

**THE PLANNING COMMITTEE
OF THE COMMON COUNCIL**

**125 East Avenue
P.O. Box 5125
Norwalk, CT 06856**

TO: MEMBERS, PLANNING COMMITTEE OF THE COMMON COUNCIL
FROM: DOUGLAS E. HEMPSTEAD, CHAIRMAN
DATE: APRIL 24, 2014
RE: MEETING NOTICE

.....
The regular meeting of the Planning Committee of the Common Council will be held on Thursday, May 1st 2014 at 6:30 P.M. in Room 231 on the second floor of Norwalk City Hall. Please Note The Time And Location.

**PLANNING COMMITTEE OF THE COMMON COUNCIL
REGULAR MEETING**

**MAY 1, 2014
6:30 PM**

A G E N D A

**PUBLIC HEARING – 2014 NEIGHBORHOOD ASSISTANCE ACT TAX
CREDIT PROGRAM (NAA-TCP)**

PUBLIC HEARING –PY40 CDBG DRAFT ANNUAL ACTION PLAN

CALL TO ORDER

ROLL CALL

PUBLIC PARTICIPATION

- I. APPROVAL OF MINUTES – March 31, 2014
April 3, 2014

II. BUSINESS

**A. NEIGHBORHOOD ASSISTANCE ACT TAX CREDIT
PROGRAM**

1. Review and Recommend 2014 NAA-TCP Applications.
Determine which, if not all, 2014 NAA-TCP applications should be recommended for program inclusion.
2. Advance those recommended applications to the Common Council for approval of their inclusion in the 2014 NAA-TCP program.

B. CDBG

1. Consider public comment on draft Annual Action Plan for CDBG Program Year 40.
2. Advance to the Common Council the Annual Action Plan for CDBG Program Year 40 and request authorization for the Mayor to execute all related documents consistent with the approved Annual Action Plan

ADJOURNMENT

**CITY OF NORWALK
PLANNING COMMITTEE OF THE COMMON COUNCIL
SPECIAL MEETING
MARCH 31, 2014**

ATTENDANCE: Douglas Hempstead, Chair; Richard Bonenfant, Bruce Kimmel,
John Kydes, Shannon O'Toole-Giandurco, Travis Simms (6:50 p.m.)

STAFF: Redevelopment Agency: Timothy Sheehan, Tami Strauss.

OTHERS: Representatives of CDBG Applications, as noted.

CALL TO ORDER

Mr. Hempstead called the meeting to order at 6:30 p.m. and stated that the members as listed above were in attendance, and that there was a quorum present.

APPROVAL OF MINUTES – February 19, 2014 - March 6, 2014

- ** MS. O'TOOLE-GIANDURCO MOVED TO APPROVE THE MINUTES OF
THE FEBRUARY 19, 2014 MEETING AS SUBMITTED.**
- ** THE MOTION PASSED UNANIMOUSLY.**

- ** MR. KIMMEL MOVED TO APPROVE THE MINUTES OF THE
MARCH 6, 2014 MEETING AS SUBMITTED.**
- ** THE MOTION PASSED UNANIMOUSLY.**

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) FUNDING

Ms. Strauss referred to the memo and supporting documents detailing the program funding considerations (see attached supplemental pages 4-6). She explained the application summary spreadsheets with the updated amounts of funding available for each category and the ratings in the matrix for the program applications and where there were deficiencies.

Mr. Sheehan explained that the spreadsheets represent the detailed summary document that provides color coded background information on the determination. It was noted that color coded designations of green cells represent application strengths and yellow cells represent potential application concerns.

Mr. Pat Ferrandino, Deputy Director of the SoNO Community Center explained that the center was awarded \$100,000 in CDBG funding last year to fund new bathrooms and other improvements. He said this year's application in CDBG funds will pay for classrooms, and they are seeking outside funding to renovate the commercial kitchen. It would be used to

teach nutritional programs in collaboration with The Friends of Ryan Park and make use of the community garden outside the back door.

Mr. Kimmel asked if municipalities have the ability to divert into the segmented pots and dispense with public services grants. Mr. Sheehan replied that all municipalities struggle with the 15% cap guidelines and incorporate public services. He added that the challenge is some have work to do it, some have start up funding and there is a better way to manage the process.

**** MR. KIMMEL MOVED TO ADOPT THE DRAFT RECOMMENDATION
FOR PUBLIC FACILITIES OF THE CDBG PROGRAM FUNDING FOR \$621,157.
** MOTION PASSED UNANIMOUSLY.**

Public Services

There was discussion and review of programs in the recommendation. Mr. Kimmel asked if there was a way to steer applicants to tap into other funding sources available for the organization.

Mr. Sheehan replied that in the past, housing projects were included in the capital budget for affordable housing. He added that they have taken applications for affordable housing separately if funding was not available

Mr. Hempstead suggested that over the past few years there was an effort to steer groups that overlap to collaborate on program funding options.

Mr. Kimmel stated that he had questions on the Travis Simms application and asked if it was necessary to have Mr. Simms move to the applicant section of the meeting room. Mr. Simms stated that he had recused himself from the vote at the last meeting, and he was intending to recuse himself tonight, but he would be happy to answer questions on his program.

Mr. Kimmel stated that not a lot of communities have a former world champion in boxing in their community, plus, there is a tradition in Norwalk of a strong boxing program that made a difference in many lives, such as the program run by the late John Harris. He explained that we have a unique individual in the city, and it's more complicated because he's a member of the Common Council. He added that we should bend over backwards and not demand that every detail of the application is done the way professional non-profits do them.

Mr. Kydes asked Mr. Simms about the original request and Mr. Simms explained his proposal started at \$100,000, then dropped to \$83,000. He said making do with less would be extremely hard, but they would work hard to make it work, and they can still do a lot with \$44,000. Mr. Simms added that he has been working with the Police Athletic League and NEON President Jackson, and while arrangements have not been finalized, it looks like the program can go into the Ben Franklin Center.

There was further discussion about the budget and expenses for the program and equipment. Mr. Simms stated that the goal is to have a well-rounded gym that has all the equipment and all.

Mr. Kimmel suggested that the Mayor's Summer Youth Program and the Neighborhood Improvement Coordinator could be funded elsewhere from the City's budget.

**** MR. KIMMEL MOVED TO ADOPT THE DRAFT RECOMMENDATION FOR PUBLIC SERVICES WITH THE FOLLOWING CHANGES: TRANSFER \$21,746 FROM THE MAYOR'S SUMMER YOUTH PROGRAM AND TRANSFER \$21,747 FROM NEIGHBORHOOD IMPROVEMENT COORDINATOR FOR A TOTAL OF \$43,493 TO ADD TO THE TRAVIS SIMMS FOUNDATION.**

**** THE MOTION FAILED WITH TWO VOTES IN FAVOR (KIMMEL, KYDES) THREE OPPOSED (HEMPSTEAD, O'TOOLE-GIANDURCO, BONENFANT) AND ONE ABSTENTION (SIMMS).**

Ms. O'Toole Giandurco stated to Mr. Simms that she didn't vote against his program, as there is so much potential, but at this point there are too many holes. Mr. Simms said he respected that, but there is currently not one program that targets these children in the city and there has not been for many years, and with the summer coming before us we have the opportunity here to save these kids' lives.

There was further discussion on the way to secure alternate funding. Mr. Kimmel said the City's budget numbers continue to look good, the operating budget is still well below the cap set by the Council, and there should be money to fund the program.

There was discussion on the formulation of the cap for total funds available by category. Ms. Strauss reviewed the supporting documents to show how the funds are derived within HUD guidelines.

Mr. Hempstead said there was nothing to prohibit the Committee from advancing the draft at a higher amount than can be funded. He explained that the Committee has \$1,028,033 in CDBG funding, and of that, \$146,813 can be spent on public services.

Ms. Strauss reminded the Committee that the draft would be approved for advancing for a 30-day public comment period, during which a public hearing will be scheduled.

Mr. Kimmel said the council needed to give the Simms Foundation program a thumbs-up to help as it seeks other funding. He added that to exceed the cap as a continuation of the process should be done with the stipulation that it will be clear that the committee will stick with the cap eventually.

Ms. Strauss said that guidelines for the application are outlined to require a confirmed location for the program, a Memorandum of Understanding with the facility, a budget of how the grant would be utilized and a fundraising plan for the balance of the cost.

**** MR. KIMMEL MOVED TO APPROVE THE DRAFT CDBG ALLOCATION AMENDED TO EXCEED THE CAP RECOMMENDATION PENDING THE PUBLIC COMMENT PERIOD. APPROVAL INCLUDES AN ADDITIONAL \$43,493 TO FUND THE TRAVIS SIMMS FOUNDATION APPLICATION WITH CONDITIONS AS NOTED ABOVE. APPROVAL IS CONTINGENT UPON INPUT FROM THE FINANCE DEPARTMENT, UPON WHICH TIME THE TOTAL CDBG ALLOCATION AMOUNT WILL THEN BE ADJUSTED TO BE CONSISTENT WITH CAP.**

**** THE MOTION PASSED WITH SIX VOTES IN FAVOR (BONENFANT, KIMMEL, KYDES, HEMPSTEAD, O'TOOLE-GIANDURCO, SIMMS) NONE OPPOSED AND NO ABSTENTIONS.**

Mr. Kimmel asked about the action required by the Committee following the 30-day period and Mr. Sheehan clarified the public comment period regarding the allocation and use of funds.

Mr. Sheehan noted that all comments received will be incorporated into the action plan and the planning Committee will then have an opportunity to schedule a Special Meeting. Comments can be reviewed by the Committee prior to approving the drafted AAP for submission to the common council for final approval and the executed AAP must be submitted to HUD no later than May 15, 2014.

ANNUAL ACTION PLAN

Ms. Strauss referred to the memo included in the agenda packet and reported that HUD released its actual funding allocations for Program Year 40. Norwalk's allocation of \$889,453 represents a 1.5% cut over the previous year (PY39) as compared to the 10% cut that was projected.

The following chart breaks down the \$1,028,033 that is available by category (and allowable by HUD) in PY40 (including anticipated program income and reprogrammed funds).

Funding Category	Actual Amount	CDBG Requests
Public Services	\$146,813	\$530,989
Planning and Administration	\$260,033	\$294,745
Micro-Enterprise Assistance and Public Facilities	\$621,157	\$736,786
TOTAL	\$1,028,033	\$1,562,520

- ** MR. KYDES MOVED TO APPROVE AND PUBLICLY POST THE
ADVANCEMENT OF THE PY40 ANNUAL ACTION PLAN FOR THE 30-
DAY PUBLIC COMMENT PERIOD TO BEGIN APRIL 4, 2014 AND END
MAY 4, 2014.**
- ** MOTION PASSED UNANIMOUSLY.**

PUBLIC HEARING REQUEST

There was discussion on holding the public hearing at the beginning of the next regular meeting, and it was as agreed by consensus of the Committee to schedule it as follows.

- ** MS. O'TOOLE-GIANDURCO MOVED TO APPROVE THE SCHEDULING
OF A PUBLIC HEARING AT THE REGULAR PLANNING MEETING OF
MAY 1, 2014 AT 7:00 P.M.**
- ** MOTION PASSED UNANIMOUSLY.**

ADJOURNMENT

- ** MR. KYDES MOVED TO ADJOURN THE MEETING.**
- ** MOTION PASSED UNANIMOUSLY.**

The meeting was adjourned at 8:35 p.m.

Respectfully submitted,

Marilyn Knox;
Telesco Secretarial Services

Attachments:
CDBG Allocation Funding Considerations
CDBG PY40 Program Outline/Application Summary

At the request of the Planning Committee the staff is providing its suggested list of CDBG applications for Committee consideration in advancing the draft Annual Action Plan. A number of factors were taken into account in making these suggestions including CDBG eligibility, the qualifications of the applicant, cost efficiency, and the extent of the direct community benefit of the project or program.

Public Facilities-- total available \$621,157

- **Keystone House:** \$11,000 for bathroom renovation at Elmcrest Group Home that houses 12 people.
- **Norwalk Housing Authority:** \$46,000 for accessible renovations at John Shostak Apartments benefitting 40 people. The Housing Authority received \$1.2 million from HUD (above what NHA estimated) for capital improvements that will be deployed towards replacing windows at Roodner Court; the NHA has indicated that these capital funds will not be able to cover the accessible renovations at John Shostak.
- **Star Inc.:** \$46,000 for safety, energy efficient renovations at community center that serves 600 people annually.
- **Carver Foundation of Norwalk:** \$92,500 for HVAC system upgrade so that committed afterschool programs may commence. This project serves the low- and moderate-income census tracts of Norwalk totaling over 11,000 people.
- **Norwalk Redevelopment Agency on behalf of the City of Norwalk:** \$325,657 to deliver projects that have low- and moderate-income targeted benefit. Previous projects include Oyster Shell Park, Washington Village Choice Neighborhoods application, administering housing rehabilitation loan program and hurricane assistance funds, brownfields remediation, and planning and design work for public infrastructure and facilities. City Neighborhoods primarily serves the low- and moderate-income census tracts of Norwalk totaling over 11,000 people.

Contingent Allocation

- **South Norwalk Community Center:** \$100,000 for facilities renovations. Funds will be restricted contingent upon the final commitment of a pending programming partner; and successful completion of SoNoCC's current CDBG grant.

Public Services-- total available \$146,813

- **Domestic Violence Crisis Center:** \$30,000 for Community Policing program to benefit 75 victims of domestic violence.
- **City of Norwalk Neighborhood Improvement Coordinator:** \$21,747 for improvement, beautification projects that benefit over 11,000 people in the city's low- and moderate-income census tracts (cut from \$25,000 request).
- **City of Norwalk Mayor's Summer Youth Employment Program:** \$21,746 for job training for 165 low- and moderate-income youth (cut from \$25,000 request).
- **Child Guidance Center:** \$30,000 for psychiatric nurse to work with 50 adolescents.
- **Family & Children's Agency:** \$15,000 for Project REWARD – an outpatient substance abuse treatment for 70 women.
- **Norwalk Senior Center:** \$12,500 for Elder Abuse prevention initiative to reach 350 people.
- **Saturday Academy:** \$15,820 for afterschool program for 50 girls focused on STEM.

Planning and Administration Amount Available: \$260,033

- **Norwalk Redevelopment Agency:** \$260,033 for grant administration, reporting, planning and compliance.

PUBLIC SERVICES - TOTAL AVAILABLE \$146,813						
Tab #	Organization	Project Title	Request	Proposed Beneficiaries	Request per Beneficiary	Beneficiary Type
2	Child Guidance Center of Mid-Fairfield	Advanced Practice Registered Nurse	\$30,000	50	\$600	People
3	City of Norwalk CT	Mayor's Summer Youth Employment Program	\$25,000	165	\$152	People
4	City of Norwalk CT	Neighborhood Improvement Coordinator	\$25,000	AREA BENEFIT		
5	Courage to Speak Foundation	Drug Prevention Education for After School Programs	\$14,770	115	\$128	People
6	Domestic Violence Crisis Center	Domestic Violence Prevention through Effective Community Policing	\$30,000	75	\$400	People
7	Family & Children's Agency	Project REWARD	\$15,000	70	\$214	People
8	Housing Development Fund	Affordable Housing Counseling Program	\$20,000	60	\$333	Households
9	Human Services Council	South Norwalk Affordable Housing/Homelessness Prevention Services	\$78,500	36	\$2,181	People
10	Liberation Programs	Substance Abuse Education, Prevention and Wellness	\$5,000	100	\$50	People
11	NEON, Inc.	Empower Through Education and Employment	\$43,634	40	\$1,092	People
12	Norwalk Housing Authority	Resident Transport Van	\$35,705	340	\$105	People
13	Norwalk Senior Center	Elder Abuse Prevention Initiative	\$12,560	350	\$36	People
14	Person to Person	Emergency Financial Assistance	\$16,000	40	\$400	Households
15	Saturday Academy Inc	Computer Science Entrepreneurship Program	\$15,820	50	\$316	People
16	South Norwalk Community Center	After School Programs	\$50,000	125	\$400	People
17	Star, Inc., Lighting the Way...	Competitive Employment Job Developer	\$20,000	75	\$267	People
18	Travis Simms Foundation	Youth Boxing Program	\$65,000	200	\$325	People
19	United Way of Coastal Fairfield County	Services Navigator, Coordinated Care Team	\$28,000	100	\$280	People
Totals			\$530,989	1,991	Average	

Cost Per Beneficiary Analysis - Low: ≤\$203 (<60% of Category Average); Average: \$204-\$306 (61%-120% of Category Average); High: ≥\$306 (>121% of Category Average)

Request/Project Total Analysis - Low Range: Request is 0%-49% of indicated project total; Mid Range: Request is 50%-80% of indicated project total; High Range: Request is 81%-100% of indicated project total

Green boxes represent application strengths

Yellow boxes represent potential application concerns.

¹ Based upon information provided during presentation to the Planning Committee

² ConPlan strategy is met by current PY39 project(s).

Tab #	Organization	Project Title	Request	Proposed Beneficiaries	Request per Beneficiary	Beneficiary Type	Cost Per Beneficiary Analysis
20	Women's Business Development Council	Microenterprise Assistance Program	\$40,000	25	\$1,600	People	High
Public Facilities							
Tab #	Organization	Project Title	Request	Proposed Beneficiaries	Request per Beneficiary	Beneficiary Type	Cost Per Beneficiary Analysis
21	Carver Foundation of Norwalk, Inc.	Replace outdated HVAC System	\$92,500	AREA BENEFIT			
22	Keystone House	Bathroom remodel at Elmcrest Terrace Group Home	\$11,000	12	\$917	Households	High
23	Mid-Fairfield AIDS Project	Purchase and install energy efficient heating and air conditioning system	\$52,286	9	\$5,810	People	High
24	Norwalk Housing Authority	John Shostak Apartments accommodation improvements	\$46,000	40	\$1,150	Households	High
26	Open Door Shelter	Supportive Housing Flooring Needs	\$23,000	26	\$885	People	High
27	South Norwalk Community Center	Renaissance Project	\$100,000	AREA BENEFIT			
28	Star, Inc., Lighting the Way...	Safety and Energy-Saving Improvements	\$45,000	600	\$77	People	
29	Norwalk Redevelopment Agency	City Neighborhoods Program (Review provided by a third party)	\$328,000	AREA BENEFIT			
Totals			\$736,786	712	Average		\$307
PLANNING AND ADMINISTRATION - TOTAL AVAILABLE \$260,033							
Tab #	Organization	Project Title	Request	Proposed Beneficiaries	Request per Beneficiary	Beneficiary Type	
25	Open Door Shelter	Safe-Life Center Pre-Development Costs***	\$50,000	60	\$625	People	
30	Norwalk Redevelopment Agency	General CDBG Program Administration	\$244,745	AREA BENEFIT			
Total			\$294,745				

Cost Per Beneficiary Analysis - Low: ≤\$246 (≤80% of Category Average); Average: \$247-\$368 (81%-120% of Category Average); High: ≥\$369 (≥121% of Category Average)

Request/Project Total Analysis - Low Range: Request is 0%-49% of indicated project total; Mid Range: Request is 50%-80% of indicated project total; High Range: Request is 81%-100% of indicated project total

Green boxes represent application strengths.

Yellow boxes represent potential application concerns.

* Based upon information provided during presentation to the Planning Committee

** ConPlan strategy is met by current PY39 project(s).

***Originally applied for under "Public Facilities." Subsequent review finds it an eligible activity under "Planning."

**CITY OF NORWALK
PLANNING COMMITTEE
REGULAR MEETING
APRIL 3, 2014**

ATTENDANCE: Douglas Hempstead, Shannon O'Toole-Giandurco, John Kydes,
Bruce Kimmel, Sharon Stewart

OTHERS: Council Member Jerry Petrini

CALL TO ORDER.

Mr. Hempstead called the meeting at 7:01 p.m. A quorum was present.

MINUTES OF MARCH 19, 2014.

**** MR. KYDES MOVED THE MINUTES OF MARCH 19, 2014.**

**** THE MOTION TO APPROVE THE MINUTES OF MARCH 19, 2014 SUBMITTED.**

BUSINESS.

FY 2015 CAPITAL BUDGET.

1. Consider allocation and approve advancement to the Common Council.

Mr. Hempstead announced that he was opening up the discussion on the Capital Budget.

Mr. Kimmel said that the Board of Education had a number of items in the Capitol budget that were questionable, such as the inclusion of the textbooks and technology. He said that he would like to have a discussion regarding this with the Board of Education. Mr. Hempstead said that he also had major concerns about the use of Capital funding for textbooks. Mr. Kimmel said that he was uncomfortable with the fact that the Planning Commission was voting on this. Discussion followed.

Mr. Hempstead said that he had a few suggestions. At the last meeting, there had been a discussion about the financial shortfall from the State reimbursement for Norwalk High School, funds had to be diverted from technology to cover the driveway at Rowayton School.

**** MR. HEMPSTEAD MOVED TO TRANSFER \$100,000 FROM BOE TECHNOLOGY
TO BOE PAVING.**

**** THE MOTION PASSED UNANIMOUSLY.**

Under Parks and Recreation, Mr. Hempstead said that he would like to transfer \$15,000 from Line Item 7, Calf Pasture Beach, and move it to Line Item #3, Mathews Park. He gave a brief overview of the details.

**** MR. HEMPSTEAD MOVED TO TRANSFER \$15,000 FROM RECREATION AND PARKS- CALF PASTURE BEACH (LINE ITEM # 7) TO MATHEWS PARK (LINE ITEM #3).**

Mr. Kimmel had questions about the allocation for the Rowayton pond dredging. He was told that this was for an engineering study and nothing else. Discussion followed.

**** THE MOTION PASSED UNANIMOUSLY.**

Under Public Works, Mr. Hempstead said he would like to transfer \$25,000 from Item C-1 Fleet Replacement and move it to Item H- 3 the Library Auditorium.

**** MR. HEMPSTEAD MOVED TO TRANSFER \$25,000 FROM ITEM C-1 FLEET REPLACEMENT AND MOVE IT TO ITEM H- 3 THE LIBRARY AUDITORIUM.
** THE MOTION PASSED UNANIMOUSLY.**

Mr. Petrini then noted that in Recreation and Parks, one of the vehicles had been cut and the funding had been moved to Open Space. Mr. Kimmel said that the Mayor wanted to build the Open Space funding up to \$100,000.

Mr. Petrini said that the land transfer back from Merritt Seven had not been completed. He explained that with the Merritt 7 project there was a parcel that had been donated to the City for a fire station. That parcel is in the process of being transferred back to the original owners. Mr. Hempstead pointed out that the closed Getty gas Station on Route 7 might be a good location for a fire truck and ambulance.

There was a brief discussion regarding the former Getty gas station on the corner across from the YMCA building and how it abuts Sgt. Fera Park Children's Park located in Mathews Park.

**** MR. HEMPSTEAD MOVED TO FORWARD THE CAPITAL BUDGET AS AMENDED TO THE COMMON COUNCIL.
** THE MOTION TO FORWARD THE CAPITAL BUDGET AS AMENDED TO THE COMMON COUNCIL PASSED UNANIMOUSLY.**

GLOBE THEATER.

Update on Section 108 Application.

Ms. Strauss then gave the Committee an update on the renovation of the Globe Theater. The 108 request has been submitted to Washington D.C. and Hartford. She then reviewed the change in

objective funding. Mr. Sheehan reviewed the process for the change in focus to slum and blight redevelopment. The original intention was to use Area Benefit. HUD felt that the theater would not only improve the immediate area but the county, so that the Area Benefit was not applicable. Mr. Hempstead gave a brief overview of the history of this project. Discussion about the details followed.

Mr. Sheehan said that he had received a term sheet for the construction and Mr. Farricker had advanced into the construction phase.

NEIGHBORHOOD ASSISTANCE ACT TAX CREDIT PROGRAM.

Approve scheduling a Public Hearing on 2014 NAA-TCO application to be held on May 1, 2014.

Mr. Sheehan gave a brief overview. The State of Connecticut allows for Neighborhood Assistance Tax Credits. The City is required by State statute to offer these credits to the 501c3 organizations. Mr. Sheehan said that this was not a City program, but a Federal program. He reviewed requirements and said that the availability of the credits must be done, along with having a public hearing and Council approval for submission to the State. This is a request for a Public Hearing for the 2014 NAA-TCO application in the May agenda. Ms. Strauss said that this was also the same night of the CDBG public hearing and suggested that the meeting begin at 6:30 pm. on May 1st.

**** MR. KIMMEL MOVED TO SCHEDULE A PUBLIC HEARING ON 2014 NAA-TCO APPLICATION TO BE HELD ON MAY 1ST AT 6:30 P.M.**

**** THE MOTION PASSED UNANIMOUSLY.**

CDBG.

Transfer of contract to the Housing Authority from NEON for the renovation of the bathroom at Ben Franklin School.

Mr. Hempstead said that originally NEON had requested funding to repair the bathrooms at Ben Franklin. However, NEON did not request an extension, but now the Norwalk Housing Authority is running the Head Start program and using the facility. Mr. Hempstead pointed out that this was a City owned building. Mr. Sheehan said that the intent of the original application was specifically for the Head Start Program. However, there is no one available to sign off on the draws that need to be done to the existing grant, so the Council needs to empower the Housing Authority to be able to do that. This will allow the contractors who have done the work to be paid and the remaining portion of the construction to be completed.

**** MS. O'TOOLE-GIANDURCO MOVED TO APPROVE THE TRANSFER OF CONTRACT TO THE HOUSING AUTHORITY FROM NEON FOR THE RENOVATION OF THE BATHROOM AT BEN FRANKLIN SCHOOL.**

**** THE MOTION PASSED UNANIMOUSLY.**

Mr. Hempstead said that he wanted to clarify some of the motions made on a Planning meeting on March 31st. He said that it was his understanding that the first vote was when Mr. Kimmel moved to amend the CDBG grant money. That was a 3 to 3 tie, which means that the motion failed according to Mason's Rules. A second vote was taken on a motion to move the CDBG funding as presented that night was also a 3 to 3 tie, which failed. He said that it was important to clarify this because of questions that had arisen due to the outcome of the votes. It was the consensus of the Committee that Mr. Hempstead's recollection was correct. Mr. Kimmel added that the third vote was unanimous. Everyone concurred.

ADJOURNMENT.

**** MS. O'TOOLE-GIANDURCO MOVED TO ADJOURN.**

**** THE MOTION PASSED UNANIMOUSLY.**

The meeting adjourned at 7:35 p.m.

Respectfully submitted,

Sharon L. Soltes
Telesco Secretarial Services

**THE PLANNING COMMITTEE
OF THE COMMON COUNCIL**

125 East Avenue
P.O. Box 5125
Norwalk, CT 06856

TO: MEMBERS, PLANNING COMMITTEE OF THE COMMON COUNCIL
FROM: HUNTER ARTON, COMMUNITY OUTREACH ADMINISTRATOR
RE: REVIEW AND RECOMMEND 2014 NEIGHBORHOOD ASSISTANCE ACT TAX CREDIT PROGRAM APPLICATIONS
DATE: APRIL 16, 2014

The City of Norwalk received nine applications for Connecticut's 2014 Neighborhood Assistance Act Tax Credit Program (NAA-TCP), which provides a tax credit to business firms that make cash investments in qualifying community programs conducted by tax exempt or municipal agencies (informational publication attached).

Please note that this is not a Norwalk grants program, nor are any funds controlled by the City of Norwalk involved. The City's role is one of acknowledging the existence and legitimacy of the local non-profits and their stated needs to the state and corporate seekers of tax credit opportunities.

Application review is carried out by the State's Department of Revenue Services. However, under statute, the non-profits must first be referred by the local legislative body following a public hearing. This hearing has been scheduled to take place during the regularly scheduled meeting of the Planning Committee on May 1, 2014. Following the public hearing, the Committee will be asked to advance to the Common Council any or all of the following applications received (complete applications attached).

Organization	Program Title	Amount
Housing Authority of the City of Norwalk	Samuel Roodner Court Window Replacement	\$150,000
Mid-Fairfield AIDS Project	Moore Place	\$75,000
Norwalk Housing Foundation	College Scholarship Program	\$150,000
South Norwalk Community Center	SoNoCC Early Childhood Center	\$150,000
STAR, Inc., Lighting the Way...	Job Developer for Competitive Employment of People with Developmental Disabilities	\$40,000
STAR, Inc., Lighting the Way...	Energy Saving Improvements	\$46,000
The Carver Foundation	Summer Programs	\$150,000
The Human Services Council	Energy Efficiency Project and One Park St. Bldg	\$70,950
The Maritime Aquarium	Hybrid Diesel Electric System	\$150,000

REQUESTED ACTION:

1. Determine which, if not all, 2014 NAA-TCP applications should be recommended for program inclusion.
2. Advance those recommended applications to the Common Council for approval of their inclusion in the 2014 NAA-TCP program.



25 Sigourney Street
Hartford CT 06106-5032

INFORMATIONAL PUBLICATION

The Connecticut Neighborhood Assistance Act Tax Credit Program

Purpose: This Informational Publication explains the Connecticut Neighborhood Assistance Act (NAA) Tax Credit Program

Effective Date: upon issuance.

Statutory Authority: Conn. Gen. Stat. §12-630aa et. seq.

Definitions: For purposes of the NAA tax credit program:

Business firm means any business entity authorized to do business in the state and subject to the tax due under the provisions of Chapter 207, 208, 209, 210, 211, 212 or 213a. For purposes of a business entity subject to the provisions of Chapter 213a, the tax credit earned by such entity may be used by the members or partners of such entity that are subject to the Corporation Business tax under Chapter 208.

Donation of money to an open space acquisition fund means money contributed to an open space acquisition fund of any political subdivision of the state or any nonprofit land conservation organization.

The money must be used for the purchase of land, interest in land, or permanent conservation restriction on land to be permanently preserved as protected open space.

Energy conservation projects means programs to promote energy conservation that are directed toward properties where at least 75% of occupants are at an income level not exceeding 150% of the poverty level for the year immediately preceding the year during which the tax credit is to be granted or at properties owned or occupied by charitable corporations, foundations, trusts, or other entities. Such projects include, but are not limited to:

- Energy conserving modification or replacement of windows and doors;
- Caulking and weather-stripping;
- Insulation;
- Automatic energy control systems;

- Hot water systems;
- Equipment required to operate variable steam, hydraulic, and ventilating systems;
- Replacement of burners, furnaces, or boilers;
- Electrical or mechanical furnace ignition systems; or
- Replacement or modification of lighting fixtures.

The Connecticut Neighborhood Assistance Act Tax Credit Program: The NAA Tax Credit Program provides a tax credit to business firms that make cash investments in qualifying community programs conducted by tax exempt or municipal agencies. Such tax credit may be applied against the taxes due under the provisions of chapter 207, 208, 209, 210, 211, or 212. The community programs must be approved by both the municipality in which the programs are conducted and by the Department of Revenue Services (DRS).

Community Programs That Qualify for the NAA Tax Credit Program: Listed below are examples of the types of programs that qualify for the NAA tax credit and the amount of the available credit.

A tax credit equal to 100% of the cash invested is available to business firms that invest in energy conservation projects.

A tax credit equal to 60% of the cash invested is available to business firms that invest in programs that provide:

- Neighborhood assistance;
- Job training;
- Education;
- Community services;
- Crime prevention;
- Construction or rehabilitation of dwelling units for families of low and moderate income in the state;
- Donation of money to an open space acquisition fund;
- Child day care facilities;
- Child care services;

- Employment and training programs directed at handicapped persons;
- Employment and training programs for unemployed workers who are 50 years of age or older;
- Education and employment training programs for recipients in the temporary family assistance program;
- Community-based alcoholism prevention or treatment; or
- Any other program which serves a group of individuals where at least 75% of the individuals are at an income not exceeding 150% of the poverty level for the year immediately preceding the year during which the tax credit is to be granted.

Obtaining Approval for the NAA Tax Credit Program:

Tax-exempt entities and municipal agencies desiring to obtain benefits under the NAA must complete **Form NAA-01, Connecticut Neighborhood Assistance Act Program Proposal**, Parts I, II, and III and submit the form to the municipal agency overseeing the implementation of the proposal. The overseeing municipal agency then completes Part IV of Form NAA-01 and submits the form to DRS on or before July 1 of each year. Prior to submitting Form NAA-01 to DRS, each municipality must hold a public hearing on all program applications. The governing body of the municipality must vote to approve the programs. Copies of the public hearing notice and minutes of the meeting approving the programs must be submitted by the municipality to DRS with the approved program proposals.

Limits on the Amount of Contributions That May Be Made or on the Amount of Tax Credit Available: The NAA Tax Credit Program has several statutory limits which must be observed, including the following:

- A business firm is limited to receiving \$150,000 in tax credits annually; however, the amount of tax credit allowed any business firm for investments in child day care facilities for any income year may not exceed \$50,000.
- The minimum contribution on which a tax credit can be granted is \$250.
- Any organization conducting a program or programs eligible for funding under the NAA is limited to receiving an aggregate of \$150,000 of funding for any program or programs for any fiscal year.

- The total amount of all tax credits allowed in any fiscal year is \$5 million, which, if exceeded, results in prorating the approved tax credits among the approved organizations.
- Effective with the 2011 NAA program, the total charitable contributions of the contributing business firm does not need to equal or exceed its prior year's charitable contributions in order to be eligible for the tax credit.

Business Applications Deadlines: Each business firm requesting a tax credit under the NAA Tax Credit Program must complete a separate **Form NAA-02, Connecticut Neighborhood Assistance Act (NAA) Business Application**, for each program it wishes to sponsor. Form NAA-02 must have an original signature and be mailed or hand-delivered to DRS on or after September 15 but not later than October 1 of each year.

Claiming the Tax Credit: DRS issues an NAA program approval letter to business firms that make cash investments in qualified community programs. The letter indicates the tax credit amount that may be claimed on the applicable business tax return. The tax credit amount must also be entered on **Form CT-1120K, Business Tax Credit Summary**, and/or **Form CT-207K, Insurance/Health Care Tax Credit Schedule**.

Carry Back Provisions: The amount of tax credit that is not taken on the tax return of a business firm for the income year beginning during the calendar year in which the program proposal was approved may be carried back to the two immediately preceding income years (beginning with the earlier of the years). No carry forward is allowed.

Obtaining Additional Information: Direct inquiries to:

Department of Revenue Services
State of Connecticut
Research Unit
25 Sigourney St Ste 2
Hartford CT 06106

Call: 860-297-5687

Email: DRS.TaxResearch@po.state.ct.us

Effect on Other Documents: Informational Publication 2010(22), The Connecticut Neighborhood Assistance Act Tax Credit Program, is superseded and may not be relied upon after the date of issuance of this Publication.

Effect of This Document: An Informational Publication issued by DRS addresses frequently asked questions about a current position, policy, or practice, usually in a less technical question and answer format.

Related Forms and Publications: Request the most recent edition of the following forms: **Form NAA-01**, *Neighborhood Assistance Act Program Proposal*, and **Form NAA-02**, *Neighborhood Assistance Act Business Application*.

For Further Information: Call DRS during business hours, Monday through Friday:

- **1-800-382-9463** (Connecticut calls outside the Greater Hartford calling area only); or
- **860-297-5962** (from anywhere).

TTY, TDD, and Text Telephone users only may transmit inquiries anytime by calling 860-297-4911.

Forms and Publications: Visit the DRS website at www.ct.gov/DRS to download and print Connecticut tax forms and publications.

Paperless Filing/Payment Methods (fast, easy, free, and confidential):

Business and individual taxpayers can use the **Taxpayer Service Center (TSC)** at www.ct.gov/TSC to file a variety of tax returns, update account information, and make payments online.

File Electronically: You can choose first-time filer information and filing assistance or log directly into the **TSC** to file returns and pay taxes.

Pay Electronically: You can pay taxes for tax returns that cannot be filed through the **TSC**. Log in and select the *Make Payment Only* option. Designate a payment date up to the due date of the tax and mail a paper return to complete the filing process.

DRS E-Alerts Service: Get connected to the latest news from DRS. Receive notification by email of changes to legislation, policies, and procedures. **DRS E-Alerts** provide information for employer's withholding tax, News – Press Releases, and Top 100 Delinquency List. Visit the DRS website at www.ct.gov/DRS and select *Sign up for e-alerts* under *How Do I?* on the gold navigation bar..



Municipality: City of Norwalk

Form NAA-01
2014 Connecticut Neighborhood Assistance Act (NAA)
Program Proposal

Complete this form in blue or black ink only.

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: _____
Housing Authority of the City of Norwalk

Address: 24 1/2 Monroe Street, Norwalk CT 06854

Federal Employer Identification Number: 06-6000414

Program title: Samuel Roodner Court Window Replacement

Name of contact person: Curtis O. Law

Telephone number: (203) 838-8471

Email address: Claw@norwalkha.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 150,000.00

Credit percentage for which your organization is applying:

☐ 60% ☒ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☐ Yes ☒ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ☐ Job training/education for unemployed persons aged 50 or over;
- ☐ Job training/education for disabled persons;
- ☐ Program serving low-income persons;
- ☒ Energy conservation;
- ☐ Child care services;
- ☐ Open space acquisition fund; or
- ☐ Other: Specify _____

Part II — Program Information

Description of program: _____

Donations to the program will enable the Authority to install energy efficient windows in three buildings: 15, 16, and 17 for a total of 387 windows in the Samuel Roodner Court Housing Development. The cost is expected to be for three buildings \$184,615. The windows will provide increased heat efficiency during the winter months which will cut down on heating costs.

Need for program: _____

Roodner Court, comprised of 13 buildings and 218 units, is the largest public housing development in the City of Norwalk. Constructed in 1952, the development is composed of old infrastructure including outdated and inefficient windows, boilers and pipes. Funding will enable the Authority to install energy efficient windows in three buildings. The average household income is \$18,000 per year.

Neighborhood area to be served: _____

Project will serve extremely low income families residing in the South Norwalk Roodner Court development.

Total number of recipients: The total number of recipients is 624.

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Dan Williamson, Director of Maintenance

Address: 24 1/2 Monroe Street, Norwalk CT 06854

Duties and responsibilities: Responsible for directing and coordinating the activities of maintenance staff and contractors engaged in the preventative and rehabilitative 21

2. Name: Greg Lickwola

Address: 24 1/2 Monroe Street

Duties and responsibilities: Directs the administrative work that is required to coordinate the development and modernization activities of the Authority.

Timetable:

Program start date: September 1, 2014

Program completion date: September 1, 2016

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving \$25,000 or more in NAA funding.

Month your annual accounting period ends: March 31

Method of accounting: ☐ Cash ☒ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	<u>\$150,000.00</u>
Other funding sources - itemized sources:	
a) Subsidy/grant	<u>\$22,522,064.00</u>
b) Tenant revenue	<u>\$4,038,138.00</u>
c) Other Income	<u>\$1,753,975.00</u>
d)	<u> </u>
Total Funding:	<u>\$28,314,177.00</u>

Proposed Program Expenditures:

Direct operating expenses - itemized description:	
a) Housing Assistance Payments/Tenant Services	<u>\$15,456,731.00</u>
b) General Expenses	<u>\$1,830,179.00</u>
c) Maintenance and Utilities	<u>\$4,376,755.00</u>
d) Depreciation	<u>\$2,171,201.00</u>
Administrative expenses:	
Professional fund-raising fees	<u> </u>
Accounting/legal & other expenses - itemized:	
a) Administration	<u>\$4,729,805.00</u>
b)	<u> </u>
c)	<u> </u>
d)	<u> </u>
Total Proposed Expenditures:	<u>\$28,660,164.00</u>

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: _____

Norwalk Redevelopment Agency

Mailing address: _____

125 East Avenue, room 202, Norwalk, CT 06851

Name of municipal liaison: Timothy Sheehan

Telephone number: (203) 854-7810 ext. 46783

Fax number: (203) 854-7734

Email address: tsheehan@norwalkct.org

Post-Project Review

Is a post-project review required for this proposal?

☒ Yes

☐ No

If Yes, date post-project review due:

December 1, 2016

Date

STATE OF CONNECTICUT
Department of Finance, Building - Hartford
SALES & USE TAX EXEMPTION PERMIT

In accordance with the provisions of the "Sales and Use Tax Act" effective July 1, 1947 and the Regulations thereunder, it is hereby certified that the charitable or religious organization named below is exempt from all sales and use taxes on purchases of tangible personal property made by it for the sole and exclusive purpose of the organization.

Governmental Agency

THE HOUSING AUTHORITY OF THE CITY
OF NORWALK
WASHINGTON VILLAGE
NORWALK CONNECTICUT

This permit is not transferable.

Permit No. G-1 Date Issued 5/12/48

Walter H. Wood -
Tax Commissioner



April 14, 2014

CESAR RAMIREZ
Chairman

JEFFREY INGRAHAM
Vice Chairman

DEIDRA DAVIS
Treasurer

LARRY KATZ
Commissioner

BEVERLY KRIEGER
Commissioner

CURTIS O. LAW
Executive Director

CANDACE E. MAYER
Deputy Director

LATTARULO LAW FIRM, LLC
General Counsel

UNITED WAY
COMMUNITY PARTNER

Mr. Timothy Sheehan
Director
Norwalk Redevelopment Agency
125 East Avenue, Room 202
Norwalk, CT. 06854

Dear Mr. Sheehan,

I am pleased to submit the Housing Authority of the City of Norwalk 2014 Connecticut Neighborhood Assistance Act Program Proposal for \$150,000 of State tax credits for qualified participants who choose to participate in this Program of energy conservation through window replacement at Samuel Roodner Court.

The Window Replacements for this Program will focus on 3 buildings (buildings 15, 16). The expense incurred is estimated at \$184,615 and the Housing Authority is eligible for 100% State credits. That is what we are applying for.

The Housing Authority of the City of Norwalk is not required to file a 990. Our proof is attached.

Please contact me at 203-838-8471, ext. 131 if you have any questions.

Sincerely,


Curtis O. Law
Executive Director

Housing Authority of the City of Norwalk
P.O. Box 508, 24 1/2 Monroe Street, Norwalk, Connecticut 06856-0508
203-838-8471 . Fax 203-838-6535. TDD 1-800-545-1833 ext. 437

www.norwalkha.org
AN EQUAL OPPORTUNITY EMPLOYER



Municipality: City of Norwalk

Form NAA-01
2014 Connecticut Neighborhood Assistance Act (NAA)
Program Proposal

Complete this form in blue or black ink only.

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: Mid-Fairfield AIDS Project

Address: 618 West Avenue, Norwalk CT 06850

Federal Employer Identification Number: 061229965

Program title: Moore Place

Name of contact person: Stuart Lane

Telephone number: (203) 855-9535

Email address: slane@mfap.com

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 75,000.00

Credit percentage for which your organization is applying:

☐ 60% ☒ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ☐ Job training/education for unemployed persons aged 50 or over;
- ☐ Job training/education for disabled persons;
- ☒ Program serving low-income persons;
- ☒ Energy conservation;
- ☐ Child care services;
- ☐ Open space acquisition fund; or
- ☐ Other: Specify _____

Part II — Program Information

Description of program: _____

9 unit permanent supportive housing program for homeless disabled men and women. Provides housing and nutrition along with a rebuilding program with job training and placement that equips resident to succeed in subsequent housing and life skill situations. Provide case management, medical care and life skills coaching. No time limit for residents yet the goal is to graduate into an independent self sufficient living situation. The funding we ask for is to equip building with Solar energy and the HVAC to serve the building

Need for program: _____

At last count Norwalk had over 235 counted homeless individuals living in the shelter or in the street. Due to economic disparities and conditions these numbers have steadily increased. As co-chair of the Norwalk COC and Greater Norwalk Opening Doors I am able to say that we have increased the initiatives for more units but not the programs needed to prepare the clients for success in their new lives. The solar and electric HVAC will give the project a zero Carbon Footprint and reduce our energy costs by 80% year over year

Neighborhood area to be served: East and south Norwalk

Total number of recipients: Nine persons per year, year over year

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Stuart Lane

Address: MFAP 618 West Avenue

Duties and responsibilities: Founder executive director

2. Name: Rosie Rodriguez

Address: MFAP 618 West Avenue

Duties and responsibilities: Housing Coordinator

Timetable:

Program start date: May 10, 2014

Program completion date: January 1 2015

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving \$25,000 or more in NAA funding.

Month your annual accounting period ends: Dec 31

Method of accounting: ☒ Cash ☐ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	<u>\$75,000.00</u>
---------------------	--------------------

Other funding sources - itemized sources:

a)

b)

c)

d) _____

Total Funding:	\$75,000.00
-----------------------	--------------------

Proposed Program Expenditures:

Direct operating expenses - itemized description:

a) Solar panels and instalation	\$50,000.00
---------------------------------	-------------

b) HVAC	\$25,000.00
---------	-------------

c) _____

d) _____

Administrative expenses:

Professional fund-raising fees _____

Accounting/legal & other expenses - itemized:

a) _____

b) _____

c) _____

d) _____

Total Proposed Expenditures: \$75,000.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: _____ Norwalk Redevelopment Agency _____
Mailing address: _____ 125 East Avenue, room 202, Norwalk, CT 06851 _____
Name of municipal liaison: <u>Timothy Sheehan</u>
Telephone number: <u>(203) 854-7810 ext. 46783</u>
Fax number: <u>(203) 854-7734</u>
Email address: <u>tsheehan@norwalkct.org</u>

Post-Project Review Is a post-project review required for this proposal? ☒ Yes ☐ No If Yes, date post-project review due: <u>March 20, 2015</u> Date
--

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047

2012Open to Public
Inspection**A** For the 2012 calendar year, or tax year beginning

and ending

B Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization

Mid-Fairfield AIDS Project, Inc.

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
16 River StreetCity, town, or post office, state, and ZIP code
Norwalk, CT 06850**F** Name and address of principal officer: Stuart Lane
same as C above**D** Employer identification number

06-1229965

E Telephone number
203-855-9535**G** Gross receipts \$ 742,367.**H(a)** Is this a group return for affiliates? ☐ Yes ☒ No**H(b)** Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list. (see instructions)**H(c)** Group exemption number ▶**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (Insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: ▶ www.mfap.com**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: 1987 **M** State of legal domicile: CT**Part I Summary**

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: <u>To provide support and advocacy for people infected with AIDS/HIV, as well as their family and</u>			
	2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.			
	3	Number of voting members of the governing body (Part VI, line 1a)	7	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	7	
	5	Total number of individuals employed in calendar year 2012 (Part V, line 2a)	6	
	6	Total number of volunteers (estimate if necessary)	43	
	Revenue	7a	Total unrelated business revenue from Part VIII, column (C), line 12	0.
7b		Net unrelated business taxable income from Form 990-T, line 34	0.	
8		Contributions and grants (Part VIII, line 1h)	Prior Year 711,599. Current Year 721,503.	
9		Program service revenue (Part VIII, line 2g)	0. 0.	
10		Investment income (Part VIII, column (A), lines 3, 4, and 7d)	3,272. 2,038.	
11		Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	-143. 4,829.	
12		Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	714,728. 728,370.	
Expenses		13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0. 0.
		14	Benefits paid to or for members (Part IX, column (A), line 4)	0. 0.
		15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	341,728. 347,075.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0. 0.	
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 38,390.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	351,909. 344,200.	
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	693,637. 691,275.	
	19	Revenue less expenses. Subtract line 18 from line 12	21,091. 37,095.	
	Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year 503,632. End of Year 532,627.
		21	Total liabilities (Part X, line 26)	8,100. 0.
22		Net assets or fund balances. Subtract line 21 from line 20	495,532. 532,627.	

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer		Date
	Stuart Lane, Executive Director		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date
	Scott M. Brenner		08/16/13
	Firm's name ▶ Dylewsky, Goldberg & Brenner, LLC	Check ☐ self-employed	PTIN P01247233
	Firm's address ▶ 30 Oak Street Stamford, CT 06905	Firm's EIN ▶ 06-1325235	Phone no. (203) 975-8830

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

232001 12-10-12

LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2012)

See Schedule O for Organization Mission Statement Continuation



Municipality: City of Norwalk

Form NAA-01
2014 Connecticut Neighborhood Assistance Act (NAA)
Program Proposal

Complete this form in blue or black ink only.

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: Norwalk Housing Foundation

Address: 24 1/2 Monroe Street, Norwalk CT 06854

Federal Employer Identification Number: 06-0962362

Program title: Norwalk Housing Foundation College Scholarship Program

Name of contact person: Curtis O. Law

Telephone number: (203) 838-8471

Email address: claw@norwalkha.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 150,000.00

Credit percentage for which your organization is applying:

☒ 60% ☐ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for disabled persons;
☐ Program serving low-income persons;
☐ Energy conservation;
☐ Child care services;
☐ Open space acquisition fund; or
☒ Other: Specify Education/Scholarships

Part II — Program Information

Description of program: _____

The Norwalk Housing Foundation College Scholarship Program was established in 1998 to provide financial assistance to public housing residents to help them obtain a college degree enabling them to be better prepared to compete for jobs in this area's highly educated workforce. A volunteer committee comprised of local leaders in education, business and philanthropy oversees the selection process which includes essays, grades, recommendations and an interview. Recipients are monitored during award year.

Need for program: _____

The Program provides public housing residents with financial support so they can achieve a college education, and be better able to compete for and obtain higher paying jobs, become self-sufficient, and raise their families out of poverty. Recipient success has impacted resident youth by spreading the value of education. The Program has grown from 2 awards in 1998 to 35 awards in 2013. Currently, 85% attend 4-year colleges. Graduation rates from 1998 through 2012 are 92% for Latino students and 84% for Black students.

Neighborhood area to be served: _____

The Program serves low-income students residing in public housing developments in the City of Norwalk.

Total number of recipients: To date the Program has provided scholarships to 271 students.

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Carla Conway

Address: 24 1/2 Monroe Street, Norwalk, CT 06854

Duties and responsibilities: Oversees daily operation of Program;

2. Name: Thomas Hickey

Address: 24 1/2 Monroe Street

Duties and responsibilities: Oversees fiscal management. (See attached sheet for NHF Committee which oversees recipient selection and funding.

Timetable:

Program start date: June 1, 2014

Program completion date: June 1, 2016

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving \$25,000 or more in NAA funding.

Month your annual accounting period ends: March 31

Method of accounting: ☒ Cash ☐ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested \$150,000.00

Other funding sources - itemized sources:

a) Donations \$187,790.00

b) Investment Income \$992.00

c) _____

d) _____

Total Funding: \$188,782.00

Proposed Program Expenditures:

Direct operating expenses - itemized description:

a) Scholarships \$188,782.00

b) _____

c) _____

d) _____

Administrative expenses:

Professional fund-raising fees _____

Accounting/legal & other expenses - itemized:

a) N/A _____

b) _____

c) _____

d) _____

Total Proposed Expenditures: \$188,782.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: _____ Norwalk Redevelopment Agency _____
Mailing address: _____ 125 East Avenue, room 202, Norwalk, CT 06851 _____
Name of municipal liaison: <u>Timothy Sheehan</u>
Telephone number: <u>(203) 854-7810 ext. 46783</u>
Fax number: <u>(203) 854-7734</u>
Email address: <u>tsheehan@norwalkct.org</u>

Post-Project Review Is a post-project review required for this proposal? ☒ Yes ☐ No If Yes, date post-project review due: <u>September 1, 2016</u> Date
--

Form 990

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2012

Open to Public Inspection

Department of the Treasury
Internal Revenue ServiceUnder section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2012 calendar year, or tax year beginning Apr 1, 2012, and ending Mar 31, 2013

B Check if applicable:		C Name of organization Norwalk Housing Foundation		D Employer identification number 06-0962362	
☐ Address change		Doing Business As		E Telephone number	
☐ Name change		Number and street (or P.O. box if mail is not delivered to street address) Room/suite		(203) 838-8471	
☐ Initial return		24 1/2 Monroe Street			
☐ Terminated		City, town or country State ZIP code + 4			
☐ Amended return		Norwalk CT 06854		G Gross receipts \$ 188,782.	
☐ Application pending		F Name and address of principal officer:		H(a) Is this a group return for affiliates? Yes ☐ No ☒	
		Curtis O. Law 24 1/2 Monroe Street Norwalk CT 06854		H(b) Are all affiliates included? Yes ☐ No ☐	
I Tax-exempt status		X 501(c)(3) 501(c) () (insert no.) 4947(a)(1) or 527		H(c) Group exemption number	
J Website: N/A		K Form of organization: X Corporation ☐ Trust ☐ Association ☐ Other ☐		L Year of formation: 2000 M State of legal domicile: CT	

Part I Summary

1 Briefly describe the organization's mission or most significant activities: <u>To provide scholarships and education and youth programs for t</u>	
2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.	
3 Number of voting members of the governing body (Part VI, line 1a)	7
4 Number of independent voting members of the governing body (Part VI, line 1b)	7
5 Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5
6 Total number of volunteers (estimate if necessary)	10
7a Total unrelated business revenue from Part VIII, column (C), line 12	0.
7b Net unrelated business taxable income from Form 990-T, line 34	0.
Revenue	
8 Contributions and grants (Part VIII, line 1h)	138,071.
9 Program service revenue (Part VIII, line 2g)	0.
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	992.
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0.
12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	139,171.
Expenses	
13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	118,345.
14 Benefits paid to or for members (Part IX, column (A), line 4)	0.
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.
16a Professional fundraising fees (Part IX, column (A), line 11e)	0.
b Total fundraising expenses (Part IX, column (D), line 25)	0.
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	6,782.
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	125,127.
19 Revenue less expenses. Subtract line 18 from line 12	14,044.
Net Assets or Fund Balances	
20 Total assets (Part X, line 16)	695,120.
21 Total liabilities (Part X, line 26)	0.
22 Net assets or fund balances. Subtract line 21 from line 20	695,120.

Part III Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer		Date
	Curtis O. Law		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date
	Michael H. Vicars	Michael H. Vicars	11/07/13
	Firm's name	DOOLEY & VICARS	
	Firm's address	21 S SHEPPARD ST RICHMOND VA 23221	
	Check ☐ if self-employed	PTIN	P01470822
	Firm's EIN	54-1950231	
	Phone no.	(804) 355-2808	

May the IRS discuss this return with the preparer shown above? (see instructions) X Yes ☐ No ☐

BAA For Paperwork Reduction Act Notice, see the separate instructions.

TEEA0101 05/09/13

Form 990 (2012)



April 14, 2014

Planting Seeds for Success

NHF SCHOLARSHIP COMMITTEE

HARRY CAREY
AT&T (Committee Chairman)

GEORGE BAUER
Bauer Foundation

STEPHEN BENTKOVER
Norwalk Reads

SANDY BRIA
GE

CHRISTINA P. CLARK
U.S. Trust

CARL COOKE
Cooke Industries, Inc.

ANTHONY DADDONA
Norwalk Public Schools

KATHLEEN A. FISCHER
FactSet Research Systems

BRIAN GRIFFIN
*Greater Norwalk Chamber
of Commerce*

DAVID KENNEDY
*United Way of Coastal
Fairfield County*

ALEXANDRA LUNA
*Fisher International, Inc.
Former NHF Recipient*

CANDACE MAYER
Norwalk Housing Authority

K.C. SENIE
Norwalk Community College

MIKE WALLACE
Deloitte & Touche

NHF DIRECTORS

CURTIS O. LAW
President & Director

CESAR RAMIREZ
Director

JEFFREY INGRAHAM
Director

DEIDRA DAVIS
Director

LARRY KATZ
Director

BEVERLY KRIEGER
Director

Mr. Timothy Sheehan
Director
Norwalk Redevelopment Agency
125 East Avenue, Room 202
Norwalk, CT. 06854

Dear Mr. Sheehan,

I am pleased to submit the Norwalk Housing Foundation, Inc., 2014 Connecticut Neighborhood Assistance Act Program Proposal for \$150,000 of State tax credits for qualified participants who choose to participate in this program for the benefit of the Norwalk Housing Foundation College Scholarship Program.

The Norwalk Housing Foundation, Inc., is required to submit the front page of its most recent 990, which is attached with this submission. The 990 covers the fiscal year of April 1, 2012 through March 31, 2013.

The NHF College Scholarship Program is overseen by an independent committee of Norwalk community leaders from business, education and philanthropy and has grown from 2 scholarships in 1998 to 35 awarded in 2013. In 2010, it received a National Award of Excellence from the National Association of Housing and Redevelopment Officials.

The Program provides Norwalk public housing residents a chance to break the cycle of poverty by achieving a college education much needed to better compete in Fairfield County's highly educated job market. The Program has also had a ripple effect of growing a culture of literacy within Norwalk's public housing communities as youth, seeing older peers successfully complete college, stay in school and aim for college. We anticipate as many as 40 worthy applicants for 2014 as well as continued rises in college tuitions.

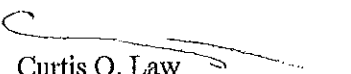
In October, 2012, the Harvard Business School of Connecticut Community Partners, presented a Report on the NHF College Scholarship Program that analyzed how well we had done through 2012 and included the following data on our success rates:

- *Overall 60% of all NHF recipients attend 4-year colleges (75% currently this year)*
 - *84% of African American NHF recipients graduate compared to 45% statewide*
 - *92% of Hispanic NHF recipients graduate compared to 52% statewide*
- *236 scholarships have been awarded totaling \$498,000*
- *Average family income is less than \$20,000 per year*
- *The lifetime benefit of a college degree for NHF students is over \$450,000*
- *100% of all donations go directly to scholarships.*

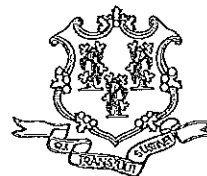
Most of our recipients have little to no financial resources and depend on scholarships to fund their education. By allowing support of the NHF College Scholarship Program through this program, you will help make college a reality for some of Norwalk's poorest youth.

Please contact me at 203-838-8471, extension 141, if you have any questions.

Sincerely,


Curtis O. Law
President and Director

The Norwalk Housing Foundation, Inc.
P.O. Box 508, 24½ Monroe Street, Norwalk, Connecticut 06856-0508
Phone 203-838-8471 ~ Fax 203-838-6535



Municipality: City of Norwalk

Form NAA-01
2014 Connecticut Neighborhood Assistance Act (NAA)
Program Proposal

Complete this form in blue or black ink only.

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: South Norwalk Community Center Inc.

Address: 98 South Main St., 1st Floor, Norwalk, CT 06854

Federal Employer Identification Number: 06-0872692

Program title: SoNoCC Early Childhood Center

Name of contact person: Marina Forero

Telephone number: (203) 295-3380

Email address: marina@sonocc.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 150,000.00

Credit percentage for which your organization is applying:

☐ 60% ☒ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for disabled persons;
☐ Program serving low-income persons;
☒ Energy conservation;
☐ Child care services;
☐ Open space acquisition fund; or
☐ Other: Specify _____

Part II — Program Information

Description of program: _____

Energy efficient building renovation to house Early Childhood Home Provider Job Training Program, ESL classes for adults in training, and Early Childhood Development Center. Serve 40 children in a new early childhood program in partnership with Norwalk Public Schools. Increase community awareness by holding 4 parent education forums in 1st year. Increase job opportunities for low-income persons by enrolling 30 individuals in an Early Childhood Home Provider training program.

Need for program: _____

- Awareness exists for the importance of early childhood to close the achievement gap.
- More children need early childhood than slots are available.
- Parents need more education on early childhood and their role in their child's development.
- Early childhood is not easily accessible or affordable to low-income families.
- Parents need job training, employment, and English language skills.

Neighborhood area to be served: _____

We are located in the heart of the poorest census tract of the City of Norwalk, tract 441.

Total number of recipients: 40 children per year, 30 adults per year

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: South Norwalk Community Center Inc.

Address: 98 South Main Street, 1st Floor, Norwalk CT 06854

Duties and responsibilities: Renovation of 1st floor (10,000 square feet) to accommodate early childhood center and home daycare job training program.

2. Name: Norwalk Public Schools

Address: 125 East Avenue, 3rd Floor, Norwalk, CT 06851

Duties and responsibilities: Early Childhood Center staff and management

Timetable:Program start date: September 2014Program completion date: June 2015

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving \$25,000 or more in NAA funding.

Month your annual accounting period ends: JuneMethod of accounting: ☐ Cash ☒ Accrual**Part III — Financial Information****Program Budget:**

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:NAA funds requested \$150,000.00

Other funding sources - itemized sources:

a) City of Norwalk - CDBG PY39 allocation \$100,000.00b) City of Norwalk - CDBG PY40 anticipated allocation \$100,000.00

c) _____

d) _____

Total Funding: \$350,000.00**Proposed Program Expenditures:**

Direct operating expenses - itemized description:

a) Building Renovation Expense \$400,000.00

b) _____

c) _____

d) _____

Administrative expenses:

Professional fund-raising fees _____

Accounting/legal & other expenses - itemized:

a) Accounting \$5,000.00b) Legal \$1,000.00c) Construction Project Management \$40,000.00

d) _____

Total Proposed Expenditures: \$446,000.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: _____ Norwalk Redevelopment Agency _____
Mailing address: _____ 125 East Avenue, room 202, Norwalk, CT 06851 _____
Name of municipal liaison: <u>Timothy Sheehan</u>
Telephone number: <u>(203) 854-7810 ext. 46783</u>
Fax number: <u>(203) 854-7734</u>
Email address: <u>tsheehan@norwalkct.org</u>

Post-Project Review Is a post-project review required for this proposal? ☒ Yes ☐ No If Yes, date post-project review due: <u>September 2015</u> Date
--

Information copy. Do not send to IRS.

Form **990-N**
Department of the Treasury
Internal Revenue Service

Electronic Notice (e-Postcard)
for Tax-Exempt Organizations not Required To File Form 990 or 990-EZ

OMB No. 1545-2085

2012

Open to Public Inspection

A For the 2012 calendar year, or tax year beginning 1/1/2012 and ending 12/31/2012.

B Check if applicable
☐ Terminated, Out of Business
☒ Gross receipts are normally \$50,000 or less

C Name of organization: SOUTH NORWALK COMMUNITY CENTER INC
d/b/a:
98 South Main Street
Norwalk, CT, US, 06854

D Employer Identification Number
06-0872692

E Website:
http://webmail.sonocc.org

F Name of Principal Officer: Warren A Pena
98 South Main Street
Norwalk, CT, US, 06854

Privacy Act and Paperwork Reduction Act Notice. We ask for the information on this form to carry out the Internal Revenue laws of the United States. You are required to give us the information. We need it to ensure that you are complying with these laws.

The organization is not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. The rules governing the confidentiality of the Form 990-N is covered in Code section 6104.

The time needed to complete and file this form and related schedules will vary depending on individual circumstances. The estimated average times is 15 minutes.

Note: This image is provided for your records only. Do NOT mail this page to the IRS. The IRS will not accept this filing via paper. You must file your Form 990-N (e-Postcard) electronically.

This Form 990-N (e-Postcard) was accepted by the IRS on 5/15/2013.



e-Postcard

file your electronic IRS Form 990-N

[Home](#)[Support](#)[Links](#)[Log Out](#)

Check Filing Status

SOUTH NORWALK COMMUNITY CENTER INC

06-0872692

2012 IRS Form 990-N (e-Postcard)

1/1/2012 - 12/31/2012

[Links](#)[View e-Postcard Image](#)[Control Panel](#)[View Form 990-N \(e-Postcard\)](#)

Current Status: Accepted

Congratulations, the IRS accepted your Form 990-N (e-Postcard).

Next Step: Your next e-Postcard will be due after 12/31/2013.

Delivery Status

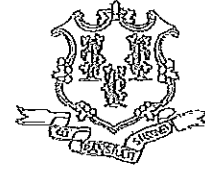
<u>No.</u>	<u>Filing</u>	<u>Delivery</u>	<u>Status</u>	<u>Postmark</u>
1	Form 990-N	E-file	Accepted on 5/15/2013	5/15/2013 5:51:28 PM

Questions or problems regarding this web site should be directed to [Tech Support](#)

Concerned about your privacy? Please view our [privacy](#) policy.

This website is best viewed with Microsoft Internet Explorer 5.5+ or Mozilla Firefox with a screen resolution of 1024 X 768.

Last modified: April 20, 2012.



Municipality: City of Norwalk

Form NAA-01
2014 Connecticut Neighborhood Assistance Act (NAA)
Program Proposal

Complete this form in blue or black ink only.

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: STAR, Inc., Lighting the Way...

Address: 182 Wolfpit Avenue, Norwalk, CT 06851

Federal Employer Identification Number: 06-0726489

Program title: STAR, Inc. Job Developer for Competitive Employment of People with Developmental Disabilities

Name of contact person: Peter C. Saverine

Telephone number: (203) 846-9581

Email address: psaverine@starinonline.com

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 40,000.00

Credit percentage for which your organization is applying:

☒ 60% ☐ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first** page of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ☐ Job training/education for unemployed persons aged 50 or over;
☒ Job training/education for disabled persons;
☐ Program serving low-income persons;
☐ Energy conservation;
☐ Child care services;
☐ Open space acquisition fund; or
☐ Other: Specify _____

Part II — Program Information

Description of program: _____

STAR, Inc. requests funds to retain a full time job developer position to continue to have effective employment outcomes for people in the disability community who seek self-sufficiency, benefits, self-esteem, and want to become contributing members to the local economic community. STAR serves over 600 people annually who have I/DD. 65 currently hold competitive employment and dozens of others are identified as having the potential for such placement with the proper supports and community advocates.

Need for program: _____

This is an ongoing project that requires funds to sustain and enhance the competitive employment program which benefits local people who have intellectual and developmental disabilities (I/DD)--100% of whom are below the poverty level. The program funds sought will allow STAR to retain the current job developer so STAR can continue to lead the state in finding employment matches for disabled in our community. The program will benefit scores of local individuals with I/DD who are capable of holding competitive employment.

Neighborhood area to be served: _____

Greater Norwalk community.

Total number of recipients: STAR serves over 600 clients per year and their families.

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Katie J. Banzhaf

Address: 182 Wolfpit Avenue, Norwalk, CT 06851

Duties and responsibilities: Executive Director of STAR, Inc., Lighting the Way...

2. Name: Kari Ryan and Peter Saverine

Address: 182 Wolfpit Avenue, Norwalk, CT 06851

Duties and responsibilities: Kari Ryan: Director of Day Services at STAR, Inc.
Peter Saverine: Director of Philanthropy at STAR, Inc.

Timetable:

Program start date: July 1, 2014

Program completion date: June 30, 2015

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving \$25,000 or more in NAA funding.

Month your annual accounting period ends: June

Method of accounting: ☒ Cash ☐ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	\$40,000.00
---------------------	-------------

Other funding sources - itemized sources:

a)

b) _____

c) _____

d) _____

Total Funding:

\$40,000.00

Proposed Program Expenditures:

Direct operating expenses - itemized description:

a) Personnel Services	\$40,000.00
-----------------------	-------------

b) _____

c)

d)

Administrative expenses:

Professional fund-raising fees	<u>\$0.00</u>
--------------------------------	---------------

Accounting/legal & other expenses - itemized:

a)	\$0.00
----	--------

b)

c)

d) _____

Total Proposed Expenditures:

\$40,000.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: _____

Norwalk Redevelopment Agency

Mailing address: _____

125 East Avenue, room 202, Norwalk, CT 06851

Name of municipal liaison: Timothy Sheehan

Telephone number: (203) 854-7810 ext. 46783

Fax number: (203) 854-7734

Email address: tsheehan@norwalkct.org

Post-Project Review

Is a post-project review required for this proposal?

☒ Yes

☐ No

If Yes, date post-project review due:

September 30, 2015

Date

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047

2011Open to Public
Inspection**A** For the 2011 calendar year, or tax year beginning **JUL 1, 2011** and ending **JUN 30, 2012****B** Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization**STAR, INC. - LIGHTING THE WAY**

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address)

182 WOLFPIET AVENUE

Room/suite

City or town, state or country, and ZIP + 4

NORWALK, CT 06851**F** Name and address of principal officer: **KATIE BANZHAF**
SAME AS C ABOVE**D** Employer identification number**06-0726489****E** Telephone number**203-846-9581****G** Gross receipts \$ **12,703,992.****H(a)** Is this a group return for affiliates? ☐ Yes ☒ No**H(b)** Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list. (see instructions)**H(c)** Group exemption number ▶**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: ▶ **WWW.STARINC-LIGHTINGTHEWAY.ORG****K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: **1954** **M** State of legal domicile: **CT****Part I Summary**

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: THE ORGANIZATION SERVES INDIVIDUALS OF ALL AGES WITH DEVELOPMENTAL DISABILITIES (SEE SCH O)		
	2 Check this box ☐ If the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	18
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	18
	5 Total number of individuals employed in calendar year 2011 (Part V, line 2a)	5	262
	6 Total number of volunteers (estimate if necessary)	6	587
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	650,374.	1,210,525.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	10,989,059.	11,125,983.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	431.	6,304.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	658,212.	266,330.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	12,298,076.	12,609,142.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	9,481,281.	9,768,450.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 213,115.	0.	0.
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	2,471,777.	2,495,109.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	11,953,058.	12,263,559.
Net Assets or Fund Balances	19 Revenue less expenses. Subtract line 18 from line 12	345,018.	345,583.
	20 Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21 Total liabilities (Part X, line 26)	3,607,180.	3,742,892.
	22 Net assets or fund balances. Subtract line 21 from line 20	2,121,104.	1,911,233.
		1,486,076.	1,831,659.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

Date

KATIE BANZHAF, EXECUTIVE DIRECTOR

Type or print name and title

Paid

Print/Type preparer's name

PAUL BALLASY

Preparer's signature

Date

Check if self-employed ☐

PTIN

P00852868**Preparer**Firm's name ▶ **COHNREZNICK LLP**Firm's EIN ▶ **22-1478099****Use Only**Firm's address ▶ **180 GLASTONBURY BOULEVARD**
GLASTONBURY, CT 06033Phone no. **(860) 633-3000**May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

132001 01-23-12

LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2011)**SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION**

Internal Revenue Service

Department of the Treasury

P. O. Box 2508
Cincinnati, OH 45201

Date: June 11, 2002

Person to Contact:

Judy Simonson 31-04016
Customer Service Specialist

Toll Free Telephone Number:

8:00 a.m. to 6:30 p.m. EST

877-829-5500

Fax Number:

513-263-3756

Federal Identification Number:

06-0726489

Accounting Period Ends:

June 30

Star Inc., Lighting the Way...
182 Wolfpit Avenue
Norwalk, CT 06851-3436

Dear Sir or Madam:

This is in response to the amendment to your organization's Articles of Incorporation filed with the state on June 19, 2000. We have updated our records to reflect the name change as indicated above.

In May 1954 we issued a determination letter that recognized your organization as exempt from federal income tax under section 101(6) of the Internal Revenue Code of 1939 (now section 501(c)(3) of the Internal Revenue Code of 1986). That determination letter is still in effect.

We classified your organization as a publicly supported organization, and not a private foundation, because it is described in sections 509(a)(1) and 170(b)(1)(A)(vi) of the Code. This classification was based on the assumption that your organization's operations would continue as stated in the application. If your organization's purposes, character, method of operations, or sources of support have changed, please let us know so we can consider the effect of the change on the organization's exempt status and foundation status.

Your organization is required to file Form 990, Return of Organization Exempt from Income Tax, only if its gross receipts each year are normally more than \$25,000. If a return is required, it must be filed by the 15th day of the fifth month after the end of the organization's annual accounting period. The law imposes a penalty of \$20 a day, up to a maximum of \$10,000, when a return is filed late, unless there is reasonable cause for the delay.

As of January 1, 1984, your organization is liable for taxes under the Federal Insurance Contributions Act (social security taxes) on remuneration of \$100 or more the organization pays to each of its employees during a calendar year. There is no liability for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, these organizations are not automatically exempt from other federal excise taxes. If you have any questions about excise, employment, or other federal taxes, please let us know.

Star Inc., Lighting the Way...
06-0726489

Donors may deduct contributions to your organization as provided in section 170 of the Code.

Bequests, legacies, devises, transfers, or gifts to your organization or for its use are deductible for federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

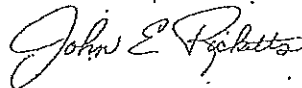
Your organization is not required to file federal income tax returns unless it is subject to the tax on unrelated business income under section 511 of the Code. If your organization is subject to this tax, it must file an income tax return on Form 990-T, Exempt Organization Business Income Tax Return. In this letter, we are not determining whether any of your organization's present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

The law requires you to make your organization's annual return available for public inspection without charge for three years after the due date of the return. If your organization had a copy of its application for recognition of exemption on July 15, 1987, it is also required to make available for public inspection a copy of the exemption application, any supporting documents and the exemption letter to any individual who requests such documents in person or in writing. You can charge only a reasonable fee for reproduction and actual postage costs for the copied materials. The law does not require you to provide copies of public inspection documents that are widely available, such as by posting them on the Internet (World Wide Web). You may be liable for a penalty of \$20 a day for each day you do not make these documents available for public inspection (up to a maximum of \$10,000 in the case of an annual return).

Because this letter could help resolve any questions about your organization's exempt status and foundation status, you should keep it with the permanent records of the organization.

If you have questions, please call us at the telephone number shown in the heading of this letter.

Sincerely,



John E. Ricketts, Director, TE/GE
Customer Account Services



Municipality: City of Norwalk

Form NAA-01
2014 Connecticut Neighborhood Assistance Act (NAA)
Program Proposal

Complete this form in blue or black ink only.

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: _____
STAR, Inc., Lighting the Way...

Address: 182 Wolfpit Avenue, Norwalk, CT 06851

Federal Employer Identification Number: 06-0726489

Program title: STAR, Inc. Energy-Saving Improvements

Name of contact person: Peter C. Saverine

Telephone number: (203) 846-9581

Email address: psaverine@starinonline.com

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 46,000.00

Credit percentage for which your organization is applying:

☐ 60% ☒ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes

☐ No

If **Yes**, attach a copy of the first page of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for disabled persons;
☐ Program serving low-income persons;
☒ Energy conservation;
☐ Child care services;
☐ Open space acquisition fund; or
☐ Other: Specify _____

Part II — Program Information

Description of program: _____

Program to improve the energy-savings of our aged infrastructure to comply with current safety and energy standards to effect cost savings that will enhance our client services and programs. Proposed program includes: Atrium window replacement in our Adult Day Service Program area, retrofitting light fixtures for LED bulbs, replacing 4 aged HVAC circulator pumps with energy saving models, install smart switches, vacancy sensors, and remote thermostats to three administrative buildings to conserve energy, buy generators

Need for program: _____

Grant will benefit about 100 individuals with intellectual and developmental disabilities who we serve daily, and over 600 annually (100% of whom fall under the poverty level), by improving the safety of all who occupy the building while rendering significant conservation of energy and related cost savings that can be redirected to sustaining and enhancing our client-focused programs. During this time of challenging budgets and uncertain funding from government, foundation, and private sources every dollar saved is impactful.

Neighborhood area to be served: _____

Three facilities located in Norwalk, East Norwalk and South Norwalk.

Total number of recipients: STAR serves over 600 clients per year and their families.

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Katie J. Banzhaf

Address: 182 Wolfpit Avenue, Norwalk, CT 06851

Duties and responsibilities: Executive Director of STAR, Inc., Lighting the Way...

2. Name: Bill Casale and Peter Saverine

Address: 182 Wolfpit Avenue, Norwalk, CT 06851

Duties and responsibilities: Bill Casale: Director of Operations and Facilities at STAR, Inc.
Peter Saverine: Director of Philanthropy at STAR, Inc.

Timetable:

Program start date: July 1, 2014

Program completion date: June 30, 2015

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving \$25,000 or more in NAA funding.

Month your annual accounting period ends: June

Method of accounting: ☒ Cash ☐ Accrual

Part III — Financial Information

Program Budgets:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	<u>\$46,000.00</u>
---------------------	--------------------

Other funding sources - itemized sources:

a) Norwalk Redevelopment Community Dev. Block Grant Appl	\$46,000.00
--	-------------

b) Norman Redevelopment Community, 2011 and 2012

c)

d) _____

Total Funding:	\$92,000.00
-----------------------	--------------------

Proposed Program Expenditures:

Direct operating expenses - itemized description:

a) general supplies	\$5,000.00
---------------------	------------

b) subcontractors	<u>\$87,000.00</u>
-------------------	--------------------

c)

d) _____

Administrative expenses:

Professional fund-raising fees	<u>\$0.00</u>
--------------------------------	---------------

Accounting/legal & other expenses - itemized:

a) \$0.00

b) _____

c) _____

d)

Total Proposed Expenditures: \$92,000.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: _____ Norwalk Redevelopment Agency _____
Mailing address: _____ 125 East Avenue, room 202, Norwalk, CT 06851 _____
Name of municipal liaison: Timothy Sheehan _____
Telephone number: (203) 854-7810 ext. 46783 _____
Fax number: (203) 854-7734 _____
Email address: tsheehan@norwalkct.org _____

Post-Project Review Is a post-project review required for this proposal? ☒ Yes ☐ No If Yes, date post-project review due: September 30, 2015 _____ Date
--

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047

2011**Open to Public Inspection****A** For the 2011 calendar year, or tax year beginning **JUL 1, 2011** and ending **JUN 30, 2012**

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	C Name of organization STAR, INC. - LIGHTING THE WAY		D Employer identification number 06-0726489
	Doing Business As		E Telephone number 203-846-9581
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	G Gross receipts \$ 12,703,992.
	182 WOLFPIT AVENUE		H(a) Is this a group return for affiliates? ☐ Yes ☒ No
	City or town, state or country, and ZIP + 4 NORWALK, CT 06851		H(b) Are all affiliates included? ☐ Yes ☐ No If "No," attach a list. (see instructions)
F Name and address of principal officer: KATIE BANZHAF SAME AS C ABOVE			H(c) Group exemption number ▶
I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527			
J Website: ▶ WWW.STARINC-LIGHTINGTHEWAY.ORG			
K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶			L Year of formation: 1954 M State of legal domicile: CT

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: THE ORGANIZATION SERVES INDIVIDUALS OF ALL AGES WITH DEVELOPMENTAL DISABILITIES(SEE SCH O)		
	2 Check this box ☐ If the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	18
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	18
	5 Total number of individuals employed in calendar year 2011 (Part V, line 2a)	5	262
	6 Total number of volunteers (estimate if necessary)	6	587
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	650,374.	1,210,525.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	10,989,059.	11,125,983.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	431.	6,304.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	658,212.	266,330.
	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	12,298,076.	12,609,142.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	9,481,281.	9,768,450.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 213,115.	0.	0.
Expenses	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	2,471,777.	2,495,109.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	11,953,058.	12,263,559.
	19 Revenue less expenses. Subtract line 18 from line 12	345,018.	345,583.
	20 Total assets (Part X, line 16)	Beginning of Current Year	End of Year
Net Assets or Fund Balances	21 Total liabilities (Part X, line 26)	3,607,180.	3,742,892.
	22 Net assets or fund balances. Subtract line 21 from line 20	2,121,104.	1,911,233.
		1,486,076.	1,831,659.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer KATIE BANZHAF, EXECUTIVE DIRECTOR		Date
	Type or print name and title		
Paid Preparer	Print/Type preparer's name PAUL BALLASY	Preparer's signature	Date
Preparer Use Only	Firm's name ▶ COHNREZNICK LLP	Firm's EIN ▶ 22-1478099	PTIN P00852868
	Firm's address ▶ 180 GLASTONBURY BOULEVARD	Phone no. (860) 633-3000	
	GLASTONBURY, CT 06033		

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

Internal Revenue Service

Department of the Treasury

P. O. Box 2508
Cincinnati, OH 45201

Date: June 11, 2002

Person to Contact:

Judy Simonson 31-04016
Customer Service Specialist

Toll Free Telephone Number:

8:00 a.m. to 6:30 p.m. EST
877-829-5500

Fax Number:

513-263-3756

Federal Identification Number:

06-0726489

Accounting Period Ends:

June 30

Star Inc., Lighting the Way...
182 Wolfpit Avenue
Norwalk, CT 06851-3436

Dear Sir or Madam:

This is in response to the amendment to your organization's Articles of Incorporation filed with the state on June 19, 2000. We have updated our records to reflect the name change as indicated above.

In May 1954 we issued a determination letter that recognized your organization as exempt from federal income tax under section 101(6) of the Internal Revenue Code of 1939 (now section 501(c)(3) of the Internal Revenue Code of 1986). That determination letter is still in effect.

We classified your organization as a publicly supported organization, and not a private foundation, because it is described in sections 509(a)(1) and 170(b)(1)(A)(vi) of the Code. This classification was based on the assumption that your organization's operations would continue as stated in the application. If your organization's purposes, character, method of operations, or sources of support have changed, please let us know so we can consider the effect of the change on the organization's exempt status and foundation status.

Your organization is required to file Form 990, Return of Organization Exempt from Income Tax, only if its gross receipts each year are normally more than \$25,000. If a return is required, it must be filed by the 15th day of the fifth month after the end of the organization's annual accounting period. The law imposes a penalty of \$20 a day, up to a maximum of \$10,000, when a return is filed late, unless there is reasonable cause for the delay.

As of January 1, 1984, your organization is liable for taxes under the Federal Insurance Contributions Act (social security taxes) on remuneration of \$100 or more the organization pays to each of its employees during a calendar year. There is no liability for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, these organizations are not automatically exempt from other federal excise taxes. If you have any questions about excise, employment, or other federal taxes, please let us know.

Star Inc., Lighting the Way...
06-0726489

Donors may deduct contributions to your organization as provided in section 170 of the Code.

Bequests, legacies, devises, transfers, or gifts to your organization or for its use are deductible for federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

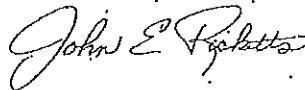
Your organization is not required to file federal income tax returns unless it is subject to the tax on unrelated business income under section 511 of the Code. If your organization is subject to this tax, it must file an income tax return on Form 990-T, Exempt Organization Business Income Tax Return. In this letter, we are not determining whether any of your organization's present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

The law requires you to make your organization's annual return available for public inspection without charge for three years after the due date of the return. If your organization had a copy of its application for recognition of exemption on July 15, 1987, it is also required to make available for public inspection a copy of the exemption application, any supporting documents and the exemption letter to any individual who requests such documents in person or in writing. You can charge only a reasonable fee for reproduction and actual postage costs for the copied materials. The law does not require you to provide copies of public inspection documents that are widely available, such as by posting them on the Internet (World Wide Web). You may be liable for a penalty of \$20 a day for each day you do not make these documents available for public inspection (up to a maximum of \$10,000 in the case of an annual return).

Because this letter could help resolve any questions about your organization's exempt status and foundation status, you should keep it with the permanent records of the organization.

If you have questions, please call us at the telephone number shown in the heading of this letter.

Sincerely,



John E. Ricketts, Director, TE/GE
Customer Account Services



Municipality: City of Norwalk

Form NAA-01
2014 Connecticut Neighborhood Assistance Act (NAA)
Program Proposal

Complete this form in blue or black ink only.

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: Carver Foundation of Norwalk, Inc.

Address: 7 Academy Street | Norwalk, CT 06850

Federal Employer Identification Number: 06-0862072

Program title: Summer Programs

Name of contact person: Novelette Peterkin

Telephone number: 203 838 4305

Email address: Novelette@carvercenterct.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 150,000

Credit percentage for which your organization is applying:

 ✓ 60% 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes

☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for disabled persons;
☒ Program serving low-income persons;
☐ Energy conservation;
☐ Child care services;
☐ Open space acquisition fund; or
☐ Other: Specify _____

Part II — Program Information

Description of program: Summer Camp (178 students) and Summer Transition Programs (200 students)
Affordable 6-week summer program at The Carver Community Center for 178 students ages 5 through 13 years. Program includes academic, enrichment and recreation and wellness. Program is licensed by the CT Department of Public Health.
Free 5week summer transition programs at both Norwalk High School and Brien McMahon High (100 students at each) for transitioning eighth graders for the feeder middle schools.

Need for program: 47% of Norwalk Public School students qualify for free or reduce lunch and many are behind.

Neighborhood area to be served: Entire City of Norwalk

Total number of recipients: 375 students

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Brian Allert Deputy Director Carver Foundation of Norwalk

Address: 7 Academy Street | Norwalk, CT 06850

Duties and responsibilities: Providing oversight as Summer Camp Director for the Carver Center Site.

2. Name: Rebecca Pavia (Brien McMahon) Maureen Ireland (Norwalk High)

Address: Brien McMahon High 300 Highland Avenue | Norwalk, CT 06850
Norwalk High 21 Calvin Murphy Drive | Norwalk, CT 06850

Duties and responsibilities: Provide oversight for the Summer Transition Program

Timetable:Program start date: June 30, 2014Program completion date: August 8, 2014

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving \$25,000 or more in NAA funding.

Month your annual accounting period ends: June 30thMethod of accounting: ☒ Cash ☐ Accrual**Part III — Financial Information****Program Budget:**

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	\$150,000
Other funding sources - itemized sources:	
a) City of Norwalk	\$57,250
b) Nellie Mae Foundation	\$95,120
c) BeFoundation (\$30K) Norwalk Children's Foundation (\$30K)	\$60,000
d) Fairfield County Community Foundation (\$35K) Fees (\$42K)	\$77,000
	\$237,845

Total Funding:**Proposed Program Expenditures:**

Direct operating expenses - itemized description:	
a) Salaries and Benefits	\$247,522
b) Program Activities	\$45,400
c) Supplies	\$67,708
d) Transportation	\$29,370
Administrative expenses:	
Professional fund-raising fees	
Accounting/legal & other expenses - itemized:	
a) Insurance	\$4,000
b)	
c)	
d)	

Total Proposed Expenditures:\$394,000

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: _____
Norwalk Redevelopment Agency

Mailing address: _____
125 East Avenue, room 202, Norwalk, CT 06851

Name of municipal liaison: _____
Timothy Sheehan

Telephone number: _____
(203) 854-7810 ext. 46783

Fax number: _____
(203) 854-7734

Email address: _____
tsheehan@norwalkct.org

Post-Project Review

Is a post-project review required for this proposal?

☒ Yes

☐ No

If Yes, date post-project review due:
11/8/14

Date

Form 990

OMB No. 1545-0047

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)

2012

Open to Public
InspectionDepartment of the Treasury
Internal Revenue Service

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2012 calendar year, or tax year beginning 7/01, 2012, and ending 6/30, 2013	
B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	C THE CARVER FOUNDATION OF NORWALK, INC. 7 ACADEMY STREET NORWALK, CT 06850
D Employer Identification Number 06-0862072	E Telephone number (203) 838-4305
G Gross receipts \$ 2,716,138.	
F Name and address of principal officer: JANIS CURTIS SAME AS C ABOVE	
H(a) Is this a group return for affiliates? ☐ Yes ☒ No H(b) Are all affiliates included? ☐ Yes ☒ No If "No," attach a list. (see instructions)	
I Tax-exempt status ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527	
J Website: WWW.CARVERCENTERCT.ORG	
K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶ L Year of formation: 1938 M State of legal domicile: CT	

Part I Summary	
Activities & Governance	1 Briefly describe the organization's mission or most significant activities: <u>TO OPERATE A COMMUNITY CENTER ENCOMPASSING: AFTER-SCHOOL PROGRAMS, YOUTH DEVELOPMENT, ADULT EDUCATION AND ACTIVITIES, PHYSICAL EDUCATION AND REFERRAL SERVICES IN AN EFFORT TO COMBAT ILLITERACY AND UNREALIZED HUMAN POTENTIAL.</u>
	2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.
	3 Number of voting members of the governing body (Part VI, line 1a) 3 13
	4 Number of independent voting members of the governing body (Part VI, line 1b) 4 12
	5 Total number of individuals employed in calendar year 2012 (Part VII, line 1a) 5 152
	6 Total number of volunteers (estimate if necessary) 6 1,000
	7a Total unrelated business revenue from Part VIII, column (C), line 12 7a 0.
7b Net unrelated business taxable income from Form 990-T, line 34 7b 0.	
Revenue	8 Contributions and grants (Part VIII, line 1h) 2,250,911. 2,519,775.
	9 Program service revenue (Part VIII, line 2g) 137,286. 147,520.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 147. 93.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 6,246. 4,741.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 2,394,590. 2,672,129.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3) 40,855. 43,656.
	14 Benefits paid to or for members (Part IX, column (A), line 4)
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 1,585,055. 1,680,190.
	16a Professional fundraising fees (Part IX, column (A), line 11e)
	b Total fundraising expenses (Part IX, column (D), line 25) 209,413.
Not Assets or Fund Balance	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 738,521. 940,219.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 2,364,431. 2,664,065.
	19 Revenue less expenses. Subtract line 18 from line 12 30,159. 8,064.
	20 Total assets (Part X, line 16) Beginning of Current Year 777,832. End of Year 914,025.
	21 Total liabilities (Part X, line 26) 141,196. 269,180.
22 Net assets or fund balances. Subtract line 21 from line 20. 636,636. 644,845.	

Part II Signature Block	
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.	
Sign Here	Signature of officer <u>[Signature]</u> Date 11/8/13 PRESIDENT
Paid Preparer Use Only	Print/Type preparer's name ROBERT J BAILEY, CPA Preparer's signature <u>[Signature]</u> Date 11/1/13 Check ☐ if PTIN P00080579 Firm's name HOPE & HERNANDEZ, P.C. Firm's EIN 06-0993320 Firm's address 2600 MAIN STREET BRIDGEPORT, CT 06606 Phone no. 203-366-5092

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

BAA For Paperwork Reduction Act Notice, see the separate instructions. TEEA0113L 12/18/12 Form 990 (2012)



Municipality: City of Norwalk

Form NAA-01
2014 Connecticut Neighborhood Assistance Act (NAA)
Program Proposal

Complete this form in blue or black ink only.

This form must be completed and submitted to your municipality for approval. All items must be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached Instructions before completing. Do not submit this form directly to the Department of Revenue Services.

Part I — General Information

Name of tax exempt organization/municipal agency: _____
The Human Services Council, Inc.

Address: One Park Street, Norwalk, CT 06851

Federal Employer Identification Number: 06-6102160

Program title: Energy Efficiency Project at One Park Street Building

Name of contact person: Anthony DiLauro, Executive Director

Telephone number: (203) 849-1111

Email address: adilauro@hscct.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 70,950.00

Credit percentage for which your organization is applying:

 ☐ 60% ☒ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes ☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ☐ Job training/education for unemployed persons aged 50 or over;
☐ Job training/education for disabled persons;
☐ Program serving low-income persons;
☒ Energy conservation;
☐ Child care services;
☐ Open space acquisition fund; or
☐ Other: Specify _____

Part II — Program Information

Description of program: _____

Energy conservation upgrades to 1 Park Street building as follows: new vinyl siding, installation of vapor barrier over exposed sheathing and 1/2 inch rigid insulation on entire building. Installation/application of painted coil, waterproof sealant, and soffit panel. Additional details on attached estimate from Fairfield County Restoration.

Need for program: _____

Upgrades will result in decrease of energy costs and use.

Neighborhood area to be served: _____

Building at One Park Street, Norwalk (corner of Park and East Wall Streets)

Total number of recipients: Beneficiaries/recipients will be tenants/occupants of One Park Street

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program.
Use additional sheets if necessary.

1. Name: Neal Esposito, CFO

Address: Human Services Council, 1 Park Street, Norwalk, CT 06851

Duties and responsibilities: Oversee implementation of project and work done by contractors

2. Name: Anthony DiLauro, Executive Director

Address: Human Services Council, 1 Park Street, Norwalk, CT 06851

Duties and responsibilities: Oversee implementation of project and work done by contractors

Timetable:

Program start date: October 2014

Program completion date: October 31 2015

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving \$25,000 or more in NAA funding.

Month your annual accounting period ends: June

Method of accounting: ☐ Cash ☒ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested \$70,950.00

Other funding sources - Itemized sources:

- a) _____
- b) _____
- c) _____
- d) _____

Total Funding:

Proposed Program Expenditures:

Direct operating expenses - Itemized description:

- a) N/A as project funded solely by donors _____
- b) _____
- c) _____
- d) _____

Administrative expenses:

Professional fund-raising fees _____

Accounting/legal & other expenses - Itemized:

- a) N/A as project funded solely by donors _____
- b) _____
- c) _____
- d) _____

Total Proposed Expenditures:

\$0.00

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: _____ Norwalk Redevelopment Agency _____
Mailing address: _____ 125 East Avenue, room 202, Norwalk, CT 06851 _____
Name of municipal liaison: <u>Timothy Sheehan</u>
Telephone number: <u>(203) 854-7810 ext. 46783</u>
Fax number: <u>(203) 854-7734</u>
Email address: <u>tsheehan@norwalkct.org</u>

Post-Project Review Is a post-project review required for this proposal? ☒ Yes ☐ No If Yes, date post-project review due: <u>January 31, 2016</u> Date

Form 990

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047

2012

Open to Public Inspection

A For the 2012 calendar year, or tax year beginning JUL 1, 2012 and ending JUN 30, 2013

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Termination ☐ Amended return ☐ Application pending	C Name of organization HUMAN SERVICES COUNCIL, INC		D Employer identification number 06-6102160
	Doing Business As		E Telephone number 203-849-1111
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	G Gross receipts \$ 2,507,720.
	1 PARK STREET		H(a) Is this a group return for affiliates? ☐ Yes ☒ No
	City, town, or post office, state, and ZIP code NORWALK, CT 06851		H(b) Are all affiliates included? ☐ Yes ☐ No If "No," attach a list. (see instructions)
F Name and address of principal officer: ANTHONY DILAURO ONE PARK STREET, NORWALK, CT 06851			H(c) Group exemption number ▶
I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c)() (Insert no.) ☐ 4947(a)(1) or ☐ 527			
J Website: ▶ WWW.HSCCT.ORG			
K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶			L Year of formation: 1944 M State of legal domicile: CT

Part I Summary				
Activities & Governance	1 Briefly describe the organization's mission or most significant activities: THE ORGANIZATION HELPS THE COMMUNITY RECOGNIZE AND UNDERSTAND ITS HUMAN SERVICES NEEDS,			
	2 Check this box ☐ If the organization discontinued its operations or disposed of more than 25% of its net assets.			
	3 Number of voting members of the governing body (Part VI, line 1a)	3	26	
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	26	
	5 Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	23	
	6 Total number of volunteers (estimate if necessary)	6	75	
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.	
7b Total unrelated business taxable income from Form 990-T, line 34			7b	0.
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year 913,088.	Current Year 1,675,363.	
	9 Program service revenue (Part VIII, line 2g)	294,498.	688,339.	
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	119.	18.	
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	102,983.	122,023.	
	12 Total revenue. Add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,310,688.	2,485,743.	
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.	
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.	
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	948,582.	1,015,622.	
	16a Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.	
	16b Total fundraising expenses (Part IX, column (D), line 25) ▶ 33,146.	411,300.	757,651.	
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	1,359,882.	1,773,273.		
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	-49,194.	712,470.		
19 Revenue less expenses. Subtract line 18 from line 12				
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year 1,797,661.	End of Year 3,908,414.	
	21 Total liabilities (Part X, line 26)	1,667,652.	3,065,935.	
	22 Net assets or fund balances. Subtract line 21 from line 20	130,009.	842,479.	

Part II Signature Block			
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.			
Sign Here	Signature of officer ANTHONY DILAURO, EXECUTIVE DIRECTOR		Date
	Type or print name and title		
Paid	Print/Type preparer's name STEVE C. ERICKSON	Preparer's signature	Date
Preparer Use Only	Firm's name ▶ WHITTLESEY & HADLEY, P.C.	Firm's EIN ▶ 06-0903326	Check ☐ PTIN P00544148 if self-employed
	Firm's address ▶ 147 CHARTER OAK AVE. HARTFORD, CT 06106-5100	Phone no. 860-522-3111	

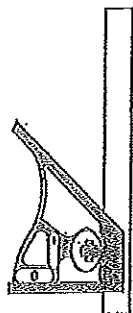
May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

232001 12-10-12

LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2012)

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION



FAIRFIELD COUNTY RESTORATION

September 26, 2013

HSC
Attn.: Neal Esposito
1 Park Street
Norwalk, CT

RE: New Vinyl Siding on Trim Replacement

Dear Mr. Esposito:

We are pleased to submit our contract for work to be performed as follows at the above referenced property.

1. Remove all existing siding from entire home, down to existing sheathing.
2. Install vapor barrier over exposed sheathing and ½-inch rigid insulation on entire home.
3. Cover all wood trim, windows and doors with painted coil). Apply OSI waterproof sealant on all edges of trim to seal out moisture.
4. Install Invisivent soffit panel on all soffits.
5. Install Monogram Double 4 by Certainteed vinyl siding and j-channel and corner posts on entire home.

The Contractor agrees to perform the duties described herein in a timely and orderly manner. The Contractor will address any issues or questions required by the owners and will keep the owners informed of each phase of this project. If premises are occupied by the owners during construction phases, Contractor will, to the best of their ability, keep the premises as clean and safe as reasonably possible during construction. Normal construction will commence after 7:00 a.m. and conclude before 6:00 p.m. Monday thru Friday. Weekend and extended hours will be permitted by advance approval from the owners.

Debris Removal- A dumpster or suitable container shall be provided onsite for all discarded construction debris. The dumpster is to be set on plywood or some other suitable surface in order to minimize any property damage. The site shall be kept as clean and safe as possible at all times. Upon the completion of said work, the Contractor agrees to remove all excess debris and container from premises.

The Owner is solely responsible for providing Contractor, prior to the start of construction, with such water and electricity at the job site that may be required to effectively complete the construction work as described herein. Owner is required to provide Contractor with toilet facilities either within the structure or on the demised premises by providing a chemical toilet for contractors use during construction. Owner is responsible for any snow removal that may impair or delay the progress of work or reimburse Contractor in full for any expenses incurred should it become necessary for Contractor to perform such work.

Contractor shall be responsible for obtaining building permits. (Fees to be paid by owner)

In consideration of the covenants and agreements hereof being substantially performed, and kept by contractor, including the supplying of all labor, materials and services required by this contract, and the construction and completion of the structure, Owner agrees to pay the Contractor the sum of: \$70,950.00

Terms of Contract: 40% (\$28,380.00) upon signing, 40% (\$28,380.00) midway, and 20% (\$14,190.00) upon completion.

A 1.5% per month service charge will be assessed for all payments not made within ten days of billing. The Owner shall pay all cost and attorney fees for collecting any past due amounts, or by any other reason of default herein.

Owner may cancel this transaction, without penalty or obligation, within three business days from the date contract is signed by both parties.

If owner cancels, any property traded in, any payments made by you under the contract or sale, and any negotiable instrument executed by you will be returned within ten business days following receipt by the seller of your cancellation notice, and security interest arising out of this transaction will be cancelled.

The foregoing is in compliance with Section 20-429 and 42-135a of the Connecticut General Statutes.

To cancel this transaction, mail or deliver a signed and dated copy of this cancellation notice or any other written notice, or send a telegram to Fairfield County Restoration, Inc., 15 Strawberry Hill Avenue, Norwalk, CT 06855 no later than the end of the third business day after the date of this transaction.

The terms and conditions of this contract is hereby agreed and understood by the parties signed hereto:

BY _____
FAIRFIELD COUNTY RESTORATION, INC.
Jack Marchese

DATE

BY _____
OWNER

DATE

We thank you for this opportunity and trust that Fairfield County Restoration may be of service to you.

Jack Marchese
Project Manager

Please sign, date and return one copy of this contract with payment due. By signing above you acknowledge the scope of work above and the terms stated on the rear of this document. Work to begin _____ and be completed by _____, contingent upon weather conditions. Before signing this contract, please contact our office for scheduling dates. Please initial to authorize all additional work. Thank you.



Municipality: Norwalk

Form NAA-01
2014 Connecticut Neighborhood Assistance Act (NAA)
Program Proposal

Complete this form in blue or black ink only.

This form **must** be completed and submitted to your municipality for approval. All items **must** be completed with as much detail as possible. If additional space is needed, attach additional sheets. Please type or print clearly. See attached instructions before completing. **Do not submit this form directly to the Department of Revenue Services.**

Part I — General Information

Name of tax exempt organization/municipal agency: _____
The Maritime Aquarium at Norwalk

Address: 10 North Water Street, Norwalk , CT 06854

Federal Employer Identification Number: 06-1062912

Program title: Hybrid Diesel Electric System

Name of contact person: Jennifer Herring

Telephone number: (203) 852-0700

Email address: jherring@maritimeaquarium.org

Total NAA funding requested (\$250 minimum, \$150,000 maximum): \$ 150,000.00

Credit percentage for which your organization is applying:

☐ 60% ☒ 100% (Energy conservation programs only)

Is your organization required to file federal Form 990 or 990EZ, Return of Organization Exempt from Income Tax?

☒ Yes

☐ No

If **Yes**, attach a copy of the **first page** of your most recent return.

If **No**, attach a copy of your determination letter from the U.S. Treasury Department, Internal Revenue Service.

Please check the appropriate description of your program:

- ☐ Job training/education for unemployed persons aged 50 or over;
- ☐ Job training/education for disabled persons;
- ☐ Program serving low-income persons;
- ☒ Energy conservation;
- ☐ Child care services;
- ☐ Open space acquisition fund; or
- ☐ Other: Specify _____

Part II — Program Information

Description of program: _____

TMA's new research vessel will be one of the nation's first vessels to showcase green technologies in a public venue receiving roughly 400,000 visitors per year. The vessel, named, R/V Spirit of the Sound™, will be a catamaran research vessel and classroom, thus requiring 2 generators, motors, propulsion control systems, etc. The vessel will be powered by electricity. A dockside electric hookup will power two large lithium battery packs. Two small twin diesel generators will be used to recharge batteries if necessary while underway.

Need for program: _____

TMA's cruise programs provide a way for young people to gain critical thinking as well as practical skills relevant to careers in marine science and maritime industries. Our current boat is 30 years old with limited capacity for groups beyond 25. This limitation greatly constrains the number of school groups sign up for cruises. The vision for a new vessel has its origins in TMA's strategic plan, which sets long-term educational objectives, as well as improved approaches to stewardship of marine ecosystems.

Neighborhood area to be served: _____

Norwalk

Total number of recipients: 10,000

Administration of Program:

Identify every person or organization involved in the implementation and administration of the program. Use additional sheets if necessary.

1. Name: Bob Kunkel4 Norman

Address: 4 Norman Avenue, Norwalk, CT 06855

Duties and responsibilities: Project Manager

2. Name: Jennifer Herring

Address: 10 North Water Street, Norwalk, CT 06854

Duties and responsibilities: Fund Raising and Project Oversight

Timetable:

Program start date: 1/1/14

Program completion date: 7/31/14

The program completion date must not be more than two years from the program start date. A certified post-project review is due to the municipality overseeing implementation no later than three months after program completion date for all projects receiving \$25,000 or more in NAA funding.

Month your annual accounting period ends: June

Method of accounting: ☐ Cash ☒ Accrual

Part III — Financial Information

Program Budget:

Complete in full. Expenditures must equal or exceed total funding.

Sources of Revenue:

NAA funds requested	<u>\$150,000.00</u>
---------------------	---------------------

Other funding sources - itemized sources:

a) Heidenreich Family Foundation	\$100,000.00
	<u>\$126,000.00</u>

b) TK Foundation	\$126,000.00
	<u>\$100,000.00</u>

c) Andreas Halvorsen	\$100,000.00
	\$60,000.00

d) Barker Family	\$80,000.00
------------------	-------------

ending: \$536,618.00

Total Funding:

Proposed Program Expenditures:

Direct operating expenses - itemized description:

a) Hybrid Marine ISG Generator 200 KWH (2)

b) Traction Motors 200KW (2)

c) Power Transformers (2)	\$526 618.00
---------------------------	--------------

d) Energy Storage System 45 KWH (2)	\$536,618.00
-------------------------------------	--------------

Administrative expenses:

Administrative expenses:

Professional fund-raising fees _____

Accounting/legal & other expenses - itemized:

a) _____

b) _____

c) _____

d)

Total Proposed Expenditures:

Part IV — Municipal Information

To be completed by the municipal agency overseeing implementation of the program

Name of municipal agency overseeing implementation of the program: _____
Norwalk redevelopment Agency

Mailing address: _____
125 East Avenue, Room 202, Norwalk, CT 06851

Name of municipal liaison: Timothy Sheehan

Telephone number: (203) 854-7810 ext. 46783

Fax number: (203) 854-7734

Email address: tsheehan@norwalkct.org

Post-Project Review

Is a post-project review required for this proposal?

☒ Yes

☐ No

If Yes, date post-project review due:

10/31/2014

Date

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung
benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047

2012Open to Public
Inspection**A** For the 2012 calendar year, or tax year beginning **JUL 1, 2012** and ending **JUN 30, 2013**

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	C Name of organization THE MARITIME AQUARIUM AT NORWALK, INC.		D Employer identification number 06-1062912
	Doing Business As		E Telephone number (203) 852-0700
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	G Gross receipts \$ 11,074,827.
	10 NORTH WATER STREET		H(a) Is this a group return for affiliates? ☐ Yes ☒ No
	City, town, or post office, state, and ZIP code SOUTH NORWALK, CT 06854		H(b) Are all affiliates included? ☐ Yes ☐ No If "No," attach a list. (see instructions)
F Name and address of principal officer: ROY A. DOWDELL SAME AS C ABOVE			H(c) Group exemption number ▶
I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527			
J Website: ▶ WWW.MARITIMEAQUARIUM.ORG			
K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶		L Year of formation: 1985 M State of legal domicile: CT	

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: THE MARITIME AQUARIUM INSPIRES PEOPLE OF ALL AGES TO APPRECIATE LONG ISLAND SOUND AND PROTECT IT		
	2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	26
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	26
	5 Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	166
	6 Total number of volunteers (estimate if necessary)	6	228
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year 3,712,978.	Current Year 3,305,229.
	9 Program service revenue (Part VIII, line 2g)	5,375,512.	6,001,287.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	37,995.	11,743.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,295,107.	1,068,652.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	10,421,592.	10,386,911.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	0.	0.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	4,153,431.	4,206,337.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	6,407.	6,050.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 550,332.		
Net Assets or Fund Balances	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	5,606,821.	6,130,713.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	9,766,659.	10,343,100.
	19 Revenue less expenses. Subtract line 18 from line 12	654,933.	43,811.
	20 Total assets (Part X, line 16)	Beginning of Current Year 18,468,007.	End of Year 18,191,972.
	21 Total liabilities (Part X, line 26)	1,732,537.	1,408,244.
	22 Net assets or fund balances. Subtract line 21 from line 20	16,735,470.	16,783,728.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer ROY A. DOWDELL, CFO		Date
	Type or print name and title		
Paid Preparer Use Only	Print/Type preparer's name DOUGLAS FARRINGTON	Preparer's signature	Date
	Firm's name ▶ MARCUM LLP	Firm's EIN ▶ 11-1986323	Check if self-employed ☐ PTIN P00370668
	Firm's address ▶ 555 LONG WHARF DRIVE NEW HAVEN, CT 06511	Phone no. 203-777-1099	

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung
benefit trust or private foundation)

OMB No. 1545-0047

2011Open to Public
Inspection

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2011 calendar year, or tax year beginning **JUL 1, 2011** and ending **JUN 30, 2012**

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	C Name of organization THE MARITIME AQUARIUM AT NORWALK, INC.		D Employer identification number 06-1062912
	Doing Business As		E Telephone number (203) 852-0700
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	G Gross receipts \$ 11,144,457.
	10 NORTH WATER STREET		H(a) Is this a group return for affiliates? ☐ Yes ☒ No
	City or town, state or country, and ZIP + 4 SOUTH NORWALK, CT 06854		H(b) Are all affiliates included? ☐ Yes ☐ No If "No," attach a list. (see instructions)
F Name and address of principal officer: ROY A. DOWDELL SAME AS C ABOVE			H(c) Group exemption number ▶
I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527			
J Website: ▶ WWW.MARITIMEAQUARIUM.ORG			
K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶			L Year of formation: 1985 M State of legal domicile: CT

Part I Summary			
Activities & Governance	1 Briefly describe the organization's mission or most significant activities: THE MARITIME AQUARIUM INSPIRES PEOPLE OF ALL AGES TO APPRECIATE LONG ISLAND SOUND AND PROTECT IT		
	2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	32
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	32
	5 Total number of individuals employed in calendar year 2011 (Part V, line 2a)	5	173
	6 Total number of volunteers (estimate if necessary)	6	220
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
7b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	4,336,365.	3,712,978.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	5,516,475.	5,375,512.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	45,226.	37,995.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,137,753.	1,295,107.
	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	11,035,819.	10,421,592.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
Expenses	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	3,962,605.	4,153,431.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 533,730.	22,897.	6,407.
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	6,327,552.	5,606,821.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	10,313,054.	9,766,659.
	19 Revenue less expenses. Subtract line 18 from line 12	722,765.	654,933.
	Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Current Year
21 Total liabilities (Part X, line 26)		17,741,420.	18,468,007.
22 Net assets or fund balances. Subtract line 21 from line 20		1,706,719.	1,732,537.
16,034,701. 16,735,470.			

Part II Signature Block			
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.			
Sign Here	Signature of officer		Date
	ROY A. DOWDELL, CFO Type or print name and title		
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date
	DOUGLAS FARRINGTON		
Preparer	Firm's name ▶ MARCUM LLP	Firm's EIN ▶ 11-1986323	Check if self-employed ☐ PTIN P00370668
	Firm's address ▶ 555 LONG WHARF DRIVE NEW HAVEN, CT 06511	Phone no. 203-777-1099	

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

132001 01-23-12 LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2011)

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

**THE PLANNING COMMITTEE
OF THE COMMON COUNCIL**

125 East Avenue
P.O. Box 5125
Norwalk, CT 06856

TO: MEMBERS, PLANNING COMMITTEE OF THE COMMON COUNCIL
FROM: TAMI STRAUSS, DIRECTOR OF COMMUNITY DEVELOPMENT PLANNING
RE: CDBG PROGRAM YEAR 40 ANNUAL ACTION PLAN
DATE: APRIL 24, 2014

A public hearing of the Planning Committee will be held at 6:30pm on May 1, 2014 to offer the public the opportunity to comment in-person on the draft Annual Action Plan for CDBG Program Year 40. The draft Annual Action Plan can be found at:

<http://norwalkct.org/index.aspx?NID=652>

The Annual Action Plan outlines anticipated available resources and recommended funding allocations for the program year beginning July 1, 2014 and ending June 30, 2015. The current recommended allocations can be found on page 2 of this memo.

The public comment period for the Annual Action plan runs from April 4, 2014 – May 4, 2014. A summary of written comments to date can be found in Attachment A to this memo.

Should written public comment be received prior to May 4th, CDBG staff will forward this comment for the Planning Committee's review and for consideration as to the necessity of scheduling a special meeting of the Planning Committee ahead of the Common Council's review and prospective approval of the draft Annual Action Plan on May 13, 2014.

The Annual Action Plan is due to HUD on May 15, 2014.

REQUESTED ACTIONS:

- Consider public comment on the draft Annual Action Plan for CDBG Program Year 40.
- Advance to the Common Council the Annual Action Plan for CDBG Program Year 40 and request authorization for the Mayor to execute all related documents consistent with the approved Annual Action Plan.

ConPlan Objective	ConPlan Strategy	Organization	Project Title/Description	Recommended Award
Stabilize or Reduce Housing Costs	Energy Efficient Renovations	Mid-Fairfield AIDS Project*	Energy efficient renovations to a supportive housing facility (1 facility serving 9 people)	\$52,286
		Star, Inc., Lighting the Way...	Safety and Energy-Saving Improvements (1 facility serving 600 people)	\$46,000
	Housing Rehabilitation	Keystone House	Bathroom remodel at Elmcrest Terrace Group Home (1 facility serving 12 people)	\$11,000
		Norwalk Housing Authority	John Shostak Apartments accommodation improvements (serving 40 units)	\$46,000
		Open Door Shelter*	Supportive Housing Flooring Needs (1 facility serving 26 people)	\$23,000
Create Income Stability	Literacy / GED / Customized Job Training	Women's Business Development Council*	Microenterprise Assistance Program (serving 25 people)	\$40,000
	Public Infrastructure Improvements	South Norwalk Community Center*	Renaissance Project (providing an Area Benefit)	\$100,000
		Carver Foundation of Norwalk, Inc.	Facility Improvements - Replace Outdated HVAC System (providing an Area Benefit)	\$92,500
	Summer Youth Employment	City of Norwalk*	Mayor's Summer Youth Employment Program (serving 165 people)	\$21,746
Ameliorate the Impacts of this Economic Depression on Families	After-School Programming	Saturday Academy Inc	Computer Science Entrepreneurship Program (serving 50 people)	\$15,820
		Travis Simms Foundation*	Youth Boxing Program (serving 200 people)	\$43,493
	Basic Services	City of Norwalk*	Neighborhood Improvement Coordinator (providing an Area Benefit)	\$21,747
	Domestic Violence Prevention	Domestic Violence Crisis Center	Domestic Violence Prevention through Effective Community Policing (serving 75 people)	\$30,000
		Norwalk Senior Center	Elder Abuse Prevention Initiative (serving 350 people)	\$12,500
	Drug Prevention / Education	Family & Children's Agency	Project REWARD (serving 70 people)	\$15,000
	Health / Mental Health Services	Child Guidance Center of Mid-Fairfield	Advanced Practice Registered Nurse (serving 50 people)	\$30,000
Multiple Objectives	Multiple Strategies	Norwalk Redevelopment Agency obo City of Norwalk	City Neighborhoods Program (providing an Area Benefit)	\$325,657
CDBG Program Administration	General Program Administration	Norwalk Redevelopment Agency obo City of Norwalk	General CDBG Program Administration	\$260,033

*Organization's project recommended with contingencies.

ATTACHMENT A

Written comments received as of April 24, 2014 for the PY40 Annual Action Plan

Comments received on April 11, 2014

I am writing to you in support of Application #00036 requesting \$30,000 for 1/2 of the APRN salary. Having an APRN at the center is critical to our serving all the children & youth who are under psychiatric care and need medications. An APRN has the training and certification to manage and disseminate medications - thus freeing up MD time for more direct services. The center's application is in the PY40 CDBG application year, and is under the CDBG Objective "To create suitable living environment" and the CDBG Outcome Availability/Accessibility". - Janet Williams, **Child Guidance Center of Mid-Fairfield** board member

As a retired educator/administrator for 44yrs. and now proud member of the **Child Guidance Center** Board of Trustees, I ask that you will be sensitive to our needs and act favorably on our request - Child Guidance Center of Mid-Fairfield Application #00036-Advanced Practice Registered Nurse. There is dire need to have an APRN at the center- it is critical to our serving all the children and youth who are under psychiatric care and need medications. A trained and certified APRN is able to manage and give out medications allowing the MD more time for direct services. Application is in the PY 40 CDBG objective "To create suitable living environment" and the CDBG outcome Availability/Accessibility. Thank you in advance for your sensitivity to our request. - Dr. Michael F. Intrieri

Comments received on April 21, 2014

A letter from Ginger Katz, CEO and Founder of the **Courage to Speak Foundation** is attached.

Comments received on April 24, 2014

A letter from Stuart Lane, Executive Director of Mid-Fairfield AIDS Project (MFAP) is attached.

THE COURAGE TO SPEAK® FOUNDATION

Saving Lives by Empowering Youth to be Drug Free

Ginger Katz, CEO and Founder

Board of Directors

Peter J. Corbett
Chairman

Ginger Katz
CEO and Founder

Kileen Doyle
Secretary

Larry Katz
Co-Founder

Gary J. Curto

Chris Perone
State Representative

Luis Solis

Advisory Board

William Butka
NEOA Police Inspector

Lawrence F. Cafero, Jr.
House Minority Leader

Tony Daddona
*Norwalk Assistant
Superintendent of Schools*

Dr. Lynne Moore
Principal

Thomas E. Kulhawik
Norwalk Chief of Police

Mayor Harry Rilling

James M. Sibley
*Finance Executive
Retired*

Pat Vigillo
Health Class Teacher

April 21, 2014

Planning Committee of the Common Council
Tami Strauss, Director of Community Development Planning
City Hall, Room 202
125 East Avenue
P.O. Box 5125
Norwalk, CT 06856

Dear Ms. Strauss and Committee Members:

Your consideration of this appeal from the Courage to Speak Foundation regarding the allocation of the CDBG PY40 funds is very much appreciated. The Foundation is requesting \$14,770 to fund the salary of a part-time Project Coordinator to coordinate Courage to Speak Drug Prevention Education programming for Norwalk Housing Authority residents.

The Courage to Speak Foundation has provided Drug Prevention Education programming and services to Norwalk students and families for 18 years. Encouraged by Norwalk Public School (NPS) administrators and educators, founder Ginger Katz hired prevention specialists to work with a team of NPS health teachers, social workers and principals to develop Drug Prevention Programs for grades 4-12 (taught to 1000 Norwalk students each year since 2002) and Courageous Parenting 101® (sponsored pro bono by Courage to Speak 24 times in Norwalk since 2008).

With Family Night, these programs comprise a multi-component Yale University School of Medicine recommended model that engages home, school and community in support of the larger health care environment- reach more children and families before risky behaviors begin and energize community alliances- the outcome being healthier communities

In 2008, the Foundation received federal funding to build capacity, expand programs and conduct an evaluation of programs which was completed by Yale University School of Medicine in 2010. West Rocks and Nathan Hale Middle School students participated in the study. The federal dollars ended in early 2013 and the Courage to Speak Foundation is now operating with a small budget and has significantly downsized its staff. A part-time Program Coordinator is critical to continuing this vital, life-saving work in Norwalk.

The Foundation receives funding from the Connecticut Department of Mental Health and Addiction Services, foundation and corporate grants, special events, program and service fees and the generosity of individual donors. The loss of the federal funding has diminished our capacity and challenged our ability to continue to provide drug prevention education programs in Norwalk as we have in the past, which is pro bono.

This project in cooperation with Norwalk Housing Authority (NHA) is 3 interventions for NHA residents: 1) Courage to Speak Drug Prevention Program for grades 4-8 youth who attend NHA Learning Centers; 2) family members will attend the Annual Courage to Speak Empowering Youth to be Drug Free Family Night; and 3) parents will attend Courageous Parenting 101® in English/Spanish to gain knowledge and skills and improve intra-family communications about the dangers of drugs.

THE COURAGE TO SPEAK® FOUNDATION

Saving Lives by Empowering Youth to be Drug Free

Ginger Katz, CEO and Founder

The Project Coordinator responsibilities include: planning; data collection; coordination, logistical support and technical assistance to NHA staff and Norwalk Public Schools; administration and fidelity of evaluation tools; work with NHA to recruit parents; volunteer coordination; coordinate and support Family Night Committee; and conduct post delivery assessment and debriefings.

We are asking the Council to please consider our request for \$14,770 in PY40 CDBG funding that will benefit youth and families who are residents of the Norwalk Housing Authority. Your support of our efforts to keep Norwalk's children safe from the dangers of drugs is very much appreciated.

Sincerely,



Ginger Katz
CEO and Founder and Author of Sunny's Story

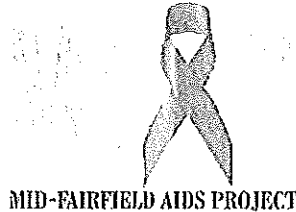
Below are two (2) modifications to the original application answers:

1) How/when will HUD's Household Information Sheet (HIS) be collected?

Norwalk Housing Authority (NHA) residents are the proposed beneficiaries. Documentation and HIS required data will be collected by the Program Coordinator during program sign up and the information is also on file at NHA. **Curtis Law, Norwalk Housing Authority Executive Director** offered NHA staff assistance to ensure completion of this process.

2) Budget Activity

<u>Line Item</u>	<u>Request</u>	<u>Match*</u>	<u>In-Kind</u>	<u>Total</u>
Personnel	\$14,770	\$0	\$0	\$ 14,770
Travel	\$0	\$ 695	\$ 935	\$ 1,630
General Supplies	\$0	\$ 7,620	\$0	\$ 7,620
Subcontracts	\$0	\$ 9,845	\$0	\$ 9,845
Other	\$0	\$11,850	\$ 1,650	\$ 13,500
Totals	\$14,770	\$30,010	\$2,585	\$47,365



April 24, 2014

Ms. Tami Strauss
Director of Community Development Planning
Norwalk Redevelopment Agency
125 East Avenue
Room 202
Norwalk, CT 06851

Dear Ms. Strauss:

In September 2013 Mid-Fairfield AIDS Project, Inc. (MFAP) successfully purchased a 3,700 square foot residence located at 9 Moore Place in Norwalk, CT. The agency's intended purpose for this property acquisition is to develop it into a nine unit permanent supportive housing program. The overall cost for this investment has been \$500,000, including purchase price and renovations. Additionally, the operation costs for this program will run approximately \$150,000 per year.

MFAP recognized long ago that homelessness is a major obstacle facing our society. The recent and on-going economic downswing has unfortunately continued to force many families out of their homes even now. Homelessness, much like HIV/AIDS, does not discriminate between race, class, gender, ethnicity, age, sexual orientation, or ability. The residence acquired by MFAP (Moore Place) is envisioned to help economically disabled people retain their dignity by rebuilding their personal self-worth by helping them get back into a residence. Many homeless clients need training, re-education, and coaching to be in a position to successfully manage their own residence and life again. The chronic homeless have been in destitute situations for so long that they have become unfamiliar with the skills and tools that are required to maintain a healthy lifestyle. The challenges they face range from basic hygiene to balancing a checkbook, shopping, maintaining proper nutrition and acquiring and maintaining regular full time employment. All clients need income to survive but some also need housing subsidies to get back on their feet again. Successful independent living depends on a smooth and supportive transition process. MFAP purchased the Moore Place residence to help address this on-going unmet need with the determination to develop a program that will beneficially impact our client's lives. Moore Place will provide life skills coaching; reinforce healthy choices, medical care, career training, and job placement. The employment of a full time Residence Manager will ensure the integrity of the house and maintain the program, while a full time Life Skills Coordinator will guide and encourage the transformation of clients as they go through the process of rebuilding their lives.

As I mentioned previously my agency has invested one half of a million dollars into this program to help Norwalk rebuild the lives of homeless citizens. The operating costs will be in excess of \$150,000 per year. We expect to save the lives of 12 men and women each year and bring them back as contributing members of the Norwalk community. Over ten years we dramatically affect 120 people. We feel all alone in this endeavor. While we get plenty of support from our colleagues in Redevelopment and all the providing organizations that

MID-FAIRFIELD AIDS PROJECT
618 West Avenue, Norwalk, CT 06850
203-855-9535 Info@MFAP.com
www.MFAP.com



MID-FAIRFIELD AIDS PROJECT

help us take care of the homeless, we are not receiving any financial help. In the recent round of CDBG funding it is clear that our program is not valued enough to prioritize it and help us with these tremendous costs. I think the Planning Committee of the Common Council needs to take another look. We are asking for \$52,000 to fund the renovation of our HVAC systems and to add solar panels to the house which will make it innovative and cost effective. We will be the first public building in Norwalk to have solar power. We have taken on this debt and the burden of doing something about the homeless problem. We have put our money where our mouth is to the tune of \$500,000. Why are we all alone in this?

Thank You

Stuart Lane

Stuart Lane

Executive Director

Mid-Fairfield AIDS Project

MID-FAIRFIELD AIDS PROJECT

618 West Avenue, Norwalk, CT 06850
203-855-9535 Info@MFAP.com
www.MFAP.com