

**ECONOMIC AND COMMUNITY DEVELOPMENT
OF THE COMMON COUNCIL
125 East Avenue
P.O. Box 5125
Norwalk, CT 06856**

TO: MEMBERS, ECONOMIC AND COMMUNITY DEVELOPMENT COMMITTEE OF THE
COMMON COUNCIL

FROM: JOHN KYDES, CHAIRMAN

DATE: MAY 25, 2023

RE: MEETING NOTICE

The Regular Meeting of the Economic & Community Development Committee is scheduled for Thursday, June 1, 2023 at 7:00pm. To allow public access, anyone may access a meeting by telephone, Zoom, and/or the City of Norwalk YouTube channel. Specific instructions and links can be found at www.norwalkct.org/meetings.



Members of the public can call in and listen to a meeting. They will not be able to speak or see any of the meeting participants. Each meeting will use a unique Meeting/Webinar ID. Please find the information using the link above.



Members of the public who wish to provide "live comments" will need to register in advance and use the Zoom meeting platform. All participants will be muted upon entering the meeting. To speak, click the "raise your hand indicator" and you will be called on by the host of the meeting during the public comment section. Please find the information using the link above.



Members of the public who wish to view the meeting, but are not participating, can view a live stream on the City of Norwalk YouTube channel. This stream is delayed by approximately 20 seconds. Please find the information using the link above. The meeting recording and minutes will be posted on the City of Norwalk website within seven (7) days after the meeting.



Members of the public who wish to provide public comment are encouraged to submit those via email in advance of the meeting. For these comments to be read into the record, they should be submitted at least three hours in advance of the meeting start time. Please email Maritza Alvarado at malvarado@norwalkct.gov to provide written public comment prior to the meeting.

ECONOMIC AND COMMUNITY DEVELOPMENT COMMITTEE OF THE COMMON COUNCIL
REGULAR MEETING
JUNE 1, 2023 @ 7:00PM
AGENDA

CALL TO ORDER

ROLL CALL

PUBLIC HEARING ON THE 2023 NEIGHBORHOOD ASSISTANCE ACT PROGRAM

<https://www.norwalkct.org/1709/Neighborhood-Assistance-Act-Tax-Credit-P>

PUBLIC PARTICIPATION

I. ADMINISTRATION

- A. Approval of the Minutes of May 4, 2023 Meeting.

II. NEW BUSINESS

A. PLANNING AND ZONING

1. Authorize the Mayor, Harry W. Rilling, to execute any and all agreements, documents, instruments and amendments thereto as may be necessary for the City of Norwalk to receive grant funds from the National Fish & Wildlife Foundation and its National Coastal Resilience Fund Grant Program in the amount of \$211,750. – Alexis Cherichetti
2. Authorize the Mayor, Harry W. Rilling, to execute any and all agreements, documents, instruments and amendments thereto as may be necessary for the City of Norwalk to receive grant funds from the State of Connecticut Acting by the Connecticut Port Authority through the Small Harbor Improvement Projects Program (SHIPP) in the amount of \$44,000. – Steve Kleppin
3. Waterfront Study Discussion – Steve Kleppin & UTILE Consulting Firm
4. Zoning Rewrite Update – Steve Kleppin

B. BUSINESS DEVELOPMENT AND TOURISM

1. Authorize the Mayor, Harry W. Rilling, to execute all documents to renew the contract for Marketing Services between the City of Norwalk and Dorenborg Kallenbach Advertising, LLC for one hundred and fifty thousand dollars (\$150,000). – Sabrina Godeski & Tod Kallenbach
ACCT: 01-37-80-5258

C. REDEVELOPMENT AGENCY

1. Advance the submitted applications to the Common Council for their approval at the June 13, 2023 Common Council meeting for their inclusion in the 2023 Connecticut Neighborhood Assistance Act program. – Katie O’Leary

2. Authorize the Mayor, Harry W. Rilling, to execute all documents to execute a contract with Keramida Inc. in an amount not to exceed \$31,490 to complete a Greenhouse Gas Inventory and Modeling. – Jessica Vonashek
ACCT: 133710-5796-AEC19

III. OLD BUSINESS

ADJOURNMENT

**CITY OF NORWALK
ECONOMIC AND COMMUNITY DEVELOPMENT COMMITTEE
REGULAR MEETING
VIA TELECONFERENCE
MAY 4, 2023**

ATTENDANCE: John Kydes, Chair; Thomas Livingston; Lisa Shanahan; Darlene Young
(7:10 p.m.)

STAFF: Sabrina Godeski, Director of Business Development and Tourism;
Jessica Vonashek, Chief of Community and Economic Development;
Steven Kleppin, Director of Planning and Zoning

OTHERS: Brian Bidoli, Executive Director, Norwalk Redevelopment Agency;
Diana Revolus Common Council Member

CALL TO ORDER

Mr. Kydes called the meeting to order at 7:00 p.m.

ROLL CALL

Mr. Kydes called the Roll as indicated above.

PUBLIC PARTICIPATION

Public participation comments are not verbatim and represent a summarization of statements unless otherwise noted. Speakers are Norwalk residents unless otherwise noted.

Ms. Diane Lauricella asked about the future of the library and what was going to be done to put together a true library center. Currently there is a crisis because there is a month or less to exercise an option. She said it is not only a library issue, but is also a library expansion issue.

Ms. Lauricella said she would like to see the first floor made into a modern auditorium. She asked if this would be part of the discussion tonight, because they do not have until next month. She asked if this Committee can go out to tell the public what is going on with the Library.

I. ADMINISTRATION

A. Approval of the Minutes of April 6, 2023 Meeting.

The following corrections were made to the minutes:

City of Norwalk
Economic and Community Development Committee
Regular Meeting
Via Teleconference
May 4, 2023
Page 1

Add Ms. Smyth to attendance and correct the spelling of Bryan Meek's name.

**** MS. SMYTH MOVED TO ACCEPT THE MINUTES AS CORRECTED
** MOTION PASSED UNANIMOUSLY**

II. NEW BUSINESS

A. PLANNING AND ZONING

1. Update on the Waterfront Study.

Mr. Kleppin shared his screen and provided an update on the Waterfront Study. He presented the proposed industrial use classifications broken down by right, special permit and multi family. He said the plan has several uses built into it and presented options A – K. He said there are competing uses.

Mr. Livingston said that at the last meeting they were clear about having less industry on waterfront. Mr. Kleppin said the idea is to show that a lot of these ideas are plausible. He referred to Devine Brothers, O & G and King Industries that are water dependent uses. Mr. Livingston said this presentation is not consistent with that they discussed at their last meeting. Mr. Kleppin said he would be happy to come back next month to re-visit the options.

Mr. Kydes asked Mr. Kleppin if he had a slide showing the current zoning in the area. Mr. Kleppin said he did not, but reviewed zoning in the upper harbor north area. Mr. Kydes asked Mr. Kleppin for an update on King Industries. Mr. Kleppin said would reach out to them. When they last discussed this item, King Industries wanted to remain. When he spoke to O & G, they were more open. Devine Brothers intend to stay.

Mr. Kydes asked if there was any information on remediation. Mr. Kleppin said he would look into it. He said that one of the goals was to try to determine what is needed for industrial land.

Ms. Shanahan said these are important industries and they need to think about the future. She would like to see a light industrial type of use on the waterfront.

Mr. Kydes said they received a lot of information and asked if there were any questions on the options. Ms. Young said the new plan will not impact community in the best way. She said she would be in favor if there was an opportunity to make adjustments. She added that they do not need all of the contractor's yards in South Norwalk. Ms. Revolus agreed with Ms. Young.

Mr. Kydes said that it sounds like the Committee is leaning toward options G and I. Mr. Livingston and Ms. Shanahan agreed. Ms. Smyth said ideally, yes, but realistically it is too hard to tell. Ms. Young said she did not know which option she approved of.

Mr. Kleppin shared his screen and presented the Water Street scenario. He said there were a lot of conversations about the north part of Water Street to allow for more pedestrian access.

2. Update on Zone Norwalk (zoning regulations update).

Mr. Kleppin shared his screen. He said four meetings were held to date with various districts. He said he received requests from other neighborhoods for meetings. He presented upcoming meeting dates and said the schedule is on the website. Mr. Kleppin said they are thinking about holding meetings with other groups such as land use professionals, business owners, smaller neighborhood groups and other interested parties. Planning and Zoning is looking at two public hearings with the adoption hearing at the end of September and an effective date of January 1, 2024. Mr. Kleppin said they are also thinking about other methods of outreach such as reverse 911 calls and City wide mailings.

Mr. Kleppin reported that he heard concerns from the residents of Shorefront Park, Devil's Den and East Norwalk about the proposal of two-family properties being included in single family zones. Ms. Shanahan asked him to include Rowayton on that list. Mr. Kydes said he has never been a supporter of multifamily homes and asked how many homes they were talking about for East Norwalk. Mr. Kleppin said he will send out that information. He said that over half of the multi-unit homes are owner occupied.

Mr. Kleppin said that ADUs are already permitted in B zones. In his opinion, this zone change will not increase density and can lead to potential home ownership. Mr. Kydes asked for detailed, accurate information about how many homes will go from one to two family homes. Mr. Kleppin said he would break it down and noted that these changes will happen incrementally. He added that currently the zone does not include design requirements.

Ms. Revolus said this is South Norwalk and what they have to deal with on a normal basis. She said her overwhelming concern is who can do this. She asked if they could include verbiage on who can do this. Mr. Kleppin said he did not think they could legally do this. Ms. Revolus said she wants to be sure families can do this.

Mr. Kleppin reviewed the proposal that combines Wall Street to where South Norwalk was rezoned. He discussed building height, but said it did not go over well.

Mr. Kleppin said he also heard about the lot width and said the recommendation was to regulate the lot width. Ms. Shanahan said the West Norwalk Neighborhood Association met and they were not convinced. She said she is not convinced and asked Mr. Kleppin if he would meet with

her and Mr. Livingston to help them understand the issue. Mr. Kleppin said he would do some mapping to provide a sense of what that would look like.

Mr. Kydes said that regular updates to the Committee would be beneficial.

B. REDEVELOPMENT AGENCY

**** MS. SHANAHAN MOVED TO ADVANCE THE FINAL PY49 ANNUAL ACTION PLAN (AAP) TO THE COMMON COUNCIL FOR ITS APPROVAL AND TO AUTHORIZE THE MAYOR TO EXECUTE ANY AND ALL DOCUMENTS ASSOCIATED WITH THE SUBMISSION OF THE PY49 AAP TO HUD AND ALL DOCUMENTS CONSISTENT WITH THE APPROVED PY49 AAP.**

Mr. Bidoli reviewed the item and said this is a reflection of what has been discussed over the past three meetings.

Mr. Kydes reminded everyone to complete and submit their conflict of interest form.

**** MOTION PASSED UNANIMOUSLY**

C. BUSINESS DEVELOPMENT AND TOURISM

**** MR. LIVINGSTON MOVED TO APPROVE THE ARTS AND CULTURAL DISTRICT DELINEATED BOUNDARIES FOR INCLUSION IN THE ARTS AND CULTURAL DISTRICT PACKAGE TO THE COMMON COUNCIL FOR ITS REVIEW AND APPROVAL.**

Ms. Godeski reviewed the item and said they going to hold a public hearing on this topic at the Ordinance Committee meeting next month. The purpose of this approval is to be sure the Committee sees the boundary. If this is approved by the Common Council, it will then go to the State.

Ms. Young pointed out that she did not see Recreation and Parks in this item. She said they just completed a process for accepting gifts. Ms. Shanahan said the Ordinance Committee created guidelines that will direct how all artwork will be addressed. Ms. Young asked what needs to be done to include Recreation and Parks. Ms. Shanahan said this proposal gives more flexibility to Recreation and Parks. Ms. Godeski said they envision that a lot of items will go through Recreation and Parks. Ms. Vonashek added that when it relates to cultural, they can bring it to Recreation and Parks and anything that will end up on City land can also go through Recreation and Parks.

**** MOTION PASSED UNANIMOUSLY**

III. OLD BUSINESS

There was no old business discussed this evening.

ADJOURNMENT

There was no further business, and the meeting was unanimously adjourned at 8:16 p.m.

Respectfully submitted,

Rosemarie Lombardi
Telesco Secretarial Services



**CITY OF NORWALK
Conservation Office**

www.norwalkct.gov

P: 203-854-7744

Norwalk City Hall

Room 129

125 East Avenue, PO Box 5125

Norwalk, CT 06856-5125

MEMORANDUM

DATE: May 17, 2023
TO: John Kydes, Chair
CC: Sabrina Godeski, Director of Business Development & Tourism
FROM: Alexis Cherichetti, Senior Environmental Officer
RE: **Economic & Community Development Committee Agenda Item
June 1, 2023 meeting**

I request that the following item be placed on the agenda for the June 1, 2023 meeting of the Economic & Community Development Committee of the Common Council:

Authorize the Mayor, Harry W. Rilling, to execute any and all agreements, documents, instruments and amendments thereto as may be necessary for the City of Norwalk to receive grant funds from the National Fish & Wildlife Foundation and its National Coastal Resilience Fund Grant Program in the amount of \$211,750.

Background:

Planning & Zoning staff submitted a proposal to the National Fish & Wildlife Federation (NFWF) National Coastal Resilience Fund in March 2022 to fund a citywide flood resilience plan. A sheet with the project title, abstract and description from the grant application follows.

In December 2022, it was announced our proposal had successfully been awarded \$211,750 in funding. We are nearing completion of requested edits and revisions to components of our grant application.

The grant will fund the retention of a consulting firm to oversee the drafting of a flood resilience plan. With the exception of stormwater infrastructure, the proposed plan would look at all sources of flooding and propose actions to reduce flood impacts. The grant requires \$72,167 in match; this will be provided as in-kind services, in the form of staff and volunteer time committed to completing the project.

Bids for a consultant will be sought in June 2023.

2022 NFWF NRCS Proposal – City of Norwalk Coastal Flood Resilience Plan

Project Title

Creating Flood Resilience through Community Land Use Planning in the Coastal City of Norwalk CT

Project Description

Create a city-wide flood resilience workplan to prioritize and execute strategies, land use planning, and site-specific projects. Project will provide a framework of nature-based solutions resulting in improved community resilience and enhanced coastal habitats in response to coastal hazards.

Project Abstract

A Community Flood Resilience Plan will be developed for the City of Norwalk, Connecticut. Norwalk is a coastal city at the mouth of the Norwalk River on Long Island Sound. Norwalk faces a lack of resiliency from climate related threats due to its patterns of development in flood-prone areas, old infrastructure and transit systems, high percentage of impervious area, hardened shorelines, and vulnerable populations. However, Norwalk is also fortunate with a diverse population of residents and businesses, a vibrant coastal ecosystem, strong community partnerships, a robust oystering economy, and ardent love of its maritime heritage.

Norwalk has initiated foundational actions in support of the development of the plan: formation of a committee focused on resilience, a city-wide Climate Vulnerability Assessment Workshop, engagement of vulnerable populations, and partnership with Connecticut Institute for Resilience & Climate Adaptation (CIRCA) to spur resilient conservation strategies in our downtown South Norwalk neighborhood.

Solutions for improved flood resilience will focus on policy and practices concurrently supportive of fish and wildlife habitat in Long Island Sound. Green infrastructure, reduction of stormwater runoff volumes through increased capture and filtration, and management of coastline to allow habitat adaptation with sea level rise. The Community Flood Resilience Plan would tie all previous and current efforts to one cohesive and prioritized document.



CITY OF NORWALK
Harbor Management Commission

www.norwalkct.gov

P: 203-854-7780

Norwalk City Hall

Room 129

125 East Avenue, PO Box 5125
Norwalk, CT 06856-5125

MEMORANDUM

DATE: May 18, 2023
TO: John Kydes, Chair
CC: Sabrina Godeski, Director of Business Development & Tourism
FROM: Amelia Williams, Land Use Planner
RE: **Economic & Community Development Committee Agenda Item
June 1, 2023 meeting**

I request that the following item be placed on the agenda for the June 1, 2023 meeting of the Economic & Community Development Committee of the Common Council:

Authorize the Mayor, Harry W. Rilling, to execute any and all necessary documents for the State of Connecticut Acting by the Connecticut Port Authority through the Small Harbor Improvement Projects Program (SHIPP) grant related to the City of Norwalk's study of street-ends based on the initial reconnaissance and evaluation of 16 sites and a more detailed review for conceptual site improvement plans for five selected sites.

Background:

The Harbor Management Commission staff submitted a proposal to the Connecticut Port Authority (CPA) Small Harbor Improvement Projects Program (SHIPP) in July 2022 to fund a Harbor Access Study. A sheet with the project title and description from the grant application follows.

In April 2023, it was announced our proposal had successfully been awarded \$44,000 in funding.

The grant will fund the retention of professional engineering and/or planning services to assist with: 1) the initial reconnaissance and evaluation of 16 candidate City-owned street-ends providing access to Norwalk Harbor; and 2) more detailed review of five selected sites including development of conceptual site improvement plans. Matching funds are not required for SHIPP grants for Harbor Management Planning Purposes. However, in-kind services will be provided throughout the study.

The study is anticipated to be completed within one calendar year.

Connecticut Port Authority Small Harbor Improvement Projects Program

Project Title

2022-2023 Norwalk Harbor Access Study

Project Description

Conduct a study of 16 previously identified City-owned and generally unimproved street ends along the East and West Shorelines of the Norwalk Inner Harbor. After the initial reconnaissance and evaluation of these sites, more detailed review and development of conceptual site improvement plans will be conducted for five selected sites, including graphic renditions of feasible site improvements for discussion.

In its entirety, the Norwalk Harbor Access Study will provide community-wide benefits which are predicated on the City's continued efforts to maintain and enhance opportunities for public access to the Harbor and the resulting benefits to the City's quality of life. In pursuing this project, the Harbor Management Commission is guided by the recognition that Norwalk's character and quality of life are intrinsically tied to the water and shoreline resources of Long Island Sound and Norwalk Harbor. The study is intended to provide justification for allocation of additional public funds to achieve the site improvements expected to be recommended through the study.

CITY OF NORWALK

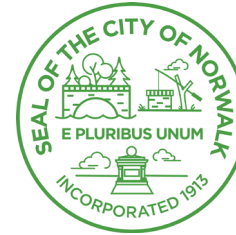
Economic and Community Development Committee of the Common Council

June 1, 2023



DORNENBURG | KALLENBACH ADVERTISING

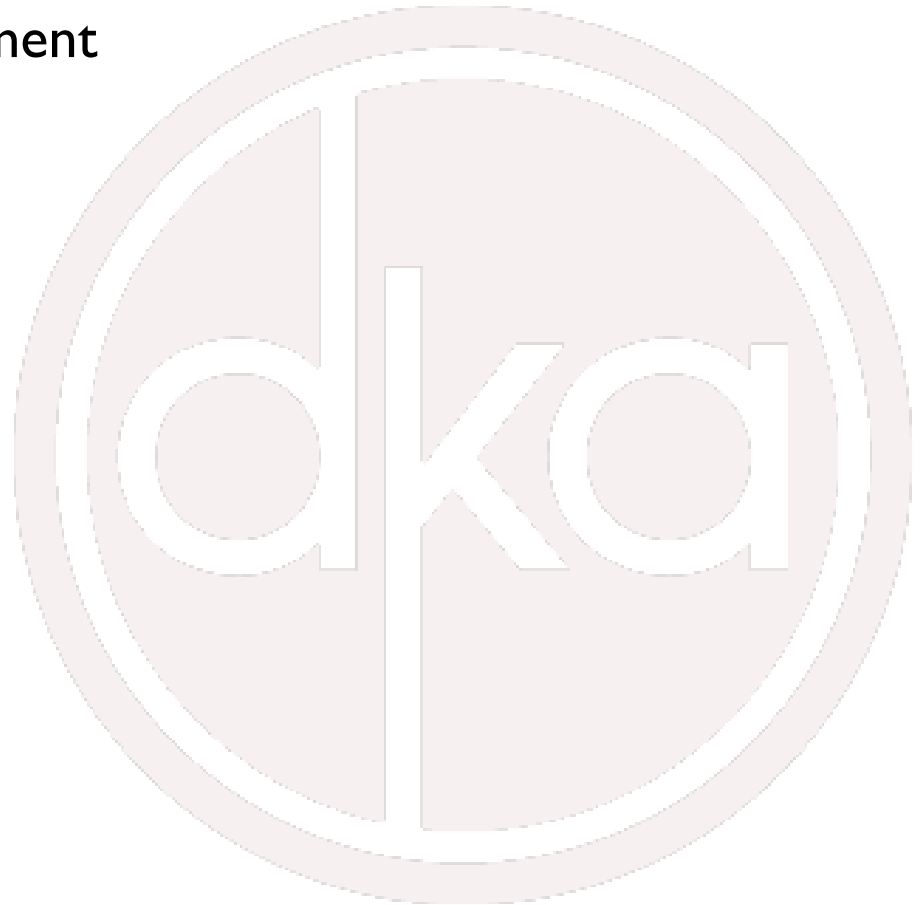
Contracts



- Business Marketing Support & Parking Promotion – initiated November 2020
- Tourism – initiated January 2021
- Economic & Community Development – initiated November 2021

Scope of Services

- Brand Creation
- Website Development & Content Management
- Social Media Content Management
- E-Newsletters
- Promotions
- Advertising Campaigns
- Public Relations
- Digital & Print Design
- Videography & Photography
- Reporting of KPIs



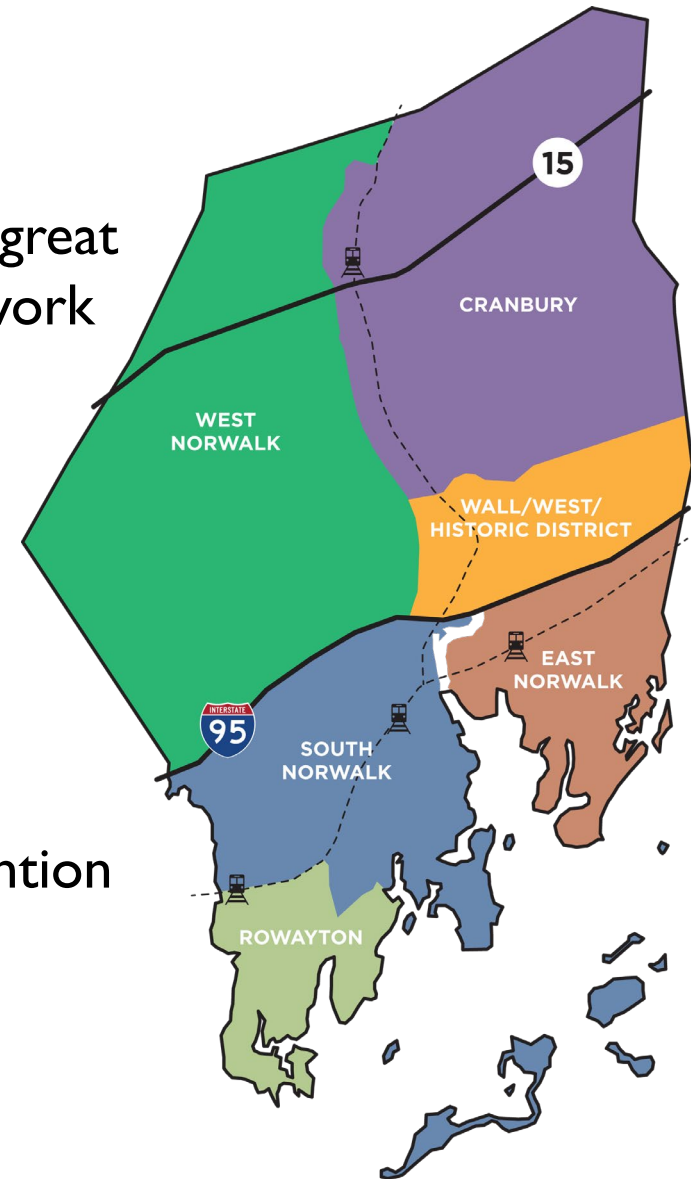
Norwalk Destination Branding & Marketing

MARKETING GOALS

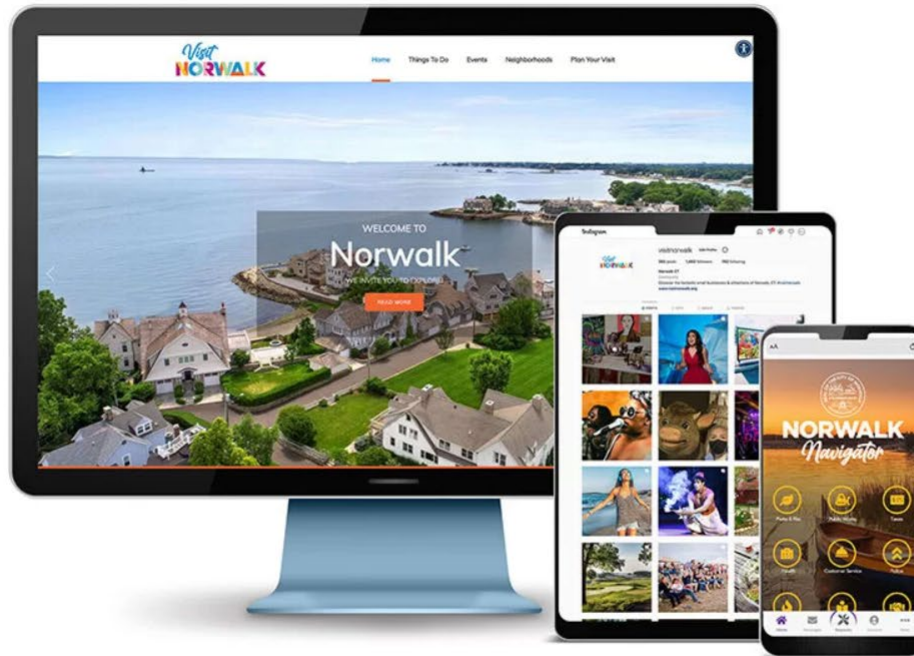
- Create a distinct identity for Norwalk as a great place to explore, dine, shop, play, stay, live, work and do business

GROWTH MEASURES

- Campaign engagement (clicks, likes, follows, inquiries, etc.)
- Business and attraction visits and revenues
- Business acquisition, development and retention
- City revenues (parking, taxes, etc.)
- Employment
- Residents

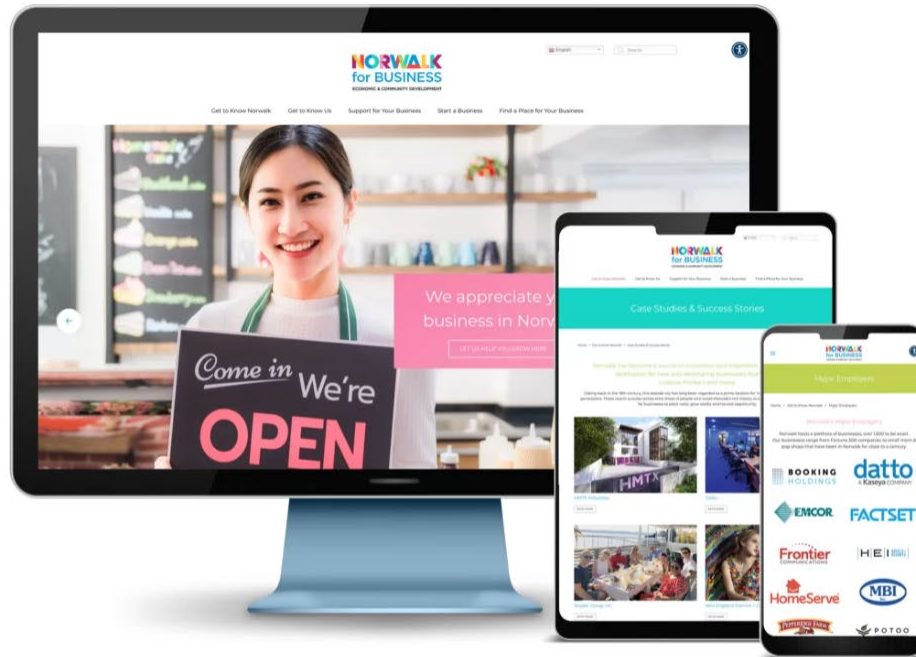


Digital/Social Platforms



www.visitnorwalk.org

Digital/Social Platforms



www.norwalkforbusiness.org

Digital/Social Platforms



Celebrate some of the best of Norwalk's dining scene!

CHECK BACK FOR PARTICIPATING RESTAURANTS AND SPECIAL MENUS COMING SOON!

barcelona



— LUNCH MENU —



— DINNER MENU —



— LUNCH MENU —



— DINNER MENU —

PINSTRIPES
BISTRO BOWLING BOCCIE

— LUNCH MENU —

PINSTRIPES
BISTRO BOWLING BOCCIE

— DINNER MENU —

PONZA
ITALIAN KITCHEN & PIZZA



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2022 Digital Media Results Dashboard



E-Newsletters:

- Consumers: 1,204
 - Open Rate: 57.2%
 - Click Rate: 6.0%
- Businesses:
 - Participating: 298
 - Open Rate: 47.6%
 - Click Rate: 5.1%
 - Prospective: 554
 - Open Rate: 43.6%
 - Click Rate: 1.9%

Website:

- Total Visits since Aug. '21 launch: 43,000
- Total Visits in 2022: 35,000

Social Media ([Facebook](#) & [Instagram](#)):

Facebook Page reach ⓘ

1,024,369 ↑ 18.5%

Facebook Page visits ⓘ

1,342 ↑ 82.3%

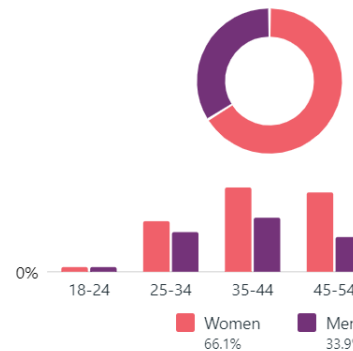
Facebook Page new likes ⓘ

256 ↑ 88.2%

Facebook Page likes ⓘ

435

Age & gender ⓘ



Instagram reach ⓘ

502,029 ↑ 7.7%

Instagram profile visits ⓘ

6,550 ↑ 24.8%

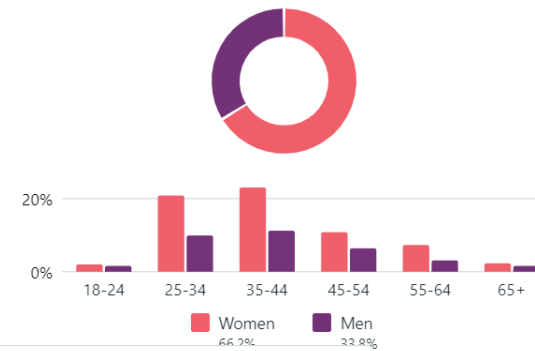
New Instagram followers ⓘ

879 –

Instagram followers ⓘ

2,617

Age & gender ⓘ



Recent content ↑↓

Reach ⓘ ↓



The 44th Annual Norwalk Oyster F...
Sun Sep 4, 5:01am

3,808



Holiday Open House. Sunday, Dec...
Sat Dec 3, 5:01am

3,350



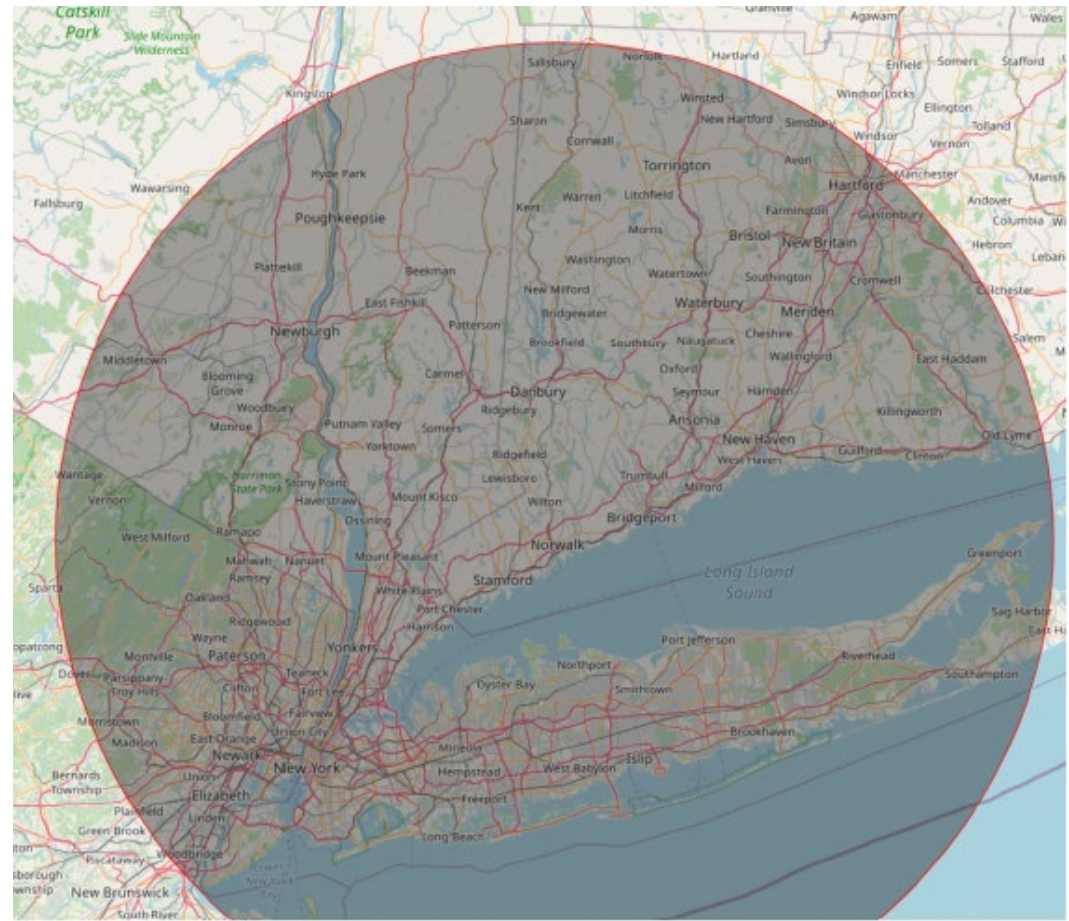
The 2022 SoNo Arts Festival is on S...
Thu Aug 4, 5:01am

3,225

Tourism Advertising Campaign



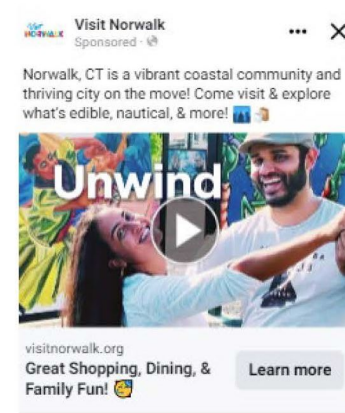
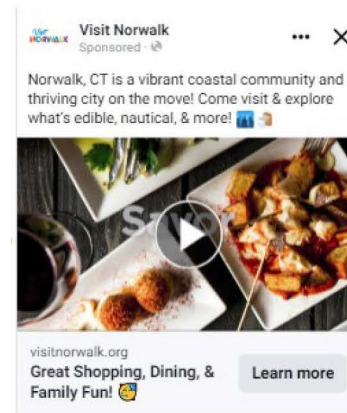
- Launched in October 2021
- Objective: Elevate brand awareness of Visit Norwalk in Tri-State area
 - CT, NY & NJ (35-50-mile radius, one-hour-drive market)
- Calls to action
 1. Influence and increase visitors
 2. Gain more social engagement and community outreach
 3. Drive more traffic to VisitNorwalk.org
- Target Demographics
 - Primary: Adults & Families
- Digitally Focused
 - Broad Reach
 - Targeting Ability
 - Data Driven



Programmatic Social & Digital Advertising: 2022



- Peak Season
 - April – November
 - 880,000 monthly impressions
- Off-Peak Season
 - December – March
 - 500,000 monthly impressions
- Total Impressions
 - 9,040,000
- Trackable Results
 - 18,785 Website Visits
 - 1,468 Actual Visits to Norwalk



Peak	Placement	Ad Size	Monthly Impressions
Facebook - Instagram	Families 25-54 within a 50 mile radius	Custom video or image ads	500,000 impressions
Mobile Geofencing	Tourism destinations within a 50 mile radius (see separate list)	728x90, 300x350, 320x50	300,000 impressions
YouTube Video	Families 25-54 w/children within Fairfield and Westchester County	:15 video only	80,000 impressions

Off-Peak	Placement	Ad Size	Monthly Impressions
Facebook - Instagram	Families 25-54 within Fairfield and Westchester County	Custom video or image ads	500,000 impressions

Hearst-CT “Any Screen” Ads: 2022



- Sponsored Content Articles:
 - Impressions: 1,302,808
 - Average Click Rate: .11%
- Facebook Ads:
 - Impressions: 1,389,662
 - Average Click Rate: 2.1%
 - Engagements: 3,872
- Display Ads:
 - Impressions: 538,152
 - Average Click Rate: .04%
- YouTube:
 - Impressions: 275,514
 - Click Rate: .15%
- OTT (Streaming TV):
 - Impressions: 378,667
 - Completion Rate: 90.98%
- **Total Impressions: 3,884,803**

THE HOUR

Sponsored Story

Norwalk to Close Out Summer Fun with Beloved Oyster Festival and Boating Exhibition

By StoryStudio on August 30, 2022 3:03 PM

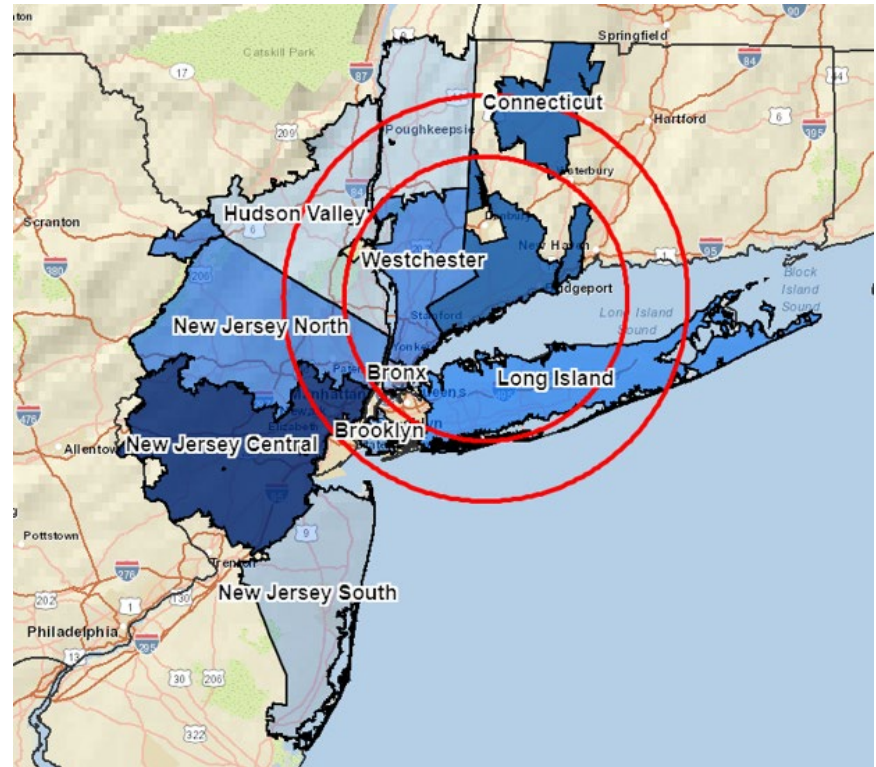


Norwalk is widely known across the state of Connecticut as a year-round destination for good times, great food and fabulous beaches. In September however, the dynamic city kicks things into high gear for maximal end of summer fun. This month, two exciting festivals help folks near and far make the most of these last days of summer. Folks of all ages will be delighted by the two waterfront celebrations that showcase the best of the local coast and the opportunity to gather with others belonging to the community.

News 12 TV Ads: April-June 2022



- 35-mile radius of Norwalk
- News 12 Regions:
 - Connecticut
 - Bronx
 - Westchester
- Formats: 30-second spots and 10-second “billboards” (“This hour of News 12 is brought to you by Visit Norwalk.”)
- 332 total spots
- Total market reach (adults): 29.5%
- Frequency: 18.2 (average number of times ads were viewed)
- Gross Rating Points: 192.36
- **Total Impressions: 1,474,064**



2022 Year-End Tourism Campaign Summary

- 14.4 million paid ad impressions
- 1.6 million organic social impressions
- 50,000 email impressions
- 35,000 website visits
- 1,500 trackable visitors to Norwalk



2023 Year-to-Date Tourism Campaign Summary

January – April

- 4.5 million paid ad impressions

(note: January through March were off-peak months for the ad campaign)

- 761,000 organic social impressions
- 17,500 email impressions
- 12,000 website visits



2023 Business Marketing Campaign

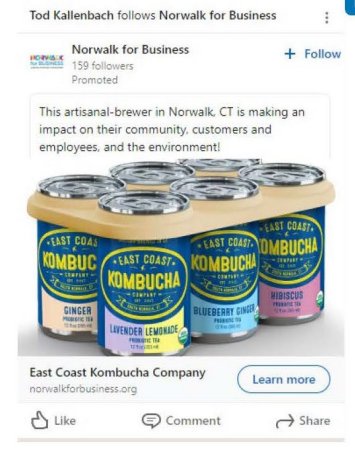


GOALS

- Promote the Norwalk for Business brand and website (launched November 2022)
- Promote the Norwalk Business Development Center (opened November 2022)
- Promote Kiva and other small business financial incentive programs
- Promote Norwalk's "creative economy" businesses

STRATEGIES

- Organic social posts and paid advertising on LinkedIn, Facebook and Instagram
- Google Ads pay-per-click (sponsored keyword search)



2023 Year-to-Date Business Campaign Summary

January – April

The advertising and social media campaigns were launched in January

- 500,000 paid ad impressions
- 286,000 organic social impressions
- 4,200 website visits

(note: the website was launched in November 2022)



More Promotional Ideas for the Upcoming Year

“Virtual”:

- Restaurant Weeks
- Holiday Guide
- Fitness Week
- Norwalk-Made Week
- Family Offers
- Shop Small Business Guide
- Business “How to” Videos/Webinars

“On-Site”:

- Holiday Extravaganzas
- Family Fitness/50 Washington St.
- Halloween
- Farmers Market
- Food/Beer/Wine Festival(s)
- Business Roundtables/Walking Tours



Thank You &
QUESTIONS



TO: Members of the Economic and Community Development Committee of the Common Council

FROM: Staff of the Norwalk Redevelopment Agency

RE: 2023 Neighborhood Assistance Act Program (NAA)

DATE: May 23, 2023

The Connecticut Neighborhood Assistance Act Program (NAA) provides a corporation business tax credit to businesses who make cash contributions to qualifying community programs conducted by tax exempt or municipal agencies. The tax credit earned is equal to 60% of a business' approved contribution to specific programs or 100% in the case of certain energy conservation programs.

On behalf of the City of Norwalk, the Norwalk Redevelopment Agency announced the 2023 open application period and collected applications. Norwalk Redevelopment Agency received eight (8) applications for Connecticut's 2023 NAA program. Accompanying this memo is an electronic binder showcasing the NAA applications.

Please note:

- This is not a Norwalk grants program, nor are any funds controlled by the City of Norwalk involved.
- The City's role is one of acknowledging the existence of the non-profits, their proposed services to the community and their stated needs to the state and corporate seekers of tax credit opportunities.
- Application review and award of tax credits is carried out by Connecticut Department of Revenue Services.

Under statute, the non-profit, tax-exempt organizations with 501(c)3 status must be referred by the local legislative body where their service is performed following a public hearing which will be held during the June 1, 2023 Economic and Community Development Committee meeting. Note: Applicants will not give presentations on their NAA applications. Following the public hearing, the Committee is asked to advance to the Common Council any or all of the applications received. The full applications are included and posted here: <https://www.norwalkct.org/1709/Neighborhood-Assistance-Act-Tax-Credit-P>

REQUESTED ACTION:

1. Advance the applications submitted to the 2023 Connecticut Neighborhood Assistance Act program to the Common Council for their approval at the June 13, 2023 Common Council meeting for inclusion in the 2023 Connecticut Neighborhood Assistance Act program.

NEIGHBORHOOD ASSISTANCE ACT TAX CREDIT PROGRAM 2023

APPLICATIONS

Administered by Norwalk Redevelopment Agency on behalf of the City of Norwalk

NORWALK
REDEVELOPMENT



TABLE OF CONTENTS

List of applicants and amount requested

Applications submitted

1. **Elderhouse, Inc. –**
Nutritious Meals for Low Income Older Adults (\$15,000)
2. **Jr Achievement of Greater Fairfield County –**
Junior Achievement's Financial Literacy & Work Readiness Programs (\$30,000)
3. **Malta House, Inc. –**
Residential Program (\$100,000)
4. **Norwalk Congregate Homes for the Elderly, Inc., *dba* Broad River Homes –**
Modernization of HVAC System (\$50,000)
5. **Norwalk Stamford Grassroots Tennis & Education –**
Grassroots Winter Education & Enrichment Program (\$75,000)
6. **Serving All Vessels Equally, Inc (SAVE) –**
Check and Connect Afterschool Program (\$65,000)
7. **The Maritime Aquarium at Norwalk –**
Re-powering the Research Vessel (R/V) Spirit of the Sound – Phase 2 (\$150,000)
8. **Under One Roof Inc. –**
The Marvin for Seniors (\$82,000)

ELDERHOUSE, INC.



Municipality: Norwalk

Form NAA-01

2023 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: _____
ElderHouse, Inc.

Address: 7 Lewis Street Norwalk, CT. 06851

Federal Employer Identification Number: 06-0963343

Program title: Nutritious Meals for Frail, low income Older Adults

Name of contact person: Julia Schwartz-Leeper

Telephone number: (203) 847-1998

Email address: jschwartz-leeper@elderhouse.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 15,000.00

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Part II — Program Information

Check the appropriate description of your program:

100% credit percentage

- ☐ Energy conservation; **or**
☐ Comprehensive college access loan forgiveness (see Conn. Gen. Stat. § 12-635(3)).

60% credit percentage

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for persons with physical disabilities;
☒ Program serving low-income persons;
☐ Child care services;
☐ Establishment of a child day care facility;
☐ Open space acquisition fund; **or**
☐ Other (specify): _____

Description of program: _____
ElderHouse is the only certified Adult Day Center in Norwalk in the surrounding area. ElderHouse is both a Medical and Social Adult Day Center staffed by professional staff including a Registered Nurse, Licensed Social Work and Certified Nursing Assistant. ElderHouse has cared for older adults with physical and cognitive impairments for over 45 years. ElderHouse provides respite and ongoing, specialized care which allows caregivers to maintain their loved ones at home. ElderHouse offers guidance and support to caregivers on an ongoing basis as they navigate care for their family member.

Need for program: _____
There is a significant increase in older adults in Fairfield County between 11-13% in the past 5 years. The rates of chronic disease and cognitive impairment is also on the rise. the majority of people prefer to stay in their own homes as they age and most people cannot afford quality full time caregiving. Adult Day offers not only safe, professional care but also opportunities to socialize and eat healthy, regular meals together. The need for these services is increasing.

Neighborhood area to be served: _____
All of Norwalk and surrounding areas.

Plan to implement the program: _____
The program is ongoing and contracts for caterers and necessary staff are in place. Additional funding will allow ElderHouse to feed more people in need.

Timetable:

Program start date: 10/01/2023
MM - DD - YYYY

Program completion date: 09/30/2024
MM - DD - YYYY

Post-project audit due date: _____
MM - DD - YYYY

The program start date must not be more than two years prior to the program completion date.

Any program receiving \$25,000 or more in NAA funding is required to provide a post-project audit, prepared by a certified public accounting firm, to the municipality overseeing the program, no later than three months after the program completion date.

Part III — Financial Information**Program Budget:**

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	<u>\$15,000.00</u>
Other funding sources - itemized sources:	
a) <u>Client fees</u>	<u>\$13,800.00</u>
b) <u>Government grants</u>	<u>\$26,400.00</u>
c) _____	_____
d) _____	_____

Total Funding:	<u>\$55,200.00</u>
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Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) <u>Kitchen Aide</u>	<u>\$12,400.00</u>
b) <u>Catered Food</u>	<u>\$34,000.00</u>
c) _____	_____
d) _____	_____

Administrative expenses - itemized description:	
a) <u>Administrative Assistant. (orders food, tracks meals)</u>	<u>\$7,800.00</u>
b) <u>Disposables papergoods, cleaning supplies</u>	<u>\$1,000.00</u>
c) _____	_____
d) _____	_____

Total Proposed Expenditures:	<u>\$55,200.00</u>
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Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program:	_____
	Norwalk Redevelopment Agency
Mailing address:	3 Belden Avenue, Norwalk, CT 06850

Name of municipal liaison:	Katie O'Leary
Telephone number:	203-854-7810
Fax number:	_____
Email address:	koleary@norwalkct.gov

Post-Project Audit Is a post-project audit required for this proposal? ☐ Yes ☒ No If Yes, date post-project audit due: _____ Date

Form **990**

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

2021

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2021 calendar year, or tax year beginning **JUL 1, 2021** and ending **JUN 30, 2022**

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Final return/terminated ☐ Amended return ☐ Application pending	C Name of organization ELDERHOUSE, INC. Doing business as Number and street (or P.O. box if mail is not delivered to street address) Room/suite 7 LEWIS STREET City or town, state or province, country, and ZIP or foreign postal code NORWALK, CT 06851 F Name and address of principal officer: DENISE CESAREO SAME AS C ABOVE	D Employer identification number 06-0963343 E Telephone number 203-847-1998 G Gross receipts \$ 1,652,721. H(a) Is this a group return for subordinates? ☐ Yes ☒ No H(b) Are all subordinates included? ☐ Yes ☒ No If "No," attach a list. See instructions H(c) Group exemption number ▶
I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c)() (insert no.) ☐ 4947(a)(1) or ☐ 527		
J Website: WWW.ELDERHOUSE.ORG		
K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶		
L Year of formation: 1978 M State of legal domicile: CT		

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: TO ENHANCE THE QUALITY OF LIFE FOR AGING ADULTS AND THEIR CAREGIVERS THROUGH A BROAD RANGE OF 2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets. 3 Number of voting members of the governing body (Part VI, line 1a) 3 16 4 Number of independent voting members of the governing body (Part VI, line 1b) 4 15 5 Total number of individuals employed in calendar year 2021 (Part V, line 2a) 5 15 6 Total number of volunteers (estimate if necessary) 6 16 7a Total unrelated business revenue from Part VIII, column (C), line 12 7a 0. 7b Net unrelated business taxable income from Form 990-T, Part I, line 11 7b 0.		
Revenue	8 Contributions and grants (Part VIII, line 1h) 441,415. 636,901. 9 Program service revenue (Part VIII, line 2g) 607,022. 685,396. 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 30,603. 55,494. 11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 0. -27,283. 12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 1,079,040. 1,350,508.		
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) 172,906. 167,375. 14 Benefits paid to or for members (Part IX, column (A), line 4) 0. 0. 15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 574,196. 680,414. 16a Professional fundraising fees (Part IX, column (A), line 11e) 0. 0. b Total fundraising expenses (Part IX, column (D), line 25) 40,758. 17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 205,630. 221,116. 18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 952,732. 1,068,905. 19 Revenue less expenses. Subtract line 18 from line 12 126,308. 281,603.		
Net Assets or Fund Balances	20 Total assets (Part X, line 16) 2,181,618. 2,240,450. 21 Total liabilities (Part X, line 26) 155,144. 57,967. 22 Net assets or fund balances. Subtract line 21 from line 20 2,026,474. 2,182,483.		

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer DENISE CESAREO, EXECUTIVE DIRECTOR Type or print name and title	Date 1/18/23	
Paid Preparer Use Only	Print/Type preparer's name GARRETT M. HIGGINS Preparer's signature GARRETT M. HIGGINS Date 01/17/23 Check if self-employed ☐ PTIN P00543209 Firm's name PKF O'CONNOR DAVIES, LLP Firm's EIN 27-1728945 Firm's address 3001 SUMMER STREET, 5TH FLOOR, EAST STAMFORD, CT 06905 Phone no. 203-323-2400		

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

JR ACHIEVEMENT OF GREATER FAIRFIELD COUNTY



Municipality: Norwalk

Form NAA-01

2023 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: _____
Junior Achievement of Greater Fairfield County, Inc.

Address: 835 Main Street, Bridgeport, CT 06604

Federal Employer Identification Number: 06-0644315

Program title: Junior Achievement's Financial Literacy & Work Readiness Programs

Name of contact person: Laura Stern

Telephone number: (203) 382-0180

Email address: lsfern@jagfc.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 30,000.00

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒

Yes

☐

No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Part II — Program Information

Check the appropriate description of your program:

100% credit percentage

- ☐ Energy conservation; **or**
☐ Comprehensive college access loan forgiveness (see Conn. Gen. Stat. § 12-635(3)).

60% credit percentage

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for persons with physical disabilities;
☐ Program serving low-income persons;
☐ Child care services;
☐ Establishment of a child day care facility;
☐ Open space acquisition fund; **or**
☒ Other (specify): Supplemental financial literacy and work readiness programs

Description of program: _____

Junior Achievement (JA) is dedicated to inspiring and preparing young people with the knowledge and skills they need to succeed in a global economy. JA's programs for young people focus on three pathways: financial literacy, work and career readiness, and entrepreneurship. Through relevant and innovative programs taught by volunteer role models, Junior Achievement is the bridge from education to workforce, which ultimately promotes financial stability and economic mobility. Program content is customized to meet local needs while being aligned with state and national academic standards. JA's programs are provided for free to participants.

Need for program: _____

JA programs are vital for our youth, especially those in under-resourced schools and communities. Norwalk publishes its student population data as 54.6% low income, 14% higher than the state average (as measured by eligibility for free or reduced-price meals), and minority school population of 75.5%. Our goal is to help students learn essential skills that will enable their professional and financial success. By developing these skills, JA helps young people believe in themselves and to do the work to build their own successful futures.

Neighborhood area to be served: _____

The Norwalk school population in grades K through 12, as well as young adults ages 18-25.

Plan to implement the program: _____

JA engages volunteers from the business community and community at-large to deliver our curricula while sharing their own career and life experiences with students. Our programs are grade-level appropriate and follow the common core curriculum. All programs can accommodate current learning scenarios, and we offer programs via three delivery models: 1) In-person, 2) Virtual, 3) Independent, self-guided.

Timetable:

Program start date: 07/01/2023
MM - DD - YYYY
Program completion date: 06/30/2024
MM - DD - YYYY
Post-project audit due date: _____
MM - DD - YYYY

The program start date must not be more than two years prior to the program completion date.

Any program receiving \$25,000 or more in NAA funding is required to provide a post-project audit, prepared by a certified public accounting firm, to the municipality overseeing the program, no later than three months after the program completion date.

Part III — Financial Information**Program Budget:**

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	<u>\$30,000.00</u>
Other funding sources - itemized sources:	
a) <u>Corporate, foundations, individual gifts, organizations</u>	<u>\$50,840.00</u>
b) <u>Special event revenue</u>	<u>\$1,024.86</u>
c) _____	_____
d) _____	_____

Total Funding:	<u>\$81,864.86</u>
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Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) <u>Salaries, benefits, payroll taxes</u>	<u>\$58,847.67</u>
b) <u>Program materials and supplies</u>	<u>\$2,157.60</u>
c) <u>Travel, mileage, training</u>	<u>\$806.00</u>
d) <u>Scholarships, awards, recognition</u>	<u>\$403.00</u>
Administrative expenses - itemized description:	
a) <u>Insurance, license fees</u>	<u>\$7,856.33</u>
b) <u>Overhead - rent, utilities, equipment</u>	<u>\$1,773.20</u>
c) <u>Operating costs - IT, telephone, office supplies, postage/frgt</u>	<u>\$7,975.06</u>
d) <u>Marketing & development, staff & board development</u>	<u>\$2,046.00</u>

Total Proposed Expenditures:	<u>\$81,864.86</u>
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Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program:	_____
	Norwalk Redevelopment Agency
Mailing address:	3 Belden Avenue, Norwalk, CT 06850

Name of municipal liaison:	Katie O'Leary
Telephone number:	203-854-7810

Fax number:	_____
Email address:	koleary@norwalkct.gov

Post-Project Audit
Is a post-project audit required for this proposal?
☐ Yes ☐ No
If Yes , date post-project audit due:

Date

A For the 2021 calendar year, or tax year beginning 07/01/2021 and ending 06/30/2022																									
B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Final return/terminated ☐ Amended return ☐ Application pending	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td colspan="2">C Name of organization JUNIOR ACHIEVEMENT OF GREATER FAIRFIELD COUNTY, INC.</td> <td>D Employer identification number 06-0644315</td> </tr> <tr> <td colspan="2">Doing business as</td> <td rowspan="3">E Telephone number (203) 382-0180</td> </tr> <tr> <td>Number and street (or P.O. box if mail is not delivered to street address)</td> <td>Room/suite</td> </tr> <tr> <td colspan="2">835 MAIN ST</td> </tr> <tr> <td colspan="2">City or town, state or province, country, and ZIP or foreign postal code BRIDGEPORT, CT 06604</td> <td rowspan="2">G Gross receipts \$ 1,560,121.</td> </tr> <tr> <td colspan="2">F Name and address of principal officer: BERNADINE VENDITTO 835 MAIN ST, BRIDGEPORT, CT 06604</td> </tr> <tr> <td colspan="2"> I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527 </td> <td> H(a) Is this a group return for subordinates? ☐ Yes ☒ No H(b) Are all subordinates included? ☐ Yes ☐ No If "No," attach a list. See instructions </td> </tr> <tr> <td colspan="2">J Website: ▶ WWW.JAGFC.ORG</td> <td>H(c) Group exemption number ▶</td> </tr> <tr> <td colspan="2">K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶</td> <td>L Year of formation: 1950 M State of legal domicile: CT</td> </tr> </table>	C Name of organization JUNIOR ACHIEVEMENT OF GREATER FAIRFIELD COUNTY, INC.		D Employer identification number 06-0644315	Doing business as		E Telephone number (203) 382-0180	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	835 MAIN ST		City or town, state or province, country, and ZIP or foreign postal code BRIDGEPORT, CT 06604		G Gross receipts \$ 1,560,121.	F Name and address of principal officer: BERNADINE VENDITTO 835 MAIN ST, BRIDGEPORT, CT 06604		I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527		H(a) Is this a group return for subordinates? ☐ Yes ☒ No H(b) Are all subordinates included? ☐ Yes ☐ No If "No," attach a list. See instructions	J Website: ▶ WWW.JAGFC.ORG		H(c) Group exemption number ▶	K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶		L Year of formation: 1950 M State of legal domicile: CT
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K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶		L Year of formation: 1950 M State of legal domicile: CT																							

Part I		Summary		
Activities & Governance	1	Briefly describe the organization's mission or most significant activities: JUNIOR ACHIEVEMENT INSPIRES AND PREPARES YOUNG PEOPLE TO SUCCEED IN A GLOBAL ECONOMY.		
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	320	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	20	
	5	Total number of individuals employed in calendar year 2021 (Part V, line 2a)	14	
	6	Total number of volunteers (estimate if necessary)	460	
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	
b	Net unrelated business taxable income from Form 990-T, Part I, line 11	7b		
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year784,137.	Current Year878,337.
	9	Program service revenue (Part VIII, line 2g)	NONE	NONE
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	4,353.	1,998.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	445,871.	416,285.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,234,361.	1,296,620.
	Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	1,500.
14		Benefits paid to or for members (Part IX, column (A), line 4)	NONE	NONE
15		Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	882,263.	859,280.
16a		Professional fundraising fees (Part IX, column (A), line 11e)	NONE	NONE
b		Total fundraising expenses (Part IX, column (D), line 25) ▶ 111,543.		
17		Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	281,451.	421,788.
18		Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,165,214.	1,281,368.
19		Revenue less expenses. Subtract line 18 from line 12	69,147.	15,252.
Net Assets or Fund Balances		20	Total assets (Part X, line 16)	Beginning of Current Year1,681,983.
	21	Total liabilities (Part X, line 26)	637,697.	547,731.
	22	Net assets or fund balances. Subtract line 21 from line 20.	1,044,286.	1,056,946.

Part II Signature Block					
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.					
Sign Here	▶	Signature of officer	11/10/2022 Date		
	▶	BERNADINE VENDITTO Type or print name and title	PRESIDENT		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	BRIAN C WHITE		11/10/2022		P00058320
	Firm's name ▶ NANAVATY DAVENPORT STUDLEY WHITE	Firm's EIN ▶ 06-1402749			
	Firm's address ▶ 123 SOUTH MAIN ST., SUITE 140 NEWTOWN, CT 06470	Phone no. 203-426-8500			
May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No					

MALTA HOUSE, INC.



Municipality: Norwalk

Form NAA-01

2023 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: _____

Malta House, Inc.

Address: 139 West Rocks Rd., Norwalk, CT 06851

Federal Employer Identification Number: 6-1604710

Program title: Residential Program

Name of contact person: Danielle LeBrando

Telephone number: (203) 857-0088

Email address: dlebrando@maltahouse.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 100,000.00

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?



Yes



No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Part II — Program Information

Check the appropriate description of your program:

100% credit percentage

- ☐ Energy conservation; **or**
☐ Comprehensive college access loan forgiveness (see Conn. Gen. Stat. § 12-635(3)).

60% credit percentage

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for persons with physical disabilities;
☒ Program serving low-income persons;
☒ Child care services;
☐ Establishment of a child day care facility;
☐ Open space acquisition fund; **or**
☐ Other (specify): _____

Description of program: _____

Transitional living program for pregnant and parenting mothers and their babies experiencing homelessness. During their stay with Malta House, women receive wrap-around support to enable them to transition to independence and stability. They are able to stay at Malta House for up to 18 months, and free childcare is provided to enable a mother to go to work, school, and save. From July 1, 2021, to June 30, 2022, we sheltered 29 mothers and 27 babies through the Residential Program.

Need for program: _____

States Census Bureau released in the American Community Survey in December of 2020, 28% of the births in Fairfield County are to unmarried mothers alone. Of those, 37.8 % are below the poverty level and are at risk of becoming homeless.

Neighborhood area to be served: _____

Greater Norwalk and Fairfield County

Plan to implement the program: _____

Our mothers start their journey at Malta House in the Residential Program. Malta House's Case Workers do a full assessment the mother's immediate needs from healthcare to food and clothing. Once these needs are met and mom is assigned a private room, a more thorough assessment is completed to determine long-term needs to build self-sufficiency. This includes educational attainment, childcare, mental healthcare, housing, and other wrap-around services. Our mothers end the program by finding safe and secure housing for their families. This is an ongoing program.

Timetable:

Program start date: 07/01/2023
MM - DD - YYYY

Program completion date: 06/30/2024
MM - DD - YYYY

Post-project audit due date: 09/01/2024
MM - DD - YYYY

The program start date must not be more than two years prior to the program completion date.

Any program receiving \$25,000 or more in NAA funding is required to provide a post-project audit, prepared by a certified public accounting firm, to the municipality overseeing the program, no later than three months after the program completion date.

Part III — Financial Information**Program Budget:**

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	<u>\$100,000.00</u>
Other funding sources - itemized sources:	
a) <u>Grants</u>	<u>\$300,000.00</u>
b) <u>Donations/Appeals and Other Funding</u>	<u>\$711,000.00</u>
c) <u>Events</u>	<u>\$326,000.00</u>
d) <u>Program and Other Income</u>	<u>\$339,534.00</u>

Total Funding:	<u>\$1,776,534.00</u>
-----------------------	-----------------------

Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) <u>Compensation & Benefits</u>	<u>\$600,000.00</u>
b) <u>Residential Program</u>	<u>\$181,537.00</u>
c) <u>Partnering Success Program</u>	<u>\$25,712.00</u>
d) <u>Education/Training/Social Serv.-Client</u>	<u>\$10,125.00</u>

Administrative expenses - itemized description:	
a) <u>Equipment and Software</u>	<u>\$21,016.00</u>
b) <u>Office Expenses</u>	<u>\$15,764.00</u>
c) <u>Other General & Administrative</u>	<u>\$630,889.00</u>
d) <u>Fundraising/Development Expenses</u>	<u>\$304,319.00</u>

Total Proposed Expenditures:	<u>\$1,789,362.00</u>
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Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program:	_____
	<u>Norwalk Redevelopment Agency</u>
Mailing address:	<u>3 Belden Avenue, Norwalk, CT 06850</u>

Name of municipal liaison:	<u>Katie O'Leary</u>
Telephone number:	<u>203-854-7810</u>

Fax number:	<u>_____</u>
Email address:	<u>koleary@norwalkct.gov</u>

Post-Project Audit
Is a post-project audit required for this proposal?
☐ Yes ☐ No
If Yes , date post-project audit due:

Date

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2021

Open to Public
Inspection

A For the 2021 calendar year, or tax year beginning 07/01/21, and ending 06/30/22

B Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return/
terminated
☐ Amended return
☐ Application pending

C Name of organization

MALTA HOUSE, INC.

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)

139 WEST ROCKS ROAD

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

NORWALK

CT 06851

D Employer identification number

06-1604710

E Telephone number

203-857-0088

G Gross receipts \$ 1,413,573

F Name and address of principal officer:

DANIEL KRENICKI

139 WEST ROCKS ROAD

NORWALK

CT 06851

H(a) Is this a group return for subordinates? ☐ Yes ☒ NoH(b) Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. See instructions

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: MALTAHOUSE.ORG

H(c) Group exemption number

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of formation: 2001

M State of legal domicile: CT

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities:		
		SEE SCHEDULE O		
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3 19	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4 18	
	5	Total number of individuals employed in calendar year 2021 (Part V, line 2a)	5 27	
	6	Total number of volunteers (estimate if necessary)	6 58	
Revenue	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a 0	
	b	Net unrelated business taxable income from Form 990-T, Part I, line 11	7b 0	
	8	Contributions and grants (Part VIII, line 1h)	Prior Year 2,698,606 Current Year 1,408,679	
	9	Program service revenue (Part VIII, line 2g)	4,970 4,465	
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	1,237 429	
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	-103,833 -147,159	
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	2,600,980 1,266,414	
	Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0
		14	Benefits paid to or for members (Part IX, column (A), line 4)	0
		15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	810,729 809,540
16a		Professional fundraising fees (Part IX, column (A), line 11e)	10,000 0	
b		Total fundraising expenses (Part IX, column (D), line 25) 117,615		
17		Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	494,776 806,248	
18		Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,315,505 1,615,788	
Net Assets or Fund Balances	19	Revenue less expenses. Subtract line 18 from line 12	1,285,475 -349,374	
	20	Total assets (Part X, line 16)	Beginning of Current Year 6,611,045 End of Year 6,386,416	
	21	Total liabilities (Part X, line 26)	1,069,408 1,037,263	
	22	Net assets or fund balances. Subtract line 21 from line 20	5,541,637 5,349,153	

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign
Here

Signature of officer

Date

DANIEL KRENICKI

TREASURER

Type or print name and title

Paid

Preparer
Use Only

Print/Type preparer's name

ELIOT M. BASSIN

Preparer's signature

Date

10/20/22

Check ☐ if PTIN

self-employed

P00525507

Firm's name

BREGMAN & COMPANY, P.C.

Firm's EIN

06-0953621

Firm's address

350 BEDFORD STREET 2ND FLOOR

Phone no.

203-325-4155

Firm's address

May the IRS discuss this return with the preparer shown above? See instructions

☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2021)

NORWALK CONGREGATE HOMES FOR THE ELDERLY,
INC.,
dba BROAD RIVER HOUSE



Municipality: Norwalk

Form NAA-01

2023 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: _____
Norwalk Congregate Homes for the Elderly, Inc. (dba Broad River Homes)

Address: Norwalk Congregate Homes for the Elderly, Inc. (dba Broad River Homes)

Federal Employer Identification Number: 06-1019968

Program title: Modernization of HVAC Systems

Name of contact person: Gerry Stuhlman

Telephone number: (646) 592-0215

Email address: broadriver@ehmchm.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 50,000.00

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?



Yes



No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Part II — Program Information

Check the appropriate description of your program:

100% credit percentage

- ☒ Energy conservation; **or**
☐ Comprehensive college access loan forgiveness (see Conn. Gen. Stat. § 12-635(3)).

60% credit percentage

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for persons with physical disabilities;
☐ Program serving low-income persons;
☐ Child care services;
☐ Establishment of a child day care facility;
☐ Open space acquisition fund; **or**
☐ Other (specify): _____

Description of program: _____

We have 48 apartments rented to low-income elderly and disabled. We serve 2 meals per day, 365 days/year. We have 100+ folks on our waiting list. Our building was converted from a school to this residence - completed in 1983. This project addresses the need to modernize our HVAC systems. Our fuel is oil. Last year, natural gas became available to us. We had a line installed for our new commercial stove. Now we are about to modernize systems - with the option of conversion to gas. We are also exploring Solar Panel options. We are gathering expert guidance in prep for these decisions and seek your support for this critical project.

Need for program: _____

Seeking greater efficiencies in the burning of fuels, together with the development and pursuit of renewable energies is one of the challenges of our time. Our heating fuel is oil and on average we burn approximately 13,300 gallons annually. As referenced above, we now have gas in our building for the first time. So with some of our equipment aging, we are studying conversion to gas as we plan for the future and seek the most modern and efficient systems available to us. We are also exploring options for Solar Panels and selected placement of Heat Pumps.

Neighborhood area to be served: _____

We are in the Broad River Neighborhood of Norwalk. However, our tenants move here from many different towns.

Plan to implement the program: _____

We would anticipate receipt of NAA donations during December, 2023. This will be a multi step process beginning with a possible challenging extension of our new gas line. In our current markets there are significant delays in receiving boilers and related equipment. Much of this project must be done outside of the heating season. So the target period for the majority of this job will be summer of 2024.

Timetable:

Program start date: 09/07/2023
MM - DD - YYYY
Program completion date: 10/07/2024
MM - DD - YYYY
Post-project audit due date: 12/07/2024
MM - DD - YYYY

The program start date must not be more than two years prior to the program completion date.

Any program receiving \$25,000 or more in NAA funding is required to provide a post-project audit, prepared by a certified public accounting firm, to the municipality overseeing the program, no later than three months after the program completion date.

Part III — Financial Information**Program Budget:**

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	<u>\$50,000.00</u>
Other funding sources - itemized sources:	
a) <u>Replacement Reserves in Place</u>	<u>\$95,000.00</u>
b) <u>In-Kind personnel services</u>	<u>\$1,000.00</u>
c) _____	_____
d) _____	_____

Total Funding:	<u>\$146,000.00</u>
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Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) <u>Anticipated contract with HVAC contractors</u>	<u>\$142,000.00</u>
b) _____	_____
c) _____	_____
d) _____	_____
Administrative expenses - itemized description:	
a) <u>In-kind on-site Manager supervision of project</u>	<u>\$400.00</u>
b) <u>In-kind on-site Superintendent supervision of project</u>	<u>\$600.00</u>
c) <u>Professional fund raising fee</u>	<u>\$3,000.00</u>
d) _____	_____

Total Proposed Expenditures:	<u>\$146,000.00</u>
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Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program:	_____
	Norwalk Redevelopment Agency
Mailing address:	_____
	3 Belden Avenue, Norwalk, CT 06850
Name of municipal liaison:	Katie O'Leary
Telephone number:	_____
	203-854-7810
Fax number:	_____
Email address:	koleary@norwalkct.gov

Post-Project Audit Is a post-project audit required for this proposal? ☐ Yes ☐ No If Yes, date post-project audit due: _____ Date
--

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2021

Open to Public
Inspection

A For the 2021 calendar year, or tax year beginning 11/01/21, and ending 10/31/22

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Final return/terminated ☐ Amended return ☐ Application pending	C Name of organization NORWALK CONGREGATE HOMES FOR THE ELDERLY, INC. Doing business as BROAD RIVER HOMES Number and street (or P.O. box if mail is not delivered to street address) C/OEHM 127 WASHINGTON AVE 5 FL EAST City or town, state or province, country, and ZIP or foreign postal code NORTH HAVEN CT 06473-1715		D Employer identification number 06-1019968
	F Name and address of principal officer: CHRISTOPHER JARBOE 71 AIKEN STREET NORWALK CT 06851		E Telephone number 203-230-4809
	I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527		G Gross receipts \$ 1,480,252
	J Website: N/A		H(a) Is this a group return for subordinates? ☐ Yes ☒ No H(b) Are all subordinates included? ☐ Yes ☐ No If "No," attach a list. See instructions
	K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other		H(c) Group exemption number
L Year of formation: 1980		M State of legal domicile: CT	

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: THE CORPORATION OWNS AND OPERATES A 49 UNIT HUD SUBSIDIZED LOW INOCME ELDERLY HOUSING PROJECT.		
	2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	9
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	9
	5 Total number of individuals employed in calendar year 2021 (Part V, line 2a)	5	0
	6 Total number of volunteers (estimate if necessary)	6	9
		7a Total unrelated business revenue from Part VIII, column (C), line 12	7a
b Net unrelated business taxable income from Form 990-T, Part I, line 11		7b	0
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	1,382,312	149,746
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	18,741	1,313,143
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		17,363
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,401,053	0
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)		1,480,252
	14 Benefits paid to or for members (Part IX, column (A), line 4)		0
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	430,298	0
	16a Professional fundraising fees (Part IX, column (A), line 11e)		360,150
	b Total fundraising expenses (Part IX, column (D), line 25) ▶	0	0
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	764,169	935,240
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,194,467	1,295,390
Net Assets or Fund Balances	19 Revenue less expenses. Subtract line 18 from line 12	206,586	184,862
	20 Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21 Total liabilities (Part X, line 26)	2,550,787	2,446,405
	22 Net assets or fund balances. Subtract line 21 from line 20	1,837,328	1,741,656

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer		Date	
	CHRISTOPHER JARBOE Type or print name and title		PRESIDENT	
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed PTIN
	WILLIAM B. BEALE		01/25/23	P00437323
	Firm's name ▶ MADDOX & ASSOCIATES, APC Firm's address ▶ 5627 BANKERS AVE BLDG 2 BATON ROUGE, LA 70808-2610	Firm's EIN ▶ 72-1314069	Phone no. 225-926-3360	

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

NORWALK STAMFORD GRASSROOTS TENNIS &
EDUCATION, INC.



Municipality: Norwalk / Stamford, CT

Form NAA-01

2023 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: _____
Norwalk Stamford Grassroots Tennis & Education, Inc.

Address: 11 Ingalls Avenue, Norwalk, CT 06854

Federal Employer Identification Number: 06-1570097

Program title: Grassroots Winter Education and Enrichment Program

Name of contact person: David Kimani

Telephone number: (203) 939-1770

Email address: grants@ngtenniseducation.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 75,000.00

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Part II — Program Information

Check the appropriate description of your program:

100% credit percentage

- ☐ Energy conservation; **or**
☐ Comprehensive college access loan forgiveness (see Conn. Gen. Stat. § 12-635(3)).

60% credit percentage

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for persons with physical disabilities;
☒ Program serving low-income persons;
☐ Child care services;
☐ Establishment of a child day care facility;
☐ Open space acquisition fund; **or**
☐ Other (specify): _____

Description of program: _____

Grassroots provides free, year-round comprehensive programming after school, on weekends and in the winter to children ages 6-18 who qualify for free/reduced-price lunch. We are creating an environment that encourages and empowers students to create their own pathways to achieve their goals. Our areas of focus include:

Tennis - Intentional pathway within Grassroots that embraces student-athletes from beginner and recreational to high performing; High quality tennis clinics with low coach/student ratio; Emphasis on competition, providing opportunities for match play and tournament experience.

Need for program: _____

In one of the wealthiest counties in the country, there is a growing population of underserved children who are being left behind. In Connecticut, low-income students are performing about three grade levels below their non-low-income peers where the achievement gap is the worst nationally for low-income and non-low-income students in 4th, 8th, and 12th grade math, and 8th and 12th grade reading.

Additionally, there is a gap between high school graduation and preparation for college and career, where schools are graduating kids but not preparing them for success. In 2019-2022, only 26% of Norwalk 8th grade

Neighborhood area to be served: _____

Grassroots provides a foundation for success for underserved youth through tennis & education. Grassroots now serves youth in both Norwalk and Stamford. Our youth center is located in the heart of South Norwalk, on property adjacent to Norwalk Housing Authority's Roodner Court. Our Stamford site is at Cummings Park during the outdoor season, and indoor clubs during the winter. We support the students' need for a safe space in which to think, focus, and complete their work at our after-school academic programs, winter education program and enrichment camp, and weekly tennis clinics.

Plan to implement the program: _____

David Kimani, Acting Executive Director & Senior Director of Tennis is guiding Grassroots through significant growth and expansion. He has been with Grassroots for over 20 years and remains the heart and soul of the organization, working with the kids on the tennis court. In partnership with our Director of Education, Kristi Miller, Jonathyn Young, Program Coordinator, and Tennis Coach, Samantha Hayes, Operations Manager, and Development Associate, and Ritu Duenwald, our part-time Accounting Manager, we are an exceptionally lean team who emphasizes teamwork and collaboration to ensure the needs of our kids are met and the organization continues to improve and strengthen as we move forward.

Timetable:

Program start date: 01/11/2023
MM - DD - YYYY

Program completion date: 04/30/2024
MM - DD - YYYY

Post-project audit due date: 07/30/2024
MM - DD - YYYY

The program start date must not be more than two years prior to the program completion date.

Any program receiving \$25,000 or more in NAA funding is required to provide a post-project audit, prepared by a certified public accounting firm, to the municipality overseeing the program, no later than three months after the program completion date.

Part III — Financial Information**Program Budget:**

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	<u>\$75,000.00</u>
Other funding sources - itemized sources:	
a) <u>Individual contribution</u>	<u>\$260,000.00</u>
b) <u>Grants</u>	<u>\$285,000.00</u>
c) <u>Corporate</u>	<u>\$75,000.00</u>
d) <u>Events & other</u>	<u>\$223,000.00</u>
Total Funding:	<u>\$918,000.00</u>

Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) <u>Tennis & Education Programming</u>	<u>\$770,010.00</u>
b) <u>Management/General administrative expenses</u>	<u>\$48,126.00</u>
c) <u>Fundraising</u>	<u>\$144,377.00</u>
d) _____	_____
Administrative expenses - itemized description:	
a) _____	_____
b) _____	_____
c) _____	_____
d) _____	_____
Total Proposed Expenditures:	<u>\$962,513.00</u>

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: _____

Norwalk Redevelopment Agency

Mailing address: _____

3 Belden Avenue, Norwalk, CT 06850

Name of municipal liaison: Katie O' Leary

Telephone number: 203-854-7810 x46790

Fax number: _____

Email address: koleary@norwalkct.org

Post-Project Audit

Is a post-project audit required for this proposal?

☒☒ Yes☐

No

If **Yes**, date post-project audit due:

7/30/2024

Date _____

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2021Open to Public
Inspection**A For the 2021 calendar year, or tax year beginning and ending****B** Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization**NORWALK / STAMFORD GRASSROOTS
TENNIS & EDUCATION, INC.**

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)

11 INGALLS AVENUE

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

NORWALK, CT 06854**F** Name and address of principal officer: **SEAN CAHILL****11 INGALLS AVENUE, NORWALK, CT 06854****D** Employer identification number**06-1570097****E** Telephone number**203-939-1770****G** Gross receipts \$**1,024,533.****H(a)** Is this a group returnfor subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. See instructions

H(c) Group exemption number ▶**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: ▶ **WWW.GRASSROOTSTE.ORG/****K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: **2000****M** State of legal domicile: **CT****Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: OUR MISSION - TO BUILD STRENGTH OF CHARACTER AND A FOUNDATION FOR SUCCESS FOR YOUTH THROUGH TENNIS
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.
	3	Number of voting members of the governing body (Part VI, line 1a) 15
	4	Number of independent voting members of the governing body (Part VI, line 1b) 15
	5	Total number of individuals employed in calendar year 2021 (Part V, line 2a) 40
	6	Total number of volunteers (estimate if necessary) 50
	7a	Total unrelated business revenue from Part VIII, column (C), line 12 0.
7b	Net unrelated business taxable income from Form 990-T, Part I, line 11 0.	
Revenue	8	Contributions and grants (Part VIII, line 1h) 402,437.
	9	Program service revenue (Part VIII, line 2g) 0.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d) 15,558.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 134,729.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 552,724.
	Expenses	13
14		Benefits paid to or for members (Part IX, column (A), line 4) 0.
15		Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 459,056.
16a		Professional fundraising fees (Part IX, column (A), line 11e) 0.
b		Total fundraising expenses (Part IX, column (D), line 25) 66,788.
17		Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 143,114.
18		Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 602,170.
19		Revenue less expenses. Subtract line 18 from line 12 -49,446.
Net Assets or Fund Balances	20	Total assets (Part X, line 16) 1,049,910.
	21	Total liabilities (Part X, line 26) 89,650.
	22	Net assets or fund balances. Subtract line 21 from line 20 960,260.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date		
	SEAN CAHILL, CHAIR Type or print name and title			
Paid Preparer Use Only	Print/Type preparer's name JOHN M. ROLLERI, CPA	Preparer's signature	Date	Check if self-employed ☒ PTIN P00182555
	Firm's name ▶ KNIGHT ROLLERI SHEPPARD CPAS LLP	Firm's EIN ▶ 06-1156122		
	Firm's address ▶ 2150 POST ROAD, 5TH FL FAIRFIELD, CT 06824	Phone no. (203) 259-2727		

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

SERVING ALL VESSELS EQUALLY, INC. (SAVE)



Municipality: Norwalk

Form NAA-01

2023 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: _____
Serving All Vessels Equally, Inc. (SAVE Inc.)

Address: _____
31 Concord Street, Norwalk CT 06854

Federal Employer Identification Number: _____ 05-0616889

Program title: _____ Check and Connect Afterschool Program

Name of contact person: _____ Nicol Ayers, Executive Director

Telephone number: _____ 203-357-5296

Email address: _____ admin@saveinc.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ _____ 65,000

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Part II — Program Information

Check the appropriate description of your program:

100% credit percentage

- ☐ Energy conservation; **or**
☐ Comprehensive college access loan forgiveness (see Conn. Gen. Stat. § 12-635(3)).

60% credit percentage

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for persons with physical disabilities;
☒ Program serving low-income persons;
☒ Child care services;
☐ Establishment of a child day care facility;
☐ Open space acquisition fund; **or**
☐ Other (specify): _____

Description of program: _____

Check and Connect is a national program that is directed by the University of Missouri. It has operated in Norwalk for more than five years under SAVE Inc. This program is both a in the community and located at Norwalk High School to meet students where they are and answer their needs. Check and Connect helps support students so they are able to graduate high school on time. Program support is tutoring, peer mentoring, educational trips, guest speakers and creations of success pathway report to give students guidance when they graduate from high school. Program meets bi-weekly in the community/school in the evening to prevent students from participating in negative or bad activities/behaviors. There is a heavy focus on youth voice and

Need for program: _____

Due to the effects of COVID among other community factors the Check and Connect program has a great need to increase staffing to answer the needs of the community and youth. The Norwalk Partnership in its latest youth survey states that 10% of youth surveyed in Norwalk are looking for a safe space to provide support and breaks. an extremely large number (60% approx.- Norwalk Partnership Youth Survey) of students did not feel connect to adults around them. SAVE Inc wants to provide an environment for the most vulnerable students to get that needed support. Students are identified and referred to us by the school guidance departments. We have future goals to reestablish our middle school program which had to closed because of COVID but there is

Neighborhood area to be served: _____

We serve the greater Norwalk community with a focus on low or extremely low income families throughout the city.

Plan to implement the program: _____

Our program is currently running throughout the school year. We transition to a summer enrichment program to ensure students receive support through the summer. The Check and Connect program will be running at the beginning of the 2023-24 school year at the conclusion of our summer enrichment program.

Timetable:

Program start date: 09-15-23
MM - DD - YYYY

Program completion date: 06-30-24
MM - DD - YYYY

Post-project audit due date: 9-30-24
MM - DD - YYYY

The program start date must not be more than two years prior to the program completion date.

Any program receiving \$25,000 or more in NAA funding is required to provide a post-project audit, prepared by a certified public accounting firm, to the municipality overseeing the program, no later than three months after the program completion date.

Part III — Financial Information**Program Budget:**

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	<u>\$65,000</u>
Other funding sources - itemized sources:	
a) <u>YVPP</u>	<u>70,000</u>
b) <u>Pettit Family foundation</u>	<u>7500</u>
c) <u>FCCF</u>	<u>25,000</u>
d) <u>Fundraising</u>	<u>10,000</u>

Total Funding:	<u>177,500</u>
-----------------------	----------------

Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) <u>Transportant for program participants</u>	<u>\$8,000</u>
b) <u>Program Materials</u>	<u>5,000</u>
c) <u>Equipment (computers for program participant use)</u>	<u>10,000</u>
d) <u>Field Trips (educational trips for participants)</u>	<u>7,000</u>

Administrative expenses - itemized description:	
a) <u>Salaries (Executive Director, Program Director, Grant Write</u>	<u>\$160,000</u>
b) <u>Operational cost (insurance, travel, payroll tax, professional</u>	<u>25,000</u>
c) <u>Professional Development</u>	<u>15,000</u>
d) _____	_____

Total Proposed Expenditures:	<u>\$230,000</u>
-------------------------------------	------------------

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program:	_____
	Norwalk Redevelopment Agency
Mailing address:	_____
	3 Belden Avenue, Norwalk, CT 06850
Name of municipal liaison:	Katie O'Leary
Telephone number:	203-854-7810
Fax number:	_____
Email address:	koleary@norwalkct.gov

Post-Project Audit
Is a post-project audit required for this proposal?
☐ Yes ☐ No
If Yes , date post-project audit due:

Date

Form **990****Return of Organization Exempt From Income Tax**

OMB No. 1545-0047

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.**2021****Open to Public
Inspection**

A For the 2021 calendar year, or tax year beginning 01/01/2021 and ending 12/31/2021	
B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Final return/terminated ☐ Amended return ☐ Application pending	C Name of organization SERVING ALL VESSELS EQUALLY
	Doing business as
	Number and street (or P.O. box if mail is not delivered to street address) Room/suite 31 CONCORD STREET
	City or town, state or province, country, and ZIP or foreign postal code NORWALK, CT 06854
	F Name and address of principal officer: Roosevelt Ewell 31 Concord Street, Norwalk, CT 06854
D Employer identification number 05-0616689	
E Telephone number 203-846-9444	
G Gross receipts \$ 207,424	
H(a) Is this a group return for subordinates? ☐ Yes ☒ No	
H(b) Are all subordinates included? ☐ Yes ☐ No	
If "No," attach a list. See instructions.	
H(c) Group exemption number ▶	
I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527	
J Website: ▶	
K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶	
L Year of formation: 2008	
M State of legal domicile: CT	

Part I Summary			
Activities & Governance	1	Briefly describe the organization's mission or most significant activities: FAITH BASED YOUTH LEADERSHIP	
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.	
	3	Number of voting members of the governing body (Part VI, line 1a)	3
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4
	5	Total number of individuals employed in calendar year 2021 (Part V, line 2a)	5
	6	Total number of volunteers (estimate if necessary)	6
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a
b	Net unrelated business taxable income from Form 990-T, Part I, line 11	7b	
Revenue	8	Contributions and grants (Part VIII, line 1h)	73,736
	9	Program service revenue (Part VIII, line 2g)	0
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	73,736
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	0
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	81,304
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶	0
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	14,276
	18	Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	95,580
Net Assets or Fund Balances	19	Revenue less expenses. Subtract line 18 from line 12	-21,844
	20	Total assets (Part X, line 16)	37,727
	21	Total liabilities (Part X, line 26)	0
	22	Net assets or fund balances. Subtract line 21 from line 20	37,727

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Signature of officer

Date

Roosevelt Ewell, President

Type or print name and title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Corrine Weston

Preparer's signature

Date

Check ☒ if
self-employed

PTIN

P00639105

Firm's name ▶ ROP PROFESSIONAL SERVICE

Firm's EIN ▶ 06-1530233

Firm's address ▶ 68 Derby Avenue, Seymour, CT 06483

Phone no. 203-463-0305

May the IRS discuss this return with the preparer shown above? See instructions

☐ Yes ☒ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat. No. 11282Y

Form **990** (2021)

THE MARITIME AQUARIUM AT NORWALK



Municipality: Norwalk

Form NAA-01

2023 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: _____
The Maritime Aquarium at Norwalk

Address: 10 North Water Street, Norwalk, CT 06854

Federal Employer Identification Number: 06-1062912

Program title: Re-powering the Research Vessel (R/V) Spirit of the Sound (Phase 2)

Name of contact person: Danielle Tranzilo

Telephone number: (203) 852-0700

Email address: dtranzillo@maritimeaquarium.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 150,000.00

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?



Yes



No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Part II — Program Information

Check the appropriate description of your program:

100% credit percentage

- ☒ Energy conservation; **or**
☐ Comprehensive college access loan forgiveness (see Conn. Gen. Stat. § 12-635(3)).

60% credit percentage

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for persons with physical disabilities;
☐ Program serving low-income persons;
☐ Child care services;
☐ Establishment of a child day care facility;
☐ Open space acquisition fund; **or**
☐ Other (specify): _____

Description of program: _____

The R/V Spirit of the Sound (RVS) is a custom-designed, green energy catamaran that serves as a research vessel and floating classroom for our marine science and environmental education programs. Since 2014, it has hosted over 30,000 guests of all ages. The vessel's crew plays a key role in research projects like the Unified Water Study, a multi-institution effort to monitor the health of Long Island Sound. On-board STEM programs serves students, middle school through college. We also conduct seal spotting, bird cruises and more. The vessel is key to the Aquarium's ambition to be a leading institution in studying and protecting LIS.

Need for program: _____

As stated in the 2022 NAA application, a 2021-2022 inspection found that the RVS batteries are reaching the end of their useful life--and the batteries are considered antiquated and can no longer be serviced. The 2022 NAA funding supported the deposit on new batteries. This funding in 2023 will support full payment as well as the installation and software needed to operate.

Neighborhood area to be served: _____

Since 2014, the RVS has hosted a variety of recreational cruises including lighthouse, sunset, seal spotting, birding, and fireworks, reaching over 30,000 passengers of all ages. The majority of the Aquarium's visitors come from Fairfield County CT and Westchester County NY, with smaller percentages coming from other areas of CT, NY, and NJ.

The RVS also serves as a floating classroom for the Aquarium's award-winning STEM educational programs, including Sound Resilience, Ocean Ready, an innovative program on climate change, and for our Marine

Plan to implement the program: _____

Per the 2022 application, TMA will replace current obsolete Li-ion batteries with new generation highly energy efficient batteries from a different manufacturer to improve access to previous maintenance needs. The new batteries will offer substantial improvement in cooling/heating design - a major operational concern during the Spirit of the Sound's proto-type stage. There will be a corresponding replacement of electronic controls, software and navigational displays – all of which are considered out-of-date by their manufacturers.

The Implementation will occur over a period of under two years involving the major steps below:

Timetable:

Program start date: 08/22/2022
MM - DD - YYYY
Program completion date: 04/20/2024
MM - DD - YYYY
Post-project audit due date: 07/20/2024
MM - DD - YYYY

The program start date must not be more than two years prior to the program completion date.

Any program receiving \$25,000 or more in NAA funding is required to provide a post-project audit, prepared by a certified public accounting firm, to the municipality overseeing the program, no later than three months after the program completion date.

Part III — Financial Information**Program Budget:**

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	\$150,000.00
Other funding sources - itemized sources:	
a) _____	_____
b) _____	_____
c) _____	_____
d) _____	_____

Total Funding: _____

Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) <u>Final payment on li-io batteries</u>	<u>\$50,000.00</u>
b) <u>Software upgrades</u>	<u>\$50,000.00</u>
c) <u>Implementation / Installation</u>	<u>\$45,000.00</u>
d) _____	_____
Administrative expenses - itemized description:	
a) <u>Post Project External Audit</u>	<u>\$5,000.00</u>
b) _____	_____
c) _____	_____
d) _____	_____

Total Proposed Expenditures: \$150,000.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program:	_____
	<u>Norwalk Redevelopment Agency</u>
Mailing address:	_____
	<u>3 Belden Avenue, Norwalk, CT 06850</u>
Name of municipal liaison:	<u>Katie O'Leary</u>
Telephone number:	_____
Fax number:	_____
Phone:	<u>203-854-7810</u>
Email address:	<u>koleary@norwalkct.gov</u>

Post-Project Audit
Is a post-project audit required for this proposal?
☐ Yes ☐ No
If Yes , date post-project audit due:

Date

EXTENDED TO MAY 16, 2022

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020Open to Public
Inspection**A** For the 2020 calendar year, or tax year beginning **JUL 1, 2020** and ending **JUN 30, 2021****B** Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization**THE MARITIME AQUARIUM AT NORWALK, INC.**

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)

10 NORTH WATER STREET

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

SOUTH NORWALK, CT 06854**F** Name and address of principal officer: **JASON PATLIS****SAME AS C ABOVE****D** Employer identification number**06-1062912****E** Telephone number**203-852-0700****G** Gross receipts \$ **11,386,095.****H(a)** Is this a group returnfor subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. See instructions

H(c) Group exemption number ▶**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: ▶ **WWW.MARITIMEAQUARIUM.ORG****K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: **1985** **M** State of legal domicile: **CT****Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: THE MARITIME AQUARIUM INSPIRES PEOPLE OF ALL AGES TO APPRECIATE AND PROTECT THE LONG ISLAND SOUND
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.
	3	Number of voting members of the governing body (Part VI, line 1a) 24
	4	Number of independent voting members of the governing body (Part VI, line 1b) 24
	5	Total number of individuals employed in calendar year 2020 (Part V, line 2a) 122
	6	Total number of volunteers (estimate if necessary) 245
	7a	Total unrelated business revenue from Part VIII, column (C), line 12 0.
7b	Net unrelated business taxable income from Form 990-T, Part I, line 11 0.	
Revenue	8	Contributions and grants (Part VIII, line 1h) 1,410,921.
	9	Program service revenue (Part VIII, line 2g) 5,174,386.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d) 26,199.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 1,386,814.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 7,998,320.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 11,308,442.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3) 0.
	14	Benefits paid to or for members (Part IX, column (A), line 4) 0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 5,003,409.
	16a	Professional fundraising fees (Part IX, column (A), line 11e) 0.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 385,651.
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 5,534,081.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 10,537,490.
19	Revenue less expenses. Subtract line 18 from line 12 -2,539,170.	
Net Assets or Fund Balances	20	Total assets (Part X, line 16) 20,986,448.
	21	Total liabilities (Part X, line 26) 3,121,443.
	22	Net assets or fund balances. Subtract line 21 from line 20 17,865,005.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date			
	JASON PATLIS, CHIEF EXECUTIVE OFFICER Type or print name and title				
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	LORI ROTHE YOKOBOSKY, CPA	LORI ROTHE YOKOBOSKY	05/11/22		P01273422
	Firm's name ▶ COHNREZNICK LLP	Firm's EIN ▶ 22-1478099			
	Firm's address ▶ 350 CHURCH STREET, 12TH FLOOR HARTFORD, CT 06103	Phone no. 959-200-7000			

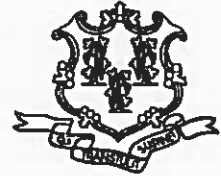
May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

032001 12-23-20

LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2020)**SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION**

UNDER ONE ROOF INC.



Municipality: Norwalk

Form NAA-01

2023 Connecticut Neighborhood Assistance Act (NAA) Program Proposal

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: _____
Under One Roof Inc.

Address: 60 Gregory Blvd. Norwalk, CT 06855

Federal Employer Identification Number: 06-1377860

Program title: The Marvin for Seniors (The Marvin)

Name of contact person: Hal Smith, Executive Director

Telephone number: (203) 854-4660

Email address: edatthmarvin@underoneroofinc.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 82,000.00

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Part II — Program Information

Check the appropriate description of your program:

100% credit percentage

- ☒ Energy conservation; or
☐ Comprehensive college access loan forgiveness (see Conn. Gen. Stat. § 12-635(3)).

60% credit percentage

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for persons with physical disabilities;
☐ Program serving low-income persons;
☐ Child care services;
☐ Establishment of a child day care facility;
☐ Open space acquisition fund; or
☐ Other (specify): _____

Description of program:

Under One Roof, Inc. is an independent not-for-profit 501 (c) 3 organization dedicated to sponsoring, developing, and operating quality affordable housing for elderly persons in need of supportive services (The Marvin) as well as a quality, affordable preschool program for children. These two service models are uniquely housed in the same building - Under One Roof - and bring the young and elderly together to promote a strong intergenerational philosophy.

The Marvin Seniors is owned by Under One Roof and managed by an Executive Director under the governance of the Board of Directors. The Marvin is a building composed of 49 one-bedroom apartments

* SEE ATTACHMENT FOR FULL NARRATIVE

Need for program:

The Marvin was established in 1997 and was financed and developed by a collaboration between CT State DOH, Connecticut Health and Educational Facilities Authority, City of Norwalk, Community Development Block Grant and multiple other funding sources.

The year The Marvin opened, appliances were provided for the apartments. These same 25 year old refrigerators and ranges are currently in the apartments and have not been replaced though they have been repaired many times. The appliances are quite old and are not energy efficient. Each apartment has a separate electric meter and the residents are responsible to pay for their monthly electric bill as an *

Neighborhood area to be served:

The Marvin extends eligibility for services for the applicant outside of the Norwalk region: however approximately 85% of the current Marvin residents originally resided in the greater Norwalk area. The Marvin is an integral and collaborative part of the Norwalk community and routinely interacts with Norwalk businesses, residents and community organizations.

Plan to implement the program:

The program is designed to be implemented with a phase-in plan for purchase, warehousing, delivery, and installation. Marvin residents will have to vacate their apartments for a few hours while the appliances are delivered and installed and there is not near adequate physical space in the building to deliver and store appliances to be received in large numbers. Although all apartment's appliances need to be replaced, it would be logistically infeasible to accomplish at one time. The plan calls for the purchase of the appliances (price quotes on file) from a nationally recognized, major supplier of appliances for various types of senior housing *

Timetable:Program start date: 11/01/2023
MM - DD - YYYYProgram completion date: 03/31/2024
MM - DD - YYYYPost-project audit due date: 06/30/2024
MM - DD - YYYY

The program start date must not be more than two years prior to the program completion date.

Any program receiving \$25,000 or more in NAA funding is required to provide a post-project audit, prepared by a certified public accounting firm, to the municipality overseeing the program, no later than three months after the program completion date.

Part III — Financial Information**Program Budget:**

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	<u>\$82,000.00</u>
Other funding sources - itemized sources:	
a) <u>N/A</u>	<u></u>
b) <u></u>	<u></u>
c) <u></u>	<u></u>
d) <u></u>	<u></u>

Total Funding:**Proposed Program Expenditures:**

Direct operating expenses - itemized description:

a) <u></u>	<u></u>
b) <u></u>	<u></u>
c) <u></u>	<u></u>
d) <u></u>	<u></u>

Administrative expenses - itemized description:

a) <u>55 GE ENERGY STAR Refrigerators. \$752.00 each</u>	<u>\$42,000.00</u>
b) <u>55 GE Ranges, Free-standing Electric Coil-top \$537.00 ea</u>	<u>\$30,000.00</u>
c) <u>Phase-in plan Delivery, Installation and warehousing</u>	<u>\$10,000.00</u>
d) <u></u>	<u></u>

Total Proposed Expenditures:\$82,000.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program:	_____
	Norwalk Redevelopment Agency
Mailing address:	3 Belden Avenue, Norwalk, CT 06850

Name of municipal liaison:	Katie O'Leary
Telephone number:	203-854-7810

Fax number:	_____
Email address:	koleary@norwalkct.gov

Post-Project Audit
Is a post-project audit required for this proposal?
☒ Yes ☐ No
If Yes, date post-project audit due:
June 30, 2024
Date



Under One Roof, Inc. • 60 Gregory Boulevard, Norwalk, Connecticut 06855
203.854.4660 • 203.854.4650 fax underoneroofinc.org

ATTACHMENT

The Marvin: Full Narrative answers to NAA-01 Application Page 2 & 2022 Form 990

Description of Program

Under One Roof, Inc. is an independent not-for-profit 501 (c) 3 organization dedicated to sponsoring, developing, and operating quality affordable housing for elderly persons in need of supportive services (The Marvin) as well as a quality, affordable preschool program for children. These two service models are uniquely housed in the same building - "Under One Roof" - and bring the young and elderly together to promote a strong intergenerational philosophy.

The Marvin Seniors is owned by Under One Roof and managed by an Executive Director under the governance of the Board of Directors. The Marvin is a building composed of 49 one-bedroom apartments occupied by seniors with needs for supportive services in accordance with CT Department of Housing (DOH) Congregate Housing regulations and subsidy funding. The Marvin operates at capacity and there are established procedures for a lease and the waiting list, both of which are managed by a methodical application, criteria review, and interview process by the Executive Director, Resident Services Coordinator and Administrative Coordinator.

The apartments all have kitchenettes with an electric refrigerator and range/stovetop to enable the residents to prepare breakfast and lunch as only the evening meal (dinner) is a congregate meal prepared and served by The Marvin as a component of congregate services.

This year The Marvin celebrated the 26th anniversary of operation.

Need for Program

The Marvin was established in 1997 and was financed and developed by a collaboration between CT State DOH, Connecticut Health and Educational Facilities Authority, City of Norwalk, Community Development Block Grant, and multiple other funding sources.

The year The Marvin opened; appliances were provided for the apartments. These same 25-year-old refrigerators and ranges are currently in the apartments and have not been replaced though they have been repaired many times. The appliances are quite old and are not energy efficient. Each apartment has a separate electric meter, and the residents are responsible to pay their monthly electric bill as an unreimbursed, out-of-pocket expense. The residents are low income in accordance with the residency criteria and this is an additional burden on their limited available funds.

Funding for replacing the old appliances with new, energy efficient appliances are financially beyond the current scope of The Marvin and to replace all of the appliances in a slow, incremental replacement

strategy, would take years. The funding of new, energy efficient refrigerators and stoves would be of great benefit, functionally and financially, to the residents of The Marvin.

The Marvin Continued: Page 2

Neighborhood area to be served.

The Marvin extends eligibility for services for applicant outside of the Norwalk region; however approximately 85% of the current Marvin residents originally resided in the greater Norwalk area. The Marvin is an integral and collaborative part of the Norwalk community and routinely interacts with Norwalk businesses, residents, and community organizations.

Plan to implement the program.

The program is designed to be implemented with a phase-in plan for purchase, warehousing, delivery, and installation. Marvin residents will have to vacate their apartments for a few hours while the appliances are delivered and installed and there is not near adequate physical space in the building to deliver and store appliances to be received in large numbers. Although all apartment appliances need to be replaced, it would be logistically infeasible to accomplish at one time. The plan calls for the purchase of the appliances (price quotes on file) from a nationally recognized, major supplier of appliances for various types of senior housing providers throughout the country. Various plans for delivery and installation are being explored nationally and locally to arrive at the best logistic and economic strategy.

Form 990 Under One Roof Inc. (The Marvin) – See next page.

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2022Open to Public
Inspection**A** For the 2022 calendar year, or tax year beginning

and ending

B Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization**UNDER ONE ROOF, INC.**

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)

60 GREGORY BOULEVARD

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

NORWALK, CT 06855**F** Name and address of principal officer: **HAL SMITH****SAME AS C ABOVE****D** Employer identification number**06-1377860****E** Telephone number**(203) 854-4660****G** Gross receipts \$**955,704.****H(a)** Is this a group returnfor subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. See instructions

H(c) Group exemption number**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c)() (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: **UNDERONEROOFINC.ORG****K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other**L** Year of formation: **1993****M** State of legal domicile: **CT****Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: UNDER ONE ROOF, INC., A NOT-FOR-PROFIT ORGANIZATION, IS DEDICATED TO SPONSORING, DEVELOPING		
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	9
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	9
	5	Total number of individuals employed in calendar year 2022 (Part V, line 2a)	5	5
	6	Total number of volunteers (estimate if necessary)	6	10
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
7b	Net unrelated business taxable income from Form 990-T, Part I, line 11	7b	0.	
Revenue	8	Contributions and grants (Part VIII, line 1h)	613,131.	463,096.
	9	Program service revenue (Part VIII, line 2g)	257,339.	393,371.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	72,866.	29,156.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0.	0.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	943,336.	885,623.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	401,794.	461,324.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	16b	Total fundraising expenses (Part IX, column (D), line 25)	0.	0.
Expenses	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	265,750.	285,532.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	667,544.	746,856.
	19	Revenue less expenses. Subtract line 18 from line 12	275,792.	138,767.
	20	Total assets (Part X, line 16)	1,537,336.	1,034,324.
Net Assets or Fund Balances	21	Total liabilities (Part X, line 26)	716,701.	220,384.
	22	Net assets or fund balances. Subtract line 21 from line 20	820,635.	813,940.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	HAL SMITH	Date	5/3/2023
	Type or print name and title	HAL SMITH, EXECUTIVE DIRECTOR		
Paid Preparer Use Only	Print/Type preparer's name	MARY KAY CURTISS	Preparer's signature	MARY KAY CURTISS
	Firm's name	CLIFTONLARSONALLEN	Date	05/01/23
Use Only	Firm's address	29 SOUTH MAIN STREET, 4TH FLOOR WEST HARTFORD, CT 06107	Check if self-employed ☐	PTIN P01551484
	Firm's EIN	41-0746749	Phone no. (860) 561-4000	

May the IRS discuss this return with the preparer shown above? See instructions

☒ Yes ☐ No



401 North College Avenue
Indianapolis, Indiana 46202
(317) 685-6600 • Fax (317) 685-6610
1-800-508-8034
info@keramida.com • www.keramida.com

May 26, 2023

Mr. Brian Bidolli
Executive Director
Norwalk Redevelopment Agency
3 Belden Ave
Norwalk CT 06850
BBidolli@norwalkct.org
KERAMIDA Proposal

Dear Mr. Bidolli,

KERAMIDA appreciates the opportunity to submit this proposal to help the City of Norwalk concentrate resources and capacity to calculate and implement an updated and accurate greenhouse gas (GHG) inventory. KERAMIDA's sustainability team of experts includes professionals with a wide range of GHG experience including accounting, verification, assurance, and subsequent reduction planning. We value your commitment to sustainability and climate action; we will work to ensure that the City of Norwalk is best positioned to acknowledge and address the GHG emissions directly associated with the City.

Sincerely,

Amber Greaney
Livable City Solutions Manager
agreaney@keramida.com

INCREASING OUR CLIENTS' PROFITABILITY THROUGH SMART CONSULTING™

ENGINEERS • GEOLOGISTS • SCIENTISTS • SAFETY PROFESSIONALS • INDUSTRIAL HYGIENISTS • TOXICOLOGISTS • MODELING EXPERTS
INDIANAPOLIS, IN • CHICAGO, IL • PITTSBURGH, PA • NEW YORK, NY • LOS ANGELES, CA • SACRAMENTO, CA • CAMAS, WA

Approach

Project Understanding

KERAMIDA (KEI) and the City of Norwalk ("City") have already taken ambitious steps together to reduce greenhouse gas (GHG) emissions. We look forward to the opportunity to work closely with the City to further conduct a GHG assessment that will provide a comprehensive GHG inventory. We present a core scope to develop a community wide GHG inventory. While the core scope is our suggested approach, we are open to discussing all aspects of the scope and working with the City to develop the most preferable approach.

Project Management and Meetings

Kickoff Meeting

An initial 1–2-hour project scoping meeting with the City's Project Team ("Team") will occur where we will review and formalize the vision and goals of the inventory process, project tasks, deliverables, and timelines. The meeting will also cover the following topics:

- Primary points of contact and preferred communication platforms and schedules
- Progress reporting cycles and expectations
- Data collection needs, including a review of existing documents

Progress Meetings

KERAMIDA will host bi-weekly progress meetings (to be confirmed at the Kickoff Meeting) with the Team to review project progress, identify challenges, and allow for adjustments to schedules and roles as needed.

Project Management

The KERAMIDA Project Manager will maintain regular communication with the Team and conduct internal check-ins, progress monitoring, billing, and other project management tasks to keep the project on schedule and budget.

Task 1: Greenhouse Gas Emissions Inventory

KERAMIDA's project team ("Consultant Team") will evaluate current GHG drivers, calculate resulting GHG emissions and develop a pragmatic GHG Emissions Inventory. When conducting the inventory, the Consultant Team will adhere to the Global Protocol for Community-Scale GHG Inventories (GPC).

1.1 GHG Data Request

The Consultant Team will submit a data request for information necessary to complete a BASIC GPC inventory (unless the City requests a BASIC+ inventory).

1.2 GHG Inventory

Once the Team and additional Subject Matter Experts (SME) have responded to the GHG data requests, KERAMIDA will conduct a BASIC GPC GHG inventory for the calendar year 2022. Follow-up interviews with SMEs may be necessary depending on the data quality provided. The Consultant Team will work with all SMEs as needed to locate or develop quality data. Emissions will be presented as CO₂, CH₄, and N₂O, as well as in metric tons of CO₂ equivalents. Emissions will be summarized by source or any other

relevant subcategory beneficial to providing a complete emission profile of Norwalk and presented in a clear, verifiable, and enforceable manner.

Task 1 Deliverables:

- ✓ GHG Data Request
- ✓ GHG Inventory

Task 2: GHG Modeling

As an accredited partner of ClimateView, KERAMIDA is proud to offer exclusive pricing, knowledge and guidance of one of the first SaaS-based climate transition modeling. ClimateView is a Swedish GovTech company supporting cities to accelerate their climate transition. ClimateOS Collaboration (COS Collaboration) is available for sub-licensing for an annual fee dependent upon population size. If purchased, the City's inventory can be imported into the software, which can then be paired with corresponding actions to project and model the effects on the City's GHG/carbon-neutrality goals.

KERAMIDA will input the GHG inventory into the ClimateView software and build Norwalk's transition dashboard. This dashboard provides a visualization tool that will allow Norwalk and its residents to see current emissions and projections for the future. This includes year-round support from the KERAMIDA team.

Task 2 Deliverables:

- ✓ ClimateView Transition board

Proposed Schedule

	2023		
	May	Jun	Jul
Kickoff Meeting	x		
Progress Meetings		x	x
Project Management	x	x	x
Task 1: GHG Inventory			
1.1 GHG Data Request	x		
1.2 GHG Inventory		x	x
Task 2: GHG Modeling		x	x

Proposed Fee

Task	Total Fee	Total Hours	KERAMIDA Team		
			AC	NM	MW
			\$220	\$180	\$150
Project Management	\$4,120	26	0	11	15
Kickoff Meeting	\$320	2	0	1	1
Progress Meetings	\$600	4	0	0	4
Project Management	\$3,200	20	0	10	10
Task 1: GHG Inventory	\$12,370	80	3.5	10	66
1.1 GHG Data Request	\$1,240	8	0	2	6
1.2 GHG Inventory	\$11,130	72	3.5	8	60
Task 2: GHG Modeling with ClimateView	\$15,000	Year-round support from KEI			
TOTAL	\$31,490	106	3.5	21	81