

FINANCE/CLAIMS COMMITTEE MEETING

Thursday June 13, 2013 7:30P.M.

CITY HALL

Room 101

125 East Avenue

Norwalk, Connecticut

AGENDA

1. Approve the Minutes of the following Finance Committee Meeting:
May 9, 2013
2. Claims Committee: receive the monthly Claims report; review and approve claims as required for
Claims Report dated:
June 13, 2013
3. Narrative on Tax Collections dated June 13, 2013- Receive Report and discuss.
4. Monthly Tax Collector's Report Dated May 31, 2013 - Receive Reports and discuss.
5. Authorize the Mayor, Richard A. Moccia, to execute Tenant's/Users Liability insurance placement for
the FY 2013-14 fiscal year with The Shoff Darby Agency in the deposit amount of \$1,500. Account
#161343-541N, 165053-541N.
6. Authorize the Mayor, Richard A. Moccia, to execute general liability insurance placements for the FY
2013-14 with Connecticut Interlocal Risk Management Agency (CIRMA) for an amount not to exceed
\$406,434. Account #161343-541N, 165053-541N.
7. Authorize the Mayor, Richard A. Moccia, to execute property insurance placement for the FY 2013-14
fiscal year with the H.D. Segur Insurance Agency in an amount not to exceed \$574,823. Account
#161343-541N, 165053-541N.
8. Authorize the Mayor, Richard A. Moccia, to execute flood insurance placement for FY 2013-14 through
the National Flood Insurance Program for an amount not to exceed \$44,739. Account #161343-541N,
165053-541N.
9. Authorize the Mayor, Richard A. Moccia, to execute crime bond insurance placement for the FY 2013-
14 fiscal year with the H.D. Segur Insurance Agency in an amount not to exceed \$3,718. Account
#161343-541N, 165053-541N.
10. Authorize the Mayor, Richard A. Moccia, to execute excess workers' compensation insurance
placement for the FY 2013-14 fiscal year with the H.D. Segur Insurance Agency in an amount not to
exceed \$215,880. Account #161344-5418, 165054-5418.
11. Authorize the Purchasing Agent to issue purchase orders to ePlus Technology for the supply of Bid
Project #3299 Data Center Core Switch Equipment New Fire Station Facility, per bid response
quotation 21511248, dated 5/29/2013, for an amount not to exceed \$70,693.43, account 09140600-
5777-C0375, (budgeted IT capital item; no special appropriation required) and forward onto the
Finance Committee for further action.

12. Authorize the Purchasing Agent to issue purchase orders in accordance with City Procurement Guidelines for the supply of personal computers workstations, laptops, ruggedized data terminals, tablets, printers, and obsolete asset disposal according to City IT department specifications for an amount not to exceed \$130,180.00, account 09140600-5777-C0375 (budgeted IT capital item; no special appropriation required) and forward onto the Finance Committee for further action.
13. Receive Board of Estimate and Taxation Appropriations dated June 3, 2013.
14. Resolution, authorizing the close out of various capital projects totaling \$2,277,666 from the DPW, Parking, and Planning & Zoning Departments (detailed worksheet attached) Account Numbers: Various.
15. Resolution, authorizing the increase of various capital projects totaling \$2,277,666 in the Redevelopment, DPW, and Parking Authority Departments (detailed worksheet attached). Account Numbers: Various.
16. Resolution making appropriations for various public improvements aggregating \$19,684,000 for the 2013-2014 capital budget and authorizing the issuance of \$15,653,000 general obligation bonds of the city to meet certain appropriations in the 2013-2014 capital budget.

**CITY OF NORWALK
FINANCE/CLAIMS COMMITTEE
MAY 9, 2013**

ATTENDANCE: Carvin Hilliard, Chair; Douglas Hempstead; John Igneri;
Matthew Miklave

STAFF: Thomas Hamilton, Finance Director; Fred Gilden, Comptroller;
Lisa Biagiarelli, Tax Collector; Karen DelVecchio, IT Director;
William Ireland, Chief Building Official; Gerald Foley, Purchasing
Agent; Hal Alvord, Director, DPW; Kathryn Hebert, Administrative
Services Manager; Tom Shadlick, Norwalk Public Library Chief of
Technology Services

Mr. Hilliard called the meeting to order at 7:36 p.m. and announced a quorum was present.

**** MR. HEMPSTEAD MOVED TO APPROVE THE MINUTES OF THE FOLLOWING
FINANCE COMMITTEE MEETING:
MARCH 14, 2013
** MOTION PASSED UNANIMOUSLY**

**CLAIMS COMMITTEE: RECEIVE THE MONTHLY CLAIMS REPORT; REVIEW AND APPROVE
CLAIMS AS REQUIRED FOR CLAIMS REPORT DATED:**

**APRIL 11, 2013
MAY 9, 2013**

Due to a potential conflict of interest, Mr. Miklave rescused himself from voting on the following item.

Ms. Biagiarelli said that she was requesting a vote for one claim for \$13,408.98 which is two years of real estate tax for the United Congregational Church.

**** MR. HEMPSTEAD MOVED TO APPROVE THE CLAIM
** MOTION PASSED UNANIMOUSLY**

**NARRATIVE ON TAX COLLECTIONS DATED MAY 9, 2013- RECEIVE REPORT AND
DISCUSS**

MONTHLY TAX COLLECTOR'S REPORT DATED APRIL 30, 2013 - RECEIVE REPORTS AND DISCUSS.

Ms. Biagiarelli distributed and reviewed the narrative. She reported that they have collected 98.35% of the current tax levy. They are slightly behind last year because this is not a tax sale year. She said that one of the ways the mill rate is kept low is due to the high collection rate.

**** MR. HEMPSTEAD MOVED TO APPROVE FY 2013-14 PARKING AUTHORITY BUDGET**

Mr. Hamilton explained that the budget is a continuation of their existing services. Mr. Miklave asked about the concessionaire at the East Norwalk train station. Ms. Hebert explained that it is part of their service. Mr. Miklave asked about the decline in fines. Ms. Hebert said that people are more compliant; in addition, they are using a more balanced approach. She said that they raised some rates where demand warranted it; the increase is less than 1% on a monthly permit.

Mr. Miklave asked Ms. Hebert if they give out warnings to people who park in handicap parking spaces. Ms. Hebert said that they do not give out warnings; they give tickets. Warnings are more for overtime parking.

**** MOTION PASSED UNANIMOUSLY**

**** MR. HEMPSTEAD MOVED TO APPROVE FY2013-14 WPCA BUDGET**

Mr. Hamilton explained that the budget has been adopted by the WPCA and the Board of Estimate and Taxation. This year they elected not to increase the sewer rates. He said that the budget is a significant reduction compared to the approved budget for this year because the WPCA had been building in rate stabilization reserves for the impact of the increase in debt service. The debt service for FY2013-2014 is a double hit on the debt service due to the way the State structures the loan payments. He said that the WPCA is in excellent financial condition.

**** MOTION PASSED UNANIMOUSLY**

**** MR. MIKLAVE MOVED TO AUTHORIZE THE MAYOR, RICHARD MOCCIA, TO EXECUTE AN AMENDMENT TO THE AGREEMENT WITH VISION GOVERNMENT SOLUTIONS, INC., FOR THE SUPPLY, INSTALLATION, DATA CONVERSION, TRAINING, AND 24 MONTHS TECHNICAL SUPPORT FOR THE BUILDING OFFICIAL SOFTWARE SYSTEM FOR AN AMOUNT NOT TO EXCEED \$50,000, ACCOUNT 09130600-5777-C0375**

Mr. Miklave asked if this item was already in the budget. Ms. DelVecchio said that it was. Mr. Hamilton said that this is a request to purchase a new system for one where the company no longer is in business.

Ms. DelVecchio explained that in October they received notification that the vendor will cease to do business in 15 days and will continue to support the City until July. She said that no one was comfortable using a company that would no longer support them. Mr. Ireland described how his department uses the system. He said that they reviewed other vendors and Vision Government Solutions was the most cost effective and would have a tight integration with the Tax Assessor's office. In addition, they have a module for Planning and Zoning. Training is included in the cost.

**** MOTION PASSED UNANIMOUSLY**

**** MR. IGNERI MOVED TO AUTHORIZE THE MAYOR, RICHARD MOCCIA, TO EXECUTE AN AMENDMENT TO THE EXISTING AGREEMENT WITH INNOVATIVE INTERFACES, INC., FOR THE RENEWAL OF THE AUTOMATED LIBRARY MANAGEMENT SYSTEM HARDWARE AND SOFTWARE MAINTENANCE AGREEMENT. THE AMENDMENT COVERAGE PERIODS AND COSTS SHALL BE AS FOLLOWS: JULY 1, 2013 – JUNE 30, 2014: \$38,484; JULY 1, 2014 – JUNE 30, 2015: \$39,639; JULY 1, 2015 – JUNE 30, 2016: \$40,828 (ACCOUNT 010600-5269)**

Mr. Shadlick described the Library management system and said that it is the Library version of MUNIS. He said that Innovative Interfaces, Inc. is the lowest bidder and the current vendor.

**** MOTION PASSED UNANIMOUSLY**

Due to a potential conflict of interest, Mr. Miklave rescused himself from voting on the following item.

**** MR. HEMPSTEAD MOVED TO AUTHORIZE THE PURCHASING AGENT, GERALD J. FOLEY, TO ISSUE ANNUAL PURCHASE ORDERS TO CELLCO PARTNERSHIP D/B/A VERIZON WIRELESS FOR CELLULAR, BLACKBERRY, AND WIRELESS BROADBAND ACCESS SERVICES FOR VARIOUS CITY DEPARTMENTS FOR AN ANNUAL AMOUNT NOT TO EXCEED \$50,000.00, PER FISCAL YEAR, FOR A PERIOD NOT TO EXCEED TWO (2) YEARS, [WITH ONE (1) ONE (1) YEAR OPTIONAL EXTENSIONS. ACCOUNTS VARIOUS**

Mr. Foley explained the item. He said that the City is currently with Sprint, but when Hurricane Sandy came through, many areas of the City did not have cellular service. He said that he met with the Sprint team and asked them what changes they would make to improve coverage. Their answer was not acceptable. He said that he was not happy with the service provide by AT&T and Sprint.

Mr. Foley said that Verizon provided the best service and is asking for a bid waiver because of their niche in the market.

Mr. Hamilton said that the pricing Verizon is proposing is based on a WSCA (Western States Contracting Alliance) contract. He said there is no negotiating with the vendor for a specific municipality because they have established nationwide rates. All of the major departments felt that the service provided by Sprint was not acceptable and that they need to switch providers. Mr. Foley said that he did an evaluation based on people who used their own personal cell phones during Hurricane Sandy. The group with personal cell phone service from Verizon had coverage.

Mr. Hamilton said there are potential costs related to overage fees. The plan they would go with would buy the correct number of minutes. The overage fees could potentially be another \$5,500 a year. They expect the total cost to be \$12,000 more per year, but expect better service rather than paying for no service when they need it. Mr. Alvord said that he was very concerned about losing service. He said there are numerous places in the City without Sprint service. In addition, Sprint loses data connections constantly.

Mr. Igneri asked about data. Mr. Foley said that the plans they have with Sprint uses about 50% of the allotment. He said that he does not feel it will be an issue. They are switching Smart phones to Blackberries. Mr. Igneri asked why they were switching to Blackberries. Ms. DelVecchio said that they will eventually be moving away from Blackberries. Mr. Hamilton said that any acquisition of new devices has to go through him.

**** MOTION PASSED UNANIMOUSLY**

**** MR. MIKLAVE MOVED TO RECEIVE BOARD OF ESTIMATE AND TAXATION
APPROPRIATIONS DATED MAY 6, 2013**

Mr. Hamilton explained that there is one special appropriation for \$240,000 to cover the remaining operating expenses related to Storm Sandy.

**** MOTION PASSED UNANIMOUSLY**

**** MR. MIKLAVE MOVED TO TABLE THE RESOLUTION MAKING APPROPRIATIONS
FOR VARIOUS PUBLIC IMPROVEMENTS AGGREGATING \$19,684,000 FOR THE
2013-2014 CAPITAL BUDGET AND AUTHORIZING THE ISSUANCE OF \$15,653,000
GENERAL OBLIGATION BONDS OF THE CITY TO MEET CERTAIN
APPROPRIATIONS IN THE 2013-2014 CAPITAL BUDGET**

**** MOTION PASSED UNANIMOUSLY**

**** MR. MIKLAVE MOVED TO ADJOURN**

**** MOTION PASSED UNANIMOUSLY**

There was no further business and the meeting was unanimously adjourned at 8:40 p.m.

Respectfully submitted,

Rosemarie Lombardi
Telesco Secretarial Services

AGENDA

JUNE 13, 2013

CLAIMS COMMITTEE MEETING**REFUNDS PROCESSED**
CLAIMS COMMITTEE

ALZATE-VASQUEZ ALEJANDRO
BIASE THERESA
DHL EXPRESS USA INC
FIFTH THIRD AUTO LEA TRT
GARDNER MICHAEL P OR MARY L
HICKS ROBERT A
ISAACS EDWIN L OR MARYBETH
JONATHAN KAO
MANGANO PETER 4TH
MUNOZ OSCAR
NISSAN INFINITI LT
NISSAN INFINITI LT

(\$718.89)

PERSONS WILLIAM J
PUTNAM LEASING CO LLC
RAMIREZ JOHN J
RIVERA JULIA ESTUARDO
SINNOTT WILLIAM T
VW CREDIT LEASING LTD
VW CREDIT LEASING LTD
COEN DANIELA
MCCLOROY ANDREW M
THIRD TAXING DISTRICT CITY OF NORWALK

(\$1,253.71)

APPROVED BY
TAX COLLECTOR

11-MV-301281 (\$51.27)
11-MV-304868 (\$253.77)
11-MV-403175 (\$105.00)
11-MV-320202 (\$250.31)
11-MV-322870 (\$50.51)
10-MV-328266 (\$47.66)
11-MV-406517 (\$18.30)
11-MV-409181 (\$231.43)
11-MV-337711 (\$204.27)
11-MV-342663 (\$84.24)
11-MV-344048 (\$397.37)
11-MV-343850 (\$437.44)
11-MV-343993 (\$232.32)
11-MV-344329 (\$49.13)
11-MV-348016 (\$70.08)
11-MV-410471 (\$10.92)
11-MV-350203 (\$45.98)
11-MV-351588 (\$19.35)
11-MV-356784 (\$138.91)
11-MV-364856 (\$56.98)
11-MV-SEVERAL SEE BACK UP
11-RE-105132 (\$125.29)
10-RE-117131 (\$137.50)
10-RE-126598 (\$550.00)

REPORTED TO
CLAIMS COMMITTEE

PRORATION
OVERPAYMENT
PRORATION RELOCATED NY REG.
PRORATION
PRORATION
PRORATION
ABATEMENT
OVERPAYMENT
OVERPAYMENT
PRORATION
PRORATION
PRORATION
PRORATION
OVERPAYMENT
ABATEMENT
PRORATION
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PRORATION
PRORATION
PRORATIONS
OVERPAYMENT
OVERPAYMENT
ABATE SEWER USE FEE/PER WPCA

CLAIMS COMMITTEE MEETING

REFUNDS PROCESSED
CLAIMS COMMITTEE

WELLSFARGO HOME MORTGAGE
RE: ROBERT P & GOODSTEIN SARAH (57 OLD ROCK LN)

APPROVED BY
TAX COLLECTOR

11-RE-107913 (\$3,179.52)

REPORTED TO
CLAIMS COMMITTEE

COC/COURT CHANGE

SPECIAL REQUEST

WILSON RAND LLC C/O PETER DINARDO ENTERPRISE

(\$132,297.68)

09-RE-119942 (\$43,385.20)
10-RE-119918 (\$43,842.72)
11-RE-119903 (\$45,069.76)

DEL PARCEL DUPLICATE ON PARCEL 5-83-68
DEL PARCEL DUPLICATE ON PARCEL 5-83-68
DEL PARCEL DUPLICATE ON PARCEL 5-83-68

Bill #	vehicle	plate #	Refund
11-MV-364712	2009/Audi Q5	160 XKT	\$ 167.36
11-MV-364737	2010/Volf Passat	239 WNZ	\$ 113.89
11-MV-364847	2010/Volf GTI	487 WAH	\$ 141.30
11-MV-364871	2009/Audi A4	552 XNX	\$ 148.55
11-MV-364882	2009/Volk Passat	567 XKP	\$ 140.83
11-MV-364920	2009/Audi A5 32	645 XLE	\$ 288.33
11-MV-365009	2010/Audi A4 20	868 XRM	\$ 154.21
11-MV-368222	2009/Audi A4 20 CA	591 UNL	\$ 99.24
		Total	1,253.71

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June 5, 2012

Mr. Thomas S. Hamilton
Director of Finance
City of Norwalk
125 East Avenue
Norwalk, CT 06856

Dear Tom,

RE: 2013 – 2014 Insurance Renewal

Liability Insurance:

In consideration of the amount of the deductible on the City's liability program with CIRMA we endeavored to obtain alternate quotations with lower deductible amounts. The amounts that we are interested in evaluating are \$250,000 and \$500,000 per occurrence. These quotations are not yet available, but have been promised before the June 13th Finance Committee Meeting.

While we are getting lower quotations, due to financial considerations, the City is still considering keeping the higher deductible of \$1,000,000 per occurrence. This coincides with its philosophy of funding smaller losses but insuring for the catastrophic losses. If the premiums for the lower deductibles are reasonable, then we can consider lowering this higher deductible amount.

If the program is renewed as expiring and the City maintains a deductible in the amount of \$1,000,000 per occurrence for each line of liability coverage (General Liability, Automobile, Law Enforcement, Employee Benefits Liability, School Leaders, Public Officials) and a \$2,500 Comprehensive/Collision Deductible for Automobile Physical Damage there will be a 15% increase in the expiring premium.

The premium quotations received from CIRMA for the expiring limits of liability is \$406,434 for the policy term July 1, 2013 – 14. The expiring premium was \$353,421.

We received notification from CIRMA of the 15% increase in March 2013. CIRMA's position has been that with their excess reinsurance costs, estimated liability losses within the CIRMA retention, claims handling fees and overhead plus the physical damage (automobile) claims for a three-year average, they are actually absorbing a deficit of 18% from the expiring premium.

CIRMA has stated that if the policy is renewed the City would receive \$19,563 member distribution after the July 1 renewal. This distribution would only be received if we renew with CIRMA on July 1, 2013 and remain a member in 2013. This amount will slightly help offset the premium increase by reducing the increase to 9.46%.

While this is a difficult renewal as respects the premium increase, with this long standing relationship and the level of involvement throughout the City, I would recommend that we renew with CIRMA for another year.

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Tenant's User Liability Policy

The City also purchases a Tenant's/Users Liability Policy for organizations that utilize City properties, but because of their size, do not have their own liability insurance that is required by Corporation Counsel. The City charges the tenants based upon established rates due to the size and type of event. This policy allows the tenants to purchase insurance for the event while complying with the City's insurance requirements. The premium and rates are as expiring, with a deposit premium of \$1,500 which is adjusted throughout the year. The current local broker, Shoff Darby is also providing quotes from alternative markets. To date, these have not yet been received.

Property and Boiler & Machinery:

The property market over the past two years for Connecticut coastal properties has been quite difficult. The City incurred damages from both Storms Irene and Sandy. We are yet to settle the Sandy claim as the design plans for the Sammis Street pump have been delayed due to requirement changes from FEMA. There have also been some delays in obtaining information from some departments. We do hope that we can come to an agreement shortly with the carrier on what we will be submitting for consideration of the claim.

Additionally, in the last few years we have had the Smith Street Transformer claim that was over \$200,000 and the Ponus Middle School water damage claim for \$131,000. I have included loss runs for your reference.

The total insured values for this year are \$460,034,333 which is an increase of roughly 3% from the \$445,244,056 expiring limit. The proposed premium with Lexington is \$578,541; this is a 14% increase from the previous year's premium of \$504,491. The incumbent market is Lexington Insurance Company for the lead \$10,000,000 per occurrence limit with the \$90,000,000 excess of the lead \$10,000,000 per occurrence limit still being negotiated.

The broker, H. D. Segur approached 32 markets. They have provided a Market Summary of each market's response for your review. As you will see, the marketplace has either declined or provided non-competitive quotes due to our loss experience and the Wind and Flood exposures. The most significant difference outside of the premium is the increase in the Wind deductible from 2% per location to 5%. This means if there is a loss due to Wind; the reported location value would be subject to that percentage deductible. They are continuing to work with the marketplace but have provided a "not to exceed amount" at this time.

The current program has a \$100,000 deductible which will remain for the upcoming year.

Flood:

Within the property policy there is a modification to the deductible for Flood damages within the Special Flood Hazard Areas (SFHA), areas of 100-year flooding, as defined by FEMA. In that we have incurred back-to-back losses within those areas during Irene and Sandy, FEMA has requested that the City look into purchasing flood insurance through the National Flood Insurance Program. These types of policies are on a per location basis. We started this process immediately following Storm Irene. After much information gathering (with the help of the Engineering Department) and elevation certificates describing each location, we propose to procure the following policies for these locations for FY 2013-14:

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201 Wilson Avenue - \$6,602 per year
473 Connecticut Avenue - \$5,724 year
167 Woodard Avenue - \$9,649 per year per
10 Seaview Avenue - \$7,346 per year
25 Perry Avenue - \$5,724 per year
23 Meadow Street - \$3,970 per year
6 Sammis Street - \$5,724 per year

Crime

While the Crime bond has always been a minimal premium, we are in the process of investigating a potential employee theft claim. It appears from the initial forensics that these acts have been over a period of years. With the potential of these losses the premium has increased \$339. That increase results in an annual premium of \$3,718 compared to the \$3,379 expiring premium.

Excess Workers' Compensation

The City and the Board of Education is an approved self-insured municipality with the State of Connecticut. With the increases in medical expenses and the expansion of surgery as viable options due to work related injuries, the City's expenses have been increasing not only in the aggregate but on a per claim basis.

I worked with the Comptroller's Office to provide an estimate of the annual payroll for the City and Board of Education (full-time and part-time). This estimate is \$167,608,903.

In order to provide a stop loss measure to catastrophic losses, we have obtained a variety of Excess Workers' Compensation quotes at varying limits. These policies are rated based upon \$100 of payroll and are audited at the expiration of the annual policy period.

The initial quotation is for a \$10,000,000 per occurrence limit with a \$750,000 per occurrence retention for \$244,039 (.1456 rate). If we increased the retention to \$1,000,000 with the same limit the premium is \$215,880 (.1288 rate). I would recommend that the City purchases the \$1,000,000 retention for \$215,880 considering there is no catastrophic coverage in place at this time. This could for example cover the City for a severe accident (i.e. traumatic brain injury) or an occurrence involving more than one employee.

These rates and premiums are also on "a not to exceed amount" based upon the estimated payroll. The broker is also continuing negotiations with the carrier on the rates. These too will be finalized before the Finance Committee Meeting.

Therefore, we would ask that the "not to exceed amounts" are used with the hope that we can get some additional reductions in the rates.

I would therefore request the following authorization:

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1. Authorize the Mayor, Richard A. Moccia, to execute Tenant's/Users Liability insurance placement for the FY 2013-14 fiscal year with The Shoff Darby Agency in the deposit amount of \$1,500. Account #161343-541N, 165053-541N.
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- 7.

While I apologize for these delays, my hope is that we can benefit with a more competitive program.

I thank you for your patience and look forward to having this to the Committee shortly.

Very truly yours,

Diane Barry
President

Cc: F. Gilden

CITY OF NORWALK, CT – Proposal

LOSS HISTORY

SIX-YEAR LOSS SUMMARY

As of: 05/28/13

Policy Period	Date of Loss	Location	Description of Loss	Total Paid	Loss Reserve	Status
7/1/12 - present	10/29/2012	Various	Hurricane Sandy**	\$ -	\$ 1,500,000	Open
			Sub-Total:	\$ -	\$ 1,500,000	
7/1/11 - 7/1/12	2/13/2012	81 West Rocks Rd.	Oil Spill*	\$ -	\$ -	Open
	08/28/11	Seawall & Pier at Calf Pasture	Hurricane Irene	\$ -	\$ -	Closed
			Sub-Total:	\$ -	\$ -	
7/1/10 - 7/1/11	01/25/11	60 South Smith St.	Transformer blew.	\$ 214,309	\$ -	Closed
	01/18/11	Porus Middle School	Water Damage	\$ 131,799	\$ -	Closed
			Sub-Total:	\$ 346,108	\$ -	
7/1/09 - 7/1/10	06/10/10	2 East Wall Street	Fire	\$ 153,019	\$ -	Closed
	03/13/10	Various	Wind Damage	\$ 45,899	\$ -	Closed
			Sub-Total:	\$ 198,918	\$ -	
7/1/08 - 7/1/09			No Known or Paid Losses.	\$ -	\$ -	
			Sub-Total:	\$ -	\$ -	
7/1/07 - 7/1/08	08/16/07	Brien McMahon Field House	Fire damage to insured's field house with instruments and uniforms.	\$ 101,966	\$ -	Closed
			Sub-Total:	\$ 101,966	\$ -	
			Five-Year Total Losses:	\$ 646,992	\$ -	
			Five-Year Average Annual Loss:	\$ 129,398	\$ -	

*Claim is open and under investigation as damage may be covered under another insurance policy. The insured has submitted \$209,633.97 in invoices. However, the adjusted claim to date is approximately \$60,000 which would fall below the \$100K deductible.

**Claim remains open and amount noted is a gross estimate.

CITY OF NORWALK, CT

Property Insurance Marketing Summary

Effective: (July 1, 2013)

Retailer:	H.D. Segur Insurance	Contact Name:	Nancy Cosgrove / Alicia Flanders
City/State:	Cheshire, CT	Phone No.	(203) 699-4562 / (203) 699-4500 x4523

ACE / Westchester Surplus Lines Insurance Company	
Advised that they would not be competitive on primary layer due to wind exposure and requested pricing parameters. Would consider a wind only layer or a \$5,000,000 xs \$5,000,000 layer if required.	
Alterra Excess & Surplus Insurance Company	
Declined to put forth any primary capacity. Would consider a higher excess position, \$5,000,000 or \$10,000,000 xs \$25,000,000.	
Arch Specialty Insurance Company	
Currently reviewing a \$5,000,000 xs \$5,000,000 layer. Declined to extend any primary capacity do to requested pricing parameters and exposure.	
Aspen Specialty Insurance Company	
Declined. Minimum attachment point would be excess \$100,000,000. Looking to cut back on any wind exposed accounts.	
AWAC / Allied World Assurance Company (US) Inc.	
Declined to put forth any primary capacity due to pricing parameters. Indicated that they would need \$.125 to \$.15 for \$5,000,000 part of \$10,000,000. If needed, would consider a low buffer layer.	
AXIS Specialty Insurance Company	
Currently reviewing primary \$5,000,000 and primary \$10,000,000 layers	
Beazley Insurance Company	
Reviewed primary layer, Indicated that capacity would be "multiples" of our requested pricing parameters, being \$.20 plus.	
Chubb Custom Insurance Company	
Currently reviewing primary \$5,000,000 and primary \$10,000,000 layers	
CNA / Continental Casualty Company	
Reviewing both primary and excess capacity	
Colony Specialty Insurance Company	
Declined to extend primary capacity due to underwriting guidelines. Can review excess position, maximum capacity would only be \$5,000,000.	
Crum & Forster/United States Fire Insurance Company	
Declined due wind exposure as well as requested rating parameters.	
Essex Insurances Company	
Indicated that they would be able to extend \$2,500,000 or possibly \$5,000,000 xs \$20,000,000. ALP for \$2,500,000 xs \$20,000,000 \$40,000 to \$45,000.	
Global Excess Partners	
Declined to participate due to wind exposure and requested pricing parameters.	
Great American Insurance Company	
Would only consider excess capacity excluding named windstorm coverage.	
Hiscox Insurance Company	

Declined to put forth any primary capacity at the requested pricing parameters. Initial indication would be that the ALP would be in excess of \$700,000 for any primary capacity.
<i>Hudson Specialty Insurance Company (formerly Commonwealth Insurance Company)</i> Indicated a primary \$10,000,000 layer premium of \$340,000 for the peril of wind only.
<i>Ironshore Specialty Insurance Company (Incumbent)</i> - Quoted a \$10,000,000 xs \$10,000,000 – ALP - \$75,000. Represents a flat rate renewal. - Indicated a premium of \$550,000 to \$600,000 for a primary \$10,000,000 layer. Maximum capacity would be \$2,500,000 part of \$10,000,000.
<i>Lexington Insurance Company (Incumbent)</i> As proposed. Quoted a primary \$10,000,000 0layer at \$348,000 with a 5% Named Windstorm deductible.
<i>Liberty Mutual Fire Insurance Company</i> Will not support Public Entity business, suggested we approach their E&S Carrier, Liberty Surplus.
<i>Liberty Surplus Insurance Company</i> Indicated a \$5,000,000 xs \$5,000,000 – ALP - \$175,000
<i>Lloyds of London</i> Currently reviewing primary \$5,000,000 and primary \$10,000,000 layers
<i>Maiden Specialty Insurance Company</i> Maximum capacity would be \$5,000,000. Indicated that they would be able to attach excess \$20,000,000 or \$25,000,000.
<i>One Beacon / Homeland Insurance Company of NY (Incumbent)</i> Quoted \$50,000,000 xs \$50,000,000 layer. ALP - \$40,000. Represents a 10% rate increase.
<i>Partner Re</i> Currently reviewing primary \$5,000,000 and primary \$10,000,000 layers
<i>RLI / Mt. Hawley Insurance Company</i> Currently reviewing primary \$5,000,000 and primary \$10,000,000 layers.
<i>RSUI Indemnity Company -Landmark American Insurance Company (Incumbent)</i> Quoted \$30,000,000 xs \$20,000,000 layer. ALP - \$111,823. Represents a 3% rate increase.
<i>Starr Specialty Insurance Company</i> Currently reviewing primary \$5,000,000 and primary \$10,000,000 layers. Initial indications were that the rate was too low for them to consider extending any primary capacity. If needed, would consider an excess layer.
<i>Swiss Re / First Specialty Insurance Company</i> Indicated \$.12 to \$.15 minimum for \$5,000,000 part of \$10,000,000. Estimated layer premium - \$552,000 to \$690,000.
<i>Torus Specialty Insurance Company</i> Declined to extend primary capacity due to wind exposure and requested account parameters. Would be willing to look at an excess position and are awaiting modeling results to determine attachment point.
<i>Travelers Indemnity Company</i> National Branch declined due to wind exposure, loss history. Suggested we contact their excess department if excess capacity is required.
<i>XL Group / XL Insurance Company</i> Currently reviewing primary \$5,000,000 and primary \$10,000,000 layers

Zurich Group American / Steadfast Insurance Company

- Indicated a premium of \$900,000 for a primary \$10,000,000 layer. Maximum capacity would be \$2,500,000 to \$5,000,000 part of \$10,000,000. Maximum capacity would be \$5,000,000 only in an excess position.*



Policy : 0025031875-041-000 CITY OF NORWALK
0025031875-041-001 CITY OF NORWALK
Filters: Status = ALL
Requester ID: 516451
Report Date / Time: 06/05/2013 11:33 EST
Valuation Date: 05/31/2013
Source: U.S.

The AIG Loss Run is a detail report, providing claim and financial information.

Run additional reports using IntelliRisk at <https://aig.com/ir>.

Certain claim information may not be available in this report, since data availability can vary based on the insurance program or benefit state (due to regulatory considerations.)

Some of the content contained in this report is subject to confidentiality laws and may be privileged. This report is intended for review and use by authorized representatives of the insured or other parties authorized by the insured. If you are not the intended recipient, you are hereby notified that any disclosure, copy or distribution of this information is strictly prohibited, as is the taking of any action by you in reliance on its contents. If you received this communication in error, please notify us immediately.

AIG Loss Run
Lexington

Policy : 0025031875-041-000 CITY OF NORWALK 07/01/2011 - 07/01/2012

Report Date / Time: 06/05/2013 11:33 EST
Valuation Date: 05/31/2013
Currency: USD

Claimant Name	Loss State	Div/H.O.	Major Class Code/Description					
Claim Number	Receipt Date	Status	Loss Description		Indemnity	Alloc Exp	Total	Total
Loss Date		Closed Date	Adjuster Email		Paid	Paid	Recoveries	Incurred
OIL SPILL OF 2/13/2012		093/166	026 -ADDITIONAL EXTENDED COVERAGE					
683-462138-001	CT	Open	OIL SPILL, 200 GALLONS ENTERED FLOOR DRAIN IN THE					
02/13/2012	02/29/2012		BOILER ROOM, THEN ONTO THE ATHLETIC FIELD.					
			EDWARD.MASTERSON@CHARTISINSURANCE.COM		.00	1,229.50	N/A**	.00
								N/A**
CITY OF NORWALK		093/166	026 -ADDITIONAL EXTENDED COVERAGE					
683-427434-001	CT	Closed	DAMAGE TO PROPERTY FROM HURRICANE IRENE.					
08/28/2011	09/01/2011	03/14/2013	EDWARD.MASTERSON@CHARTISINSURANCE.COM		.00	11,581.48	.00	.00
								11,581.48
Pol-Asco-Mod: 0025031875-041-000			Claim Count = 2		.00	12,810.98	.00	.00
								11,581.48

**Certain claim information may not be available since data availability can vary based on the insurance program.
Adjusting Expenses are excluded in the financial information.

AIG Loss Run
Lexington

Policy : 0025031875-041-001 CITY OF NORWALK 07/01/2012 - 07/01/2013

Report Date / Time: 06/05/2013 11:33 EST
Valuation Date: 05/31/2013
Currency: USD

Claimant Name Claim Number Loss Date	Loss State Receipt Date	Div/H.O. Status Closed Date	Major Class Code/Description Loss Description Adjuster Email	Indemnity Paid	Alloc Exp Paid	Reserves	Total Recoveries	Total Incurred
SANDY-CITY OF NORWALK 683-508594-001 10/29/2012	CT 10/30/2012	093/166 Open	020 -EXTENDED COV (END NO 4) P.D. WIND DAMAGE OF HURRICANE SANDY EDWARD.MASTERSON@CHARTISINSURANCE.COM	.00	3,567.90	N/A**	.00	N/A**
Pol-Asco-Mod: 0025031875-041-001			Claim Count = 1	.00	3,567.90	.00	.00	.00
Totals For Policy: 0025031875			Claim Count = 3	.00	16,378.88	.00	.00	11,581.48
Lexington Report Totals:			Claim Count = 3	.00	16,378.88	.00	.00	11,581.48

**Certain claim information may not be available since data availability can vary based on the insurance program.
Adjusting Expenses are excluded in the financial information.



Summary Claim Detail Experience

Page: 1 of 8
Run Date: 1/22/13

Policy Number: CLP 3004942				Effective Date: 07/01/2004		Expiration Date: 07/01/2005		UW Year: 2004		
Insured: City of Norwalk, Connecticut				Country: United States						
Broker: 0028207 Napco LLC				Loc: 9999						
Claim Number: P01040490		Affillitate Claim Number:			Claimant: Norwalk, CT					
Loss Date: 11/23/2004		Reported Date: 11/29/2004			Loss Description: Car struck the side of insured's building					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	6,032.43	6,032.43	0.00	6,032.43	0.00	0.00	0.00	6,032.43
Location 9999	1	0.00	6,032.43	6,032.43	0.00	6,032.43	0.00	0.00	0.00	6,032.43
Policy Number	1	0.00	6,032.43	6,032.43	0.00	6,032.43	0.00	0.00	0.00	6,032.43
2004 United States	1	0.00	6,032.43	6,032.43	0.00	6,032.43	0.00	0.00	0.00	6,032.43
Total 2004	1	0.00	6,032.43	6,032.43	0.00	6,032.43	0.00	0.00	0.00	6,032.43

* Totals in US Dollars

** Excludes SIR



Summary Claim Detail Experience

Page: 2 of 8
Run Date: 1/22/13

Policy Number: CLP 3006197				Effective Date: 07/01/2005		Expiration Date: 07/01/2006		UW Year: 2005		
Insured: City of Norwalk, Connecticut				Country: United States						
Broker: 0028207 Napco LLC				Loc: 9999						
Claim Number: P01042650		Affillitate Claim Number:		Claimant: Norkwalk, CT						
Loss Date: 09/02/2005		Reported Date: 09/13/2005		Loss Description: Lightning strike to building						
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	287.13	287.13	0.00	287.13	0.00	0.00	0.00	287.13
Claim Number: P01043081		Affillitate Claim Number:		Claimant: Norwalk, CT						
Loss Date: 09/06/2005		Reported Date: 10/13/2005		Loss Description: Fire pump burned out						
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	10,462.00	4,123.65	14,585.65	0.00	14,585.65	0.00	0.00	0.00	14,585.65
Claim Number: P01043187		Affillitate Claim Number:		Claimant: Norwalk, CT						
Loss Date: 10/09/2005		Reported Date: 10/24/2005		Loss Description: water damage						
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	287.33	287.33	0.00	287.33	0.00	0.00	0.00	287.33
Claim Number: P01043549		Affillitate Claim Number:		Claimant: Norwalk, CT						
Loss Date: 11/23/2005		Reported Date: 11/29/2005		Loss Description: Boiler malfunction						
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	915.30	915.30	0.00	915.30	0.00	0.00	0.00	915.30
Claim Number: P01043828		Affillitate Claim Number:		Claimant: Norwalk, CT						
Loss Date: 08/12/2005		Reported Date: 12/21/2005		Loss Description: water damage						
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	76,732.00	4,235.16	80,967.16	-9,635.78	71,331.38	0.00	0.00	0.00	71,331.38

* Totals in US Dollars

** Excludes SIR

Policy Number: CLP 3006197	Effective Date: 07/01/2005	Expiration Date: 07/01/2006	UW Year: 2005
Insured: City of Norwalk, Connecticut	Country: United States		
Broker: 0028207 Napco LLC	Loc: 9999		

Claim Number: P01044445		Affiliate Claim Number:			Claimant: Norwalk, CT					
Loss Date: 02/13/2006		Reported Date: 02/16/2006			Loss Description: Damage to insured's building caused by a truck backing into it					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	<u>Closed</u>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Location 9999	6	87,194.00	9,848.57	97,042.57	-9,635.78	87,406.79	0.00	0.00	0.00	87,406.79
Policy Number	6	87,194.00	9,848.57	97,042.57	-9,635.78	87,406.79	0.00	0.00	0.00	87,406.79
2005 United States	6	87,194.00	9,848.57	97,042.57	-9,635.78	87,406.79	0.00	0.00	0.00	87,406.79
Total 2005	6	87,194.00	9,848.57	97,042.57	-9,635.78	87,406.79	0.00	0.00	0.00	87,406.79



Summary Claim Detail Experience

Page: 4 of 8
Run Date: 1/22/13

Policy Number: CLP 3007424				Effective Date: 07/01/2006		Expiration Date: 07/01/2007		UW Year: 2006		
Insured:		City of Norwalk, Connecticut				Country: United States				
Broker:		0028207 Napco LLC				Loc: 9999				
Claim Number: P01048192		Affillitate Claim Number:			Claimant: Norwalk, CT					
Loss Date: 03/09/2007		Reported Date: 03/12/2007			Loss Description: Heavy duty loader struck block wall.					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	1,953.93	1,953.93	0.00	1,953.93	0.00	0.00	0.00	1,953.93
Claim Number: P01048241		Affillitate Claim Number:			Claimant: Norwalk, Connecticut					
Loss Date: 03/14/2007		Reported Date: 03/15/2007			Loss Description: Truck drove away fom building without disconnecting hose. Siding					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Location 9999	2	0.00	1,953.93	1,953.93	0.00	1,953.93	0.00	0.00	0.00	1,953.93
Policy Number	2	0.00	1,953.93	1,953.93	0.00	1,953.93	0.00	0.00	0.00	1,953.93
2006 United States	2	0.00	1,953.93	1,953.93	0.00	1,953.93	0.00	0.00	0.00	1,953.93
Total 2006	2	0.00	1,953.93	1,953.93	0.00	1,953.93	0.00	0.00	0.00	1,953.93

* Totals in US Dollars

** Excludes SIR

Policy Number: CLP 3008501				Effective Date: 07/01/2007		Expiration Date: 07/01/2008		UW Year: 2007		
Insured: City of Norwalk, Connecticut				Country: United States						
Broker: 0028207 Napco LLC				Loc: 9999						
Claim Number: P01049620		Affiliate Claim Number:			Claimant: Norwalk, Connecticut					
Loss Date: 07/19/2007		Reported Date: 08/01/2007			Loss Description: damage to building when employee failed to disconnect a hose before driving off.					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Claim Number: P01049779		Affiliate Claim Number:			Claimant: Norwalk, CT					
Loss Date: 08/16/2007		Reported Date: 08/16/2007			Loss Description: Fire damage to insured's field house with instruments and uniforms					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	151,965.59	17,564.20	169,529.79	0.00	169,529.79	0.00	0.00	0.00	169,529.79
Claim Number: P01050997		Affiliate Claim Number:			Claimant: Norwalk, Connecticut					
Loss Date: 11/30/2007		Reported Date: 12/03/2007			Loss Description: Water damage due to a hot water heater bursting.					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Claim Number: P01051826		Affiliate Claim Number:			Claimant: Norwalk, CT					
Loss Date: 02/11/2008		Reported Date: 02/12/2008			Loss Description: Water damage at insured location caused by leaking boiler					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Location 9999	4	151,965.59	17,564.20	169,529.79	0.00	169,529.79	0.00	0.00	0.00	169,529.79
Policy Number	4	151,965.59	17,564.20	169,529.79	0.00	169,529.79	0.00	0.00	0.00	169,529.79
2007 United States	4	151,965.59	17,564.20	169,529.79	0.00	169,529.79	0.00	0.00	0.00	169,529.79
Total 2007	4	151,965.59	17,564.20	169,529.79	0.00	169,529.79	0.00	0.00	0.00	169,529.79



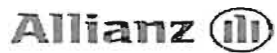
Summary Claim Detail Experience

Page: 6 of 8
Run Date: 1/22/13

Policy Number: CLP 3009643				Effective Date: 07/01/2008		Expiration Date: 07/01/2009		UW Year: 2008		
Insured: City of Norwalk, Connecticut				Country: United States						
Broker: 0028207 Napco LLC				Loc: 9999						
Claim Number: P01056598		Affilitate Claim Number:			Claimant: Norwalk, Connecticut					
Loss Date: 01/20/2009		Reported Date: 02/02/2009			Loss Description: Pipe burst at school. Refrigeration was affected resulting in some spoilage.					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Claim Number: P01057148		Affilitate Claim Number:			Claimant: Norwalk, Connecticut					
Loss Date: 03/08/2009		Reported Date: 03/11/2009			Loss Description: Vehicle hit the building.					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Location 9999	2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Policy Number	2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2008 United States	2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total 2008	2	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

* Totals in US Dollars

** Excludes SIR



Summary Claim Detail Experience

Page: 7 of 8
Run Date: 1/22/13

Policy Number: CLP 3010641				Effective Date: 07/01/2009		Expiration Date: 07/01/2010		UW Year: 2009		
Insured:		City of Norwalk, Connecticut				Country: United States				
Broker:		0028207 Napco LLC				Loc: 9999				
Claim Number: P01061965		Affilitate Claim Number:		Claimant: CAT 96-Norwalk, Connecticut						
Loss Date: 03/13/2010		Reported Date: 03/16/2010		Loss Description: Wind damage.						
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	95,899.08	0.00	95,899.08	0.00	95,899.08	0.00	0.00	0.00	95,899.08
Claim Number: P01063338		Affilitate Claim Number:		Claimant: City of Norwalk						
Loss Date: 06/10/2010		Reported Date: 06/22/2010		Loss Description: Fire						
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	203,018.60	10,267.08	213,285.68	0.00	213,285.68	0.00	0.00	0.00	213,285.68
Location 9999	2	298,917.68	10,267.08	309,184.76	0.00	309,184.76	0.00	0.00	0.00	309,184.76
Policy Number	2	298,917.68	10,267.08	309,184.76	0.00	309,184.76	0.00	0.00	0.00	309,184.76
2009 United States	2	298,917.68	10,267.08	309,184.76	0.00	309,184.76	0.00	0.00	0.00	309,184.76
Total 2009	2	298,917.68	10,267.08	309,184.76	0.00	309,184.76	0.00	0.00	0.00	309,184.76

* Totals in US Dollars

** Excludes SIR

Policy Number: CLP 3011682				Effective Date: 07/01/2010		Expiration Date: 07/01/2011		UW Year: 2010		
Insured: City of Norwalk, Connecticut				Country: United States						
Broker: 0028207 Napco LLC				Loc: 9999						
Claim Number: P01064362		Affiliate Claim Number:			Claimant: Norwalk, Connecticut					
Loss Date: 09/13/2010		Reported Date: 09/16/2010			Loss Description: Ceiling collapsed at school due to water damage.					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Claim Number: P01065694		Affiliate Claim Number:			Claimant: Norwalk, Connecticut					
Loss Date: 01/17/2011		Reported Date: 01/19/2011			Loss Description: A motor vehicle drove through the front entrance of building.					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	1,641.21	1,641.21	0.00	1,641.21	0.00	0.00	0.00	1,641.21
Claim Number: P01065712		Affiliate Claim Number:			Claimant: Norwalk, Connecticut					
Loss Date: 01/18/2011		Reported Date: 01/20/2011			Loss Description: Water damage.					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	181,798.55	12,053.18	193,851.73	0.00	193,851.73	0.00	0.00	0.00	193,851.73
Claim Number: P01065808		Affiliate Claim Number:			Claimant: Norwalk, Connecticut					
Loss Date: 01/25/2011		Reported Date: 01/28/2011			Loss Description: Transformer blew.					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	264,308.54	1,444.32	265,752.86	0.00	265,752.86	0.00	0.00	0.00	265,752.86
Claim Number: P01067482		Affiliate Claim Number:			Claimant: Norwalk, Connecticut					
Loss Date: 06/17/2011		Reported Date: 06/22/2011			Loss Description: Lightning storm damaged scoreboard, field speakers, and lighting relays					
	Status	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
US Dollar	Closed	0.00	477.36	477.36	0.00	477.36	0.00	0.00	0.00	477.36
Location 9999	5	446,107.09	15,616.07	461,723.16	0.00	461,723.16	0.00	0.00	0.00	461,723.16
Policy Number	5	446,107.09	15,616.07	461,723.16	0.00	461,723.16	0.00	0.00	0.00	461,723.16
2010 United States	5	446,107.09	15,616.07	461,723.16	0.00	461,723.16	0.00	0.00	0.00	461,723.16
Total 2010	5	446,107.09	15,616.07	461,723.16	0.00	461,723.16	0.00	0.00	0.00	461,723.16
Grand Total	22	984,184.36	61,282.28	1,045,466.64	-9,635.78	1,035,830.86	0.00	0.00	0.00	1,035,830.86

* Totals in US Dollars

** Excludes SIR



Loss Experience Summary

For Policy 3011682 **

Page: 1 of 1
Run Date: 1/22/13

Policy # 3011682		Insured: City of Norwalk, Connecticut				USA Broker: Napco LLC				Currency: US Dollar	
UW Year	Claim Count	Pol. No.	Paid Losses	Paid Expenses	Total Paid	Loss Recovery	Net Paid	O/S Loss Reserve	O/S Expense Reserve	Total Reserve	Total Incurred
2004	1	3004942	0.00	6,032.43	6,032.43	0.00	6,032.43	0.00	0.00	0.00	6,032.43
2005	6	3006197	87,194.00	9,848.57	97,042.57	-9,635.78	87,406.79	0.00	0.00	0.00	87,406.79
2006	2	3007424	0.00	1,953.93	1,953.93	0.00	1,953.93	0.00	0.00	0.00	1,953.93
2007	4	3008501	151,965.59	17,564.20	169,529.79	0.00	169,529.79	0.00	0.00	0.00	169,529.79
2008	2	3009643	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2009	2	3010641	298,917.68	10,267.08	309,184.76	0.00	309,184.76	0.00	0.00	0.00	309,184.76
2010	5	3011682	446,107.09	15,616.07	461,723.16	0.00	461,723.16	0.00	0.00	0.00	461,723.16
Totals:	22		984,184.36	61,282.28	1,045,466.64	-9,635.78	1,035,830.86	0.00	0.00	0.00	1,035,830.86

** Excludes SIR

Date: June 7, 2013

To: Members of the Finance Committee

From: Karen Del Vecchio, Director of Information Technology

Subject: Data Center Core Switch Equipment Fire Station – Bid Project #3299

The City will be moving its production data center equipment from the City Hall data room to a new data center at Fire HQ at completion of construction. The City will be moving core information services, such as financial systems, email and telephone communications, mapping, internet services, and tax systems, to the Fire HQ data center which will have backup generator power and redundant air conditioning. The data center move will be done in a phased and off-hours approach to minimize the downtime impact on the City's systems and the public.

The existing network core switch technology (purchased in February 2007) in the City's data center, however, lacks sufficient capacity to support the new data center and the expanded headquarters technologies, Emergency Operations Center requirements, and multiple fiber optic connection of the new Fire HQ. This requested core switch provides resilience and fault tolerance in both equipment and software to support a public safety installation of this size and scope.

Recognizing the need for a larger capacity, high-availability core switch for the new data center, the IT Department requested funding for this project which was approved in the IT capital 2013/2014 capital budget.

In May of 2013, the City released Bid Project #3299 for the supply of the core switching equipment to support the new data center and expanded EOC at Fire Headquarters. Bids were received from three authorized resellers. Members of the City's IT and Purchasing Departments reviewed the bid submissions and recommended the award be made to the lowest bidder.

The ITT Committee unanimously approved the award at its June 5, 2013 meeting.

The specific action requested is:

Authorize the Purchasing Agent to issue purchase orders to ePlus technology for the supply of Bid Project #3299 Data Center Core Switch Equipment New Fire Station Facility, per bid response quotation 21511248, dated 5/29/2013, for an amount not to exceed \$70,693.43, account 09140600-5777-C0375, (budgeted IT capital item; no special appropriation required) and forward onto the Finance Committee for further action.

Att: ITT Approval Form



City of Norwalk
Information Technology Department

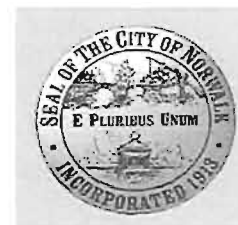
125 East Ave., Rm203

Norwalk, CT 06850

Phone: 203-854-7714

Fax: 203-854-7803

ITT Request Form



Data Center Core Switch New Fire Station - Bid Project 3299

Request Number: Bid 3299

Modification Number :

0

Date of Request:

29-May-13

FUNDED IN CURRENT CAPITAL OR EXPENSE BUDGET: YES

CONSISTENT WITH CITY TECHNOLOGY PLAN:

Yes

BUDGET ACCOUNT: 09140600-5777-C0375

VENDOR DATA

ePlus Technology

Ship to: Rodney Garcia, IT, Suite 203, City Hall

80 Hudson Street, Canton, MA

per quotation #21511248, dated 5/29/2013

Stacy Szczepanski

781-615-1361

PRICING INFORMATION

QTY.	DESCRIPTION	MAKE	MODEL	PRICE/UNIT	EXT PRICE
1	All equipment and services	on quotation #21511248	dated 5/29/2013	\$70,692.43	\$70,692.43

Total Price

\$70,692.43

Date Presented: 5-Jun-13

Date Approved: 5-Jun-13

PROJECT 4510 Core Fire HQ

ITT Signature:



OFFICE OF THE MAYOR

RICHARD A. MOCCIA

MEMORANDUM

DATE: June 1, 2013

TO: The Members of the Board of Estimate and Taxation
The Members of the Planning Commission
The Members of the Common Council

FROM: Richard Moccia, Mayor

A handwritten signature in dark ink, appearing to read "Rich Moccia", written over the "FROM:" line.

RE: Capital Project Close Outs and Special Capital Appropriations

Attached is a master list of proposed capital project close outs and related special capital appropriations totaling \$2,277,666.

This action will transfer projects which were originally appropriated to the Planning & Zoning Department to the Redevelopment Agency and the Department of Public Works. It will also reallocated capital project balances within the Department of Public Works, and within the Parking Authority.

The Finance Director's statement concerning the most feasible means to finance these appropriations and additional documentation concerning this request is attached.

ACTION REQUESTED:

1. RESOLUTION, authorizing the close out of various capital projects totaling \$2,277,666 from the DPW, Parking Authority & Planning & Zoning Departments (detailed work sheet attached). Account Numbers (Various).
2. RESOLUTION, authorizing the increase of various capital projects totaling \$2,277,666 in the Redevelopment, DPW and Parking Authority Departments (detailed work sheet attached). Account Numbers (Various).

Cc: Michael Greene, Director of Planning & Zoning
Harold Alvord, Director of Public Works
Timothy Sheehan, Executive Director, Redevelopment Agency



DEPARTMENT OF FINANCE
OFFICE OF THE DIRECTOR

MEMORANDUM

DATE: June 1, 2012

TO: Richard A. Moccia, Mayor
The Members of the Board of Estimate and Taxation
The Members of the Planning Commission
The Members of the Common Council

FROM: Thomas Hamilton, Director of Finance

RE: Capital Project Close Outs and Special Capital Appropriations

Attached is a master list of proposed capital project close outs and related special capital appropriations. The projects being closed out have available budgets which total \$2,277,666, and the proposed appropriations total the same amount.

We received a request from Mike Greene, Director of Planning and Zoning, to relinquish capital project funding which was originally appropriated to the Planning and Zoning Department and to reassign these projects to other City departments. Mr. Greene made this request because an increased caseload relative to zoning permits, large application processing, construction inspection, violation resolution, and litigation preparation did not make it possible for his department to administer these capital projects at this time. Mr. Greene requested that we find another way for these worthy projects to move forward.

There are presently four (4) capital projects funded under the Planning & Zoning Department, with appropriations made over several years and total available balances as listed below:

<u>Project Name</u>	<u>Avail. Balance</u>	<u>Description</u>
Waterfront Public Access	\$673,500	Fill in gaps in existing Harbor Loop Trail
Bikeway Plan	301,902	Plan and construct bikeways, bike lanes, and sharrows
Citywide Traffic Management Plan	100,000	Traffic Mgmt Plan
Sidewalk Study/Implementation	154,095	Fill in gaps/construct new sidewalks in priority locations
TOTAL	<u>\$1,229,497</u>	

After reviewing which departments were best equipped to handle each project, we concluded that the Waterfront Public Access and Bikeway Plan projects could be best moved forward by the

Redevelopment Agency, and the Citywide Traffic Management Plan and Sidewalk Study/Implementation could be best handled by the Department of Public Works. The Redevelopment Agency has substantial experience administering various capital construction projects in concert with multiple funding sources and other City departments, including DPW and Recreation & Parks. We believe that the Redevelopment Agency would have the best chance for securing additional grant funding to further these projects. Moreover, the Waterfront Public Access project is adjacent to many of the key redevelopment parcels in the City.

With respect to Traffic Management and Sidewalk construction, the Public Works Department also has existing capital appropriations which address these matters, so transferring these funds from Planning and Zoning to DPW seems a logical course of action.

In reviewing this request with the Department of Public Works, it raised questions about certain other DPW and Parking Authority capital projects which remain open with available balances. While the City Code generally provides that when a capital project is completed or terminated that any remaining funds are to be returned to the capital budget surplus account where they shall be used to reduce the net amount to be bonded for the following year's capital budget, there are some outstanding capital project balances which do not fit neatly into this category.

Since the Parking Authority and WPCA pay their own debt service from revenues generated by their user charges, it seems clear that any reallocation of unspent Parking Authority or WPCA capital appropriations should be restricted to the Parking Authority or WPCA, respectively. To do otherwise would lead to a situation where the Parking Authority or WPCA users are paying for debt service not related to these operations. Therefore, we believe that excess Parking Authority or WPCA capital appropriations should only be used to fund future capital projects within these respective operations. In this case, we have identified \$479,624 of Parking Authority projects with an excess balance, and are proposing to transfer these funds to other needed projects within the Parking Authority.

In addition, in reviewing the DPW capital projects with available balances, we discovered certain projects which were not necessarily completed or terminated, but which still have available free balances. We have identified related or similar projects within the Public Works Department where we believe it is appropriate to transfer these available balances. The DPW projects which fall into this category total \$568,545, and are itemized on the attached spreadsheet.

The proposed special capital appropriations summarized by major category are as follows:

P & Z projects moved to Redevelopment Agency	\$975,402
P & Z projects moved to Public Works	254,095
Parking Authority projects reallocated with Parking Authority	479,624
DPW projects reallocated within DPW	<u>568,545</u>
TOTAL	\$2,277,666

The most feasible means to finance these appropriations is from the anticipated surplus and available free balance from the projects which are being closed out.

Cc: Mike Greene, Director of Planning & Zoning
Hal Alvord, Director of Public Works
Tim Sheehan, Executive Director, Redevelopment Agency

29 April 2013

Memorandum

To: Mayor Moccia

From: Mike Greene, Dir of Planning & Zoning

Subject : Capital Budget Projects

As you are aware , permitting activity has exceeded the 21 year average for each month in the past year and a half. In fact, the first quarter of 2013 exceeds any quarter on record! We issued 386 permits which surpasses the first quarter of 2005 (our busiest year) by 30% and our first quarter of 2006 (our busiest quarter) by 11%. If I may say so, these increases reflect positively on the staff of the Planning & Zoning Dept. as our employees have responded with timely efficiency and professionalism to the dramatically increased caseload. Further, the complicated requirements of the Federal Flood Regulations have exacerbated the energy required to effectively handle all of the cases.

With no end in sight to the forgoing affirmative statistics this suggests reassignment of the Capital Budget funds allocated to this departments projects. The management of these by our office was undertaken during a time when permitting activity was comparatively low and two additional employees were available. Reassigning the funding will allow the Planning & Zoning Office – without additional staff- to focus on our primary mission of permitting, large application processing, construction inspection, violation resolution and litigation preparation.

Of course redesignation of capital funds will also allow important projects to move forward. In order to provide continuity, this office will finish the projects that have already been contracted.

I am proud of the work undertaken by the Planning & Zoning staff during the resurgence of development activity in Norwalk. The recommendations contained herein will present the best opportunity for this good work to continue and for important capital projects to move forward.

Please let me know when we can meet to discuss the details/requirements to begin the process.

Cc: Tom Hamilton
Emily Wilson
Torgny Astrom

NORWALK DEVELOPMENT AGENCY

May 28, 2013

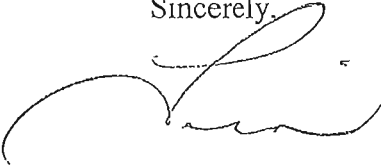
Mr. Thomas Hamilton, Finance Director
City of Norwalk Connecticut
125 East Avenue
Norwalk, CT 06856

Dear Mr. Hamilton:

On behalf of the Redevelopment Agency, I have reviewed and considered the attached Special Capital Appropriation Request as prepared by your department in consultation with the Director of Planning and Zoning regarding the possible reassignment of certain Capital Budget allocations previously assigned to the Planning and Zoning Department regarding Waterfront Public Access and Bikeway Plans to the Redevelopment Agency. Provided this reassignment is acceptable to the Director of Planning and Zoning and the respective commission that sought the funding, the Redevelopment Agency will accept the assignment of the funds as allocated in the attached schedule.

If I or the Agency can be of any further assistance in this matter, please let me know.

Sincerely,



Timothy Sheehan
Executive Director



DEPARTMENT OF PUBLIC WORKS

May 24, 2013

Thomas Hamilton, Director of Finance
125 East Avenue
Norwalk, CT 06851

Re: Request for Project Closures and Special Appropriation

Dear Mr. Hamilton:

Reference is made to the attached consolidated spreadsheet titled Special Capital Appropriation Request.

Pursuant to our recent coordination regarding making maximum use of previously authorized and appropriated capital funds to further enhance the infrastructure of the City, I hereby:

1. Express willingness to accept those funds currently in Planning and Zoning Projects C0396 and C0473 into Department of Public Works Projects (DPW) C0514 and C0318.
2. Request closure of the nine (9) DPW projects reflected in the CLOSE PROJECTS side of the spreadsheet and special appropriation in equal amounts to the six (6) DPW projects reflected in the INCREASE APPROPRIATIONS side of the spreadsheet.
3. Request closure of the three (3) Parking Authority projects reflected in the CLOSE PROJECTS side of the spreadsheet and special appropriation in equal amounts to the three (3) Parking Authority projects reflected in the INCREASE APPROPRIATIONS side of the spreadsheet.

No funds are being requested beyond what have been previously authorized by the Common Council. Approval of the request will provide for more efficient use of existing and available funds to repair and/or enhance such key infrastructure as sidewalks, roadway corridors and intersections, and drainage systems, as well as the City's Geographic Information System (GIS). I shall be happy to answer any questions and attend any meetings necessary to achieve the desired end result.

Sincerely,

A handwritten signature in dark ink, appearing to read "Harold F. Alvord", written in a cursive style.

for
Harold F. Alvord, P.E.
Director

cc: David McCarthy, Chairman, Public Works Committee of the Common Council

City of Norwalk, Connecticut
DEPARTMENTS OF PUBLIC WORKS, PARKING AUTHORITY, PLANNING ZONING, REDEVELOPMENT
Special Capital Appropriation Request

CLOSE PROJECTS										INCREASE APPROPRIATIONS				
FY	FUNC/DIV DESCRIPTION	PROJECT	PROJECT DESCRIPTION	ORIGINAL APPROP	TRANFRS ADJSTMTS	REVISED BUDGET	YTD EXPENDED	ENCUM- BRANCES	AVAILABLE BUDGET	TRANSFER REQUEST	FY	FUNC/DIV DESCRIPTION	PROJECT	PROJECT DESCRIPTION
PLANNING & ZONING TRANSFER										REDEVELOPMENT				
09-10	PLANNING & ZONING COMMISSION	09103310 5777 C0467	WATERFRONT PUBLIC ACCESS	\$ 25,000	\$ -	\$ 25,000	\$ -	\$ -	\$ 25,000	\$ 25,000	12-13	REDEVELOPMENT	091309010 5777 C0467	WATERFRONT PUBLIC ACCESS
10-11	PLANNING & ZONING COMMISSION	09113310 5777 C0467	WATERFRONT PUBLIC ACCESS	\$ 200,000	\$ -	\$ 200,000	\$ -	\$ -	\$ 200,000	\$ 200,000	12-13	REDEVELOPMENT	091309010 5777 C0467	WATERFRONT PUBLIC ACCESS
11-12	PLANNING & ZONING COMMISSION	09123310 5777 C0467	WATERFRONT PUBLIC ACCESS	\$ 250,000	\$ -	\$ 250,000	\$ -	\$ 46,500	\$ 203,500	\$ 203,500	12-13	REDEVELOPMENT	091309010 5777 C0468	WATERFRONT PUBLIC ACCESS
12-13	PLANNING & ZONING COMMISSION	09133310 5777 C0467	WATERFRONT PUBLIC ACCESS	\$ 145,000	\$ -	\$ 145,000	\$ -	\$ -	\$ 145,000	\$ 145,000	12-13	REDEVELOPMENT	091309010 5777 C0468	WATERFRONT PUBLIC ACCESS
13-14	PLANNING & ZONING COMMISSION	09143310 5777 C0467	WATERFRONT PUBLIC ACCESS	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -	\$ 100,000	\$ 100,000	13-14	REDEVELOPMENT	091409010 5777 C0468	WATERFRONT PUBLIC ACCESS
11-12	PLANNING & ZONING COMMISSION	09123310 5777 C0468	BIKEWAY PLAN	\$ 125,000	\$ -	\$ 125,000	\$ -	\$ -	\$ 125,000	\$ 125,000	12-13	REDEVELOPMENT	091309010 5777 C0468	BIKEWAY PLAN
12-13	PLANNING & ZONING COMMISSION	09133310 5777 C0468	BIKEWAY PLAN	\$ 135,000	\$ -	\$ 135,000	\$ 8,098	\$ -	\$ 126,902	\$ 126,902	12-13	REDEVELOPMENT	091309010 5777 C0468	BIKEWAY PLAN
13-14	PLANNING & ZONING COMMISSION	09143310 5777 C0468	BIKEWAY PLAN	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000	\$ 50,000	13-14	REDEVELOPMENT	091409010 5777 C0468	BIKEWAY PLAN
TOTAL				\$ 1,030,000	\$ -	\$ 1,030,000	\$ 8,098	\$ 46,500	\$ 975,402	\$ 975,402				
PLANNING & ZONING TRANSFER										DEPARTMENT OF PUBLIC WORKS				
10-11	PLANNING & ZONING COMMISSION	09113310 5777 C0396	CITYWIDE TRAFFIC MANAGEMENT PLAN	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -	\$ 100,000	\$ 100,000	12-13	SIGNALIZATION	09134031 5777 C0514	TRANSPORATION MASTER PLAN IMPLEMENT
10-11	PLANNING & ZONING COMMISSION	09113310 5777 C0473	SIDEWALK STUDY	\$ 50,000	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000	\$ 50,000	12-13	MAINTENANCE & STREET REPAIR	09134021 5777 C0318	SIDEWALKS & CURBS
12-13	PLANNING & ZONING COMMISSION	09133310 5777 C0473	SIDEWALK STUDY	\$ 50,000	\$ -	\$ 50,000	\$ 30,905	\$ -	\$ 19,095	\$ 19,095	12-13	MAINTENANCE & STREET REPAIR	09134021 5777 C0318	SIDEWALKS & CURBS
13-14	PLANNING & ZONING COMMISSION	09143310 5777 C0473	SIDEWALK STUDY	\$ 85,000	\$ -	\$ 85,000	\$ -	\$ -	\$ 85,000	\$ 85,000	13-14	MAINTENANCE & STREET REPAIR	09134021 5777 C0318	SIDEWALKS & CURBS
TOTAL				\$ 285,000	\$ -	\$ 285,000	\$ 30,905	\$ -	\$ 254,095	\$ 254,095				
DEPARTMENT OF PUBLIC WORKS										DEPARTMENT OF PUBLIC WORKS				
02-03	PUBLIC WORKS-STORM DRAINAGE	09034027 5777 C0138	STORM DRAINAGE	\$ 560,000	\$ -	\$ 560,000	\$ 298,491	\$ -	\$ 261,509	\$ 261,509	12-13	PUBLIC WORKS-STORM DRAINAGE	09134027 5777 C0440	WATERCOURSE MAINTENANCE
03-04	MAINTENANCE & STREET REPAIR	09044021 5777 C0304	ROAD & STREET REPAIR	\$ 55,049	\$ -	\$ 55,049	\$ 30,356	\$ -	\$ 24,693	\$ 24,693	12-13	PUBLIC WORKS-STORM DRAINAGE	09134027 5777 C0302	GENERAL DRAINAGE
03-04	MAINTENANCE & STREET REPAIR	09044021 5777 C0317	TRAFFIC CALMING PROJECTS	\$ 100,000	\$ -	\$ 100,000	\$ 69,842	\$ -	\$ 30,158	\$ 30,158	12-13	SIGNALIZATION	09134031 5777 C0514	TRANSPORATION MASTER PLAN IMPLEMENT
04-05	MAINTENANCE & STREET REPAIR	09054021 5777 C0317	TRAFFIC CALMING PROJECTS	\$ 100,000	\$ -	\$ 100,000	\$ -	\$ -	\$ 100,000	\$ 100,000	12-13	SIGNALIZATION	09134031 5777 C0514	TRANSPORATION MASTER PLAN IMPLEMENT
06-07	TRAFFIC & PARKING	09074120 5777 C0396	CITYWIDE TRAFFIC MANAGEMENT PLAN	\$ 25,000	\$ -	\$ 25,000	\$ -	\$ -	\$ 25,000	\$ 25,000	12-13	SIGNALIZATION	09134031 5777 C0514	TRANSPORATION MASTER PLAN IMPLEMENT
07-08	SIGNALIZATION	09084031 5777 C0420	BASE MAP UPDATE	\$ 325,000	\$ -	\$ 325,000	\$ 279,652	\$ 18,900	\$ 26,448	\$ 26,448	12-13	SIGNALIZATION	09134031 5777 C0037	GEOGRAPHIC INFO SYSTEM
08-09	PUBLIC WORKS-STORM DRAINAGE	09094027 5777 C0423	STRM NOAH'S LANE	\$ 275,000	\$ -	\$ 275,000	\$ 259,263	\$ -	\$ 15,737	\$ 15,737	12-13	PUBLIC WORKS-STORM DRAINAGE	09134027 5777 C0302	GENERAL DRAINAGE
10-11	MAINTENANCE & STREET REPAIR	09114021 5777 C0482	SIDEWALKS & CURBING WALTER AVE	\$ 10,000	\$ -	\$ 10,000	\$ -	\$ -	\$ 10,000	\$ 10,000	12-13	MAINTENANCE & STREET REPAIR	09134021 5777 C0318	SIDEWALKS & CURBS
11-12	MAINTENANCE & STREET REPAIR	09124021 5777 C0497	ROTON MIDDLE SCHOOL CROSSING	\$ 75,000	\$ -	\$ 75,000	\$ -	\$ -	\$ 75,000	\$ 75,000	12-13	MAINTENANCE & STREET REPAIR	09134021 5777 C0318	SIDEWALKS & CURBS
TOTAL				\$ 1,525,049	\$ -	\$ 1,525,049	\$ 937,604	\$ 18,900	\$ 568,545	\$ 568,545				
PARKING AUTHORITY										PARKING AUTHORITY				
08-09	PUBLIC WORKS-LOTS & METERS	09094095 5777 C0354	HAVILAND DECK	\$ 1,000,000	\$ -	\$ 1,000,000	\$ 883,594	\$ -	\$ 116,406	\$ 116,406	12-13	PUBLIC WORKS-LOTS & METERS	09134095 5777 C0465	REVENUE CONTROL EQUIPMENT
10-11	PUBLIC WORKS-LOTS & METERS	09114095 5777 C0358	SONO RAILROAD PARKING	\$ 952,000	\$ (49,000)	\$ 903,000	\$ 572,920	\$ -	\$ 330,080	\$ 330,080	12-13	PUBLIC WORKS-LOTS & METERS	09134095 5777 C0475	WEBSTER ST PARKING LOT
11-12	PUBLIC WORKS-LOTS & METERS	09124095 5777 C0464	MARITIME PARKING GARAGE	\$ -	\$ 169,000	\$ 169,000	\$ 135,862	\$ -	\$ 33,138	\$ 33,138	12-13	PUBLIC WORKS-LOTS & METERS	09134095 5777 C0303	PARKING GARAGES
TOTAL				\$ 1,952,000	\$ 120,000	\$ 2,072,000	\$ 1,592,376	\$ -	\$ 479,624	\$ 479,624				
GRAND TOTAL				\$ 4,792,049	\$ 120,000	\$ 4,912,049	\$ 2,568,983	\$ 65,400	\$ 2,277,666	\$ 2,277,666				

SECTION A

RESOLVED

**SPECIAL APPROPRIATIONS - JUNE 3, 2013
BOARD OF ESTIMATE AND TAXATION**

ADVERTISED ITEM:

FISCAL YEAR 2012-13:

1. RESOLVED, that a sum not to exceed \$406,853 be and the same is hereby transferred from Contingency to various departments to cover wage settlements for various union contracts for FY 2012-13. (Account #Various).



DEPARTMENT OF FINANCE
OFFICE OF MANAGEMENT & BUDGETS

Date: June 3, 2013
To: Members of the Board of Estimate & Taxation
From: Robert Barron, Director of Management and Budgets
Re: Special Appropriations

FISCAL YEAR 2012-13:

Item 1:

\$406,853 from Contingency to various Departments to cover wage settlements in various union contracts for FY 2012-13.

The following union contracts and wage agreements were settled in this fiscal year 2012-13:

- NMEA, Norwalk Municipal Employees Association
- NASA, Norwalk Assistants and Supervisors Association
- AFSCME Local 2405, Council 4, American Federation of State, County and Municipal Employees
- Ordinance & Exempt Positions
- Elected Officials

The impact to this fiscal year's budget for all groups is a 2.25% wage increase. This request transfers a sufficient amount of funds from the city's contingency account, where the reserves for these settlements were made, to cover the forecast deficits caused by these settlements to the departments shown on the following page.

Finance recommends approval.

City of Norwalk, Connecticut
SETTLED CONTRACTS - SPECIAL APPROPRIATION
 June 2013

REGULAR WAGES - 5110						SETTLED CONTRACT IMPACT				
Org	Department	Projected	Revised Budget	Projected Variance	Special Appropriation Request	Norwalk Municipal Employees Association	Elected Officials	Ordinance & Exempt Positions	Norwalk Assistants and Supervisors Association	Local 2405, Council 4, AFSCME
010150	GRANTS ADMINISTRATOR	93,677	91,577	(2,100)	2,100			\$ 2,100		
010300	CORPORATION COUNSEL	707,532	697,237	(10,295)	10,295	\$ 2,458		\$ 7,837		
010400	CITY CLERK	297,661	290,748	(6,913)	6,913	\$ 2,643		\$ 4,270		
010600	INFORMATION TECHNOLOGY	836,032	819,899	(16,133)	16,133	\$ 13,489			\$ 2,644	
010700	PERSONNEL & LABOR RELATIONS	461,730	450,797	(10,933)	10,933	\$ 4,778		\$ 6,155		
011100	YOUTH SERVICES	145,611	139,141	(6,470)	6,470	\$ 2,395			\$ 4,075	
011210	REGISTRAR OF VOTERS	157,324	152,978	(4,346)	4,346	\$ 1,496	\$ 2,850			
011310	FINANCE-OFFICE OF DIRECTOR	141,785	138,665	(3,120)	3,120			\$ 3,120		
011320	FINANCE-TAX ASSESSOR	704,779	690,775	(14,004)	14,004	\$ 9,776			\$ 4,228	
011330	FINANCE-TAX COLLECTOR	541,279	529,270	(12,009)	12,009	\$ 5,831			\$ 6,179	
011361	FINANCE-PURCHASING OFFICE	240,767	236,555	(4,212)	4,212	\$ 942			\$ 3,270	
012011	HEALTH-ADMINISTRATION	221,172	216,473	(4,699)	4,699	\$ 2,242		\$ 2,457		
012020	HEALTH-ENVIRO HEALTH & HOUSING	647,772	633,378	(14,394)	14,394	\$ 12,037			\$ 2,356	
012023	HEALTH-SEALER WEIGHTS & MEAS	62,482	61,277	(1,205)	1,205	\$ 1,205				
012050	HEALTH-LABORATORY	83,642	82,092	(1,550)	1,550	\$ 1,550				
012070	HEALTH-PREVENTABLE DISEASES	204,674	193,087	(11,587)	11,587	\$ 5,541			\$ 6,046	
* 013010	ADMINISTRATION	341,424	301,622	(39,802)	1,447	\$ 1,447				
* 013037	IDENTIFICATION BUREAU	225,093	219,928	(5,165)	1,190	\$ 1,190				
013055	NEW POLICE HEADQUARTERS	55,175	52,980	(2,195)	2,195					\$ 2,195
013059	POLICE-ANIMAL CONTROL	181,925	178,589	(3,336)	3,336	\$ 2,346				\$ 990
013060	ADMINISTRATIVE SERVICES	93,921	91,780	(2,141)	2,141				\$ 2,141	
013061	PURCHASING & BOOKKEEPING	54,137	52,908	(1,229)	1,229	\$ 1,229				
013062	EXTRA WORK	49,285	47,998	(1,287)	1,287	\$ 1,287				
013063	PAYROLL	54,344	52,908	(1,436)	1,436	\$ 1,436				
013065	PUBLIC RECORDS	94,027	91,530	(2,497)	2,497	\$ 2,497				
013110	FIRE-ADMINISTRATION	362,565	360,065	(2,500)	2,500	\$ 2,500				
013160	EMERGENCY PREPAREDNESS PLAN	72,575	70,707	(1,868)	1,868			\$ 1,868		
013310	PLANNING & ZONING COMMISSION	814,492	795,632	(18,860)	18,860	\$ 12,728			\$ 6,132	
013330	PLANNING & ZONING-CONSERVATION	166,154	158,415	(7,739)	7,739	\$ 3,231			\$ 4,509	
013410	CODE ENFORCEMENT-BLDG INSPECTO	630,121	616,559	(13,562)	13,562	\$ 10,730			\$ 2,832	
014042	PUBLIC WORKS-DISPOSAL	59,899	58,334	(1,565)	1,565					\$ 1,565
014071	FACILITIES-ADMINISTRATION	75,535	74,457	(1,078)	1,078	\$ 1,078				
016010	RECREATION&PARKS-ADMINISTRATIO	310,376	303,713	(6,663)	6,663	\$ 3,922		\$ 2,741		
016031	RECREATION&PARKS-GROUNDS/FACIL	1,100,115	1,080,170	(19,945)	19,945				\$ 1,621	\$ 18,324
016200	LIBRARY	1,711,296	1,658,283	(53,013)	53,013	\$ 30,655			\$ 19,179	\$ 3,179
TOTAL		\$12,000,377	\$11,690,627	(\$309,850)	\$267,521	\$ 142,658	\$ 2,850	\$ 30,547	\$ 65,213	\$ 26,252

OVERTIME WAGES - 5120						SETTLED CONTRACT IMPACT				
Org	Department	Projected	Revised Budget	Projected Variance	Special Appropriation Request	Norwalk Municipal Employees Association	Elected Officials	Ordinance & Exempt Positions	Norwalk Assistants and Supervisors Association	Local 2405, Council 4, AFSCME
010600	INFORMATION TECHNOLOGY	32,017	21,675	(10,342)	10,342	\$ 10,342				
011000	HUMAN RELATIONS & FAIR RENT	3,030	2,200	(830)	830	\$ 830				
011210	REGISTRAR OF VOTERS	1,797	1,000	(797)	797	\$ 797				
012030	HEALTH-MEDICAL & EDUCATION SER	1,973	600	(1,373)	1,373	\$ 1,373				
012070	HEALTH-PREVENTABLE DISEASES	1,114	1,061	(53)	53	\$ 53				
* 013010	ADMINISTRATION	600	0	(600)	60	\$ 60				
* 013037	IDENTIFICATION BUREAU	6,427	1,575	(4,852)	155	\$ 155				
013055	NEW POLICE HEADQUARTERS	2,360	1,756	(604)	604					\$ 604
013059	POLICE-ANIMAL CONTROL	17,210	12,730	(4,480)	4,480	\$ 3,151				\$ 1,329
013061	PURCHASING & BOOKKEEPING	336	0	(336)	336	\$ 336				
013062	EXTRA WORK	8,085	525	(7,560)	7,560	\$ 7,560				
013065	PUBLIC RECORDS	7,070	105	(6,965)	6,965	\$ 6,965				
013066	ALARM ADMINISTRATION	26,471	210	(26,261)	26,261	\$ 26,261				
* 013130	FIRE-PREVENTION	33,041	30,450	(2,591)	700	\$ 700				
013310	PLANNING & ZONING COMMISSION	19,601	18,343	(1,258)	1,258	\$ 1,258				
* 013620	DISPATCH & EMG MGMT-POLICE	508,699	334,968	(173,731)	75,000				\$ 22,123	\$ 52,877
016010	RECREATION&PARKS-ADMINISTRATIO	18,258	15,700	(2,558)	2,558	\$ 1,506		\$ 1,052		
TOTAL		\$688,087	\$442,898	(\$245,189)	\$139,332	\$ 61,347	\$ -	\$ 1,052	\$ 22,123	\$ 54,810

APPROPRIATION REQUEST	\$12,688,464	\$12,133,425	(\$555,040)	\$406,853	\$ 204,005	\$ 2,850	\$ 31,599	\$ 87,336	\$ 81,062
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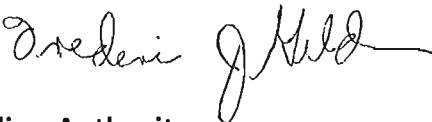
* Appropriation request is only for the amount impacted by the settled contracts which is less than the projected variance in these accounts



**DEPARTMENT OF FINANCE
ACCOUNTING & TREASURY DIVISION**

Date: May 9, 2013

To: Members of the Finance Committee of the Common Council

From: Frederic J. Gilden, Comptroller 

Subject: Capital Authorization and Bonding Authority

The Capital Projects process begins with Department Requests and continues through Finance Director recommended, Planning Commission, Mayor and Common Council approval. The Capital budget for next year was approved by the City of Norwalk Common Council in April. The next step in the process is Council approval to allow Capital Projects for 2013-2014 to be bonded. This is the approval that we are asking for now. The final steps will be the bond resolution which is projected for June and then the bond sale that is projected for the July/August timeframe.

The attached resolution consists of two parts. The first part reaffirms the Capital Budget appropriation and the second part authorizes the bonding authority. The bonding authority is less than the appropriation and takes into account any grant or state funding.

The Resolution:

RESOLUTION MAKING APPROPRIATIONS FOR VARIOUS PUBLIC IMPROVEMENTS AGGREGATING \$19,684,000 FOR THE 2013-2014 CAPITAL BUDGET AND AUTHORIZING THE ISSUANCE OF \$15,653,000 GENERAL OBLIGATION BONDS OF THE CITY TO MEET CERTAIN APPROPRIATIONS IN THE 2013-2014 CAPITAL BUDGET.

RESOLUTION MAKING APPROPRIATIONS FOR VARIOUS PUBLIC IMPROVEMENTS AGGREGATING \$19,684,000 FOR THE 2013-2014 CAPITAL BUDGET AND AUTHORIZING THE ISSUANCE OF \$15,653,000 GENERAL OBLIGATION BONDS OF THE CITY TO MEET CERTAIN APPROPRIATIONS IN THE 2013-2014 CAPITAL BUDGET.

RESOLVED:

Section 1. Appropriations aggregating \$19,684,000 are hereby made by the City of Norwalk, Connecticut (the "City") for each subtotal set forth opposite the City's departments and entities (herein collectively "Departments") below. Each Departmental subtotal shall constitute an appropriation to meet the estimated costs of the projects or purposes (the "Projects") specified for each Department, respectively, as follows:

POLICE

C0107	Prisoner Van	\$60,000	
C0524	Pickup Truck	<u>32,000</u>	
	Subtotal:		\$ 92,000

FIRE

C0525	Westport Avenue Addition/Renovation	400,000	
C0412	Various Stations: Repairs & Replacements	<u>35,000</u>	
	Subtotal:		435,000

PUBLIC WORKS

C0439	City Hall Repairs & Improvements	1,135,000	
C0119	Public Works Center - Repairs/Improvements	75,000	
C0149	Energy Conservation - Various Locations	25,000	
C0137	Police Headquarters	15,000	
C0325	Lockwood House	88,000	
C0526	Glover Avenue Bridge Rails	120,000	
C0315	General Bridge and Retaining Wall Repair	65,000	
C0313	Fleet Replacement	827,000	
C0021	Pavement Management Program	5,000,000	
C0318	Sidewalk & Curbing - Citywide	350,000	
C0349	Sidewalk and Curb - City Buildings	50,000	
C0302	General Drainage	250,000	
C0440	Watercourse Maintenance	400,000	
C0232	Traffic Signals at Various Locations	250,000	
C0528	Traffic System Enhancements	100,000	
C0514	Transportation Master Plan Implementation	600,000	
C0233	Tree Planting General	45,000	
C0515	Transfer Station	<u>228,000</u>	
	Subtotal:		9,623,000

WATER POLLUTION CONTROL AUTHORITY

C0360	Pump Station Upgrade/Replacement	250,000	
C0529	Sammis Street Pump Station and Ann Siphon Flow Monitoring Rehabilitation	1,400,000	
C0530	Ann St. Siphon Sluice Gate Rehabilitation	250,000	
C0361	Collection System Rehabilitation	<u>1,500,000</u>	
	Subtotal:		3,400,000

BOARD OF EDUCATION

C0112	District Common Core State Standards	2,100,000	
C0537	Enhancement to School Security	100,000	
C0538	District Technology	<u>500,000</u>	
	Subtotal:		2,700,000

RECREATION & PARKS

C0486	Vehicles	127,000	
C0462	Fodor Farm	100,000	
C0367	Veteran's Memorial Park	35,000	
C0365	Calf Pasture Beach	750,000	
C0364	School & Park Playgrounds	205,000	
C0321	Basketball & Tennis Courts	50,000	
C0131	Backstop & Fencing Improvements	30,000	
C0370	Tree Planting	<u>40,000</u>	
	Subtotal:		1,337,000

LIBRARY

C0531	Main Library Preservation	97,000	
C0532	Building Plan for Main Library	15,000	
C0381	Teen Tech Rooms	<u>15,000</u>	
	Subtotal:		127,000

HISTORICAL COMMISSION

C0294	Cemetery Site Work	20,000	
C0533	Museum Collection Archive	40,000	
C0374	Mill Hill Buildings	22,000	
C0501	Matthews Park Buildings	25,000	
C0294	Cemetery Conservation / Restoration	<u>20,000</u>	
	Subtotal:		127,000

REDEVELOPMENT AGENCY

C0288	Affordable Housing	250,000	
C0451	Transit Oriented Development	350,000	
C0534	North Water Street Lighting	200,000	
C0535	Public Art Fund	<u>25,000</u>	
	Subtotal:		825,000

INFORMATION TECHNOLOGY

C0375	Citywide IT Projects	<u>383,000</u>	
	Subtotal:		383,000

HEALTH

C0453	Building Repairs and Improvement	<u>150,000</u>	
	Subtotal:		150,000

PLANNING & ZONING

C0467	Waterfront Public Access	100,000	
C0468	Bikeway Plan	50,000	
C0473	Sidewalk Plan	<u>85,000</u>	
			<u>235,000</u>

HUMAN RELATIONS & FAIR RENT

C0536	ADA Compliance	<u>250,000</u>	
	Subtotal:		<u>250,000</u>

<u>GRAND TOTAL 2013-2014 CAPITAL BUDGET:</u>	<u>\$19,684,000</u>
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Section 2. To meet such portion of the appropriation for each Department not funded from other sources, \$15,653,000 bonds of the City are hereby authorized to be issued to fund the Projects set forth below:

POLICE

C0107	Prisoner Van	\$60,000	
C0524	Pickup Truck	<u>32,000</u>	
	Subtotal:		\$ 92,000

FIRE

C0525	Westport Avenue Addition/Renovation	400,000	
C0412	Various Stations: Repairs & Replacements	<u>35,000</u>	
	Subtotal:		435,000

PUBLIC WORKS

C0439	City Hall Repairs & Improvements	1,135,000	
C0119	Public Works Center - Repairs/Improvements	75,000	
C0149	Energy Conservation -Various Locations	25,000	
C0137	Police Headquarters	15,000	
C0325	Lockwood House	88,000	
C0526	Glover Avenue Bridge Rails	120,000	
C0315	General Bridge and Retaining Wall Repair	65,000	
C0313	Fleet Replacement	827,000	
C0021	Pavement Management Program	4,369,000	
C0318	Sidewalk & Curbing - Citywide	350,000	
C0349	Sidewalk and Curb – City Buildings	50,000	
C0302	General Drainage	250,000	
C0440	Watercourse Maintenance	400,000	
C0232	Traffic Signals at Various Locations	250,000	
C0528	Traffic System Enhancements	100,000	
C0514	Transportation Master Plan Implementation	600,000	
C0233	Tree Planting General	45,000	
C0515	Transfer Station	<u>228,000</u>	
	Subtotal:		8,992,000

BOARD OF EDUCATION

C0112	District Common Core State Standards	2,100,000	
C0537	Enhancement to School Security	100,000	
C0538	District Technology	<u>500,000</u>	
	Subtotal:		2,700,000

RECREATION & PARKS

C0486	Vehicles	127,000	
C0462	Fodor Farm	100,000	
C0367	Veteran's Memorial Park	35,000	
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C0321	Basketball & Tennis Courts	50,000	
C0131	Backstop & Fencing Improvements	30,000	
C0370	Tree Planting	<u>40,000</u>	
	Subtotal:		1,337,000

LIBRARY

C0531	Main Library Preservation	97,000	
C0532	Building Plan for Main Library	15,000	
C0381	Teen Tech Rooms	<u>15,000</u>	
	Subtotal:		127,000

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C0294	Cemetery Conservation / Restoration	<u>20,000</u>	
	Subtotal:		127,000

REDEVELOPMENT AGENCY

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C0451	Transit Oriented Development	350,000	
C0534	North Water Street Lighting	200,000	
C0535	Public Art Fund	<u>25,000</u>	
	Subtotal:		825,000

INFORMATION TECHNOLOGY

C0375	Citywide IT Projects	<u>383,000</u>	
	Subtotal:		383,000

HEALTH

C0453	Building Repairs and Improvement	<u>150,000</u>	
	Subtotal:		150,000

PLANNING & ZONING

C0467	Waterfront Public Access	100,000	
C0468	Bikeway Plan	50,000	
C0473	Sidewalk Plan	<u>85,000</u>	
			<u>235,000</u>

HUMAN RELATIONS & FAIR RENT

C0536	ADA Compliance	<u>250,000</u>	
	Subtotal:		<u>250,000</u>

GRAND TOTAL 2013-2014 CAPITAL BUDGET **\$15,653,000**

Section 3. Said bonds may be issued in one or more series as determined by the Director of Finance in an amount necessary to meet the City's share of the cost of the Projects determined after considering the estimated amount of State and Federal grants-in-aid of the Projects, or the actual amounts thereof if this be ascertainable, and the anticipated times of the receipt of the proceeds thereof, provided that the total amount of bonds to be issued shall not be less than an amount which will provide funds sufficient with other funds available for such purpose to pay the principal of and the interest on all temporary

borrowings in anticipation of the receipt of the proceeds of said bonds outstanding at the time of the issuance thereof, and to pay for the administrative, financing, legal and other costs of issuance of such bonds. The bonds of each series shall mature not later than the twentieth year after their date, and may be issued subject to earlier redemption by the City. The bonds of each series shall be in the denomination of \$1,000 or a whole multiple thereof, be issued in fully registered form, be executed in the name and on behalf of the City by the manual or facsimile signatures of the Mayor, City Clerk, Comptroller and the Director of Finance, bear the City seal or a facsimile thereof, be payable at a bank or trust company, be certified by a bank or trust company, which bank or trust company may also be designated as the registrar and transfer agent, and be approved as to their legality by Robinson & Cole LLP, Attorneys at Law, of Hartford, Connecticut. The bonds shall be general obligations of the City and each of the bonds shall recite that every requirement of law relating to its issue has been duly complied with, that such bond is within every debt and other limit prescribed by law, and that the full faith and credit of the City are pledged to the payment of the principal thereof and the interest thereon. The aggregate principal amount of the bonds of each series to be issued, the annual installments of principal, redemption provisions, if any, the certifying, registrar and transfer agent and paying agent, the date, time of issue and sale, and other terms, details and particulars of such bonds including approval of the rate or rates of interest payable thereon shall be determined by the Common Council, or such other persons as the Common Council shall designate, in accordance with the General Statutes of Connecticut, Revision of 1958, as amended from time to time (the "Connecticut General Statutes").

Section 4. Said bonds shall be sold by the Mayor in a competitive offering or by negotiation, in his discretion. If sold in a competitive offering, the bonds shall be sold at not less than par and accrued interest on the basis of the lowest net or true interest cost to the City. A notice of sale or a summary thereof describing the bonds and setting forth the terms and conditions of the sale shall be published at least five days in advance of the sale in a recognized publication carrying municipal bond notices and devoted primarily to financial news and the subject of state and municipal bonds. If the bonds are sold by negotiation, the purchase agreement shall be subject to the approval of the Common Council, or such other persons as the Common Council shall designate.

Section 5. The Mayor and the Director of Finance are authorized to make temporary borrowings in anticipation of the receipt of the proceeds of said bonds. Notes evidencing such borrowings shall be in such denominations, bear interest at such rate or rates and be payable at such time or times as shall be determined by the Mayor and the Director of Finance, be executed in the name of the City by the manual or facsimile signatures of the Mayor and the Director of Finance, have the City seal or a facsimile thereof affixed, be certified by a bank or trust company designated by the Director of Finance pursuant to Section 7-373 of the Connecticut General Statutes, and be approved as to their legality by Robinson & Cole LLP as bond counsel. Such notes shall be issued with maturity dates which comply with the provisions of the Connecticut General Statutes governing the issuance of such notes, as the same may be amended from time to time. The notes shall be general obligations of the City and each of the notes shall recite that every requirement of law relating to its issue has been duly complied with, that such note is within every debt and other limit prescribed by law, and that the full faith and credit of the City are pledged to the payment of the principal thereof and the interest thereon. The net interest cost on such notes, including renewals thereof, and the expense of preparing, issuing and marketing them, to the extent paid from the proceeds of such renewals or said bonds, shall be included as a cost of the capital improvements for the financing of which said notes were issued. Upon the sale of the bonds, the proceeds thereof, to the extent required, shall be applied forthwith to the payment of the principal of and the interest on any such notes then outstanding or shall be deposited with a bank or trust company in trust for such purpose.

Section 6. The Mayor is authorized in the name and on behalf of the City to apply for and accept any and all Federal and State loans and/or grants-in-aid of any Projects and is further authorized to expend said funds in accordance with the terms hereof and in connection therewith to contract in the name of the City with engineers, contractors and others.

Section 7. To meet any portion of the costs of such projects determined by the State of

Connecticut Department of Energy and Environmental Protection to be eligible for funding under Section 22a-475 et seq. of the Connecticut General Statutes, as the same may be amended from time to time (the "Clean Water Fund Program"), the City is authorized and may issue interim funding obligations in anticipation of project loan obligations and project loan obligations ("Clean Water Fund Obligations") in such denominations as the Mayor and Director of Finance shall determine. The Mayor and Director of Finance are hereby authorized to determine the amount, date, maturity, interest rate, form and other details and particulars of such Clean Water Fund Obligations, subject to the provisions of the Clean Water Fund Program, and the Mayor, Director of Finance and Treasurer are authorized to execute and deliver the same. Said Clean Water Fund Obligations shall be general obligations of the City and each of the Clean Water Fund Obligations shall recite that every requirement of law relating to its issue has been duly complied with, that such obligation is within every debt and other limit prescribed by law, and that the full faith and credit of the City are pledged to the payment of the principal thereof and the interest thereon. The Mayor is hereby authorized to execute and deliver to the State in the name of and on behalf of the City Project Loan and Project Grant Agreements under the Clean Water Fund Program.

Section 8. The balance of any appropriation not required, in the determination of the Common Council, for any Department set forth in Section 1, may be transferred by resolution of the Common Council to any other Department in Section 1.

Section 9. The City hereby expresses its official intent pursuant to §1.150-2 of the Federal Income Tax Regulations, Title 26 (the "Regulations"), to reimburse expenditures paid sixty days prior to and any time after the date of passage of this resolution in the maximum amount and for the Projects with the proceeds of bonds, notes, or other obligations ("Tax Exempt Obligations") authorized to be issued by the City. The Tax Exempt Obligations shall be issued to reimburse such expenditures not later than 18 months after the later of the date of the expenditure or the substantial completion of the Projects, or such later date the Regulations may authorize. The City hereby certifies that the intention to reimburse as expressed herein is based upon its reasonable expectations as of this date. The Director of Finance or his designee is authorized to pay project expenses in accordance herewith pending the issuance of Tax Exempt Obligations.

Section 10. The Mayor and Director of Finance are hereby authorized, on behalf of the City, to enter into agreements or otherwise covenant for the benefit of bondholders to provide information on an annual or other periodic basis to the Municipal Securities Rulemaking Board (the "MSRB") and to provide notices to the MSRB of material events as enumerated in Securities and Exchange Commission Exchange Act Rule 15c2-12, as amended, as may be necessary, appropriate or desirable to effect the sale of the bonds and notes authorized by this resolution. Any agreements or representations to provide information to the MSRB made prior hereto are hereby confirmed, ratified and approved.

Section 11. The Mayor and Director of Finance are hereby authorized, on behalf of the City, to enter into any other agreements, instruments, documents and certificates, including tax and investment agreements, for the consummation of the transactions contemplated by this resolution. The Mayor and Director of Finance are hereby authorized, on behalf of the City, to apply for and accept any and all Federal and State loans and or grants-in-aid of the Projects, to expend said funds in accordance with the terms hereof, and in connection therewith to contract in the name of the City with engineers, contractors and others.