Operating statements present increases and decreases in net current assets.

Special Revenue Fund

Special Revenue Funds are used to account for the proceeds of specific revenue sources that are legally restricted to expenditures for specified purposes. The Agency utilizes this fund to account for all grants, federal and state.

Cash Equivalents and Concentration of Risk

The Agency considers cash equivalents to be those investments with original maturities of three months or less. The Agency also maintains its cash in bank deposit accounts which, at times, may exceed federally insured limits. The Agency has not experienced any losses in such accounts, and does not believe it is exposed to any significant credit risk on cash and cash equivalents.

Investments

Investments are presented at fair value.

Loans Receivable

Loans receivable, representing the outstanding balance of amounts advanced to individuals or businesses from federal community development grants, are recorded as assets when the advances are disbursed and are reflected net of any applicable uncollectible amounts.

Interfund Receivables and Payables

Outstanding balances between funds are presented as either due from or due to other funds and are not eliminated in the accompanying financial statements.

(Continued)

(1) Summary of Significant Accounting Policies (continued)

Program Funds

All funds received by the Agency are generally designated for use in the operation of its urban renewal and community development programs.

Compensated Absences and Post-Employment Benefits

Employees are permitted to accumulate a specified amount of earned but unused sick leave, which will be paid to them upon separation from the Agency. These expenditures are recognized in the governmental funds in the current year to the extent they are paid during the year or the vested amount is expected to be paid with available resources. The liability for compensated absences at June 30, 2013 was approximately $133,000.

The Agency does not provide any post-employment benefits.

Budgets

Based upon the nature of the operation of the Agency, the Agency is not legally required to adopt a budget. Accordingly, comparative budget analysis has not been presented in these financial statements.

Subsequent Events

Subsequent events have been evaluated through November 9, 2013, which is the date the financial statements were available to be issued.

(2) Reconciliation of Government-wide and Fund Financial Statements

The Combined Balance Sheet-Governmental Fund Type provides the reconciliation between the fund balance for total governmental funds on the governmental fund balance sheet and the net assets for governmental activities as reported in the government-wide statement of net assets. There were no major reconciling items for 2013.

The Combined Statement of Revenues, Expenditures and Changes in Fund Balance-Governmental Funds provides a reconciliation between the net changes in fund balance as shown on the governmental fund statement of revenues, expenditures, and changes in fund balances and the changes in net assets of governmental activities as reported on the government-wide statement of activities. There were no major reconciling items for 2013.

(Continued)

(3) Deposits and Investments

At June 30, 2013, the carrying amount of the Agency's deposits was approximately $282,000, and their bank balances totaled approximately $515,000. The majority of the cash was on deposit with private financial institutions. As of June 30, 2013, the Agency's deposits with financial institutions were in excess of federal depository insurance limits by approximately $265,000.

The Agency's cash consists of the following amounts at June 30, 2013:

Petty cash	$	150
Cash in bank		282,076
Total cash	$	282,226

Custodial credit risk for deposits is the risk that in the event of a bank failure, the Agency's deposits may not be returned to it, or it will not be able to recover collateral securities that are in the possession of an outside party. For an investment, custodial credit risk is the risk that, in the event of the failure of the counterparty, the Agency will not be able to recover the value of its investments or collateral securities that are in the possession of an outside party. The Agency does not have a deposit policy for custodial credit risk.

The Agency's investments in money market accounts at June 30, 2013 totaled approximately $8,524,000 (of which $250,000 was insured), and consisted of money market funds.

In the event that a financial institution becomes insolvent and is placed under Federal Deposit Insurance Corporation (FDIC) receivership, this collateral is subject to the FDIC requirements for perfecting security interest under Federal law. In the opinion of the Connecticut State Attorney General, these requirements are met.

(4) Note Payable to Bank

The Agency has a $1,100,000 note payable to a local bank which is secured by certain real property and payable through February 2014. The current interest rate of 2% is calculated at Peoples United Bank prime rate less 1.25%. This loan will be repaid during the fiscal year ended June 30, 2014, and had an outstanding balance of $590,000 as of June 30, 2013.

(Continued)

(5) Receivables, Payables and Deferred Revenue

Grants and accounts receivables consist of the following amounts at June 30, 2013:

City of Norwalk-pass through from CT state agencies	$ 1,276,882
Other	638,897
Total grants and accounts receivable	$ 1,915,778

Due to governmental agencies consists of the following amounts at June 30, 2013:

Due to CDBG	$ 1,199,321
City of Norwalk-various projects	641,554
Other	352,123
Total due to governmental agencies and third parties	$ 2,192,998

Deferred revenue totaled $4,352,093 as of June 30, 2013. Grant revenues are considered earned when expenditures are incurred and until then are considered deferred revenues.

(6) City of Norwalk and Program Funding

The Agency receives funding through the City of Norwalk as the City's administrator of the Community Development Block Grant (CDBG) program. The City's operating budget also provides funding for salary and benefits of certain Agency staff that perform services directly for the City. The CDBG funding is distributed to Housing and Urban Development qualified programs and services within the City which are recognized as community needs within the City's Consolidated Plan and are awarded by the Common Council. For the year ended June 30, 2013, the Agency recognized the following revenue:

CDBG – Sub Grantee allocation	$ 1,937,768
CDBG - Administration	231,436
Other Government Grants for City Projects	3,954,284
Total Non Agency/CDBG/Sub Grantee Allocations	$ 6,123,488

(7) Employee Benefit Plan

The Agency sponsors a defined contribution pension plan covering substantially all of its employees. The benefits are based on years of service and the accumulation of employer's contribution during years of employment. Contributions and cost are determined at an average of 12 percent of each employee's salary and totaled approximately $109,000 for the fiscal year ended June 30, 2013.

(Continued)

(8) Due to/from Related Parties

Accounts payable and accrued expenses include net advances due to North Walke Housing Corporation (North Walke) in the amount of $3,066. North Walke is a separate nonprofit organization, but they are related parties since certain officers and directors of the Agency also serve in the same capacity with North Walke.

(9) Risk Management

The Agency is exposed to various risks of loss related to torts; theft or damage to, and destruction of assets; errors and omissions for public officials; injuries to employees; and natural disasters. The Agency has obtained insurance coverage to guard against these events which will provide minimum exposure to the Agency should they occur.

The Agency continues to carry commercial insurance for all other risks of loss, including business liability coverage. Settled claims resulting from these risks have not exceeded commercial insurance coverage in any of the past three years.

(10) Commitments and Contingencies

Amounts received or receivable from grantor agencies are subject to audit and adjustment by the grantor agencies. Any disallowed costs or claims, including amounts already received by the Agency, could become a liability. Because of the way the CDBG grant was structured, some previously anticipated grant expenditures for direct planning and economic development services rendered by the Agency to the City in prior years were deemed disallowable and per program regulations are to be returned to CDBG and be utilized in subsequent years.

The Agency is involved in various litigation matters. Management and counsel are either unable to determine the effect on the financial statements of these matters as their outcomes are undeterminable as of this report, or the effects are not considered to be material.

(11) Fund Balance Reporting and Governmental Fund Type Definitions

Net assets represent the difference between assets and liabilities. In accordance with GASB Statement No. 54 *Fund Balance Reporting and Governmental Fund Type Definitions*, net assets are reported as restricted when there are limitations imposed on their use. The restricted net asset balance reported by the Agency is constrained for specific purposes externally imposed by the U.S. Department of Housing and Urban Development provider for urban redevelopment.

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REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

INDEPENDENT AUDITOR'S REPORT

To the Board of Commissioners
Norwalk Redevelopment Agency:

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States the financial statements of Norwalk Redevelopment Agency ("Agency") which comprise the statement of financial position as of June 30, 2013 and the related statements of activities, and cash flows as of and for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated November 9, 2013.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Agency's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Agency's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Agency's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

(Continued)

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Agency's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the result of that testing, and not to provide an opinion on the effectiveness of the Agency's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Agency's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Solakian & Company, LLC

November 9, 2013

NORWALK REDEVELOPMENT AGENCY

Schedule of Revenues, Expenditures and Changes in Fund Balance-Budgetary Comparison

Year ended June 30, 2013

	Actual-Budgetary Basis			Budgeted Amounts	
	CDBG	**Improvement**	**Total**	**Original**	**Final**
Revenues:					
Federal grants	$ 1,553,369	-	1,553,369	1,553,369	1,553,369
Program income	60,507	104,423	164,930	164,930	164,930
Other government grants for City projects	-	4,571,318	4,571,318	4,571,318	4,571,318
Investment income	2	17,936	17,938	17,938	17,938
Total revenues	1,613,878	4,693,677	6,307,555	6,307,555	6,307,555
Expenditures:					
Project outlays	1,613,878	4,693,677	6,307,555	6,307,555	6,307,555
Revenues greater (less) than expenditures	-	-	-	-	-
Fund balance - beginning of year	669,877	4,165,409	4,835,286	4,835,286	4,835,286
Fund balances - end of year	$ 669,877	4,165,409	4,835,286	4,835,286	4,835,286

See accompanying notes to basic financial statements.

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REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY OMB CIRCULAR A-133

INDEPENDENT AUDITOR'S REPORT

To the Board of Commissioners
Norwalk Redevelopment Agency:

Report on Compliance for Each Major Federal Program

We have audited Norwalk Redevelopment Agency's ("Agency") compliance with the types of compliance requirements described in the OMB Circular A-133 *Compliance Supplement* that could have a direct and material effect on each of the Agency's major federal programs for the year ended June 30, 2013. The Agency's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the Agency's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States; and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Agencys. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Agency's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Agency's compliance.

Opinion on Each Major Federal Program

In our opinion, the Agency complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2013.

(Continued)

Report on Internal Control Over Compliance

Management of the Agency is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Agency's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Agency's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficien cies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133

We have audited the financial statements of the Agency as of and for the year ended June 30, 2013, and have issued our report thereon dated November 9, 2013, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditure of federal awards is fairly stated in all material respects in relation to the financial statements as a whole.

Solakian & Company, LLC

November 9, 2013

NORWALK REDEVELOPMENT AGENCY

Schedule of Expenditures of Federal Awards

Year ended June 30, 2013

Grantor/Program Title	CFDA #	Expenditures
U.S. Department of Housing and Urban Development (HUD):		
Community Development Block Grant (CDBG) Non-Revolving Funds Passed through the City of Norwalk	14.218	$ 1,553,370
Total expenditures of federal awards		$ 1,553,370

BASIS OF PRESENTATION

This Schedule of Expenditures of Federal Awards includes the federal grant activity of the Norwalk Redevelopment Agency and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Schedule of Findings and Questioned Costs - Federal Awards

Year ended June 30, 2013

Section I – Summary of Auditor's Results

Financial Statements
Type of auditor's report issued: Unqualified

Internal control over financial reporting:

• Material weakness(es) identified?	______ yes __X__ no
• Significant deficiency(ies) identified?	______ yes __X__ none reported
Noncompliance material to financial statements noted?	______ yes __X__ no

Federal Awards
Internal control over major programs:

• Material weakness(es) identified?	______ yes __X__ no
• Significant deficiency(ies) identified?	______ yes __X__ none reported

Type of auditor's report issued on compliance for major programs:

Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of OMB Circular A-133?	______ yes __X__ no

Identification of major programs:
CFDA Number(s): 14.218

Name of Federal Program or Cluster:
HUD Community Development Block Grant

Dollar threshold used to distinguish between type A and type B programs:	$ 300,000
Auditee qualified as low-risk auditee?	__X__ yes ______ no

Section II – Financial Statement Findings

No findings or questioned costs are reported relating to financial statements audit.

Section III– Federal Award Findings and Questioned Costs

No findings or questioned costs are reported relating to Federal award programs.

NORWALK re:DEVELOPMENT AGENCY

NORWALK REDEVELOPMENT AGENCY

Government-wide Financial Statements and Single Audit Reports

Year ended June 30, 2014

NORWALK REDEVELOPMENT AGENCY

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Year ended June 30, 2014

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INDEPENDENT AUDITORS' REPORT

To the Board of Commissioners
Norwalk Redevelopment Agency:

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities and major fund of ***Norwalk Redevelopment Agency*** as of and for the year ended June 30, 2014, and the related notes to the financial statements, which collectively comprise the Agency's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities and the major fund of ***Norwalk Redevelopment Agency***, as of June 30, 2014, and the respective changes in financial position, and, where applicable, cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis and budgetary comparison information on pages 1-6 and 20 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the *Governmental Accounting Standards Board*, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise ***Norwalk Redevelopment Agency's*** basic financial statements. The schedule of expenditures of federal awards is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, and is also not a required part of the basic financial statements.

The schedule of expenditures of federal awards is the responsibility of management and was derived from and relate directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated October 31, 2014, on our consideration of ***Norwalk Redevelopment Agency's*** internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Agency's internal control over financial reporting and compliance.

Solakian & Company, LLC

October 31, 2014

NORWALK REDEVELOPMENT AGENCY, INC.

Management's Discussion and Analysis

June 30, 2014

As management of the Norwalk Redevelopment Agency, Inc. (the "Agency"), we offer readers of the Agency's financial statements this narrative overview and analysis of the financial activities of the Agency for the fiscal year ended June 30, 2014. We encourage readers to consider the information presented here in conjunction with additional information that we have furnished in the Agency's basic financial statements that follow this section.

Financial Highlights – Norwalk Redevelopment Agency

The assets of the Norwalk Redevelopment Agency exceeded its liabilities at the close of the most recent fiscal year by $4,835,286 (net assets). There was no increase or decrease in net assets due to a break even operating result for the fiscal year ended June 30, 2014.

Overview of the Financial Statements

This discussion and analysis is intended to serve as an introduction to the Agency's basic financial statements. The Agency's financial statements are comprised of three components: 1) government-wide financial statements, 2) fund financial statements, and 3) notes to financial statements. As outlined in GASB 34, this report also contains other supplementary information in addition to the basic financial statements themselves.

Government-Wide Financial Statements

The government-wide financial statements are designed to provide readers with a broad overview of the Agency's finances, in a manner similar to a private-sector business.

The statement of net position presents information on all of the Agency's assets and liabilities, with the difference between the two reported as net position. Over time increases or decreases in net position may serve as a useful indicator of whether the financial position of the Agency is trending up or down.

The statement of activities presents information showing how the Agency's net position changed during the most recent fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of related cash flows. Thus, revenues and expenses are reported in this statement for some items that will only result in cash flows in future fiscal periods. Both of the government-wide financial statements distinguish functions of the Agency that are principally supported by CDBG, DECD, and City of Norwalk grants (governmental activities). Norwalk Redevelopment Agency projects are essential to the City of Norwalk so that the City of Norwalk can maintain stable taxes, avoid stagnation, and preserve the City's AAA bond rating.

(Continued)

Government-Wide Financial Statements (continued)

The governmental activities of the Agency include Non-Revolving Loan Activities funded by the Federal HUD Community Development Block Grant program (CDBG), Revolving Loan Activities funded by paid-off loans that are invested back into the Norwalk community as new loans, the Department of Economic Community Development (DECD) grants funded by the State and City of Norwalk which are used for Urban Renewal projects in Norwalk, and the Operating Activities which manage and perform the daily activities in the various programs and projects. In addition to the governmental funds containing special revenue, there is an account group containing general long term obligations of the Agency for sick and vacation time earned but not paid out until a later period. The government-wide financial statements can be found on pages 7 - 8 of this report.

Fund financial statements

A fund is a grouping of related accounts that is used to maintain control over resources that have been segregated for specific activities or objectives. The Agency, like other redevelopment agencies of local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements. All of the funds of the Agency can be divided into two categories: governmental funds for special revenue, and account groups for general long-term obligations.

Governmental funds

Governmental funds are used to account for essentially the same functions reported as governmental activities in the government-wide financial statements. However, unlike the government-wide financial statements, governmental fund financial statements focus on near-term inflows and outflows of spendable resources, as well as on balances of spendable resources available at the end of the fiscal year. Such information may be useful in evaluating the Agency's near-term financing requirements.

Because the focus of governmental funds is narrower than that of the government-wide financial statements, it is useful to compare the information presented for governmental funds with similar information presented for governmental activities in the government-wide financial statements. By doing so, readers may better understand the long-term impact of the Agency's near-term financing decisions. Both the governmental fund balance sheet and the governmental fund statement of revenues, expenditures, and changes in fund balances provide a reconciliation to facilitate this comparison between governmental funds and governmental activities.

The reconciliation usually centers on debt and fixed assets of which the Agency has none. The Agency maintains five (5) individual governmental funds. Information is presented separately in the governmental fund balance sheet and in the governmental fund statement of revenues, expenditures, and changes in fund balances for the governmental fund and account group for general long term obligations. Individual fund data for each of these non-major governmental funds is provided in the form of combining statements elsewhere in this report. The basic governmental fund financial statements can be found on pages 9 - 10 of this report.

(Continued)

Notes to the financial statements

The notes provide additional information that is essential to a full understand˙ g of the data provided in the government-wide and fund financial statements. The notes to the financial statements can be found on pages 11 - 17 of this report.

Government-Wide Financial Analysis

As noted earlier, net position may serve over time as a useful indicator of an agency's financial position. In the case of the Agency, assets exceeded liabilities by $4,835,286 at the close of the most recent fiscal year. The net position did not increase or decrease due to a break even operating result for the fiscal year ended June 30, 2014.

The largest portion of the Agency's assets (64%) is made up of cash and investments. Interest earned on cash and investments generate a small portion of the revenue for the Agency's operating budget. The second largest portion of the Agency's assets (32%) is made up of accounts and loans receivable. Accounts receivable are due from government entities, and the loan portfolio consists of loans to qualified sub-prime borrowers priced in accordance with HUD guidelines. At the end of the current fiscal year, the Agency is able to report positive balances in net position.

	Net Position June 30: 2014	2013
Assets		
Cash and investments	$ 8,067,172	$ 8,806,372
Grants and accounts receivable	2,692,974	1,915,778
Loans receivable, less allowance of $4,033,492	1,318,909	1,503,252
Other assets	553,235	732,767
Total assets	12,632,290	12,958,169
Liabilities and Net Position		
Notes payable to bank	395,000	590,000
Accounts payable and accrued expenses	913,173	852,439
Due to governmental agencies	2,058,221	2,192,998
Deferred revenues and other liabilities	4,430,610	4,487,446
Total liabilities	7,797,004	8,122,883
Net position:		
Restricted-urban redevelopment	4,835,286	4,835,286
Total net position	$ 4,835,286	$ 4,835,286

(Continued)

Governmental activities

Governmental activities had no effect on the Agency's net position of $4,835,286 for the current fiscal year ending June 30, 2014.

	Change in Net Position Years Ended June 30:	
	2014	2013
Revenues:		
Other government grants for City projects	$ 6,640,910	$ 4,570,117
Federal grants	1,109,475	1,553,369
Program and other income	128,434	164,930
Investment income	10,992	19,139
Total revenues	7,889,811	6,307,555
Expenditures:		
Project outlays	7,889,811	6,307,555
Change in net position	-	-
Net position - beginning of year	4,835,286	4,835,286
Prior period	-	-
Net position - beginning of year, as restated	4,835,286	4,835,286
Net position - end of year	$ 4,835,286	$ 4,835,286

(Continued)

Expenses and Program Revenues – Government-Wide Activities

Revenues and expenditures were break even during the fiscal year ending June 30, 2014.

Financial Analysis of the Government's Funds

As noted earlier, the Agency uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Governmental Funds

The focus of the Agency's governmental funds is to provide information on near-term inflows, outflows, and balances of spendable resources. Such information is useful in assessing the Agency's financing requirements. In particular, fund balances may serve as a useful measure of a government's net resources available. As of the end of the current fiscal year, the Agency's governmental funds reported combined ending fund balances of $4,835,286. There was no change in net position due to a break even operating result for the fiscal year ended June 30, 2014.

Governmental Fund Budgetary Highlights

There was no difference between the original budget and the final budget.

Factors Affecting CDBG Federal Funding

The Federal HUD Community Development Block Grant (CDBG) funding has substantially decreased over the years. In the year 2001, the CDBG entitlement grant shared with non-profits was $1,209,000. In the year 2008, the grant had continued its yearly downward spiral to where the CDBG entitlement grant was only $935,102. Over the 7 year period, there was an actual decrease in CDBG dollar funding of $273,898, or 23%. In addition, a 3% Cost of Living increase in federal CDBG funding was never provided which resulted in an additional decrease in CDBG funding of $277,917, or 23%. This resulted in a significant 46% decrease in total real CDBG dollars received over seven years of $551,322. The CDBG entitlement grant of $903,348 shared with non-profits for the fiscal year ending June 30, 2014 is less than the CDBG entitlement grant received in 2008.

(Continued)

Requests for Information

This financial report is designed to provide a general overview of the Agency's finances for all those with an interest in the finances of the Agency. Questions concerning any of the information provided in this report or requests for additional financial information should be addressed to the Comptroller at the Norwalk Redevelopment Agency, 125 East Avenue - Room 202, Norwalk, CT 06856.

NORWALK REDEVELOPMENT AGENCY

Statement of Net Position

June 30, 2014

Assets		Governmental Activities
Cash	$	213,162
Investments		7,854,010
Grants and accounts receivable		2,692,974
Loans receivable, less allowance of $4,033,492		1,318,909
Other assets		553,235
Total assets	$	12,632,290

Liabilities and Net Position		
Note payable to bank	$	395,000
Accounts payable and accrued expenses		913,173
Due to governmental agencies		2,058,221
Deferred revenues		4,176,755
Other liabilities		253,855
Total liabilities		7,797,004
Restricted-urban redevelopment		4,835,286
Total liabilities and net position	$	12,632,290

See accompanying notes to basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Statement of Activities

Year ended June 30, 2014

Functions/Programs	Expenses	Program Revenues	Capital Grants and Contributions	Net (Expense) Revenue and Changes in Net Assets: Primary Government-Total Governmental Activities
Primary government-governmental activities:				
Operating	$ 1,486,810	60,797	1,415,035	(10,978)
Community Development Block Grant-Revolving Loan Fund (RLF)	34,401	34,401	-	-
Community Development Block Grant-NRLF	1,142,711	33,236	1,109,475	-
Other programs and City projects	5,225,889	-	5,225,875	(14)
Total governmental activities	$ 7,889,811	128,434	7,750,385	(10,992)
General revenues:				
Unrestricted investment income				10,992
Change in net position				-
Net position-beginning of year				4,835,286
Net position-end of year				$ 4,835,286

See accompanying notes to basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Balance Sheet-Governmental Fund Type

June 30, 2014

		Governmental Fund Special Revenue
Assets		
Cash	$	213,162
Investments		7,854,010
Grants and accounts receivable		2,692,974
Loans receivable, less allowance of $4,033,492		1,318,909
Other assets		553,235
Total assets	$	12,632,290
Liabilities and Fund Balances		
Note payable to bank	$	395,000
Accounts payable and accrued expenses		913,173
Due to governmental agencies and third parties		2,058,221
Deferred revenues		4,176,755
Other liabilities		253,855
Total liabilities		7,797,004
Fund balances-restricted		4,835,286
Total liabilities and fund balances	$	12,632,290

Reconciliation of governmental fund balances:

Total fund balances	$	4,835,286
Reconciling items		-
Net assets of governmental activities	$	4,835,286

See accompanying notes to basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Statement of Revenues, Expenditures and Changes in Fund Balance-Governmental Funds

Year ended June 30, 2014

		Special Revenue
Revenues:		
Other government grants for City projects	$	6,640,910
Federal grants		1,109,475
Program and other income		128,434
Investment income		10,992
Total revenues		7,889,811
Expenditures:		
Project outlays		7,889,811
Net changes in fund balances		-
Fund balances - beginning of year		4,835,286
Fund balances - end of year	$	4,835,286

Reconciliation of Statement of Revenues, Expenditures and Changes in Fund Balance:

Total net change in fund balances-governmental funds		-
Reconciling items		-
Change in net position of governmental activities	$	-

See accompanying notes to basic financial statements.

(1) Summary of Significant Accounting Policies

The Norwalk Redevelopment Agency (Agency), an agent of the City of Norwalk, Connecticut (City) and is defined by the City as an Administrative Department of the municipality. The Agency was established by state statute and City code to oversee the development of the City's six project areas. The Agency functions autonomously from the City and is managed by a board of five commissioners who are appointed by the Mayor and approved by the Common Council of the City.

The Agency prepares its basic financial statements in conformity with generally accepted accounting principles promulgated by the Governmental Accounting Standards Board (GASB) and other authoritative sources identified in *Statement on Auditing Standards No. 69*; has implemented the financial reporting model, as required by the provisions of GASB Statement No. 34, *Basic Financial Statements-and Management's Discussion and Analysis-for State and Local Governments*, as of July 1, 2003; and it complies with the requirements of contracts and grants of agencies from which it receives funds.

Reporting Entity

The Board of Commissioners (Board) is appointed by the Mayor and it has the authority to make decisions, appoint administrators and managers, and significantly influence operations. It also has the primary accountability for fiscal matters. Therefore, the Agency is a financial reporting entity as defined by GASB in its Statement No. 14, "*The Financial Reporting Entity.*" There are no component units included within the reporting entity.

Government-wide and Fund Financial Statements

The Statement of Net Assets and the Statement of Activities are government-wide financial statements. They report information on all of the Agency's non-fiduciary activities. Governmental activities include programs supported primarily by grants and other intergovernmental revenues. Business-type activities include operations that rely to a significant extent on fees and charges for support. There were no business-type activities during 2014.

The Statement of Activities demonstrates how other people or entities that participate in programs the Agency operates have shared in the payment of the direct costs. The "Capital grants and contributions" column includes amounts paid by organizations outside the Agency to help meet the operational or capital requirements of a given function. If revenue is not program revenue, it is general revenue used to support all of the Agency's functions.

All interfund transactions between governmental funds are eliminated on the government-wide statements. Interfund activities between governmental funds and fiduciary funds would remain on the government-wide Statement of Activities.

(Continued)

(1) Summary of Significant Accounting Policies (continued)

The fund financial statements provide reports on the financial condition and results of operations for two fund categories – governmental and special revenue funds.

Measurement Focus, Basis of Accounting, and Financial Statement Presentation

The government-wide financial statements use the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of the related cash flows. Grants and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.

Governmental fund financial statements use the current financial resources measurement focus and the modified accrual basis of accounting. With this measurement focus, only current assets, current liabilities and fund balances are included on the balance sheet. Operating statements of these funds present net increases and decreases in current assets (i.e., revenues and other financing sources and expenditures and other financing uses).

The modified accrual basis of accounting recognizes revenues in the accounting period in which they become both measurable and available, and it recognizes expenditures in the accounting period in which the fund liability is incurred, if measurable. The expenditures related to certain compensated absences and claims and judgments are recognized when the obligations are expected to be liquidated with expendable available financial resources. The Agency considers all revenues available if they are collectible within 60 days after year end.

Miscellaneous revenues are recorded as revenue when received in cash because they are generally not measurable until actually received. Investment earnings are recorded as earned, since they are both measurable and available.

Grant funds are considered to be earned to the extent of expenditures made under the provisions of the grant. Accordingly, when such funds are received, they are recorded as deferred revenues until related and authorized expenditures have been made. If balances have not been expended by the end of the project period, grantors some times require the Agency to refund all or part of the unused amount.

The Agency applies all GASB pronouncements as well as the Financial Accounting Standards Board pronouncements issued on or before November 30, 1989, unless these pronouncements conflict or contradict GASB pronouncements. With this measurement focus, all assets and all liabilities associated with the operation of these funds are included on the fund Statement of Net Assets.

(Continued)

(1) Summary of Significant Accounting Policies (continued)

Funds and Account Groups

The accounts of the Agency are organized on the basis of funds or account groups, each of which is considered a separate accounting entity. The operations of each fund are accounted for with a separate set of self-balancing accounts that comprise its assets, liabilities, reserves, fund balance, revenues and expenditures or expenses, as appropriate. The following fund types and account groups are used by the Agency:

Governmental Funds

Governmental funds are accounted for on a spending or "financial flow" measurement focus. That means only current assets and liabilities are generally included on their balance sheets. The reported fund balance (net current assets) is considered a measure of "available spendable resources". Operating statements present increases and decreases in net current assets.

Special Revenue Fund

Special Revenue Funds are used to account for the proceeds of specific revenue sources that are legally restricted to expenditures for specified purposes. The Agency utilizes this fund to account for all grants, federal and state.

Cash Equivalents and Concentration of Risk

The Agency considers cash equivalents to be those investments with original maturities of three months or less. The Agency also maintains its cash in bank deposit accounts which, at times, may exceed federally insured limits. The Agency has not experienced any losses in such accounts, and does not believe it is exposed to any significant credit risk on cash and cash equivalents.

Investments

Investments are presented at fair value.

Loans Receivable

Loans receivable, representing the outstanding balance of amounts advanced to individuals or businesses from federal community development grants, are recorded as assets when the advances are disbursed and are reflected net of any applicable uncollectible amounts.

Interfund Receivables and Payables

Outstanding balances between funds are presented as either due from or due to other funds and are not eliminated in the accompanying financial statements.

(Continued)

(1) Summary of Significant Accounting Policies (continued)

Program Funds

All funds received by the Agency are generally designated for use in the operation of its urban renewal and community development programs.

Fund Balance Reporting and Governmental Fund Type Definitions

Net position represents the difference between assets and liabilities. In accordance with GASB Statement No. 54 *Fund Balance Reporting and Governmental Fund Type Definitions*, net position is reported as restricted when there are limitations imposed on their use. The restricted balance reported by the Agency is constrained for specific purposes externally imposed by the U.S. Department of Housing and Urban Development (HUD) provider for urban redevelopment.

Compensated Absences and Post-Employment Benefits

Employees are permitted to accumulate a specified amount of earned but unused sick leave, which will be paid to them upon separation from the Agency. These expenditures are recognized in the governmental funds in the current year to the extent they are paid during the year or the vested amount is expected to be paid with available resources. The liability for compensated absences at June 30, 2014 was approximately $133,000.

The Agency does not provide any post-employment benefits.

Budgets

Based upon the nature of the operation of the Agency, the Agency is not legally required to adopt a budget. Accordingly, comparative budget analysis has not been presented in these financial statements.

Subsequent Events

Subsequent events have been evaluated through October 31, 2014, which is the date the financial statements were available to be issued.

(2) Reconciliation of Government-wide and Fund Financial Statements

The Combined Balance Sheet-Governmental Fund Type provides the reconciliation between the fund balance for total governmental funds on the governmental fund balance sheet and the net assets for governmental activities as reported in the government-wide statement of net assets. There were no major reconciling items for 2014.

The Combined Statement of Revenues, Expenditures and Changes in Fund Balance-Governmental Funds provides a reconciliation between the net changes in fund balance as shown on the governmental fund statement of revenues, expenditures, and changes in fund balances and the changes in net assets of governmental activities as reported on the government-wide statement of activities. There were no major reconciling items for 2014.

(Continued)

(3) Deposits and Investments

At June 30, 2014, the carrying amount of the Agency's deposits was approximately $213,000, and their bank balances totaled approximately $1,833,000. The majority of the cash was on deposit with private financial institutions. As of June 30, 2014, the Agency's deposits with financial institutions were in excess of federal depository insurance limits by approximately $1,583,000.

The Agency's cash consists of the following approximate amounts at June 30, 2014:

Petty cash	$	150
Cash in bank		213,000
Total cash	$	213,150

Custodial credit risk for deposits is the risk that in the event of a bank failure, the Agency's deposits may not be returned to it, or it will not be able to recover collateral securities that are in the possession of an outside party. For an investment, custodial credit risk is the risk that, in the event of the failure of the counterparty, the Agency will not be able to recover the value of its investments or collateral securities that are in the possession of an outside party. The Agency does not have a deposit policy for custodial credit risk.

The Agency's investments in money market accounts at June 30, 2014 totaled approximately $7,854,000 (of which $250,000 was insured).

In the event that a financial institution becomes insolvent and is placed under Federal Deposit Insurance Corporation (FDIC) receivership, this collateral is subject to the FDIC requirements for perfecting security interest under Federal law. In the opinion of the Connecticut State Attorney General, these requirements are met.

(4) Note Payable to Bank

The Agency has a $1,100,000 note payable to a local bank which is secured by certain real property and payable through February 2014. The current interest rate of 2% is calculated at Peoples United Bank prime rate less 1.25%. This loan will be repaid during the fiscal year ended June 30, 2015, and had an outstanding balance of $395,000 as of June 30, 2014.

(Continued)

(5) Receivables, Payables and Deferred Revenue

Grants and accounts receivables consist of the following amounts at June 30, 2014:

City of Norwalk and federal agencies	$ 2,535,904
Other	157,070
Total grants and accounts receivable	$ 2,692,974

Due to governmental agencies consists of the following amounts at June 30, 2014:

Due to Community Development Block Grant (CDBG)	$ 1,137,009
City of Norwalk-various projects	629,667
Other	291,545
Total due to governmental agencies	$ 2,058,221

Deferred revenues totaled approximately $4,177,000 as of June 30, 2014. Grant revenues are considered earned when expenditures are incurred and until then are considered deferred revenues.

(6) City of Norwalk and Program Funding

The Agency receives funding through the City of Norwalk as the City's administrator of the CDBG program. The City's operating budget also provides funding for salary and benefits of certain Agency staff that perform services directly for the City. The CDBG funding is distributed to Housing and Urban Development qualified programs and services within the City which are recognized as community needs within the City's Consolidated Plan and are awarded by the Common Council. For the year ended June 30, 2014, the Agency recognized the following revenue:

CDBG – Sub Grantee allocation	$ 1,454,734
CDBG - Administration	173,981
Other Government Grants for City Projects	6,073,676
Total Non Agency/CDBG/Sub Grantee Allocations	$ 7,702,391

(7) Employee Benefit Plan

The Agency sponsors a defined contribution pension plan covering substantially all of its employees. The benefits are based on years of service and the accumulation of employer's contribution during years of employment. Contributions and cost are determined at an average of 12 percent of each employee's salary and totaled approximately $107,000 for the fiscal year ended June 30, 2014.

(Continued)

(8) Due to/from Related Parties

Accounts payable and accrued expenses include net advances due to North Walke Housing Corporation (North Walke) in the amount of $1,400. North Walke is a separate nonprofit organization, but they are related parties since certain officers and directors of the Agency also serve in the same capacity with North Walke.

(9) Risk Management

The Agency is exposed to various risks of loss related to torts; theft or damage to, and destruction of assets; errors and omissions for public officials; injuries to employees; and natural disasters. The Agency has obtained insurance coverage to guard against these events which will provide minimum exposure to the Agency should they occur.

The Agency continues to carry commercial insurance for all other risks of loss, including business liability coverage. Settled claims resulting from these risks have not exceeded commercial insurance coverage in any of the past three years.

(10) Commitments and Contingencies

Amounts received or receivable from grantor agencies are subject to audit and adjustment by the grantor agencies. Any disallowed costs or claims, including amounts already received by the Agency, could become a liability.

The Agency is involved in various litigation matters. Management and counsel are either unable to determine the effect on the financial statements of these matters as their outcomes are undeterminable as of this report, or the effects are not considered to be material.

(11) Subsequent Event

HUD announced on June 30, 2014 that the City was selected to receive approximately $30 million under the federal Choice Neighborhoods initiative.

The Housing Authority of the City of Norwalk and the Agency will lead the effort on behalf of the City. Key partners include Trinity Financial and Housing Opportunities Unlimited. The team is targeting the South Norwalk neighborhood, since that area has suffered persistent flooding. Superstorm Sandy also caused severe flooding at the Washington Village public housing development and caused the temporary displacement of its residents. Plans call for rebuilding 136 public housing units into a new 273-unit mixed-income development.

A homeowner rehabilitation program, small business loans, and a new business improvement district will enable current owners and businesses to maintain and improve their properties.

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REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

INDEPENDENT AUDITOR'S REPORT

To the Board of Commissioners
Norwalk Redevelopment Agency:

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States the financial statements of Norwalk Redevelopment Agency ("Agency") which comprise the statement of financial position as of June 30, 2014 and the related statements of activities, and cash flows as of and for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 31, 2014.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Agency's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Agency's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Agency's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

(Continued)

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Agency's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the result of that testing, and not to provide an opinion on the effectiveness of the Agency's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Agency's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Solakian & Company, LLC

October 31, 2014

NORWALK REDEVELOPMENT AGENCY

Schedule of Revenues, Expenditures and Changes in Fund Balance-Budgetary Comparison

Year ended June 30, 2014

	Actual-Budgetary Basis			Budgeted Amounts	
	CDBG	Improvement	Total	Original	Final
Revenues:					
Other government grants for City projects	-	6,640,910	6,640,910	6,640,910	6,640,910
Federal grants	$ 1,109,475	-	1,109,475	1,109,475	1,109,475
Program income	67,637	60,797	128,434	128,434	128,434
Investment income	2	10,990	10,992	10,992	10,992
Total revenues	1,177,114	6,712,697	7,889,811	7,889,811	7,889,811
Expenditures:					
Project outlays	1,177,114	6,712,697	7,889,811	7,889,811	7,889,811
Revenues greater (less) than expenditures	-	-	-	-	-
Fund balance - beginning of year	669,877	4,165,409	4,835,286	4,835,286	4,835,286
Fund balances - end of year	$ 669,877	4,165,409	4,835,286	4,835,286	4,835,286

See accompanying notes to basic financial statements.

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REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY OMB CIRCULAR A-133

INDEPENDENT AUDITOR'S REPORT

To the Board of Commissioners
Norwalk Redevelopment Agency:

Report on Compliance for Each Major Federal Program

We have audited Norwalk Redevelopment Agency's ("Agency") compliance with the types of compliance requirements described in the OMB Circular A-133 *Compliance Supplement* that could have a direct and material effect on each of the Agency's major federal programs for the year ended June 30, 2014. The Agency's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the Agency's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States; and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Agencys. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Agency's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Agency's compliance.

Opinion on Each Major Federal Program

In our opinion, the Agency complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2014.

(Continued)

Report on Internal Control Over Compliance

Management of the Agency is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Agency's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Agency's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficien cies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133

We have audited the financial statements of the Agency as of and for the year ended June 30, 2014, and have issued our report thereon dated October 31, 2014, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditure of federal awards is fairly stated in all material respects in relation to the financial statements as a whole.

Solakian & Company, LLC

October 31, 2014

NORWALK REDEVELOPMENT AGENCY

Schedule of Expenditures of Federal Awards

Year ended June 30, 2014

Grantor/Program Title	CFDA #	Expenditures
U.S. Department of Housing and Urban Development (HUD):		
Community Development Block Grant (CDBG) Non-Revolving Funds Passed through the City of Norwalk	14.218	$ 1,053,238
Total expenditures of federal awards		$ 1,053,238

BASIS OF PRESENTATION

This Schedule of Expenditures of Federal Awards includes the federal grant activity of the Norwalk Redevelopment Agency and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations.* Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Schedule of Findings and Questioned Costs - Federal Awards

Year ended June 30, 2014

Section I – Summary of Auditor's Results

Financial Statements
Type of auditor's report issued: Unqualified

Internal control over financial reporting:

• Material weakness(es) identified?	______ yes __X__ no
• Significant deficiency(ies) identified?	______ yes __X__ none reported
Noncompliance material to financial statements noted?	______ yes __X__ no

Federal Awards
Internal control over major programs:

• Material weakness(es) identified?	______ yes __X__ no
• Significant deficiency(ies) identified?	______ yes __X__ none reported

Type of auditor's report issued on compliance for major programs:

Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of OMB Circular A-133?	______ yes __X__ no

Identification of major programs:
CFDA Number(s): 14.218

Name of Federal Program or Cluster:
HUD Community Development Block Grant

Dollar threshold used to distingnish between type A and type B programs:	$ 300,000
Auditee qualified as low-risk auditee?	__X__ yes ______ no

Section II – Financial Statement Findings

No findings or questioned costs are reported relating to financial statements audit.

Section III– Federal Award Findings and Questioned Costs

No findings or questioned costs are reported relating to Federal award programs.

NORWALK re: DEVELOPMENT AGENCY

Government-wide Financial Statements and Single Audit Reports

Year ended June 30, 2015

NORWALK REDEVELOPMENT AGENCY

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Year ended June 30, 2015

Solakian & Company, LLC
Certified Public Accountants

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INDEPENDENT AUDITOR'S REPORT

To the Board of Commissioners
Norwalk Redevelopment Agency

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities and major fund of ***Norwalk Redevelopment Agency*** as of and for the year ended June 30, 2015, and the related notes to the financial statements, which collectively comprise the Agency's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities and the major fund of ***Norwalk Redevelopment Agency***, as of June 30, 2015, and the respective changes in financial position, and, where applicable, cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis and budgetary comparison information on pages 1-6 and 20 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the *Governmental Accounting Standards Board*, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise ***Norwalk Redevelopment Agency's*** basic financial statements. The schedule of expenditures of federal awards is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, and is also not a required part of the basic financial statements.

The schedule of expenditures of federal awards is the responsibility of management and was derived from and relate directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated October 31, 2015, on our consideration of ***Norwalk Redevelopment Agency's*** internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Agency's internal control over financial reporting and compliance.

Solakian & Company, LLC

October 31, 2015

NORWALK REDEVELOPMENT AGENCY, INC.

Management's Discussion and Analysis

June 30, 2015

As management of the Norwalk Redevelopment Agency, Inc. (the "Agency"), we offer readers of the Agency's financial statements this narrative overview and analysis of the financial activities of the Agency for the fiscal year ended June 30, 2015. We encourage readers to consider the information presented here in conjunction with additional information that we have furnished in the Agency's basic financial statements that follow this section.

Financial Highlights – Norwalk Redevelopment Agency

The assets of the Norwalk Redevelopment Agency exceeded its liabilities at the close of the most recent fiscal year by $4,835,286 (net assets). There was no increase or decrease in net assets due to a break even operating result for the fiscal year ended June 30, 2015.

Overview of the Financial Statements

This discussion and analysis is intended to serve as an introduction to the Agency's basic financial statements. The Agency's financial statements are comprised of three components: 1) government-wide financial statements, 2) fund financial statements, and 3) notes to financial statements. As outlined in GASB 34, this report also contains other supplementary information in addition to the basic financial statements themselves.

Government-Wide Financial Statements

The government-wide financial statements are designed to provide readers with a broad overview of the Agency's finances, in a manner similar to a private-sector business.

The statement of net position presents information on all of the Agency's assets and liabilities, with the difference between the two reported as net position. Over time increases or decreases in net position may serve as a useful indicator of whether the financial position of the Agency is trending up or down.

The statement of activities presents information showing how the Agency's net position changed during the most recent fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of related cash flows. Thus, revenues and expenses are reported in this statement for some items that will only resu.t in cash flows in future fiscal periods. Both of the government-wide financial statements distinguish functions of the Agency that are principally supported by CDBG, DECD, and City of Norwalk grants (governmental activities). Norwalk Redevelopment Agency projects are essential to the City of Norwalk so that the City of Norwalk can maintain stable taxes, avoid stagnation, and preserve the City's AAA bond rating.

(Continued)

Government-Wide Financial Statements (continued)

The governmental activities of the Agency include Non-Revolving Loan Activities funded by the Federal HUD Community Development Block Grant program (CDBG), Revolving Loan Activities funded by paid-off loans that are invested back into the Norwalk community as new loans, the Department of Economic Community Development (DECD) grants funded by the State and City of Norwalk which are used for Urban Renewal projects in Norwalk, and the Operating Activities which manage and perform the daily activities in the various programs and projects. In addition to the governmental funds containing special revenue, there is an account group containing general long term obligations of the Agency for sick and vacation time earned but not paid out until a later period. The government-wide financial statements can be found on pages 7 - 8 of this report.

Fund financial statements

A fund is a grouping of related accounts that is used to maintain control over resources that have been segregated for specific activities or objectives. The Agency, like other redevelopment agencies of local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements. All of the funds of the Agency can be divided into two categories: governmental funds for special revenue, and account groups for general long-term obligations.

Governmental funds

Governmental funds are used to account for essentially the same functions reported as governmental activities in the government-wide financial statements. However, unlike the government-wide financial statements, governmental fund financial statements focus on near-term inflows and outflows of spendable resources, as well as on balances of spendable resources available at the end of the fiscal year. Such information may be useful in evaluating the Agency's near-term financing requirements.

Because the focus of governmental funds is narrower than that of the government-wide financial statements, it is useful to compare the information presented for governmental funds with similar information presented for governmental activities in the government-wide financial statements. By doing so, readers may better understand the long-term impact of the Agency's near-term financing decisions. Both the governmental fund balance sheet and the governmental fund statement of revenues, expenditures, and changes in fund balances provide a reconciliation to facilitate this comparison between governmental funds and governmental activities.

The reconciliation usually centers on debt and fixed assets of which the Agency has none. The Agency maintains five (5) individual governmental funds. Information is presented separately in the governmental fund balance sheet and in the governmental fund statement of revenues, expenditures, and changes in fund balances for the governmental fund and account group for general long term obligations. Individual fund data for each of these non-major governmental funds is provided in the form of combining statements elsewhere in this report. The basic governmental fund financial statements can be found on pages 9 - 10 of this report.

(Continued)

NORWALK REDEVELOPMENT AGENCY, INC.

Management's Discussion and Analysis

June 30, 2015

Notes to the financial statements

The notes provide additional information that is essential to a full understanding of the data provided in the government-wide and fund financial statements. The notes to the financial statements can be found on pages 11 - 17 of this report.

Government-Wide Financial Analysis

As noted earlier, net position may serve over time as a useful indicator of an agency's financial position. In the case of the Agency, assets exceeded liabilities by $4,835,286 at the close of the most recent fiscal year. The net position did not increase or decrease due to a break even operating result for the fiscal year ended June 30, 2015.

The largest portion of the Agency's assets (71%) is made up of cash and investments. Interest earned on cash and investments generate a small portion of the revenue for the Ag cy's operating budget. The second largest portion of the Agency's assets (26%) is made up of accounts and loans receivable. Accounts receivable are due from government entities, and the loan portfolio consists of loans to qualified sub-prime borrowers priced in accordance with HUD guidelines. At the end of the current fiscal year, the Agency is able to report positive balances in net position.

	Net Position June 30: 2015	2014
Assets		
Cash and investments	$ 8,505,200	$ 8,067,172
Grants and accounts receivable	1,857,406	2,692,974
Loans receivable, less allowance of $3,862,593	1,199,693	1,318,909
Other assets	381,139	553,235
Total assets	11,943,438	12,632,290
Liabilities and Net Position		
Notes payable to bank	-	395,000
Accounts payable and accrued expenses	730,527	913,173
Due to governmental agencies	2,292,130	2,058,221
Deferred revenues and other liabilities	4,085,495	4,430,610
Total liabilities	7,108,152	7,797,004
Net position:		
Restricted-urban redevelopment	4,835,286	4,835,286
Total net position	$ 4,835,286	$ 4,835,286

(Continued)

Governmental activities

Governmental activities had no effect on the Agency's net position of $4,835,286 for the current fiscal year ending June 30, 2015.

Change in Net Position
Years Ended June 30:

	2015	2014
Revenues:		
Other government grants for City projects	$ 5,405,829	$ 6,640,910
Federal grants	1,259,782	1,109,475
Program and other income	140,205	128,434
Investment income	10,178	10,992
Total revenues	6,815,994	7,889,811
Expenditures:		
Project outlays	6,815,994	7,889,811
Change in net position	-	-
Net position - beginning of year	4,835,286	4,835,286
Prior period	-	-
Net position - beginning of year, as restated	4,835,286	4,835,286
Net position - end of year	$ 4,835,286	$ 4,835,286

(Continued)

Expenses and Program Revenues – Government-Wide Activities

Revenues and expenditures were break even during the fiscal year ending June 30, 2015.

Financial Analysis of the Government's Funds

As noted earlier, the Agency uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Governmental Funds

The focus of the Agency's governmental funds is to provide information on near-term inflows, outflows, and balances of spendable resources. Such information is useful in assessing the Agency's financing requirements. In particular, fund balances may serve as a useful measure of a government's net resources available. As of the end of the current fiscal year, the Agency's governmental funds reported combined ending fund balances of $4,835,286. There was no change in net position due to a break even operating result for the fiscal year ended June 30, 2015.

Governmental Fund Budgetary Highlights

There was no difference between the original budget and the final budget.

Factors Affecting CDBG Federal Funding

The Federal HUD Community Development Block Grant (CDBG) funding has substantially decreased over the years. In the year 2001, the CDBG entitlement grant shared with non-profits was $1,209,000. In the year 2008, the grant had continued its yearly downward spiral to where the CDBG entitlement grant was only approximately $935,100. Over the 7 year period, there was an actual decrease in CDBG dollar funding of approximately $274,000, or 23%. In addition, a 3% Cost of Living increase in federal CDBG funding was never provided which resulted in an additional decrease in CDBG funding of approximately $278,000, or 23%. This resulted in a significant 46% decrease in total real CDBG dollars received over seven years of approximately $551,300. The CDBG entitlement grant of approximately $889,500 shared with non-profits for the fiscal year ending June 30, 2015 is less than the CDBG entitlement grant received in 2008.

(Continued)

Requests for Information

This financial report is designed to provide a general overview of the Agenc 's finances for all those with an interest in the finances of the Agency. Questions concerning any of the information provided in this report or requests for additional financial information should be addressed to the Comptroller at the Norwalk Redevelopment Agency, 125 East Avenue - Room 202, Norwalk, CT 06856.

NORWALK REDEVELOPMENT AGENCY

Statement of Net Position

June 30, 2015

Assets		Governmental Activities
Cash	$	1,510,182
Investments		6,995,018
Grants and accounts receivable		1,857,406
Loans receivable, less allowance of $3,862,593		1,199,693
Other assets		381,139
Total assets	$	11,943,438
Liabilities and Net Position		
Note payable to bank	$	-
Accounts payable and accrued expenses		730,527
Due to governmental agencies		2,292,130
Deferred revenues		3,952,388
Other liabilities		133,107
Total liabilities		7,108,152
Restricted-urban redevelopment		4,835,286
Total liabilities and net position	$	11,943,438

See accompanying notes to basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Statement of Activities

Year ended June 30, 2015

			Net (Expense) Revenue and Changes in Net Assets	
Functions/Programs	**Expenses**	**Program Revenues**	**Capital Grants and Contributions**	**Primary Government-Total Governmental Activities**
Primary government-governmental activities:				
Operating	$ 1,608,170	91,974	1,506,194	(10,002)
Community Development Block Grant-Revolving Loan Fund (RLF)	35,171	35,171	-	-
Community Development Block Grant-NRLF	1,272,842	13,060	1,259,782	-
Other programs and City projects	3,899,811	-	3,899,807	(4)
Total governmental activities	$ 6,815,994	140,205	6,665,783	(10,006)
General revenues:				
Unrestricted investment income				10,006
Change in net position				-
Net position-beginning of year				4,835,286
Net position-end of year				$ 4,835,286

See accompanying notes to basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Balance Sheet-Governmental Fund Type

June 30, 2015

		Governmental Fund Special Revenue
Assets		
Cash	$	1,510,182
Investments		6,995,018
Grants and accounts receivable		1,857,406
Loans receivable, less allowance of $3,862,593		1,199,693
Other assets		381,139
Total assets	$	11,943,438
Liabilities and Fund Balances		
Accounts payable and accrued expenses	$	730,527
Due to governmental agencies and third parties		2,292,130
Deferred revenues		3,952,388
Other liabilities		133,107
Total liabilities		7,108,152
Fund balances-restricted		4,835,286
Total liabilities and fund balances	$	11,943,438

Reconciliation of governmental fund balances:

Total fund balances	$	4,835,286
Reconciling items		-
Net assets of governmental activities	$	4,835,286

See accompanying notes to basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Statement of Revenues, Expenditures and Changes in Fund Balance-Governmental Funds

Year ended June 30, 2015

		Special Revenue
Revenues:		
Other government grants for City projects	$	5,405,829
Federal grants		1,259,782
Program and other income		140,205
Investment income		10,178
Total revenues		6,815,994
Expenditures:		
Project outlays		6,815,994
Net changes in fund balances		-
Fund balances - beginning of year		4,835,286
Fund balances - end of year	$	4,835,286

Reconciliation of Statement of Revenues, Expenditures and Changes in Fund Balance:

Total net change in fund balances-governmental funds		-
Reconciling items		-
Change in net position of governmental activities	$	-

See accompanying notes to basic financial statements.

(1) Summary of Significant Accounting Policies

The Norwalk Redevelopment Agency (Agency), an agent of the City of Norwalk, Connecticut (City) and is defined by the City as an Administrative Department of the municipality. The Agency was established by state statute and City code to oversee the development of the City's six project areas. The Agency functions autonomously from the City and is managed by a board of five commissioners who are appointed by the Mayor and approved by the Common Council of the City.

The Agency prepares its basic financial statements in conformity with generally accepted accounting principles promulgated by the Governmental Accounting Standards Board (GASB) and other authoritative sources identified in *Statement on Auditing Standards No. 69*; has implemented the financial reporting model, as required by the provisions of GASB Statement No. 34, *Basic Financial Statements-and Management's Discussion and Analysis-for State and Local Governments*, as of July 1, 2003; and it complies with the requirements of contracts and grants of agencies from which it receives funds.

Reporting Entity

The Board of Commissioners (Board) is appointed by the Mayor and it has the authority to make decisions, appoint administrators and managers, and significantly influence operations. It also has the primary accountability for fiscal matters. Therefore, the Agency is a financial reporting entity as defined by GASB in its Statement No. 14, "*The Financial Reporting Entity.*" There are no component units included within the reporting entity.

Government-wide and Fund Financial Statements

The Statement of Net Assets and the Statement of Activities are government-wide financial statements. They report information on all of the Agency's non-fiduciary activities. Governmental activities include programs supported primarily by grants and other intergovernmental revenues. Business-type activities include operations that rely to a significant extent on fees and charges for support. There were no business-type activities during 2015.

The Statement of Activities demonstrates how other people or entities that participate in programs the Agency operates have shared in the payment of the direct costs. The "Capital grants and contributions" column includes amounts paid by organizations outside the Agency to help meet the operational or capital requirements of a given function. If revenue is not program revenue, it is general revenue used to support all of the Agency's functions.

All interfund transactions between governmental funds are eliminated on the government-wide statements. Interfund activities between governmental funds and fiduciary funds would remain on the government-wide Statement of Activities.

(Continued)

(1) Summary of Significant Accounting Policies (continued)

The fund financial statements provide reports on the financial condition and results of operations for two fund categories – governmental and special revenue funds.

Measurement Focus, Basis of Accounting, and Financial Statement Presentation

The government-wide financial statements use the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of the related cash flows. Grants and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.

Governmental fund financial statements use the current financial resources measurement focus and the modified accrual basis of accounting. With this measurement focus, only current assets, current liabilities and fund balances are included on the balance sheet. Operating statements of these funds present net increases and decreases in current assets (i.e., revenues and other financing sources and expenditures and other financing uses).

The modified accrual basis of accounting recognizes revenues in the accounting period in which they become both measurable and available, and it recognizes expenditures in the accounting period in which the fund liability is incurred, if measurable. The expenditures related to certain compensated absences and claims and judgments are recognized when the obligations are expected to be liquidated with expendable available financial resources. The Agency considers all revenues available if they are collectible within 60 days after year end.

Miscellaneous revenues are recorded as revenue when received in cash because they are generally not measurable until actually received. Investment earnings are recorded as earned, since they are both measurable and available.

Grant funds are considered to be earned to the extent of expenditures made under the provisions of the grant. Accordingly, when such funds are received, they are recorded as deferred revenues until related and authorized expenditures have been made. If balances have not been expended by the end of the project period, grantors some times require the Agency to refund all or part of the unused amount.

The Agency applies all GASB pronouncements as well as the Financial Accounting Standards Board pronouncements issued on or before November 30, 1989, unless these pronouncements conflict or contradict GASB pronouncements. With this measurement focus, all assets and all liabilities associated with the operation of these funds are included on the fund Statement of Net Assets.

(Continued)

(1) Summary of Significant Accounting Policies (continued)

Funds and Account Groups

The accounts of the Agency are organized on the basis of funds or account groups, each of which is considered a separate accounting entity. The operations of each fund are accounted for with a separate set of self-balancing accounts that comprise its assets, liabilities, reserves, fund balance, revenues and expenditures or expenses, as appropriate. The following fund types and account groups are used by the Agency:

Governmental Funds

Governmental funds are accounted for on a spending or "financial flow" measurement focus. That means only current assets and liabilities are generally included on their balance sheets. The reported fund balance (net current assets) is considered a measure of "available spendable resources". Operating statements present increases and decreases in net current assets.

Special Revenue Fund

Special Revenue Funds are used to account for the proceeds of specific revenue sources that are legally restricted to expenditures for specified purposes. The Agency utilizes this fund to account for all grants, federal and state.

Cash Equivalents and Concentration of Risk

The Agency considers cash equivalents to be those investments with original maturities of three months or less. The Agency also maintains its cash in bank deposit accounts which, at times, may exceed federally insured limits. The Agency has not experienced any losses in such accounts, and does not believe it is exposed to any significant credit risk on cash and cash equivalents.

Investments

Investments, which consist of money market funds, are presented at fair value.

Loans Receivable

Loans receivable, representing the outstanding balance of amounts advanced to individuals or businesses from federal community development grants, are recorded as assets when the advances are disbursed and are reflected net of any applicable uncollectible amounts.

Interfund Receivables and Payables

Outstanding balances between funds are presented as either due from or due to other funds and are not eliminated in the accompanying financial statements.

(Continued)

(1) Summary of Significant Accounting Policies (continued)

Program Funds

All funds received by the Agency are generally designated for use in the operation of its urban renewal and community development programs.

Fund Balance Reporting and Governmental Fund Type Definitions

Net position represents the difference between assets and liabilities. In accordance with GASB Statement No. 54 *Fund Balance Reporting and Governmental Fund Type Definitions*, net position is reported as restricted when there are limitations imposed on their use. The restricted balance reported by the Agency is constrained for specific purposes externally imposed by the U.S. Department of Housing and Urban Development (HUD) provider for urban redevelopment.

Compensated Absences and Post-Employment Benefits

Employees are permitted to accumulate a specified amount of earned but unused sick leave, which will be paid to them upon separation from the Agency. These expenditures are recognized in the governmental funds in the current year to the extent they are paid during the year or the vested amount is expected to be paid with available resources. The liability for compensated absences at June 30, 2015 was approximately $133,000.

The Agency does not provide any post-employment benefits.

Budgets

Based upon the nature of the operation of the Agency, the Agency is not legally required to adopt a budget. Accordingly, comparative budget analysis has not been presented in these financial statements.

Date through Which Subsequent Events Have Been Evaluated

Subsequent events have been evaluated through October 31, 2015, which is the date the financial statements were available to be issued.

(2) Reconciliation of Government-wide and Fund Financial Statements

The Combined Balance Sheet-Governmental Fund Type provides the reconciliation between the fund balance for total governmental funds on the governmental fund balance sheet and the net assets for governmental activities as reported in the government-wide statement of net assets. There were no major reconciling items for 2015.

The Combined Statement of Revenues, Expenditures and Changes in Fund Balance-Governmental Funds provides a reconciliation between the net changes in fund balance as shown on the governmental fund statement of revenues, expenditures, and changes in fund balances and the changes in net assets of governmental activities as reported on the government-wide statement of activities. There were no major reconciling items for 2015.

(Continued)

(3) Deposits and Investments

At June 30, 2015, the carrying amount of the Agency's deposits was approximately $1,510,000, and their bank balances totaled approximately $2,420,000. The majority of the cash was on deposit with private financial institutions. As of June 30, 2015, the Agency's deposits with financial institutions were in excess of federal depository insurance limits by approximately $2,170,000.

The Agency's cash consists of the following approximate amounts at June 30, 2015:

Petty cash	$ 150
Cash in bank	1,510,032
Total cash	$ 1,510,182

Custodial credit risk for deposits is the risk that in the event of a bank failure, the Agency's deposits may not be returned to it, or it will not be able to recover collateral securities that are in the possession of an outside party. For an investment, custodial credit risk is the risk that, in the event of the failure of the counterparty, the Agency will not be able to recover the value of its investments or collateral securities that are in the possession of an outside party. The Agency does not have a deposit policy for custodial credit risk.

The Agency's investments in money market accounts at June 30, 2015 totaled approximately $6,995,000 (of which $250,000 was insured).

In the event that a financial institution becomes insolvent and is placed under Federal Deposit Insurance Corporation (FDIC) receivership, this collateral is subject to the FDIC requirements for perfecting security interest under Federal law. In the opinion of the Connecticut State Attorney General, these requirements are met.

(4) Note Payable to Bank

The Agency had a $1,100,000 note payable to a local bank which was secured by certain real property and payable through February 2015. The interest rate of 2% was calculated at Peoples United Bank prime rate less 1.25%. This loan was repaid during the fiscal year ended June 30, 2015.

(Continued)

(5) Receivables, Payables and Deferred Revenue

Grants and accounts receivables consist of the following amounts at June 30, 2015:

City of Norwalk and federal agencies	$ 1,680,830
Other	176,576
Total grants and accounts receivable	$ 1,857,406

Due to governmental agencies consists of the following amounts at June 30, 2015:

Due to Community Development Block Grant (CDBG)	$ 1,229,326
City of Norwalk-various projects	756,559
Other	306,245
Total due to governmental agencies	$ 2,292,130

Deferred revenues totaled approximately $3,952,000 as of June 30, 2015. Grant revenues are considered earned when expenditures are incurred and until then are considered deferred revenues.

(6) City of Norwalk and Program Funding

The Agency receives funding through the City of Norwalk as the City's administrator of the CDBG program. The City's operating budget also provides funding for salary and benefits of certain Agency staff that perform services directly for the City. The CDBG funding is distributed to Housing and Urban Development qualified programs and services within the City which are recognized as community needs within the City's Consolidated Plan and are awarded by the Common Council. For the year ended June 30, 2015, the Agency recognized the following revenue:

CDBG – Sub Grantee allocation	$ 1,616,507
CDBG - Administration	171,450
Other Government Grants for City Projects	4,881,788
Total Non Agency/CDBG/Sub Grantee Allocations	$ 6,669,745

(7) Employee Benefit Plan

The Agency sponsors a defined contribution pension plan covering substantially all of its employees. The benefits are based on years of service and the accumulation of employer's contribution during years of employment. Contributions and cost are determined at an average of 12 percent of each employee's salary and totaled approximately $116,300 for the fiscal year ended June 30, 2015.

(Continued)

(8) Due to/from Related Parties

Other assets include net advances due from North Walke Housing Corporation (North Walke) in the amount of $223,500. North Walke is a separate nonprofit organization, but they are related parties since certain officers and directors of the Agency also serve in the same capacity with North Walke.

(9) Risk Management

The Agency is exposed to various risks of loss related to torts; theft or damage to, and destruction of assets; errors and omissions for public officials; injuries to employees; and natural disasters. The Agency has obtained insurance coverage to guard against these events which will provide minimum exposure to the Agency should they occur.

The Agency continues to carry commercial insurance for all other risks of loss, including business liability coverage. Settled claims resulting from these risks have not exceeded commercial insurance coverage in any of the past three years.

(10) Commitments and Contingencies

Amounts received or receivable from grantor agencies are subject to audit and adjustment by the grantor agencies. Any disallowed costs or claims, including amounts already received by the Agency, could become a liability.

The Agency is involved in various litigation matters. Management and counsel are either unable to determine the effect on the financial statements of these matters as their outcomes are undeterminable as of this report, or the effects are not considered to be material.

(11) Choice Neighborhoods Initiative

HUD announced on June 30, 2014 that the City was selected to receive approximately $30 million under the federal Choice Neighborhoods initiative.

The Housing Authority of the City of Norwalk and the Agency will lead the effort on behalf of the City. Key partners include Trinity Financial and Housing Opportunities Unlimited. The team is targeting the South Norwalk neighborhood, since that area has suffered persistent flooding. Superstorm Sandy also caused severe flooding at the Washington Village public housing development and caused the temporary displacement of its residents. Plans call for rebuilding 136 public housing units into a new 273-unit mixed-income development.

A homeowner rehabilitation program, small business loans, and a new business improvement district will enable current owners and businesses to maintain and improve their properties.

Solakian & Company, LLC
Certified Public Accountants

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REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

INDEPENDENT AUDITOR'S REPORT

To the Board of Commissioners
Norwalk Redevelopment Agency

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States the financial statements of Norwalk Redevelopment Agency ("Agency") which comprise the statement of financial position as of June 30, 2015 and the related statements of activities, and cash flows as of and for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 31, 2015.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Agency's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Agency's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Agency's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

(Continued)

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Agency's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the result of that testing, and not to provide an opinion on the effectiveness of the Agency's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Agency's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Solakian & Company, LLC

October 31, 2015

NORWALK REDEVELOPMENT AGENCY

Schedule of Revenues, Expenditures and Changes in Fund Balance-Budgetary Comparison

Year ended June 30, 2015

	Actual-Budgetary Basis			Budgeted Amounts	
	CDBG	Improvement	Total	Original	Final
Revenues:					
Other government grants for City projects	-	5,405,829	5,405,829	5,405,829	5,405,829
Federal grants	$ 1,259,782	-	1,259,782	1,259,782	1,259,782
Program income	48,231	91,974	140,205	140,205	140,205
Investment income	2	10,176	10,178	10,178	10,178
Total revenues	1,308,015	5,507,979	6,815,994	6,815,994	6,815,994
Expenditures:					
Project outlays	1,308,015	5,507,979	6,815,994	6,815,994	6,815,994
Revenues greater (less) than expenditures	-	-	-	-	-
Fund balance - beginning of year	669,877	4,165,409	4,835,286	4,835,286	4,835,286
Fund balances - end of year	$ 669,877	4,165,409	4,835,286	4,835,286	4,835,286

See accompanying notes to basic financial statements.

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REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY OMB CIRCULAR A-133

INDEPENDENT AUDITOR'S REPORT

To the Board of Commissioners
Norwalk Redevelopment Agency

Report on Compliance for Each Major Federal Program

We have audited Norwalk Redevelopment Agency's ("Agency") compliance with the types of compliance requirements described in the OMB Circular A-133 *Compliance Supplement* that could have a direct and material effect on each of the Agency's major federal programs for the year ended June 30, 2015. The Agency's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the Agency's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States; and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Agencys. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Agency's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Agency's compliance.

Opinion on Each Major Federal Program

In our opinion, the Agency complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2015.

(Continued)

Report on Internal Control Over Compliance

Management of the Agency is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Agency's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Agency's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficien cies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133

We have audited the financial statements of the Agency as of and for the year ended June 30, 2015, and have issued our report thereon dated October 31, 2015, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditure of federal awards is fairly stated in all material respects in relation to the financial statements as a whole.

Solakian & Company, LLC

October 31, 2015

NORWALK REDEVELOPMENT AGENCY

Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Grantor/Program Title	CFDA #	Expenditures
U.S. Department of Housing and Urban Development (HUD):		
Community Development Block Grant (CDBG) Non-Revolving Funds Passed through the City of Norwalk	14.218	$ 1,253,052
Total expenditures of federal awards		$ 1,253,052

BASIS OF PRESENTATION

This Schedule of Expenditures of Federal Awards includes the federal grant activity of the Norwalk Redevelopment Agency and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations.* Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

NORWALK REDEVELOPMENT AGENCY

Schedule of Findings and Questioned Costs - Federal Awards

Year ended June 30, 2015

Section I – Summary of Auditor's Results

Financial Statements
Type of auditor's report issued: Unqualified

Internal control over financial reporting:

• Material weakness(es) identified?	______ yes __X__ no
• Significant deficiency(ies) identified?	______ yes __X__ none reported
Noncompliance material to financial statements noted?	______ yes __X__ no

Federal Awards
Internal control over major programs:

• Material weakness(es) identified?	______ yes __X__ no
• Significant deficiency(ies) identified?	______ yes __X__ none reported

Type of auditor's report issued on compliance for major programs:

Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of OMB Circular A-133?	______ yes __X__ no

Identification of major programs:
CFDA Number(s): 14.218

Name of Federal Program or Cluster:
HUD Community Development Block Grant

Dollar threshold used to distinguish between type A and type B programs:	$ 300,000
Auditee qualified as low-risk auditee?	__X__ yes _____ no

Section II – Financial Statement Findings

No findings or questioned costs are reported relating to financial statements audit.

Section III– Federal Award Findings and Questioned Costs

No findings or questioned costs are reported relating to Federal award programs.

VIII C 1-2

Chapter 11A. Alarm Systems

Article I. Burglar and Holdup Alarm Systems

[Adopted 4-8-1986]

§ 11A-1. Title.

This article shall be known and may be cited as the "Ordinance Regulating Burglar and Holdup Alarm Systems and Users."

§ 11A-2. Purpose.

The purpose of this article is to reduce the number of false alarms and promote the responsible use of alarm devices, thereby improving the members of the Police Department who must respond to such alarms.

§ 11A-3. Definitions.

As used in this article, the following terms shall have the meanings indicated:

ALARM SYSTEM

Any device or assembly of equipment arranged to signal, audibly or visibly, the presence of a hazard requiring urgent attention and to which police and fire personnel are expected to respond. In this article, the term "alarm system" shall include the terms "automatic holdup alarm system," "burglar alarm system," "holdup alarm system" and "manual holdup alarm system." Alarm systems which monitor temperature, humidity or any other condition not directly related to the detection of an unauthorized intrusion into a premises or an attempted robbery or a fire or smoke condition at a premises are specifically excluded from the provisions of this article.

ALARM USER

Any person on whose premises an alarm system is maintained within the City of Norwalk, except alarm systems on motor vehicles or proprietary alarms. If, however, an alarm system on a motor vehicle is connected with an alarm system at a premises (other than a proprietary system), the person using such system is an alarm user. Also excluded from this definition and from the coverage of this article are persons who use alarm systems to alert or signal persons within the premises in which the alarm system is located of an attempted unauthorized intrusion or holdup attempt. If such system, however, employs an audible signal emitting sounds or a flashing light or beacon designed to signal persons outside the premises, such system shall be within the definition of "alarm system" and shall be subject to this article.

AUTOMATIC DIALING DEVICE

An alarm system which automatically sends over regular telephone lines, by direct connection or otherwise, a prerecorded voice message or a coded signal indicating the existence of the emergency situation that the alarm system is designed to detect.

AUTOMATIC HOLDUP ALARM SYSTEM

An alarm system in which the signal is initiated by the action of the robber.

BURGLARY ALARM SYSTEM

An alarm system signaling an entry or attempted entry into the area protected by the system.

CENTRAL STATION

An office to which remote alarm devices are connected where operators monitor those signals and relay information to the Police Department.

CITY

The City of Norwalk, County of Fairfield, Connecticut.

FALSE ALARM

The activation of an alarm system through mechanical failure, malfunction, improper installation or the negligence of the owner or lessee of an alarm system or of his employees or agents. Such terminology does not include, for example, alarms caused by hurricanes, tornadoes, earthquakes or other violent conditions. "False alarms" shall not mean alarms transmitted because of a watermain break or similar causes that occur outside of the protected property. "False alarms," as defined in this article, also do not include those alarms that are transmitted with a criminal, malicious or mischievous intent.

HOLDUP ALARM SYSTEM

An alarm system signaling a robbery or attempted robbery.

MANUAL HOLDUP ALARM

An alarm system in which the signal transmission is initiated by the direct action of the person attacked or by an observer of the attack.

PERSON

Any individual, firm, partnership, association, corporation, company or organization of any kind.

POLICE or POLICE DEPARTMENT

The publicly supported Police Department of the City of Norwalk.

§ 11A-4. Automatic dial alarm prohibited.

A.

No automatic dial alarms may be installed which transmit a signal directly to the Police Department.

B.

This section does not apply to automatic dial alarms which transmit to a central station.

§ 11A-5. Alarm User Notification.

Central stations are required to call the alarm user before calling the Police Department.

§ 11A-~~5~~6. Exterior audible devices.

A.

Unless required by law, no alarm device which produces an exterior audible signal shall be permitted unless its operation is automatically restricted to a maximum of 30 minutes.

B.

All existing devices which produce an exterior audible signal shall be modified within 60 days of the effective date of this article to comply with Subsection A of this section.

§ 11A-~~6~~7. Testing of alarm devices.

Permission is not required to test or demonstrate alarm devices not transmitting signals directly to the Police Department. If such signals are to be relayed to the Police Department, permission from the head of the affected department or his designee must first be obtained.

§ 11A-~~7~~8. through § 11A-9. (Reserved)

[1]
Editor's Note: Former §§ 11A-7, False alarms, 11A-8, Municipal responsibility, and 11A-9, Exceptions, were repealed 1-28-2003.

Article II. Alarm Devices; False Alarms

[Adopted 1-28-2003]

§ 11A-10. Legislative determination.

A.
It is determined that the number of false alarms being made to the Norwalk Police and Fire Departments hinders the efficiency of those Departments, lowers the morale of Department personnel, constitutes a danger to the general public during responses to false alarms, and that the adoption of this article will reduce the number of false alarms and promote the responsible use of alarm devices in Norwalk.

B.
The general welfare and safety of the residents of Norwalk will be best served and protected by the establishment and implementation of an ordinance requiring the registration of alarm devices and establishing a mechanism to reduce the number of false alarms and promote the responsible use of alarm devices in Norwalk.

§ 11A-11. Definitions.

For the purpose of this article, the following definitions shall apply.

ALARM DEVICE

Any device which, when activated by a criminal act, fire or other emergency calling for Police or Fire Department response, transmits a signal to the Police or Fire Department; transmits a signal to a person who relays information to the Police or Fire Department; or produces an audible or visible signal to which the Police or Fire Department is expected to respond. Excluded from this definition and the scope of this article are devices which are designed to alert or signal only persons within the premises in which the device is installed.

ALARM USER

The owner of any premises in which an alarm device is used, provided that an occupant who expressly accepts responsibility for an alarm device by registration pursuant to § **11A-14** shall be deemed the "alarm user."

AUTOMATIC DIAL ALARM

A telephone device or attachment that mechanically or electronically selects a telephone line to the Police or Fire Department and reproduces a prerecorded voice message to report a criminal act, fire or other emergency calling for Police or Fire Department response.

CENTRAL STATION

An office to which remote alarm devices transmit signals where operators monitor those signals and relay information to the Police and Fire Departments.

CONTRACTOR

Any person, firm or corporation in the business of supplying and installing alarm devices or servicing the same.

FALSE ALARM

Any activation of an alarm device to which the Police or Fire Department responds and which is not the result of an actual emergency.

§ 11A-12. Administrator.

The Chief of the Norwalk Police Department and the Chief of the Norwalk Fire Department shall jointly designate an Alarm Administrator for alarm devices who shall have the powers and duties granted under this article.

§ 11A-13. Alarm Appeal Board.

A.

There shall be an Alarm Appeal Board, which shall have the powers and duties granted to it under this article.

B.

The Alarm Appeal Board shall consist of five members, at least one of whom shall have experience with installing or servicing alarm devices. Each member shall be nominated by the Mayor and confirmed by the Common Council. The Mayor will designate the Chairman. Each initial member shall serve until January 1, 2005. All members shall be electors of the City of Norwalk and shall serve without compensation. Further appointments, except to fill vacancies, shall be for terms of four years. Appointed members shall serve until their successors shall have been appointed and qualified. Vacancies in the membership shall be filled for the unexpired portion of a term in the same manner as regular appointments.

C.

Three members of such Board shall constitute a quorum. All decisions of the Board shall be made by agreement of a majority of those present and voting.

§ 11A-14. Notice and registration of alarm devices required.

On or after 90 days of the effective date of this article:

A.

No person, firm or corporation shall install an alarm device without first providing the Alarm Administrator with the name, address and phone number of the owner of the premises wherein an alarm device is to be installed. An occupant of such premises, other than the owner, can accept responsibility for an alarm device used on the premises by executing a written acceptance form and filing the name with the Alarm Administrator.

B.

Each alarm user shall register his alarm device or devices with the Alarm Administrator prior to use of the alarm device.

C.

Each user of an existing alarm device or contractor acting on behalf of an alarm user shall register the alarm device with the Alarm Administrator.

§ 11A-15. Alarm device registration procedure.

A.
Alarm device registration shall be accomplished by filling out a form provided by the Alarm Administrator which shall require such information concerning the identity of the proposed alarm user, the identity of the alarm user's contractor, if any, and the nature of the proposed alarm device, as the Alarm Administrator may require. The Alarm Administrator shall issue the alarm user a written acknowledgment of proper registration.

B.
It shall be the responsibility of each alarm user who has possession of the premises to notify the Alarm Administrator of changes in registration information.

§ 11A-16. Registration of central stations required.

Each central station which plans to transmit signals to the Norwalk Police or Fire Department must register with the Alarm Administrator before doing so.

§ 11A-17. Central station registration procedure.

A.
Central station registration shall be accomplished by filling out a form provided by the Alarm Administrator which shall include such information as the Administrator may require concerning the identity of the applicant, the type of its business organization (individual proprietorship, partnership, corporation), the principal place of business of the entity, the location of the office monitoring alarms and the alarm users in Norwalk served by the station. The Administrator shall issue the central station a written acknowledgment of proper registration.

B.
It shall be the responsibility of each central station to notify the Administrator of any change in the registration information in writing within 30 days, but such notification need not be given more frequently than once a month.

§ 11A-18. Registration of contractors required.

A.
Each contractor that wishes to provide authorized alarm device inspection and repair services shall register with the Alarm Administrator.

B.
Contractor registration shall be accomplished by filling out a form provided by the Alarm Administrator which shall include such information concerning the identity of the applicant, the type of its business organization (individual proprietorship, partnership, corporation), the principal place of business of the entity, the places of business from which Norwalk alarm users will be served, the types and makes of equipment the contractor is qualified to service, as the Administrator may require. The Administrator shall issue the contractor a written acknowledgment of proper registration.

C.
It shall be the responsibility of each contractor to notify in writing the Alarm Administrator of changes in the registration information within 30 days of the change.

§ 11A-19. Registration fees.

There shall be a registration fee of $10 for each registration under §§ **11A-16**, **11A-17** and **11A-18**.

~~§ 11A-20. New automatic dial alarms prohibited.~~

~~No automatic dial alarm device may be installed after the effective date of the article.~~

§ 11A-~~21~~20. Reporting of false alarms.

A.
The Norwalk Police Department and Norwalk Fire Department shall report false alarms to the Alarm Administrator, based upon the report of the investigating officer.

B.
It shall be the responsibility of the central station to notify the alarm user whenever the central station reports an alarm to the Norwalk Police Department or Fire Department.

§ 11A-~~22~~21. Charges for false alarms.

A.
When the Alarm Administrator determines that the Police Department or Fire Department has responded to a false alarm, the Alarm Administrator shall impose a charge on the responsible alarm user according to the following schedule:

(1)
For the first two false alarms within the City's fiscal year: no charge.

(2)
For the third false alarm within the City's fiscal year: $~~50~~75.

(3)
For each subsequent false alarm within the City's fiscal year: $~~75~~100.

B.
Failure to pay any such charge within 30 days of the imposition thereof shall constitute a separate violation of this article. Each month thereafter that a charge remains unpaid shall constitute a separate violation.

C.
Charges may be amended by a fee schedule enacted by the Common Council.

§ 11A-~~23~~22. Notification of charges.

A.

The Alarm Administrator shall notify the responsible alarm user of any false alarm charge by mail addressed to the alarm user at the address noted on the registration form on file. Within 30 days after receipt of such notice, the alarm user may file with the Administrator information to show that the alarm was not a false alarm within the meaning of this article. Notice shall be deemed to be received within seven days from date of mailing.

B.
The Administrator shall consider all such information, reaffirm or rescind the false alarm charge based on the use of reasonable judgment and notify the alarm user of its decision by mail. No later than 30 days after the mailing of such notice, the alarm user may file with the Alarm Appeal Board an appeal, in writing, to challenge the basis for the Alarm Administrator's decision.

§ 11A-~~24~~23. Violations and penalties.

Any person who performs or causes to be performed any of the following acts shall be subject to a penalty not to exceed $75 for each such act:

A.
Failure to register an alarm device, central station or contractor or give notice of changes in registration information within the required times and as required by this article.

B.
Use of an automatic dial alarm device.

C.
Installation of an alarm device without first providing the Alarm Administrator with the name, address and phone number of the owner of and the alarm user at (if different) the premises wherein the alarm device was installed. Each thirty-day period following the imposition of a penalty that a violation remains uncorrected or that a penalty remains unpaid shall constitute a separate violation.

§ 11A-~~25~~24. Appeals procedure.

~~Appeals shall be filed in writing within 30 days. Upon receipt of a timely appeal from a false alarm charge, registration suspension, violation or penalty, the Alarm Appeal Board shall hold a hearing to consider the appeal and shall mail notice of the time and place of said hearing to the alarm user taking the appeal, to the last known address, at least 15 days before the hearing. The address listed on the registration shall be deemed to be valid and current for purposes of this article. On the basis of information provided by the alarm user and other information introduced at the hearing, the Board shall affirm the action or decision of the Alarm Administrator if it finds that such action or decision was properly imposed, or it may rescind the action or decision if it finds the action or decision was improper and without a reasonable basis.~~

Hearings and appeals shall be governed by Section 7-152c of the Connecticut General Statutes, as may be amended from time to time.

§ 11A-~~26~~25. Information to be compiled.

The Alarm Administrator, Norwalk Police Department and Norwalk Fire Department shall, with respect to each false alarm, compile information concerning the alarm devices involved, contractors and addresses of false alarms and any other information that may be evaluated to determine relative reliability of different types of alarm devices, and particular contractors and the frequency of false alarms attributable to different categories, addresses and contractors.

§ 11A-~~27~~26. Disclaimer of liability.

Notwithstanding the provisions of this article, the City of Norwalk, its departments, officers, agents and employees shall be under no obligation whatsoever concerning the adequacy, operation or maintenance of any alarm device or of the alarm-monitoring and responding facilities of the Norwalk Police and Fire Departments. No liability whatsoever is assumed for the failure of such alarm devices or monitoring facilities or for the failure to respond to any alarms or for any other acts or omission in connection with such alarm devices. Each alarm user shall be deemed to hold and save harmless the City, of Norwalk, its departments, officers, agents and employees from any and all liabilities and responsibilities in connection any alarm device, including the use, installation and or operation of any alarm device, regardless of registration status.

§ 11A-~~28~~27. Charges and fees to be paid into general fund.

Charges for contractor registration, charges for false alarms, appeal fees and penalties for violations and late charges shall be collected by the Alarm Administrator and placed in the general fund. The failure to pay any charge, suspension, violation or penalty within 30 days from date of imposition shall cause a late charge of $25 to be due and payable for each thirty-day period during which an outstanding balance is due.

§ 11A-~~29~~28. Enforcement.

The City of Norwalk, upon authorization of the Alarm Administrator, may institute civil proceedings to enforce the provisions of this article.

§ 11A-~~30~~29. Applicability.

The provisions of this article shall not apply to alarm devices on premises owned or controlled by the City of Norwalk, including the Norwalk Board of Education, the State of Connecticut or the government of the United States nor to alarm devices installed in a licensed motor vehicle, trailer or boat.

Definitions

COMMERCIAL VEHICLE – Any vehicle used in commerce; whether for hauling goods, equipment, or transporting passengers for a fare.

§98-26 ~~Parking of commercial vehicles~~ Prohibited Vehicles

A. No person shall park, cause to be parked or permit to be parked any ~~commercial vehicle of more than 1 ¼ ton's rated capacity~~ of the following vehicles upon any public street or highway or other public place within the city in excess of the time limit prescribed:

(1) Commercial vehicles with more than 1 ¼ ton rated capacity – four hours maximum.

(2) Commercial trailer – four hours maximum.

(3) Boat trailer- twelve hours maximum.

(4) Camper trailer – twelve hours maximum.

(5) Recreational vehicles – twelve hours maximum.

B. No person shall park, cause to be parked or permit to be parked at one time in any residential district or upon any street adjacent thereto, two or more commercial vehicles or commercial trucks.

~~B.~~ C. Any person who ~~parks a commercial vehicle in excess of four hours in~~ violati~~ones~~ ~~of~~ the provisions of this section shall be fined for each violation in an amount established in accordance with the provisions of §90-4, Approval of rates and fees.

VII D 1

NORWALK
The Sound of Connecticut

MEMORANDUM

To: Bruce Chimento, PE Director
From: Michael Yeosock, PE Assistant Principal Engineer
Date: April 25, 2016
RE: Mast Arm Replacement

Last September, a City mast arm at the intersection of Rt. 7 and West Avenue was struck and damaged by a truck. The damaged mast arm and signals had been temporarily replaced with a span wire assembly until the structural integrity of the mast arm shaft could be ascertained. The inspection has now been completed and the shaft has been found to be structurally reusable, thus a price was requested from the manufacturer of the shaft to replace the arm.

The manufacturer has quoted a price of $16,726 for which we are requesting city council approval under the sole source provisions of the City's purchasing guidelines.

125 East Avenue P.O. Box 5125, Norwalk, CT 06856-5125 Telephone 203-854-7791 Fax 203-857-0143

VSI SALES, LLC

P O Box 135
Cecilia, KY 42724
Phone (270) 900-0020 (Ext 121) - Fax: (270) 234-8439

QUOTATION

04/25/16 | John Fehrenbach

QUOTATION NO. 150917

INQUIRY TO Michael Yoesock

TO: Norwalk, CT Dept of Public Works

RE: Rplacement Mast Arm
INT NO. 102-262 West Ave @ Rt 7
Town of Norwalk, CT

ATTN: Michael Yoesock

ESTIMATED SHIPPING DATE	SHIPPED VIA	FOB: PPD & ALLOWED	TERMS: NET 30 DAYS
ESTABLISHED AT TIME OF ORDER	TRUCK	TO JOB SITE	

QUANTITY		DESCRIPTION	UNIT PRICE	AMOUNT
1		Replacement of Damaged 40 Foot Mast Arm - Pole 1 (45' Arm)	$ 16,726	16726
0		Based off of V295-CT-9 and 10	$ -	0
0			$ -	0
0			$ -	0
0			$ -	0
0			$ -	0
0			$ -	0
0			$ -	0
0			$ -	0
0			$ -	0
0			$ -	0

QUOTATION TERMS:
DUE TO THE UNCONTROLLABLE COST INCREASES IN STEEL, OUR PRICES ARE FIRM FOR 60 DAYS, AND ARE SUBJECT TO CHANGE IF AN ORDER IS NOT RELEASED FOR MANUFACTURE WITHIN 60 DAYS OF ISSUANCE OF A PURCHASE ORDER. IF A RELEASE CANNOT BE OBTAINED WITHIN THE STATED 60 DAYS, ADD 2.5% FOR EACH ADDITIONAL 30 DAYS THE PROJECT IS ON HOLD.

PRICES INCLUDE:
H.D. GALVANIZED FINISH
CONNECTION HARDWARE
POWDER COATED FLAT BLACK
SHIPPING

PRICES EXCLUDE:
SIGNS
SIGN BRACKETS
SIGNAL HANGER CLAMPS
INSTALLATIOI

DESIGN:
Per Plans & Specifications

$ 16,726

WE ARE PLEASED TO SUBMIT THE ABOVE QUOTATION FOR YOUR CONSIDERATION. SHOULD YOU PLACE AN ORDER, BE ASSURED IT WILL RECEIVE OUR PROMPT ATTENTION.

THIS QUOTATION IS VALID THRU 06/24/16
THEREAFTER IT IS SUBJECT TO CHANGE WITHOUT NOTICE.

BY______________________

ACCEPTED______________________

DATE______________

COMMON COUNCIL
AND
CHARTER REVISION COMISSION

AGENDA
MONDAY, MAY 10, 2016
NORWALK, CONNECTICUT
6:00 PM EST, COUNCIL CHAMBERS, CITY HALL

Prepared by: Irene T. Dixon, Assistant City Clerk

WORKSHOP

The Norwalk Common Council and Charter Revision Commission will hold a workshop to discuss the revisions to the Charter of the City of Norwalk on Monday, May 10, 2016, at 6:00 p.m. in Norwalk City Hall, Council Chambers, 125 East Avenue, Norwalk, Connecticut.