FINANCE/CLAIMS COMMITTEE MEETING
Thursday April 12, 2012 7:30P.M.
CITY HALL
Room 101
125 East Avenue
Norwalk, Connecticut
AGENDA

1. Approve the Minutes of the following Finance Committee Meeting:
   March 8, 2012

2. Claims Committee: receive the monthly Claims report; review and approve claims as required for Claims Report dated:
   April 12, 2012

3. Narrative on Tax Collections dated April 12, 2012- Receive Report and discuss.

4. Monthly Tax Collector's Report Dated March 31, 2012 - Receive Reports and discuss.

5. Representatives from JaffeHolden will present their proposal and recommendations for improvements to the audio, visual, and presentation capabilities of the Common Council Chambers

6. Authorize the Mayor, Richard A. Moccia, to execute any and all documents necessary to amend the Loan Agreement between the City of Norwalk and the Oak Hills Park Authority to restructure its existing debt to the City.

7. Authorize the Mayor, Richard A. Moccia, to execute any and all documents necessary to secure the repayment of a loan in an amount not to exceed $150,000 from the City of Norwalk to the Oak Hills Park Authority for its fiscal year 2012-13 capital request.

8. Authorize the Mayor, Richard A. Moccia, to execute any and all documents necessary to secure the repayment of a loan in an amount not to exceed $400,000 from the City of Norwalk to the Sixth Taxing District for the renovation of the Rowayton Community Center. – Phase II in the FY 2012-2013 Capital Budget ($400,000).

9. The Common Council hereby delegates to the Mayor or Director of Finance, subject to the approval of the President of the Common Council, the Majority Leader of the Common Council, the Minority Leader of the Common Council, and the Chairman of the Finance Committee of the Common Council, or any two of them (the "Committee"), the authority to execute any and all documents relating to the procurement of electricity from Nobles America, for a term not to exceed two (2) years, , commencing January 1, 2014 thru December 31, 2015. Accounts various.

10. Discussion of October 1, 2013 Revaluation.

11. Approve FY 2012-13 WPCA Operating Budget.

12. Approve FY 2012-13 Parking Authority Budget.

13. Authorize the Mayor, Richard A. Moccia, to submit an application to the State of Connecticut for grant funds provided under the State of Connecticut's Local Capital Improvement Fund for 2012 Local Capital Improvement Program ($625,905 – 2011 Entitlement).

CITY OF NORWALK
FINANCE/CLAIMS COMMITTEE
MARCH 8, 2012

ATTENDANCE: Carvin Hilliard, Chair; John Igneri; Matthew Miklave; Warren Pena; Douglas Hempstead (7:42 p.m.)

STAFF: Fred Gilden, Comptroller; Lisa Biagiarelli, Tax Collector; Kathryn Hebert, DPW

OTHERS: Bruce Kimmel, Common Councilmember

Mr. Hilliard called the meeting to order at 7:37 p.m.

** MR. MIKLAVE MOVED TO SUSPEND THE RULES TO TAKE THE AGENDA OUT OF ORDER
** MOTION PASSED UNANIMOUSLY

**** MR. MIKLAVE MOVED THE FOLLOWING:**

AUTHORIZE A REDUCTION IN THE AMOUNT OF $49,000 TO THE APPROVED CAPITAL APPROPRIATION FOR THE SONO RAILROAD STATION STRUCTURAL REPAIRS (ACCOUNT 09114095-5777-C0358), FROM $952,000 TO $903,000

AUTHORIZE A SPECIAL CAPITAL APPROPRIATION IN THE AMOUNT OF $49,000 FOR THE REPLACEMENT OF THE FLOOR MEMBRANE IN THE MARITIME GARAGE ABOVE THE COMMERCIAL SPACE (ACCOUNT 09124095-5777-C0464)

AUTHORIZE A REDUCTION IN THE AMOUNT OF $120,000 TO THE APPROVED CAPITAL APPROPRIATION FOR THE PURCHASE OF AN EMERGENCY GENERATOR FOR THE NORWALK RAILROAD STATION (ACCOUNT 09124095-5777-C0498), FROM $300,000 TO $180,000)

AUTHORIZE A SPECIAL CAPITAL APPROPRIATION IN THE AMOUNT OF $120,000 FOR THE PURCHASE OF AN EMERGENCY GENERATOR FOR THE MARITIME GARAGE (ACCOUNT 09124095-5777-C0464)

Mr. Gilden explained that there are all capital projects and there was money left over on two of the projects. He explained the procedure for transferring money from one project to the other.

Mr. Miklave asked if all of the budgeted structural repairs at the railroad station were completed. Ms. Hebert said that the bids came in substantially less and the project is complete. She said that the same is true for the generator.

Mr. Kimmel asked if there would be money left in the accounts after the transfer. Ms. Hebert said that there would be money left. Mr. Kimmel asked how long the money could stay in the accounts. Mr. Gilden said that all of this money has been bonded and is being paid for by the Parking Authority. If there is substantial savings, they can be used for Parking Authority projects or reverted back to the fund balance. If nothing has been spent in two years, the Finance Department can move the money back to the fund balance.

Mr. Hempstead joined the meeting at 7:42 p.m.

Mr. Kimmel asked if there is such a thing as free floating accounts. Mr. Gilden said that does not currently exist. There is an oversight system.

****	MOTION PASSED UNANIMOUSLY**

CLAIMS COMMITTEE: RECEIVE THE MONTHLY CLAIMS REPORT; REVIEW AND APPROVE CLAIMS AS REQUIRED FOR CLAIMS REPORT DATED: MARCH 8, 2012

Ms. Biagiarelli reported that there were no claims above the established threshold amount.

NARRATIVE ON TAX COLLECTIONS DATED MARCH 8, 2012 – RECEIVE REPORT AND DISCUSS

MONTHLY TAX COLLECTOR'S REPORT DATED FEBRUARY 29, 2012 – RECEIVE REPORT AND DISCUSS

Ms. Biagiarelli reviewed her written report dated March 8, 2012. She said that all of the payments taken in during the last cycle have been posted. She said that they ended February slightly ahead of last year.

Ms. Biagiarelli discussed the upcoming Tax Sale that will be held on July 16, 2012. She said that notices were sent to 216 properties and so far 58 properties have been brought current. She said that the boards will go up on May 1st.

Ms. Biagiarelli said she is looking to the Committee for back up. This is the fifth Tax Sale and the collections are as high as they are because they are very strict on following the stated criteria. She said that they do not allow for cherry picking of the list. The only way to get off of the list is to pay the bill or file for bankruptcy.

** **MR. MIKLAVE MOVED TO APPROVE THE MINUTES OF THE FOLLOWING FINANCE COMMITTEE MEETINGS AS SUBMITTED**

- February 9, 2012
- February 16, 2012

The following correction was made to the minutes of February 16, 2012

Add Mr. Kimmel to the attendance, under Others

- February 23, 2012

** **MOTION PASSED UNANIMOUSLY**

** **MR. MIKLAVE MOVED TO ADJOURN**
** **MOTION PASSED UNANIMOUSLY**

There was no further business and the meeting was unanimously adjourned at 7:50 p.m.

Respectfully submitted,

Rosemarie Lombardi
Telesco Secretarial Services

TAX COLLECTOR'S REPORT
MARCH 31, 2012

FISCAL YEAR 2010-2011 (2010 GRAND LIST)	ORIGINAL LEVY	ADJ. TAX COLLECTIONS JUN 11 - MAR 12	COLLECTION %	CORRECTED LEVY*	COLLECTION %
AUTOMOBILE-REGULAR	$13,785,227.95	$12,574,763.66	91.22%	$13,502,164.76	93.13%
AUTOMOBILE-SUPPLEMENTAL	$2,016,234.17	$1,674,519.99	83.05%	$1,977,509.07	84.68%
PERSONAL PROPERTY	$14,512,277.02	$13,706,370.84	94.45%	$14,214,665.74	96.42%
REAL ESTATE	$233,976,144.84	$229,416,679.38	98.05%	$233,149,081.57	98.40%
TOTAL TAX	**$264,289,883.98**	**$257,372,333.87**	**97.38%**	**$262,843,421.14**	**97.92%**
SEWER USE	$13,158,490.00	$12,837,999.81	97.56%	$13,158,719.00	97.56%
IPP FEE	$182,250.00	$165,959.96	91.06%	$185,250.00	89.59%

FISCAL YEAR 2009-2010 (2009 GRAND LIST)		JUN 10 - MAR 11			
AUTOMOBILE-REGULAR	$13,065,680.37	$11,903,664.25	91.11%	$12,768,498.14	93.23%
AUTOMOBILE-SUPPLEMENTAL	$1,717,759.00	$1,376,226.29	80.12%	$1,688,425.64	81.51%
PERSONAL PROPERTY	$14,218,387.70	$12,982,173.68	91.31%	$13,402,885.32	96.86%
REAL ESTATE	$229,894,000.28	$225,668,381.22	98.16%	$229,690,097.23	98.25%
TOTAL TAX	**$258,895,827.35**	**$251,930,445.44**	**97.31%**	**$257,549,906.33**	**97.82%**
SEWER USE	$11,970,937.00	$11,532,790.28	96.34%	$11,902,519.00	96.89%
IPP FEE	$182,250.00	$165,155.94	90.62%	$181,500.00	91.00%
TAX DIFFERENCE 2010 G.L. vs. 2009 G.L. INCREASE/(DECREASE)	$5,394,056.63	$5,441,888.43	0.07%	$5,293,514.81	0.10%
SEWER DIFFERENCE 2010 G.L. vs. 2009 G.L. INCREASE/(DECREASE)	$1,187,553.00	$1,305,209.53	1.22%	$1,256,200.00	0.67%
IPP DIFFERENCE 2010 G.L. vs. 2009 G.L. INCREASE/(DECREASE)	$0.00	$804.02	0.44%	$3,750.00	-1.41%

BACK TAXES COLLECTED	FISCAL YR 2011-2012 (JUL 11 - MAR 12)	FISCAL YR 2010-2011 (JUL 10 - MAR 11)	CUR YR vs.PRIOR YR INC/(DEC)
PRIOR TAXES	$2,036,705.30	$1,963,810.44	$72,894.86
PRIOR SEWER USE FEE	$192,299.59	$188,985.44	$3,314.15
PRIOR IPP FEE	$6,409.21	$11,475.88	($5,066.67)
TOTAL PRIOR TAX, SEWER & IPP	**$2,235,414.10**	**$2,164,271.76**	**$71,142.34**
CURRENT INTEREST	$512,946.83	$575,445.67	($62,498.84)
PRIOR INTEREST	$667,717.02	$668,435.79	($718.77)
SEWER USE FEE INTEREST	$70,929.73	$80,363.04	($9,433.31)
IPP FEE INTEREST	$3,973.93	$5,093.97	($1,120.04)
TOTAL INTEREST COLLECTED	**$1,255,567.51**	**$1,329,338.47**	**($73,770.96)**
PRIOR LIEN FEE	$12,969.78	$12,726.55	$243.23
CURRENT LIEN FEE	$2,376.84	$1,896.00	$480.84
TOTAL LIEN FEE COLLECTED	**$15,346.62**	**$14,622.55**	**$724.07**
MISC FEES COLLECTED	**$55,521.38**	**$57,058.47**	**($1,537.09)**
TOTAL PRIOR TAX, ALL INTEREST & ALL FEES	**$3,561,849.61**	**$3,565,291.25**	**($3,441.64)**

* CORRECTED LEVY INCLUDING CERTIFICATES OF CORRECTION

NORWALK on the move!
DEPARTMENT OF FINANCE
OFFICE OF MANAGEMENT & BUDGETS

INTEROFFICE MEMORANDUM

DATE: 4/2/2012
TO: FINANCE COMMITTEE OF THE NORWALK COMMON COUNCIL
FROM: ROBERT BARRON, BUDGET DIRECTOR
SUBJECT: OAK HILLS PARK AUTHORITY DEBT RESTRUCTURING REQUEST, AND AUTHORIZATION TO ENTER INTO A LOAN AGREEMENT
CC: TOM HAMILTON

This communication will detail the Oak Hills Park Authority (OHPA) request to restructure its existing debt with the City of Norwalk along with providing the Mayor with the authorization to execute any and all documents necessary to secure repayment of a $150,000 loan to the OHPA for its fiscal year 2012-13 capital request, as follows:

1) The OHPA was unable to make its scheduled fiscal year 2011-12 debt service payment due to low golf rounds played and associated revenues last year and requests an 11-year extension, at existing interest rates, for the three outstanding debts it has with the City for its restaurant, irrigation and cart path loans.

   The terms of the repayment will allow for no payment in fiscal year 2011-12, interest only payment of $178.3 million in fiscal year 2012-13 and full principal and interest payments of $161.6 million in fiscal year 2013-14 through fiscal year 2036-2037. Further detail is provided in the attached ***Proposed Debt Repayment-2012*** schedule.

   Action Requested: Authorize the Mayor, Richard A. Moccia, to execute any and all documents necessary to amend the loan agreement between the City of Norwalk and the Oak Hills Park Authority to restructure its existing debt to the City.

2) The OHPA has requested and the Mayor has recommended a $150,000 capital request for repairs to Tee Boxes, Bunkers, Drainage, Parking Lot and a Lightning Warning system. The term for repayment will be 10 years at the interest rate that is secured by the City in its annual debt issuance this summer.

   Action Requested: Authorize the Mayor, Richard A. Moccia, to execute any and all documents necessary to secure the repayment of a loan in an amount not to exceed $150,000 from the City of Norwalk to the Oak Hills Park Authority for its fiscal year 2012-13 capital request.

Oak Hills Park Authority

PROPOSED DEBT REPAYMENT SCHEDULE -2012

Proposal Update

Restaurant	$ 2,200,000.00	
Original final pmnt.	7/1/2025	
2011 Restructure final pmt.	9/1/2025	+ 2 mos.
2012 Restructure final pmt.	9/1/2036	+11 yrs.
Interest rate	4.50%	
Original pmts: JAN & JUL IO for 3 years and then Full P&I		
Restructured pmts: SEP P&I		

Irrigation	$ 990,000.00	
Original final pmnt.	1/15/2014	
2011 Restructure final pmt.	9/1/2025	+11 yrs, 7.5 mos.
2012 Restructure final pmt.	9/1/2036	+11 years
Interest rate	5.21%	
Original pmts: JAN & JUL IO for 1.5 years then P&I JAN, IO JUL		
Restructured pmts: SEP P&I		

Cart Paths	$ 97,582.50	
Original final pmnt.	7/1/2020	
2011 Restructure final pmt.	9/1/2025	+5 yrs. 2 mos.
2012 Restructure final pmt.	9/1/2036	+11 years
Interest rate	4.00%	
Original pmts: JUL IO, JAN P&I		
Restructured pmts: SEP P&I		

Total Borrowed	$ 3,362,582.50

Restaurant

Date	Principal	Interest	Total	Balance
01/01/06	$ -	$ 49,500.00	$ 49,500.00	$ 2,200,000.00
07/01/06	$ -	$ 49,500.00	$ 49,500.00	$ 2,200,000.00
01/01/07		$ 49,500.00	$ 49,500.00	$ 2,200,000.00
07/01/07	$ -	$ 49,500.00	$ 49,500.00	$ 2,200,000.00
01/01/08		$ 49,500.00	$ 49,500.00	$ 2,200,000.00
07/01/08	$ -	$ 49,500.00	$ 49,500.00	$ 2,200,000.00
01/01/09	$ 43,772.00	$ 49,500.00	$ 93,272.00	$ 2,156,228.00
07/01/09	$ 44,757.00	$ 48,515.00	$ 93,272.00	$ 2,111,471.00
01/01/10	$ 45,764.00	$ 47,508.00	$ 93,272.00	$ 2,065,707.00
07/01/10	$ 46,794.00	$ 46,478.00	$ 93,272.00	$ 2,018,913.00
01/01/11	$ 47,846.00	$ 45,426.00	$ 93,272.00	$ 1,971,067.00

Irrigation

Date	Principal	Interest	Total	Balance
07/15/00	$ -	$ 46,771.00	$ 46,771.00	$ 990,000.00
01/15/01	$ -	$ 23,386.00	$ 23,386.00	$ 990,000.00
07/15/01	$ -	$ 23,386.00	$ 23,386.00	$ 990,000.00
01/15/02	$ 65,131.58	$ 23,385.00	$ 88,516.58	$ 924,868.42
07/15/02	$ -	$ 22,001.00	$ 22,001.00	$ 924,868.42
01/15/03	$ 65,131.58	$ 22,001.00	$ 87,132.58	$ 859,736.84
07/15/03	$ -	$ 20,650.00	$ 20,650.00	$ 859,736.84
01/15/04	$ 65,131.58	$ 20,650.00	$ 85,781.58	$ 794,605.26
07/15/04	$ -	$ 19,266.00	$ 19,266.00	$ 794,605.26
01/15/05	$ 65,131.58	$ 19,266.00	$ 84,397.58	$ 729,473.68
07/15/05	$ -	$ 17,866.00	$ 17,866.00	$ 729,473.68
01/15/06	$ 78,157.89	$ 17,866.00	$ 96,023.89	$ 651,315.79
07/15/06	$ -	$ 16,146.00	$ 16,146.00	$ 651,315.79
01/15/07	$ 78,157.89	$ 16,146.00	$ 94,303.89	$ 573,157.90
07/15/07	$ -	$ 14,388.00	$ 14,388.00	$ 573,157.90
01/15/08	$ 78,157.89	$ 14,388.00	$ 92,545.89	$ 495,000.01
07/15/08	$ -	$ 12,043.00	$ 12,043.00	$ 495,000.01
01/15/09	$ 78,157.89	$ 12,043.00	$ 90,200.89	$ 416,842.12
07/15/09	$ -	$ 10,245.00	$ 10,245.00	$ 416,842.12
01/15/10	$ 78,157.89	$ 10,245.00	$ 88,402.89	$ 338,684.23
07/01/10			$ -	
07/15/10	$ -	$ 8,388.95	$ 8,388.95	$ 338,684.23
01/15/11	$ 78,157.89	$ 8,388.95	$ 86,546.84	$ 260,526.34

Cart Paths

Date	Principal	Interest	Total	Balance
07/01/09				$ 97,582.50
07/01/10	$ -	$ 3,903.00	$ 3,903.00	$ 97,582.50

Total Borrowed

Date	Principal	Interest	Total	Balance
07/15/00	$ -	$ 46,771.00	$ 46,771.00	$ 3,362,582.50
01/15/01	$ -	$ 23,386.00	$ 23,386.00	$ 3,362,582.50
07/15/01	$ -	$ 23,386.00	$ 23,386.00	$ 3,362,582.50
01/15/02	$ 65,131.58	$ 23,385.00	$ 88,516.58	$ 3,297,450.92
07/15/02	$ -	$ 22,001.00	$ 22,001.00	$ 3,297,450.92
01/15/03	$ 65,131.58	$ 22,001.00	$ 87,132.58	$ 3,232,319.34
07/15/03	$ -	$ 20,650.00	$ 20,650.00	$ 3,232,319.34
01/15/04	$ 65,131.58	$ 20,650.00	$ 85,781.58	$ 3,167,187.76
07/15/04	$ -	$ 19,266.00	$ 19,266.00	$ 3,167,187.76
01/15/05	$ 65,131.58	$ 19,266.00	$ 84,397.58	$ 3,102,056.18
07/15/05	$ -	$ 17,866.00	$ 17,866.00	$ 3,102,056.18
01/01/06	$ -	$ 49,500.00	$ 49,500.00	$ 3,102,056.18
01/15/06	$ 78,157.89	$ 17,866.00	$ 96,023.89	$ 3,023,898.29
07/01/06	$ 13,709.00	$ 52,875.00	$ 66,584.00	$ 3,010,189.29
07/15/06	$ -	$ 16,146.00	$ 16,146.00	$ 3,010,189.29
01/01/07	$ -	$ 49,500.00	$ 49,500.00	$ 3,010,189.29
01/15/07	$ 78,157.89	$ 16,146.00	$ 94,303.89	$ 2,932,031.40
07/01/07	$ 14,326.00	$ 52,258.00	$ 66,584.00	$ 2,917,705.40
07/15/07	$ -	$ 14,388.00	$ 14,388.00	$ 2,917,705.40
01/01/08	$ -	$ 49,500.00	$ 49,500.00	$ 2,917,705.40
01/15/08	$ 78,157.89	$ 14,388.00	$ 92,545.89	$ 2,839,547.51
07/01/08	$ 14,971.00	$ 51,613.00	$ 66,584.00	$ 2,824,576.51
07/15/08	$ -	$ 12,043.00	$ 12,043.00	$ 2,824,576.51
01/01/09	$ 43,772.00	$ 49,500.00	$ 93,272.00	$ 2,780,804.51
01/15/09	$ 78,157.89	$ 12,043.00	$ 90,200.89	$ 2,702,646.62
07/01/09	$ 60,401.00	$ 49,955.00	$ 110,356.00	$ 2,642,245.62
07/15/09	$ -	$ 10,245.00	$ 10,245.00	$ 2,642,245.62
01/01/10	$ 45,764.00	$ 47,508.00	$ 93,272.00	$ 2,596,481.62
01/15/10	$ 78,157.89	$ 10,245.00	$ 88,402.89	$ 2,518,323.73
07/01/10	$ 63,144.00	$ 51,115.00	$ 114,259.00	$ 2,455,179.73
07/15/10	$ -	$ 8,388.95	$ 8,388.95	$ 2,455,179.73
01/01/11	$ 47,846.00	$ 45,426.00	$ 93,272.00	$ 2,407,333.73
01/15/11	$ 78,157.89	$ 8,388.95	$ 86,546.84	$ 2,329,175.84

Third Amendment		$ 2,329,175.84
No FY12 pmt + 25 annual payments FY13-IO, FY14-FY37 PI		
Interest rate	4.56%	
September 1st payment principal and interest		

Restaurant (20 Months of Interest)

Date	Principal	Interest	Total	Balance
09/01/12	$ -	$ 147,830.03	$ 147,830.03	$ 1,971,067.00
09/01/13	$ 47,280.04	$ 88,698.02	$ 135,978.06	$ 1,923,786.96
09/01/14	$ 49,407.65	$ 86,570.41	$ 135,978.06	$ 1,874,379.31
09/01/15	$ 51,630.99	$ 84,347.07	$ 135,978.06	$ 1,822,748.32
09/01/16	$ 53,954.38	$ 82,023.67	$ 135,978.06	$ 1,768,793.94
09/01/17	$ 56,382.33	$ 79,595.73	$ 135,978.06	$ 1,712,411.61
09/01/18	$ 58,919.54	$ 77,058.52	$ 135,978.06	$ 1,653,492.07
09/01/19	$ 61,570.91	$ 74,407.14	$ 135,978.06	$ 1,591,921.16
09/01/20	$ 64,341.61	$ 71,636.45	$ 135,978.06	$ 1,527,579.55
09/01/21	$ 67,236.98	$ 68,741.08	$ 135,978.06	$ 1,460,342.57
09/01/22	$ 70,262.64	$ 65,715.42	$ 135,978.06	$ 1,390,079.93
09/01/23	$ 73,424.46	$ 62,553.60	$ 135,978.06	$ 1,316,655.47
09/01/24	$ 76,728.56	$ 59,249.50	$ 135,978.06	$ 1,239,926.91
09/01/25	$ 80,181.35	$ 55,796.71	$ 135,978.06	$ 1,159,745.56
09/01/26	$ 83,789.51	$ 52,188.55	$ 135,978.06	$ 1,075,956.05
09/01/27	$ 87,560.04	$ 48,418.02	$ 135,978.06	$ 988,396.02
09/01/28	$ 91,500.24	$ 44,477.82	$ 135,978.06	$ 896,895.78
09/01/29	$ 95,617.75	$ 40,360.31	$ 135,978.06	$ 801,278.03
09/01/30	$ 99,920.55	$ 36,057.51	$ 135,978.06	$ 701,357.48
09/01/31	$ 104,416.97	$ 31,561.09	$ 135,978.06	$ 596,940.51
09/01/32	$ 109,115.74	$ 26,862.32	$ 135,978.06	$ 487,824.78
09/01/33	$ 114,025.94	$ 21,952.12	$ 135,978.06	$ 373,798.83
09/01/34	$ 119,157.11	$ 16,820.95	$ 135,978.06	$ 254,641.72
09/01/35	$ 124,519.18	$ 11,458.88	$ 135,978.06	$ 130,122.54
09/01/36	$ 130,122.54	$ 5,855.51	$ 135,978.06	$ (0.00)
Restructure Total	$ 1,971,067.00	$ 1,440,236.42	$ 3,411,303.42	
Grand Total	$ 2,200,000.00	$ 1,974,683.42	$ 4,174,663.42	

Irrigation (19.5 Months of Interest)

Date	Principal	Interest	Total	Balance
09/01/12	$ -	$ 22,058.93	$ 22,058.93	$ 260,526.34
09/01/13	$ 5,694.33	$ 13,574.73	$ 19,269.05	$ 254,832.02
09/01/14	$ 5,991.03	$ 13,278.02	$ 19,269.05	$ 248,840.99
09/01/15	$ 6,303.19	$ 12,965.86	$ 19,269.05	$ 242,537.80
09/01/16	$ 6,631.62	$ 12,637.43	$ 19,269.05	$ 235,906.18
09/01/17	$ 6,977.16	$ 12,291.89	$ 19,269.05	$ 228,929.02
09/01/18	$ 7,340.70	$ 11,928.35	$ 19,269.05	$ 221,588.32
09/01/19	$ 7,723.19	$ 11,545.86	$ 19,269.05	$ 213,865.13
09/01/20	$ 8,125.61	$ 11,143.44	$ 19,269.05	$ 205,739.52
09/01/21	$ 8,548.99	$ 10,720.06	$ 19,269.05	$ 197,190.52
09/01/22	$ 8,994.44	$ 10,274.61	$ 19,269.05	$ 188,196.09
09/01/23	$ 9,463.09	$ 9,805.96	$ 19,269.05	$ 178,732.99
09/01/24	$ 9,956.17	$ 9,312.88	$ 19,269.05	$ 168,776.82
09/01/25	$ 10,474.93	$ 8,794.12	$ 19,269.05	$ 158,301.89
09/01/26	$ 11,020.73	$ 8,248.32	$ 19,269.05	$ 147,281.16
09/01/27	$ 11,594.97	$ 7,674.08	$ 19,269.05	$ 135,686.19
09/01/28	$ 12,199.12	$ 7,069.93	$ 19,269.05	$ 123,487.07
09/01/29	$ 12,834.76	$ 6,434.29	$ 19,269.05	$ 110,652.32
09/01/30	$ 13,503.51	$ 5,765.54	$ 19,269.05	$ 97,148.80
09/01/31	$ 14,207.11	$ 5,061.94	$ 19,269.05	$ 82,941.69
09/01/32	$ 14,947.37	$ 4,321.68	$ 19,269.05	$ 67,994.32
09/01/33	$ 15,726.21	$ 3,542.84	$ 19,269.05	$ 52,268.11
09/01/34	$ 16,545.62	$ 2,723.43	$ 19,269.05	$ 35,722.49
09/01/35	$ 17,407.73	$ 1,861.32	$ 19,269.05	$ 18,314.76
09/01/36	$ 18,314.76	$ 954.29	$ 19,269.05	$ 0.00
Restructure Total	$ 260,526.34	$ 223,989.80	$ 484,516.14	
Grand Total	$ 990,000.00	$ 622,925.20	$ 1,612,905.70	

Cart Paths (26 Months of Interest)

Date	Principal	Interest	Total	Balance
09/01/12	$ -	$ 8,457.15	$ 8,457.15	$ 97,582.50
09/01/13	$ 2,496.83	$ 3,903.30	$ 6,400.13	$ 95,085.67
09/01/14	$ 2,596.70	$ 3,803.43	$ 6,400.13	$ 92,488.97
09/01/15	$ 2,700.57	$ 3,699.56	$ 6,400.13	$ 89,788.40
09/01/16	$ 2,808.59	$ 3,591.54	$ 6,400.13	$ 86,979.81
09/01/17	$ 2,920.93	$ 3,479.19	$ 6,400.13	$ 84,058.88
09/01/18	$ 3,037.77	$ 3,362.36	$ 6,400.13	$ 81,021.11
09/01/19	$ 3,159.28	$ 3,240.84	$ 6,400.13	$ 77,861.83
09/01/20	$ 3,285.65	$ 3,114.47	$ 6,400.13	$ 74,576.17
09/01/21	$ 3,417.08	$ 2,983.05	$ 6,400.13	$ 71,159.09
09/01/22	$ 3,553.76	$ 2,846.36	$ 6,400.13	$ 67,605.33
09/01/23	$ 3,695.91	$ 2,704.21	$ 6,400.13	$ 63,909.41
09/01/24	$ 3,843.75	$ 2,556.38	$ 6,400.13	$ 60,065.66
09/01/25	$ 3,997.50	$ 2,402.63	$ 6,400.13	$ 56,068.16
09/01/26	$ 4,157.40	$ 2,242.73	$ 6,400.13	$ 51,910.76
09/01/27	$ 4,323.70	$ 2,076.43	$ 6,400.13	$ 47,587.07
09/01/28	$ 4,496.64	$ 1,903.48	$ 6,400.13	$ 43,090.42
09/01/29	$ 4,676.51	$ 1,723.62	$ 6,400.13	$ 38,413.91
09/01/30	$ 4,863.57	$ 1,536.56	$ 6,400.13	$ 33,550.34
09/01/31	$ 5,058.11	$ 1,342.01	$ 6,400.13	$ 28,492.23
09/01/32	$ 5,260.44	$ 1,139.69	$ 6,400.13	$ 23,231.79
09/01/33	$ 5,470.86	$ 929.27	$ 6,400.13	$ 17,760.93
09/01/34	$ 5,689.69	$ 710.44	$ 6,400.13	$ 12,071.25
09/01/35	$ 5,917.28	$ 482.85	$ 6,400.13	$ 6,153.97
09/01/36	$ 6,153.97	$ 246.16	$ 6,400.13	$ 0.00
Restructure Total	$ 97,582.50	$ 64,477.70	$ 162,060.20	
Grand Total	$ 97,582.50	$ 68,406.70	$ 165,963.20	

Total (Third Amendment)

Date	Principal	Interest	Total	Balance
09/01/12	$ -	$ 178,346.10	$ 178,346.10	$ 2,329,175.84
09/01/13	$ 55,471.20	$ 106,176.04	$ 161,647.24	$ 2,273,704.65
09/01/14	$ 57,995.37	$ 103,651.86	$ 161,647.24	$ 2,215,709.28
09/01/15	$ 60,634.75	$ 101,012.49	$ 161,647.24	$ 2,155,074.53
09/01/16	$ 63,394.59	$ 98,252.64	$ 161,647.24	$ 2,091,679.93
09/01/17	$ 66,280.42	$ 95,366.81	$ 161,647.24	$ 2,025,399.51
09/01/18	$ 69,298.01	$ 92,349.22	$ 161,647.24	$ 1,956,101.50
09/01/19	$ 72,453.39	$ 89,193.85	$ 161,647.24	$ 1,883,648.11
09/01/20	$ 75,752.87	$ 85,894.37	$ 161,647.24	$ 1,807,895.24
09/01/21	$ 79,203.05	$ 82,444.18	$ 161,647.24	$ 1,728,692.19
09/01/22	$ 82,810.84	$ 78,836.39	$ 161,647.24	$ 1,645,881.35
09/01/23	$ 86,583.47	$ 75,063.77	$ 161,647.24	$ 1,559,297.88
09/01/24	$ 90,528.48	$ 71,118.76	$ 161,647.24	$ 1,468,769.40
09/01/25	$ 94,653.78	$ 66,993.45	$ 161,647.24	$ 1,374,115.61
09/01/26	$ 98,967.64	$ 62,679.60	$ 161,647.24	$ 1,275,147.98
09/01/27	$ 103,478.70	$ 58,168.54	$ 161,647.24	$ 1,171,669.28
09/01/28	$ 108,196.00	$ 53,451.23	$ 161,647.24	$ 1,063,473.27
09/01/29	$ 113,129.01	$ 48,518.22	$ 161,647.24	$ 950,344.26
09/01/30	$ 118,287.63	$ 43,359.61	$ 161,647.24	$ 832,056.63
09/01/31	$ 123,682.20	$ 37,965.04	$ 161,647.24	$ 708,374.43
09/01/32	$ 129,323.55	$ 32,323.69	$ 161,647.24	$ 579,050.89
09/01/33	$ 135,223.01	$ 26,424.23	$ 161,647.24	$ 443,827.88
09/01/34	$ 141,392.42	$ 20,254.81	$ 161,647.24	$ 302,435.46
09/01/35	$ 147,844.19	$ 13,803.05	$ 161,647.24	$ 154,591.27
09/01/36	$ 154,591.27	$ 7,055.96	$ 161,647.24	$ (0.00)
Restructure Total	$ 2,329,175.84	$ 1,728,703.92	$ 4,057,879.76	
Grand Total	$ 3,362,582.50	$ 2,676,369.86	$ 6,038,952.31	

Oak Hills Park Authority

PROFORMA INCOME STATEMENT

February 2, 2012 (with sensitivity analysis)

	ACCT#	FY11 13-Jan	FY12 H1-ACT	FY12 H2-FOR	FY12 BUDGET	FY13 BUDGET	FY14 BUDGET	FY15 BUDGET	FY16 BUDGET	Increase Assumption
STATISTICS										
Rounds of Golf		$ 35,545	$ 20,377	$ 13,125	$ 33,502	$ 34,005	$ 34,515	$ 35,032	$ 35,558	1.5%
Golf Fees/Round		$ 26.80	$ 26.19	$ 29.66	$ 27.55	$ 28.00	$ 28.00	$ 28.00	28.00	0.0%
Cart Rental/Round		$ 7.41	$ 7.44	$ 7.16	$ 7.33	$ 7.33	$ 7.33	$ 7.33	7.33	
# of ID Cards		$ 2,161	$ 184	$ 1,977	$ 2,161	$ 2,161	$ 2,161	$ 2,161	2,161	
Revenue / Card		$ 50.23	$ 52.43	$ 65.00	$ 63.93	$ 75.00	$ 85.00	$ 95.00	100.00	
INCOME										
Golf Fees	4010	$ 952,583	$ 533,737	$ 389,236	$ 922,973	$ 952,127	$ 966,409	$ 980,905	$ 995,618	
Identification Cards	4020	$ 108,553	$ 9,647	$ 128,505	$ 138,152	$ 162,075	$ 183,685	$ 205,295	$ 216,100	
Cart Rental	4050	$ 263,396	$ 151,565	$ 93,960	$ 245,545	$ 249,228	$ 252,967	$ 256,761	$ 260,613	
Gift Certificate	4060	$ 26,990	$ 12,329	$ 15,643	$ 27,972	$ 27,972	$ 27,972	$ 27,972	$ 27,972	
Other	4001	$ 458			$ -	$ -	$ -	$ -	$ -	
Golf Revenue	**4001**	**$ 1,351,980**	**$ 707,278**	**$ 627,364**	**$ 1,334,642**	**$ 1,391,402**	**$ 1,431,032**	**$ 1,470,933**	**$ 1,500,303**	
					$ -					
Tennis	4100	$ 24,800	$ 15,600	$ 11,200	$ 26,800	$ 26,800	$ 26,800	$ 26,800	$ 26,800	
Apartment	4200	$ 13,200	$ 3,300	$ 6,600	$ 9,900	$ 9,900	$ 9,900	$ 9,900	$ 9,900	
Investment/Cart Sale	4300	$ 3,820	$ 173	$ 1,096	$ 1,269	$ 1,269	$ 1,269	$ 1,269	$ 1,269	
Restaurant	4600	$ 95,067	$ 48,867	$ 49,608	$ 98,475	$ 98,475	$ 98,475	$ 98,475	$ 98,475	
Other Revenue	**4000**	**$ 136,887**	**$ 67,940**	**$ 68,504**	**$ 136,444**	**$ 136,444**	**$ 136,444**	**$ 136,444**	**$ 136,444**	
					$ -					
TOTAL REVENUE		$ 1,488,867	$ 775,218	$ 695,868	$ 1,471,086	$ 1,527,846	$ 1,567,476	$ 1,607,377	$ 1,636,747	
YOY Increase						3.9%	2.6%	2.5%	1.8%	
EXPENSES										
Total Salaries		$ 637,970	$ 305,844	$ 268,940	$ 574,784	$ 548,638	$ 559,610	$ 570,803	$ 582,219	2.0%
Employee Benefits		$ 138,011	$ 65,007	$ 61,815	$ 126,822	$ 126,103	$ 128,625	$ 131,197	$ 133,821	2.0%
Administrative Expenses		$ 153,570	$ 78,282	$ 73,429	$ 151,711	$ 149,795	$ 152,791	$ 155,847	$ 158,964	2.0%
Park Maintenance Expenses		$ 304,001	$ 138,113	$ 156,253	$ 294,366	$ 318,756	$ 325,131	$ 331,634	$ 338,267	2.0%
Cart Expenses		$ 35,032	$ 13,637	$ 28,400	$ 42,037	$ 57,936	$ 59,095	$ 60,277	$ 61,482	2.0%
Miscellaneous Expenses		$ 44	$ -		$ -	$ -	$ -	$ -	$ -	
TOTAL OPERATIONAL EXPENSE		$ 1,268,627	$ 600,883	$ 588,837	$ 1,189,720	$ 1,201,227	$ 1,225,252	$ 1,249,757	$ 1,274,752	
YOY Increase						1.0%	2.0%	2.0%	2.0%	
OPERATIONAL NET INCOME		$ 220,239	$ 174,335	$ 107,031	$ 281,366	$ 326,619	$ 342,224	$ 357,620	$ 361,995	
Restructured Debt		$ -	$ -		$ -	$ 178,346	$ 161,647	$ 161,647	$ 161,647	
Irrigation Debt Service		$ 94,936	$ -		$ -					
Paving Debt Service		$ 3,903	$ -							
Restaurant Debt Service		$ 186,544	$ -		$ -					
Escrow Funding		$ (141,396)	$ -		$ -	$ 40,000	$ 40,000	$ 40,000	$ 40,000	
Commercial Debt Service		$ 149,749			$ 65,095	$ 57,031	$ 55,800	$ 55,800	$ 66,000	
Loan Repayment		$ 293,736	$ -	$ -	$ 65,095	$ 275,377	$ 257,447	$ 257,447	$ 267,647	
NET INCOME BEFORE CAPITAL EXPENSES		$ (73,497)	$ 174,335	$ 107,031	$ 216,271	$ 51,242	$ 84,777	$ 100,173	$ 94,347	
					$ -					
Capital Projects					$ (64,000)	$ (80,000)	$ (80,000)	$ (80,000)	$ (80,000)	
Prior Year Net Income (FY12 exception)		$ 30,215			$ (43,282)	$ 108,989	$ 80,231	$ 85,008	$ 105,181	
Cummulative		$ (43,282)	$ 174,335	$ 107,031	$ 108,989	$ 80,231	$ 85,008	$ 105,181	$ 119,528	

SENSITIVITY ANALYSIS:
ROUNDS OF GOLF ON NET INCOME BEFORE CAPITAL EXPENSES

ROUNDS LOST (-) OR GAINED (+) IN EACH YEAR	FY13 BUDGET	FY14 BUDGET	FY15 BUDGET	FY16 BUDGET
-1.5%	$ 15,734	$ 13,761	$ (6,359)	$ (47,717)
-1.0%	$ 21,652	$ 25,449	$ 10,954	$ (24,921)
-0.5%	$ 27,570	$ 37,196	$ 28,442	$ (1,778)
0.0%	$ 33,488	$ 49,003	$ 46,108	$ 21,718
0.5%	$ 39,406	$ 60,868	$ 63,951	$ 45,568
1.0%	$ 45,324	$ 72,793	$ 81,972	$ 69,776
1.5%	$ 51,242	$ 84,777	$ 100,173	$ 94,347
2.0%	$ 57,160	$ 96,820	$ 118,554	$ 119,284
2.5%	$ 63,078	$ 108,923	$ 137,115	$ 144,591

NORWALK on the move!

DEPARTMENT OF FINANCE

OFFICE OF THE DIRECTOR

MEMORANDUM

DATE: April 2, 2012

TO: The Members of the Finance Committee

FROM: Thomas Hamilton, Director of Finance

RE: Authorization to Enter into Loan Agreement with the Sixth Taxing District

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Attached is a term sheet that outlines the terms of a proposed loan requested by the Sixth Taxing District relative to the financing of phase II improvements to the Rowayton Community Center.

The District is requesting to borrow $400,000 through the City to finance renovations to the Rowayton Community Center. This project was included in the Mayor's proposed capital budget for FY 2012-13 which is presently before the Common Council for final consideration. The City would include these funds in its normal, annual bond issue used to finance on-going City capital projects. This financing is expected to close in July, 2012. The term of the loan with the Sixth District is twenty years, with annual principal repayment, and semi-annual interest. The interest rate on the loan would be based on the True Interest Cost achieved by the City in the July 2012 bond transaction. In addition to repaying principal and interest, the Sixth District would also pay an annual administrative fee to the City of 35 basis points on the outstanding loan balance at the beginning of each fiscal year, and would reimburse the City for a proportionate share of the cost of issuance associated with the July 2012 bond issue.

The repayment of this loan will be secured by an executed loan agreement and promissory note. In addition, in the event of default, the City will be authorized to suspend all payments by the City to the District until the event of default is cured to the satisfaction of the City.

Action Requested: Authorize the Mayor, Richard A. Moccia, to execute any and all documents necessary to secure the repayment of a loan in an amount not to exceed $400,000 from the City of Norwalk to the Sixth Taxing District for the renovation of the Rowayton Community Center. Term Sheet for such loan is attached.

Cc: Richard A. Moccia, Mayor
John Verel, Sixth District Treasurer

TERM SHEET – Rowayton Community Center Project – Phase II

Project Description: Repair and restoration of the Rowayton Community Center, a historic structure built in 1912. Phase 2 of the project entails the preservation and restoration of windows, exterior doors, stone, stucco, and half-timbered exterior walls for three buildings (Community Center, gardener's shed/greenhouse, and icehouse/garage). In addition, phase 2 work includes replacement of HVAC systems, and improvements to the electrical system. The total estimated cost of the Phase 2 work is $600,000.

Summary of Financing Request: The Sixth Taxing District is requesting to finance a portion of this project ($400,000) through the City of Norwalk, thereby taking advantage of the low interest rates the City of Norwalk can achieve on its borrowings. The District has received a grant commitment from the State of Connecticut in the amount of $198,000, and any remaining amount will be funded by the Sixth District from other sources.

Long-Term Loan:

1.	Amount of Loan	$400,000
2.	Term	20 years, subject to annual principal payments and semi-annual interest payments.
3.	Interest Rate	To be determined based on True Interest Cost achieved by The City in the bond financing transaction anticipated summer 2012.
4.	Fees	The Sixth District will pay to the City an annual administrative fee each year equal to .35% on the outstanding loan balance
5.	Cost of Issuance	The Sixth District will pay a proportionate share of the cost of issuance associated with the City's July 2012 bond financing transaction.
6.	Prepayment	The Sixth District shall be permitted to prepay the loan without penalty. In the event that the Sixth District secures grant funds to reimburse any portion of this project, the District will pre-pay such portion of the loan to the City at the time these grant reimbursements are received.

Repayment Security:

1. The Sixth Taxing District will execute a Loan Agreement and Promissory Note to secure repayment of the loan.

2. In event of default, the City is authorized to suspend all payments by the City to the Sixth District until the event of default is cured to the satisfaction of the City. This includes the right to suspend payment of the Tax Levy collected by the Norwalk Tax Collector on behalf of the Sixth District.

CAPITAL IMPROVEMENT PROJECTS
SUMMARY PAGE

DEPARTMENT: Sixth Taxing District

PROJECT TITLE	2012-13 REQUEST	2013-14 REQUEST	2014-15 REQUEST	2015-16 REQUEST	2016-17 REQUEST
1. **Rowayton Community Center**	400,000				
Total	400,000				

DEPARTMENT: **Sixth Taxing District**		PROJECT TITLE: **Rowayton Community Center – Phase II**
PROJECT LIFE: 20+ years	SCHEDULED START: 2012	SCHEDULED COMPLETION: 2013
RANKING: 1	__ NEW CONSTRUCTION/EQUIPMENT	xx REPLACEMENT\REFURBISHMENT

DESCRIPTION:

The second phase of the project entails the preservation and restoration of the windows, exterior doors, stone, stucco and half-timbered exterior walls for all three buildings (community center, gardener's shed/greenhouse, and icehouse/ garage). In addition, the following HVAC and Electrical Service work will be implemented. The HVAC work primarily involves replacement of existing (4) HVAC systems for energy and life cycle reasons. The electrical component of the project consists of high voltage electrical termination to the new HVAC units aas well as installation of a new 800kv underground electrical service.

JUSTIFICATION:

The Rowayton Community Center, built in 1912, is located on the former Rand Estate and was placed on the National Register of Historic Places in 1977. The site hosted the development of the first business computer prototype, known as the Remington Rand 409. The site is now home to the Rowatyon Library, a teen center, offices, and meeting rooms. The renovation work is being accomplished in accordance with all relevant National Park Service standards as established by the U.S. Department of the Interior.

The Sixth Taxing District will reimburse the City of Norwalk for all costs associated with this borrowing pursuant to a formal loan agreement.

EXPENDITURE SCHEDULE (000's)	PRIOR YEARS	2012-13	2013-14	2014-15	2015-16	2016-17	TOTAL
Engineering/Design							
Site Costs & Acquisition							
Construction	$400,000	$400,000					
Equipment/Furnishings							
Other/Contingency (Labor)							
TOTAL EXPENDITURES	**$400,000**	**$400,000**					
REVENUE (Specify)							
TRADE							
NET COST	$400,000	$400,000					

-

2012-2013
CITY OF NORWALK

DEPARTMENT: Sixth Taxing District **PROJECT TITLE: Rowayton Community Center – Phase II**

1. Was this project included as part of last year's five -year capital plan? Yes x__ No______

   If yes, please indicate amount requested and approved. Request _$400,000_______ Approved _$ $400,000__
   If not, why is the project now included?

2. How was your cost estimate derived?

3. Will this project have an impact on the operating budget of this department or another department? Yes ___ No __xx___

   If yes, please estimate the following:

   A. Increased Revenue ________________

   B. Decreased Operating Expenses ________________

   C. Additional Salary Costs ________________ No. of new positions ________________________

   D. Additional operating expenses ________________

   Net effect on Operating Budget ________________

4. Comment on the demand/need for the project.

This project will be financed through City general obligation bonds, but all of the costs associated with repayment will be borne by the Sixth Taxing District. The District has obtained a second grant from the State Historical Restoration Fund to finance part of the renovation work, but the District is requesting to "piggyback" on the City's bonding, so they can take advantage of the low interest rates the City is able to achieve when it issues bonds.

5. What are the implications if the project is deferred, or not funded?

This historic structure will deteriorate if the project is deferred or not funded.

M E M O R A N D U M

April 4, 2012

To: Chairperson
Finance Committee

From: Gerald J. Foley
Purchasing Agent

Subject: Electricity Generation Services
Re: request for extension authorization to existing agreement

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As a result of the deregulation of electricity generation services, the City has been able to periodically issue request for proposals (RFP) for these services.

The last RFP solicitation process for these services was conducted in October, 2010. As a result of this solicitation process, the City entered into an agreement with Nobles Americas.

The agreement with Nobles America, LLC for the supply of electricity generation services is due to expire on December 31, 2013.

I am seeking authorization to extend the existing agreement with Nobles America for a period not to exceed two (2) years, through December 31, 2015. This extension authorization will enable the City to proactively monitor the volatile energy market, well in advance of the current expiration date, and enter into an agreement for the next period(s) with the most favorable pricing, terms and conditions.

Thank you in advance for your assistance in this matter.

Please contact me at 854-7892 if you have any questions.

Agenda Language:

The Common Council hereby delegates to the Mayor **or** Director of Finance, subject to the approval of the President of the Common Council, the Majority Leader of the Common Council, the Minority Leader of the Common Council, and the Chairman of the Finance Committee of the Common Council, or any two of them (the "Committee"), the authority to execute any and all documents relating to the procurement of electricity from Nobles America, for a term not to exceed two (2) years. Account various.

Norwalk Water
Pollution Control
Authority

www.wpcanorwalk.org

MEMORANDUM

To: Board of Estimate and Taxation

From: Lisa Burns, PE – Operations Manager LB

Re: Water Pollution Control Authority Approved Budget FY12-13

Date: March 29, 2012

Enclosed is the Water Pollution Control Authority's FY2012-13 budget as approved at the Board meeting on March 19, 2012. Overall, there are very few changes to the budget. This budget includes a 3.19% blended rate increase over the previous fiscal year. Nitrogen credit revenues declined from $368,522 to $75,000 in FY2012-13 as a result of lower discharge permit requirements.

15 South Smith Street, Second Floor, Norwalk, CT 06855 Phone: (203) 854-7791 Fax: (203) 854-3224

Description of Services/Mission Statement:

The Water Pollution Control Authority (WPCA), an enterprise fund, manages the City's sanitary sewer collection system, pumping stations and wastewater treatment facility in accordance with environmental standards and regulations in the most cost effective and responsible manner. Since FY 2000-2001 the Authority has outsourced the operations and maintenance of the system.

Highlights For 2011-12:

- Construction completion and start-up of the $37 million Phase I wastewater treatment plant upgrade.
- Continued implementation of a Fats, Oils and Grease (FOG) program and Industrial Pretreatment Program. These programs will reduce sewer maintenance requirements, improve system performance and increase alternative revenues to offset higher operating costs.
- Continued to expand public outreach through participation with various civic groups and professional organizations
- Initiated design on a Supervisory Control and Data Acquisition (SCADA) Telemetry System for communications between the City's pumping stations and Wastewater Treatment Plant.
- Completed video monitoring security system onsite.
- Initiated power purchase negotiations with Third Taxing District
- Assisted the Town of Wilton to install new sewage flow meters at the town line.

Goals For 2012-13:

- Finalize design and construction of the Pump Station SCADA Telemetry System.
- Continue to implement Sanitary Sewer Collection System Master Plan.
- Design/rehabilitate the sanitary sewer infrastructure within the Ely Avenue and Beacon Street sanitary sewer project areas.
- Continue to negotiate power purchase agreement with Third Taxing District.
- Continue to improve the existing sewer use charge billing system.
- Utilize tablet technology in the field to increase data collection and update the City's Geographic Information System (GIS).

City of Norwalk
WATER POLLUTION CONTROL AUTHORITY
FY 2012-13 Approved Operating Budget Summary

REVENUES (224062)

ACCOUNT	DESCRIPTION	APPROVED FY 11-12	PROJECTED ACTUAL FY 11-12	PROPOSED FY 12-13
	Sewer Use Charges	$ 13,670,158	$ 13,565,092	$ 14,000,490
4513	*Norwalk Customers* [1]	$ *13,135,642*	$ *13,154,853*	$ *13,554,490*
4521	*Wilton Interlocal Agreement* [2]	$ *486,516*	$ *367,301*	$ *398,000*
4522	*Other Contract Customers*	$ *48,000*	$ *42,938*	$ *48,000*
	Other Revenues	$ 1,417,500	$ 1,391,245	$ 1,027,500
4451	*Sewer Permit Fees*	$ -	$ *400*	$ -
452C	*Sewer Connection Fees* [3]	$ *85,000*	$ *60,000*	$ *75,000*
452D	*Industrial Pretreatment Surcharge* [4]	$ *182,000*	$ *217,540*	$ *182,000*
4453	*Septage Haulers Licenses*	$ *1,500*	$ *1,500*	$ *1,500*
4516	*Septage Disposal Fees*	$ *525,000*	$ *525,000*	$ *525,000*
4121	*Nitrogen Credits* [5]	$ *400,000*	$ *368,577*	$ *75,000*
4901	*Investment Income* [6]	$ *150,000*	$ *135,000*	$ *99,000*
4051	*Interest on Delinquent Accounts* [7]	$ *65,000*	$ *65,000*	$ *65,000*
452B	*Reimbursement for Stormwater O&M*	$ *9,000*	$ *18,228*	$ *5,000*
XXXX	**Transfer from Fund Balance** [8]	$ 850,000	$ -	$ 2,350,000
4513	**Allowance for Uncollectibles** [9]	$ (236,000)	$ -	$ (244,000)
4513	**Adjustments**	$ (125,000)	$ (50,000)	$ (125,000)
	TOTAL	$ 15,576,658	$ 14,906,337	$ 17,008,990

[1] Rate increase $10 residential; $0 commercial; $0.20/1000 gallons commercial consumption per WPCA Financial Model.
[2] Billed on actual metered wastewater flow. Varies based on audited expenditures.
[3] Decreased due to less development activity.
[4] Assumes no change in IPP rates although WPCA Financial Model recommends increase to meet future debt service requirements.
[5] Estimated. Varies from revenue to expenditure based on plant performance. DEP has not published credit price for FY12-13.
[6] Revised projections requested from Comptroller.
[7] Requested projection from Tax Collector.
[8] Nets out in replacement reserve on expenditures sheet and is only an administrative exercise. Increased over previous years due to Finance Director's capital budget recommendations.
[9] Assumes 98.2% collection rate per Tax Collector's Office. May decrease based upon economic conditions.

City of Norwalk
WATER POLLUTION CONTROL AUTHORITY
FY 2012-13 Approved Operating Budget Summary

EXPENDITURES (224062)

ACCOUNT	DESCRIPTION	APPROVED FY 11-12	PROJECTED ACTUAL FY 11-12	PROPOSED FY 12-13
	Personnel/Benefits	$ 319,959	$ 345,964	$ 363,333
5110	*Salaries*[1]	*$ 224,423*	*$ 224,199*	*$ 235,442*
5428	*Benefits*	*$ 93,136*	*$ 119,365*	*$ 125,491*
5120	*Overtime*	*$ 2,400*	*$ 2,400*	*$ 2,400*
5258	Operations & Maintenance Fees[2]	$ 5,045,703	$ 5,069,943	$ 5,171,342
Various	Indirect Expenses[3]	$ 285,206	$ 287,680	$ 302,064
5241	Electricity[4]	$ 1,458,000	$ 1,410,000	$ 1,458,000
	Professional Services	$ 250,000	$ 250,000	$ 250,000
5298	*Contract Monitoring*	*$ 125,000*	*$ 125,000*	*$ 125,000*
5298	*Engineering Consulting*	*$ 75,000*	*$ 75,000*	*$ 75,000*
5298	*Geographic Information System/IT Services*	*$ 50,000*	*$ 50,000*	*$ 50,000*
5252	Legal Services	$ 50,000	$ 30,000	$ 30,000
	Administration	$ 52,000	$ 52,000	$ 52,000
5286	*General Office*	*$ 10,000*	*$ 10,000*	*$ 10,000*
5286	*Billing Costs*	*$ 8,000*	*$ 8,000*	*$ 8,000*
5245	*Telephone*	*$ 24,000*	*$ 24,000*	*$ 24,000*
5741	*IT Hardware*	*$ 10,000*	*$ 10,000*	*$ 10,000*
5235	Professional Dues/Memberships	$ 10,000	$ 10,000	$ 10,000
5295	Training/Conferences	$ 3,500	$ 3,500	$ 3,500
5418	Property Insurance Premium Worker's Compensation[5]	$ 80,455	$ 121,864	$ 127,957
5789	Replacement Reserve - Wilton's portion per agreement	$ -	$ -	$ 1,000,000
5789	Replacement Reserve - Norwalk[6]	$ 3,699,168	$ -	$ 3,865,504
5521	Debt Service	$ 4,322,667	$ 4,324,853	$ 4,375,290
	TOTAL	$ 11,877,490	$ 11,905,804	$ 17,008,990

[1] *Includes contract step and COL increases.*
[2] *Assumes CPI increase of 2%.*
[3] *Salaries, benefits and other direct costs for City support services including Finance Department (Tax Collector, Tax Assessor, Comptroller, IT, and Management & Budgets). Also includes allocation for DPW management. Assumed a 5% increase.*
[4] *Assumes 0% Increase over previous year.*
[5] *Assumes 5% increase over projected previous year.*
[6] *Includes $2,350,000 transfer from fund balance (shown on revenue sheet). Replacement reserve funded at $1,515,504.*

City of Norwalk
WATER POLLUTION CONTROL AUTHORITY
FY 2012-13 Approved Capital Budget Summary

CAPITAL BUDGET - GO REQUEST

Project	Approved FY 2011-12	Requested FY 2012-13	Projected FY 2013-14	Projected FY 2014-15	Projected FY 2015-16	Projected FY 2016-17
Pump Station Upgrade/Replacement	$ 250,000	$ 250,000	$ 250,000			
Collection System Rehabilitation	$ 500,000	$ 2,000,000	$ 1,500,000	$ 1,000,000	$ 1,000,000	$ 2,000,000
Supplemental Treatment Upgrade				$ 500,000	$ 2,000,000	
Solids Handling Facility				$ 200,000	$ 3,000,000	$ 2,000,000
	$ 750,000	$ 2,250,000	$ 1,750,000	$ 1,000,000	$ 1,000,000	$ 2,000,000

MEMORANDUM

MARCH 29, 2012

To: Members of the Board of Estimate and Taxation

From: Kathryn R. Hebert
Administrative Services Manager

Re: **Parking Authority Approved Budget FY12-13**

Attached is the Parking Authority's FY2012-13 budget as approved at their meeting on March 28, 2012. The FY 2013 Operating Budget represents an overall 2.37% increase in expenses. Revenues are estimated to increase 2.16%. Parking system budget highlights:

Expenses:

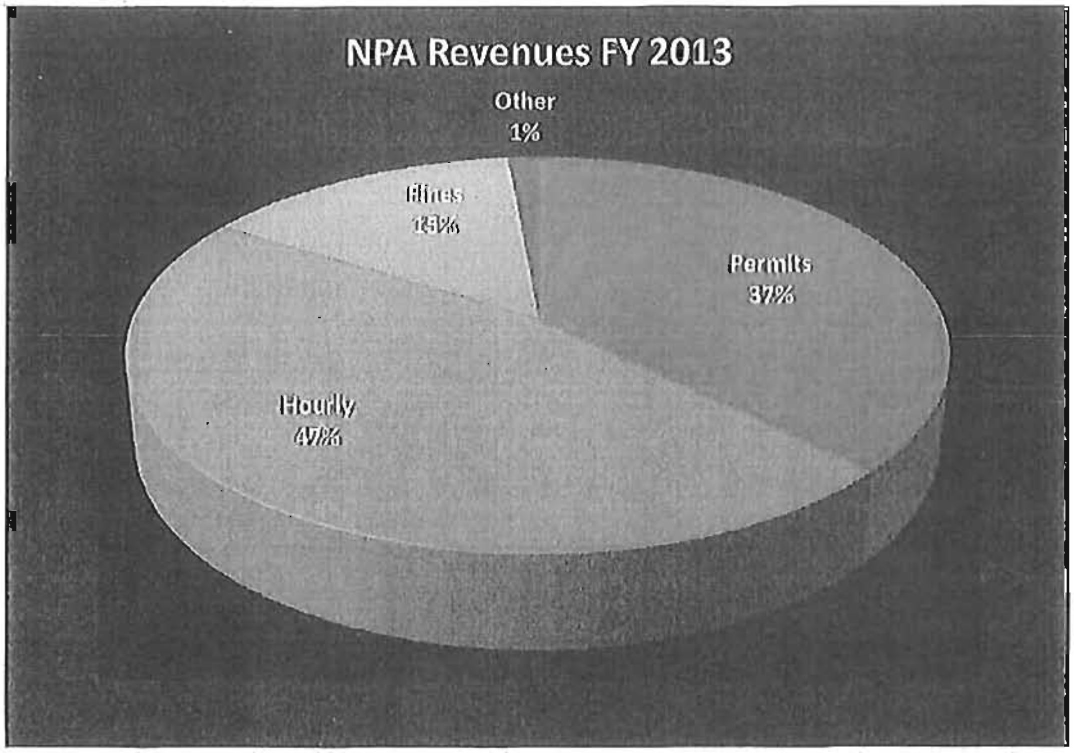
- An increase of 5.51% in debt service for a total of $52,888.
- A reduction of $50,000 in contingency.

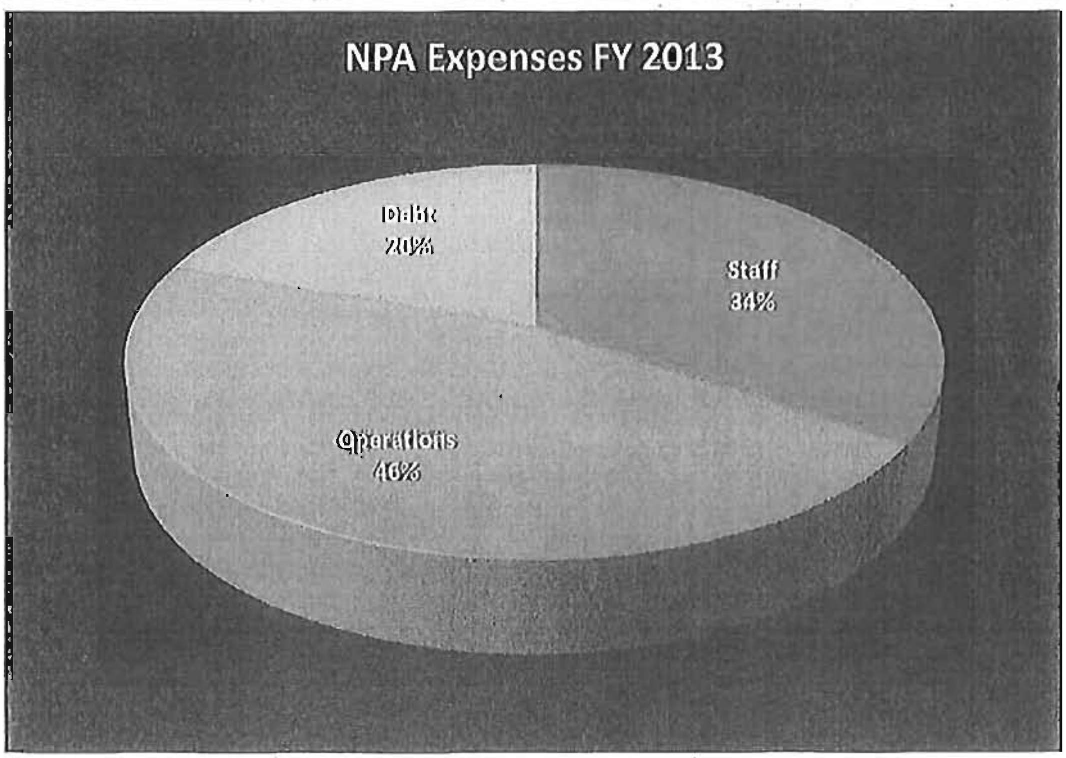
Revenues:

- Parking violation revenue is lower due to a decrease in ticket writing and represents 15% of the overall revenue pool (down from 19%).
- Transient revenues are increased mostly due to higher compliance and longer transaction times.
- Implementation of a new multifacilty permit for South Norwalk Railroad Station permit holders for $100 that enables them to use the Maritime Garage in an effort to provide an incentive to go to SoNo businesses.
- Implementation of a new daily rate of $8 at the East Norwalk Railroad Station to provide enhanced service to that station.

Norwalk Parking Authority
FY 2013 Recommended Operating Budget

	Actual FY 2011	Budget FY 2012	Projected FY 2012	Variance to Budget 2012	Recommened FY 2013 1/10/2012	Variance to Projected 2012	% Variance
PARKING REVENUE							
Monthly	1,971,891	1,959,289	1,969,469	11,179	2,002,366	32,898	
Transient	2,215,337	1,999,382	2,138,143	138,761	2,282,694	144,751	
Meter Revenue (Sono)	235,247	202,410	255,030	52,670	226,699	(28,331)	
Parking Violation Revenue	997,635	981,750	829,553	(152,197)	786,250	(43,303)	
Less: Refunds	(3,709)	0	(750)	(750)	0	750	
Less Sales Tax	(133,032)	(127,636)	(151,381)	(23,745)	(144,185)	7,196	
TOTAL PARKING REVENUE	6,278,364	5,014,195	5,040,063	25,868	5,154,024	113,960	2.26%
OTHER REVENUE							
SNRR Lease Income	1,800	7,500	7,500	0	7,500	0	
Yankee Doodle Lease Income/NTO	3,600	3,600	3,600	0	3,600	0	
SNRR/ENRR Concessions	47,710	50,000	47,700	(2,300)	51,000	3,300	
Investment Income	26,401	6,000	12,144	6,144	6,000	(6,144)	
ATM Machines	13,144	13,104	13,502	398	13,104	(398)	
TOTAL OTHER REVENUE	92,655	80,204	84,446	4,242	81,204	(3,242)	-3.84%
TOTAL SYSTEM REVENUE	6,371,019	5,094,399	5,124,509	30,110	5,235,228	110,719	2.16%
OPERATING EXPENSES							
Gross Wages	1,161,805	961,751	1,104,779	143,028	1,178,526	73,747	
FICA	100,461	73,574	84,516	10,942	90,157	5,642	
FUTA	10,506	7,694	8,838	1,144	9,428	590	
SUTA	40,710	29,815	34,249	4,434	36,535	2,286	
Workmen's Compensation	49,701	38,470	44,191	5,721	47,141	2,950	
Group Health Insurance	109,711	96,175	110,478	14,303	117,853	7,375	
401K Company Match	21,117	19,235	22,096	2,861	23,571	1,475	
Security Services	27,322	40,000	39,714	(286)	40,000	286	
Equipment Purchase	86,594	75,000	75,000	0	75,000	0	
Gas and/or Vehicle Expense	31,513	23,502	27,623	4,121	29,004	1,381	
Building Repair & Maintenance	462,515	509,000	508,487	(513)	500,000	(8,487)	
Sanitation Expense	20,577	18,141	26,283	8,142	27,072	788	
Sweeper Lease	18,903	0	0	0	0	0	
Truck Payments	19,794	25,000	18,716	(6,284)	25,000	6,284	
Operating Expense	58,659	75,000	51,969	(23,031)	60,000	8,031	
Elevator Repair & Maintenance	21,669	27,493	27,235	(258)	28,596	1,362	
Snow Removal	431,518	200,000	200,000	0	200,000	0	
Signage	41,116	60,000	59,466	(534)	60,000	534	
Tickets	3,211	32,165	32,000	(165)	32,960	960	
Water	2,955	0	0	0	0	0	
Liability Insurance	143,271	128,129	127,088	(1,041)	135,027	7,939	
Maritime Garage Condo Fees.	7,856	16,974	15,712	(1,262)	17,500	1,788	
Uniforms	4,922	10,561	10,405	(156)	10,718	312	
Licenses/Permit	2,664	992	0	(992)	0	0	
Utilities	63,343	40,000	40,908	908	41,930	1,023	
Management Fees LAZ	99,995	100,000	99,993	(7)	100,000	7	
Printing	0	1,286	2,411	1,125	2,483	72	
Office Supplies	10,015	15,000	15,587	587	16,055	468	
Service Contracts - Copiers	5,120	6,365	6,501	136	6,696	195	
Postage	2,813	7,076	7,140	64	7,355	214	
Telephone	35,885	35,000	40,676	5,676	41,896	1,220	
Credit Card Fees	178,452	177,386	170,354	(7,032)	174,206	3,852	
Comm. Equip/Serv. Contracts	44,159	50,000	50,480	480	51,994	1,514	
LPR Administration	26,045	30,000	33,524	3,524	34,529	1,006	
Violation Management	109,084	157,075	117,528	(39,547)	120,000	2,472	
Violation Collection	46,669	40,000	40,210	210	40,000	(210)	
Website Maint, Outreach, Commun	0	22,737	22,737	22,737	23,419	682	
Rental Lease Church Lot	5,000	7,002	7,000	(2)	7,210	210	
Contingency Fund	55,300	100,000	100,000	0	50,000	(50,000)	
TOTAL OPERATING EXPENSES	3,560,949	3,257,598	3,383,894	126,296	3,461,862	77,969	2.30%
CITY ADMINISTERED EXPENSES							
Enforcement Officers Wages	100,128	102,202	102,202	0	102,222	20	
Contingency	536	6,677	6,677	0	10,450	3,773	
Other City Payroll Expenses	81,937	60,664	60,664	0	60,518	(146)	
Social Security	13,904	13,989	13,989	0	13,980	(9)	
Health Insurance	27,636	30,399	30,399	0	32,680	2,281	
Worker's Compensation	11,604	11,603	11,603	0	10,055	(1,548)	
Pension	8,476	23,320	23,320	0	18,151	(5,169)	
City Provided Liability Ins	62,356	64,227	64,227	0	44,450	(19,777)	
Unemployment	0	535	535	0	532	(3)	
Electric	276,505	275,000	275,000	0	281,875	6,875	
Typing Service	2,286	2,568	2,568	0	2,645	77	
Sewer	5,146	8,240	8,240	0	8,487	247	
Professional Service	19,726	30,900	30,900	0	31,827	927	
Legal Service Retainer	34,761	30,900	30,900	0	31,827	927	
TOTAL CITY ADMINISTERED	650,217	681,224	681,224	0	669,699	(11,525)	-1.69%
SUB-TOTAL OPERATING EXP.	4,211,166	3,938,822	4,065,118	126,296	4,131,562	66,444	1.63%
Debt Service Interest	470,855	437,521	437,521	0	447,175	9,654	
Debt Service Principal	515,984	523,109	523,109	0	566,343	43,234	
SUB-TOTAL DEBT SERVICE	986,839	960,630	960,630	0	1,013,518	52,888	5.51%
TOTAL EXPENSES	5,198,005	4,899,452	5,025,748	126,296	5,145,080	119,332	2.37%
Capital Reserve	173,014	194,946	98,761	(96,185)	90,148	(8,613)	-8.72%


NPA Revenues FY 2013
Other
1%
Fines
15%
Permits
37%
Hourly
47%


NPA Expenses FY 2013
Debt
20%
Staff
34%
Operations
46%

Norwalk Parking Authority
FY 13 Recommended Operating Budget - Expense Detail

	Budget FY 2012	Projected FY 2012	Recommended FY 2013	Operating Line Item Description FY 2013
OPERATING EXPENSES				
Gross Wages	$ 961,751	$ 1,104,779	$ 1,178,526	Gross salaries and hourly wages. Assumes continuation of increased staffing at SNRS for security.
FICA	$ 73,574	$ 84,516	$ 90,157	Based on statutory % rate of gross wages
FUTA	$ 7,694	$ 8,938	$ 9,428	Based on statutory % rate of gross wages
SUTA	$ 29,815	$ 34,249	$ 36,535	Based on experience rating as % of gross wages
Workmen's Compensation	$ 38,470	$ 44,191	$ 47,141	Based on statutory % rate of gross wages
Group Health Insurance	$ 96,175	$ 110,473	$ 117,853	Based on % rate of gross wages
401K Company Match	$ 19,235	$ 22,096	$ 23,571	Based on % rate of gross wages
Security Services	$ 40,000	$ 29,714	$ 40,000	Armored car services and metered gateway expenses
Equipment Purchase	$ 75,000	$ 75,000	$ 75,000	Vehicle revenue control, meter replacement
Gas and/or Vehicle Expense	$ 23,502	$ 27,623	$ 29,004	NPA vehicle use. Assumes 5% increase per finance dept. proforma
Building Repair & Maintenance	$ 509,000	$ 505,487	$ 500,000	Based on historical and Desman recommendations for repair and replacement allocation
Sanitation Expense	$ 18,141	$ 26,283	$ 27,072	Facility refuse. Assumes 3% increase.
Truck Payments	$ 25,000	$ 15,716	$ 25,000	Annualized payments of NPA fleet
Operating Expense	$ 75,000	$ 51,969	$ 60,000	Operating supplies related to the operation of each facility.
Elevator Repair & Maintenance	$ 27,493	$ 27,235	$ 28,596	Annual maintenance contracts.
Snow Removal	$ 200,000	$ 200,000	$ 200,000	Snow removal from each NPA property
Signage	$ 60,000	$ 58,465	$ 60,000	Maintenance and new sign installations as warranted
Tickets	$ 32,165	$ 32,009	$ 32,960	Tickets for transient parkers at cashiered and pay by space facilities. Assumes 3% increase.
Liability Insurance	$ 128,129	$ 127,083	$ 135,027	Based on companywide master policy allocation methodology to NPA.
Maritime Garage Condo Fees	$ 16,974	$ 15,712	$ 17,500	Garage portion of assigned fees
Uniforms	$ 10,561	$ 10,405	$ 10,718	Maintenance of and new staff apparel requirements. Assumes 2% increase.
Licenses/Permit	$ 992	$ -	$ -	As mandated and applicable per City requirements
Utilities	$ 40,000	$ 40,909	$ 41,930	Electrical charges. Assumes 2.5% increase.
Management Fees LAZ	$ 100,000	$ 99,993	$ 100,000	Contractual obligation
Printing	$ 1,286	$ 2,411	$ 2,483	Letterhead, envelopes miscellaneous needs.
Office Supplies	$ 15,000	$ 15,587	$ 16,055	Based on historical trends and anticipated needs. Assumes 3% increase.
Service Contracts - Copiers	$ 6,365	$ 6,501	$ 6,696	Annual lease and supply expenses.
Postage	$ 7,076	$ 7,140	$ 7,355	Based on historical postage expense trends.
Telephone	$ 35,000	$ 40,676	$ 41,896	Includes land lines, fax lines, data lines and cell phone charges.
Credit Card Fees	$ 177,356	$ 170,354	$ 174,206	Based on historical revenue trends
Communication Equip./Svc. Contracts	$ 50,000	$ 50,480	$ 51,994	Preventative maintenance contracts and service on VRCS and CCTV.
LPR Administration	$ 20,000	$ 33,524	$ 34,529	License fees associated with system use.
Violation Management	$ 157,075	$ 117,526	$ 120,000	Amortized equipment and services fees
Violation Collections	$ 40,000	$ 40,210	$ 40,000	Percentage of revenue on collections over 90-days
Website Maint., outreach, communication	$ 22,737	$ 22,737	$ 23,419	All facilities in inventory
Rental Lease Church Lot	$ 7,002	$ 7,000	$ 7,210	Lease agreement with St. Thomas Church/ENRR Commuter Lot
Contingency Fund	$ 100,000	$ 100,000	$ 50,000	Unanticipated expenses throughout FY13, unforeseen emergencies, and unbudgeted snow removal expenses
TOTAL OPERATING EXPENSES	$ 3,257,598	$ 3,383,894	$ 3,461,862	
CITY ADMINISTERED EXPENSES				
Enforcement Officers Wages	$ 102,202	$ 102,202	$ 102,222	City Parking Enforcement Officers (2)
Contingency	$ 6,677	$ 6,677	$ 10,450	
Other DPW Payroll Expenses	$ 80,664	$ 80,664	$ 80,518	Proportional share of selected city staff expenses (Finance Dept. & DPW allocations)
Social Security	$ 12,959	$ 12,989	$ 12,980	FICA
Health Insurance	$ 30,399	$ 30,399	$ 32,680	Health Benefits
Worker's Compensation	$ 11,603	$ 11,603	$ 10,055	Benefits for city parking staff
Pension	$ 23,320	$ 22,220	$ 18,151	Pension Contribution
City Provided Liability Ins	$ 64,227	$ 64,227	$ 44,150	Liability Insurance benefits
Unemployment	$ 535	$ 535	$ 532	Unemployment
Electric	$ 275,000	$ 275,000	$ 281,875	Electrical charges. Assumes 2.50% increase.
Typing Service	$ 2,568	$ 2,569	$ 2,645	NPA Minutes. Assumes 3% increase.
Sewer	$ 8,240	$ 8,240	$ 8,487	City Charges. Assumes 3% increase.
Professional Services	$ 30,900	$ 30,900	$ 31,827	Architectural and other professional fees as required. Assumes 3% increase.
Legal Service Retainer	$ 30,900	$ 30,900	$ 31,827	NPA legal services as required. Assumes 3% increase.
TOTAL CITY ADMINISTERED	$ 681,224	$ 681,229	$ 669,699	Sub-Total City Expenses
SUB-TOTAL OPERATING EXPENSES	$ 3,938,822	$ 4,065,118	$ 4,131,562	Sub-Total Operating Expenses
Debt Service Interest	$ 437,521	$ 437,521	$ 447,175	NPA Debt Service Expense
Debt Service Principal	$ 523,109	$ 523,109	$ 566,343	NPA Debt Service Expense
SUB-TOTAL INTEREST/PRINCIPAL	$ 960,630	$ 960,630	$ 1,013,518	
TOTAL EXPENSES	$ 4,899,452	$ 5,025,748	$ 5,145,080	

MEMORANDUM

To: Local Chief Elected Officials, City and Town Managers

From: Sandra M. Huber, LoCIP Program Coordinator
Intergovernmental Policy Division

Date: February 27, 2012

Subject: ***2012 Local Capital Improvement Program (LoCIP) Guidelines***

The ***2012 Local Capital Improvement Program (LoCIP) Guidelines*** packet is available on the internet only. As with previous Guidelines, the following is provided:

- A listing, by community, of all certified LoCIP entitlements for 2012. Also included is a column entitled "Available 3/2012" which includes the new 2012 entitlement plus any undesignated LoCIP entitlements remaining from previous years.
- The latest LoCIP Authorization/Expenditure form which is required to be filed with any LoCIP authorization and/or reimbursement request.
- An updated copy of the Connecticut General Statutes sections (7-535 through 7-538) that relate to the LoCIP program.
- A question and answer section that covers the most frequently asked questions relating to the LoCIP program.

Please use the Authorization/Expenditure form when requesting authorization or reimbursement. Be sure to provide the name, title, and contact information of the LoCIP contact person for your municipality when requesting authorization and/or reimbursement. This is the person to whom program correspondence will be addressed.

Remember to provide expenditure documentation for items or services for which you request LoCIP reimbursement with your reimbursement request: copies of cancelled checks or a list of checks issued, the date, vendor, a description of the items or services provided and amount.

If you have questions or comments concerning the LoCIP program, please contact me at (860) 418-6293 or e-mail me at: sandra.huber@ct.gov

Thank you.

INTRODUCTION

Connecticut's Local Capital Improvement Program (LoCIP) provides financial assistance to municipalities for eligible projects in the form of entitlement grants funded with State general obligation bonds. Annual entitlements are announced in March; municipalities must apply to the Office of Policy and Management for authorization of projects qualifying for LoCIP funding on the Authorization/Expenditure form included in this package. Once a municipality expends funds for an authorized LoCIP project, it may apply for reimbursement on the form. Reimbursement cannot exceed the municipality's available balance of LoCIP funds.

This manual has been prepared to assist municipalities in applying for state aid entitlement grants for the Local Capital Improvement Program (LoCIP).

If you have questions on the LOCIP program or procedures, please do not hesitate to call **Sandra Huber, LOCIP Coordinator, at (860) 418-6293 or via e-mail at: sandra.huber@ct.gov**

QUESTIONS AND ANSWERS RELATING TO THE LoCIP GRANT PROGRAM

1. When can applications be submitted?
Currently, the Office of Policy and Management (OPM) is accepting applications for the entitlement years 1988-89 through 2011-12. Applications for the 2011-12 certified entitlement will be accepted on or after March 1, 2012. Grant requests should be addressed to:

State of Connecticut
Office of Policy and Management
Intergovernmental Policy Division
450 Capitol Ave., MS#54ORG
Hartford, Ct 06106-1379
Attention: Sandra Huber

2. What categories are eligible for LOCIP reimbursement?
Eligible LoCIP projects are defined in the following major categories:

A) ROAD construction, renovation, repair, or resurfacing,
B) SIDEWALK and pavement improvements,
C) SEWER facilities/lines construction, renovation, enlargement, or repair,
D) PUBLIC BUILDINGS, other than schools, construction, renovation, code compliance, energy conservation and fire safety,
E) DAMS/BRIDGES/FLOOD CONTROL construction, renovation, enlargement, or repair,
F) WATER TREATMENT OR FILTRATION facilities/mains construction, renovation, enlargement, or repair,
G) SOLID WASTE facilities construction, renovation, or enlargement,
H) PUBLIC PARKS improvements,
I) CAPITAL IMPROVEMENT PLANS,
J) EMERGENCY COMMUNICATIONS systems improvements,
K) PUBLIC HOUSING renovation and improvements,
L) VETERANS MEMORIALS,
M) THERMAL IMAGING SYSTEMS,
N) BULKY WASTE/LANDFILL PROJECTS,
O) CONSERVATION & DEVELOPMENT PLANS,
P) AUTO EXTERNAL DEFIBRILLATORS,
Q) FLOODPLAIN MANAGEMENT AND HAZARD MITIGATION ACTIVITIES,
R) ON-BOARD OIL REFINING SYSTEMS, and
S) THE PLANNING OF A MUNICIPAL BROADBAND NETWORK.

A LoCIP project may include repairs incidental to reconstruction and renovation, but does not include ordinary repairs and maintenance of a routine, ongoing nature. Output facilities (e.g., electric power plants), other than water facilities are not eligible for funding.

3. How are distributions to each municipality calculated?
Distribution of these funds is apportioned to the towns by the statutory formula of 30% road miles, 25% population density, 25% AENGLC (Adjusted Equalized Net Grand List Per Capita) and 20% population, with unconsolidated cities and boroughs receiving a percentage of their associated

municipality's allocation based on the total taxes levied. *These entitlements may be accumulated from year to year, since there is no deadline for application.*

4. What is a Capital Improvement Plan (CIP)?
A CIP is a multiyear plan prepared to show the general description, need, and estimated cost of each individual capital improvement, and the proposed funding source for each individual capital improvement in the first year of the plan. The CIP should be adopted by the applicant's legislative body having final annual budget approval (City Council, Board of Alderman or Town Meeting) and should be updated annually.

5. Must projects be included in a CIP in order to be approved?
Yes, the municipality must certify that it has adopted a capital improvement plan and that the project is consistent with such plan. If, however, a particular project is not included in the local CIP because of a substantial change of circumstances, a note explaining such circumstances must be included in the project description section of the Authorization/Expenditure form.

6. Can the LoCIP grant be used for projects which receive other State funds?
The grant can be used toward the balance on projects receiving other assistance, but cannot be used to satisfy a local matching requirement for any state assistance program except for the Local Bridge Program established under Sections 13a-175p to 13a-175u, Connecticut General Statutes.

7. Do projects require separate applications?
Yes, separate forms, and support materials are required for each project.

8. How long will it take to receive approval?
The Secretary of OPM will approve or disapprove each fully completed project authorization application within forty five (45) days of its receipt and will notify each applicant accordingly. The Secretary will approve projects only up to the amount of each municipality's available balance (current entitlement plus any balance from previous years), provided separately.

9. How does the municipality receive funding?
A municipality must expend local funds for eligible and approved LoCIP projects before reimbursement can be issued. The municipality initiates this reimbursement procedure by submitting a reimbursement request and entering the amount of reimbursement requested in the "Amount of Current Request" column on the LOCIP Authorization/Expenditure form provided in this booklet. *Note: Documentation of the expenditure in the form of a list of checks, their dates, amounts and the vendor from whom items or services were obtrained and a description of those items /services must accompany the request. Copies of detailed invoices itemizing products or services provided may be requested in order to verify eligibility for reimbursement.*

Before a municipality receives a reimbursement payment against an approved project that had no activity in over seven years, it must submit a waiver request stating the reason(s) for the delay in activity.

10. Can a municipality request a project authorization and reimbursement of expenses at the same time?
Yes, each municipality may apply to the Secretary for expense reimbursement at the time it submits a Local Capital Improvement project authorization request. Documentation of the expenditure as described under item 9 above, must accompany the request.

11. May municipalities sell grant anticipation notes to provide interim financing?
Yes, the law permits municipalities to sell such notes. Such notes must be authorized in the same way as other bonds and notes of the municipality but payments of principal are not required while the project is under construction. The term of these notes shall not exceed six months from the date of completion of an eligible local capital improvement project.

The expense of preparing, issuing and marketing of such notes may not be included as part of the cost of an eligible local capital improvement project. Also, a LoCIP grant may not be used to make debt service payments on long-term bonds or financing leases.

12. May a municipality transfer LoCIP funds from one project to another if there is an unexpended balance in one project account and deficit elsewhere?
No, if there is a balance and a project is completed, the municipality should close out the finished project and the funding will be released into the municipality's available balance where it can be drawn from for another project. For a cost overrun, the municipality should submit a new request for authorization referring to the original project number in the description of the project, the application should provide an explanation for the cost overrun; a new project number will be issued.

INSTRUCTIONS

PART 1 - PROJECT APPROVAL

Only a COMPLETE LoCIP application package will be acted upon by the Office of Policy and Management (OPM). A separate package is required for each project and MUST include the following items for approval.

A current **Authorization/Expenditure** form* provided by OPM must be signed by the Chief Executive Officer at the bottom of the form. The project description must provide a detailed description of the proposed work. For project authorization only, complete the first column of the Project Component Section. (The balance of the Project Component Section will be completed when reimbursement is requested - see below.)

This Authorization/Expenditure form is also used to certify that the project is a local capital improvement project and that the funds are not being used to match another state grant except for the Local Bridge Program.

In the event an emergency authorization is requested which was not contained in the municipality's capital plan, the Chief Executive Officer should note on item 4 in the certification section of the form that the project is not in the capital plan and note the reason in the "Project Description" section on this form.

The Secretary of OPM will approve or disapprove each fully completed project authorization application within forty five (45) days of its receipt and will notify each applicant accordingly.

PART 2 - REIMBURSEMENT

After expenses have been incurred and local funds have been expended for an approved project, the municipality may request reimbursement by completing the request for reimbursement part (columns 2, 3 and 4 of the Project Component Section) of the Authorization/Expenditure form. Column 2 of this section should list the amount of previous reimbursement (if any) made by OPM for this project. Column 3 should show the amount now being requested, while column 4 is a total of the previous reimbursement and the current request.

Each reimbursement request should be accompanied by a brief expense summary sheet that shows the vendor's name, check number, date and amount of each payment and a description of the item/service provided, making up that particular submission. **Copies of detailed invoices that provide a description of the items or services provided by the vendor may be required in order to determine eligibility for reimbursement.**

When the project is complete and the final expense reimbursement is requested, the applicant should check the "Final Reimbursement" box on the form. If the final reimbursement total is less than the amount originally approved, the unexpended balance of the approved for the project will be returned to the municipality's available balance.

If a municipality experiences a project overrun, a new Authorization form for the amount of this overrun is required. The project description of this new request should mention the earlier related LoCIP project number and the reason for the overrun. *The increase will be approved under a new project number subject to OPM review and approval provided the municipality has entitlement funds available.*

CHAPTER 116b

LOCAL CAPITAL IMPROVEMENT FUND

Table of Contents

Sec. 7-535. Local Capital Improvement Fund established. There is established and created a fund to be known as the "Local Capital Improvement Fund". Said fund shall contain any moneys required by law to be deposited in the fund and shall be held separate and apart from all other moneys, funds and accounts. Any balance remaining in said fund at the end of any fiscal year shall be carried forward in said fund for the fiscal year next succeeding. The resources of such fund shall be expended for the purpose of reimbursing municipalities for the cost of eligible local capital improvement projects pursuant to section 7-536.

(P.A. 87-584, S. 11, 18; June Sp. Sess. P.A. 91-3, S. 158, 168; June Sp. Sess. P.A. 91-13, S. 17, 21; May Sp. Sess. P.A. 92-7, S. 5, 36.)

History: June Sp. Sess. P.A. 91-3 eliminated the provision that investment earnings credited to the assets of the fund become part of the assets of the fund; June Sp. Sess. P.A. 91-13 deleted all changes made by June Sp. Sess. P.A. 91-3 and restored language existing as of January 1, 1991; May Sp. Sess. P.A. 92-7 removed the provision that investment earnings credited to the assets of the fund became part of the fund.

Sec. 7-536. Definitions. Allocation of funds. Projects. Formulas. Applications for funds. Criteria for review of applications. Use of funds. (a) As used in sections 7-535 to 7-538, inclusive:

(1) "Adjusted equalized net grand list per capita" means the adjusted equalized net grand list per capita determined for each town pursuant to section 10-261;

(2) "Density" means the population of a municipality divided by the number of square miles of the municipality;

(3) "Grant anticipation note" means a note issued in anticipation of the receipt of project grants to the municipality from moneys in the Local Capital Improvement Fund;

(4) "Local capital improvement project" means a municipal capital expenditure project for any of the following purposes: (A) Road construction, renovation, repair or resurfacing, (B) sidewalk and pavement improvements, (C) construction, renovation, enlargement or repair of sewage treatment plants and sanitary or storm, water or sewer lines, including separation of lines,

(D) public building construction other than schools, including renovation, repair, code compliance, energy conservation and fire safety projects, (E) construction, renovation, enlargement or repair of dams, bridges and flood control projects, (F) construction, renovation, enlargement or repair of water treatment or filtration plants and water mains, (G) construction, renovation or enlargement of solid waste facilities, (H) improvements to public parks, (I) the preparation and revision of local capital improvement plans projected for a period of not less than five years and so prepared as to show the general description, need and estimated cost of each individual capital improvement, (J) improvements to emergency communications systems, (K) public housing projects, including renovations and improvements and energy conservation and the development of additional housing, (L) renovations to or construction of veterans' memorial monuments, (M) thermal imaging systems, (N) bulky waste and landfill projects, (O) the preparation and revision of municipal plans of conservation and development adopted pursuant to section 8-23, provided such plans are endorsed by the legislative body of the municipality not more than one hundred eighty days after adoption by the commission, (P) acquisition of automatic external defibrillators, (Q) floodplain management and hazard mitigation activities, (R) on-board oil refining systems consisting of a filtration canister and evaporation canister that remove solid and liquid contaminants from lubricating oil, and (S) activities related to the planning of a municipal broadband network, provided the speed of the network will be not less than three hundred eight-four thousand bits per second. "Local capital improvement project" means only capital expenditures and includes repairs incident to reconstruction and renovation but does not include ordinary repairs and maintenance of an ongoing nature and "floodplain management" and "hazard mitigation" shall have the same meaning as in section 25-68j;

(5) "Municipality" means any town, city, borough, consolidated town and city or consolidated town and borough;

(6) "Population" means the number of people according to the most recent federal decennial census, except in intervening years between such censuses when it shall mean the number according to the most recent estimate of the Department of Public Health; and

(7) "Secretary" means the Secretary of the Office of Policy and Management.

(b) On February first of each year, not more than the amount as authorized by the General Assembly for the fiscal year from the resources of the Local Capital Improvement Fund shall be allocated to the Secretary of the Office of Policy and Management, who shall allocate an amount to each municipality in the state in accordance with the provisions of subsection (c) of this section. The secretary shall credit all such allocated moneys to a local capital improvement account for each municipality and make local improvement project grants from such accounts to such municipalities pursuant to the provisions of this section. The secretary shall maintain records indicating, for each municipality's account, the amount credited to the account each year, the amount paid out in local capital improvement project grants and charged to the account and the balance available for additional local capital improvement project grants.

(c) Each allocation under subsection (b) of this section shall be made to municipalities in accordance with the following formula: (1) Thirty per cent of the amount shall be allocated pro rata on the basis of the ratio of the total number of miles of improved and unimproved highways in each town to the total number of miles of improved and unimproved highways in all towns in the state, as determined under sections 13a-175b and 13a-175d; (2) twenty-five per cent of the amount shall be allotted pro rata on the basis of the following ratio: The density of each town multiplied by the population of such town shall be the numerator of the fraction. The resulting products for all the towns shall be added together, and the sum shall be the denominator of the fraction; (3) twenty-five per cent of the amount shall be allotted on the basis of the following ratio: The population of each town multiplied by the inverse of the adjusted equalized net grand list per capita of such town shall be the numerator of the fraction, and the resulting products for all the towns shall be added together and the sum shall be the denominator of the fraction; (4) twenty per cent of the amount shall be allotted pro rata on the basis of the ratio of the population of each town to the population of the state. Any city or borough not consolidated with the town in which it is located and any town containing such a city or borough shall share the allocation to such town on the basis of the following ratio: The total taxes levied in the previous fiscal year by such town, city or borough shall be the numerator of the fraction. The total taxes levied by the town and all cities or boroughs located within such town shall be added together, and the sum shall be the denominator of the fraction. Any such city or borough may, by vote of its legislative body, direct the Secretary of the Office of Policy and Management to reallocate all or a portion of the share of such city or borough to the town in which it is located.

(d) On March first of each year the Secretary of the Office of Policy and Management shall indicate to each municipality the amount allocated to the municipality under subsections (b) and (c) of this section in accordance with section 4-71a.

(e) Each municipality may apply to the secretary for project authorization and expense reimbursement of local capital improvement projects.

(f) The secretary shall approve or disapprove each completed application for a local capital improvement project grant authorization not later than forty-five days after receipt of such application on a form prescribed by the secretary. Such application shall include a certification by the municipality that: (1) The project for which grant assistance is requested is a local capital improvement project; (2) the project is consistent with the local capital improvement plan adopted by the municipality; and (3) the grant proceeds shall not be used to satisfy a local matching requirement for any state assistance program other than the local bridge program established under sections 13a-175p to 13a-175u, inclusive. The municipality shall provide any other certification required by the secretary. The secretary shall authorize such grant if, in the secretary's opinion, the project meets the requirements set forth in this section and any other requirement imposed by the secretary and payment of such grant would not cause the local capital improvement account of the municipality, established under subsection (b) of this section, to be overdrawn. If a municipality fails to request payment within seven years of such authorization for a project, the secretary shall make no payment for such project unless the municipality requests and receives a waiver for such project on such terms and conditions as the secretary deems appropriate. On or before five years after the date of any such authorization and on or before six years after the date of any such authorization, the secretary shall notify, in writing, any municipality for which any such authorization has been made which notice shall indicate the time which has elapsed since such authorization and the date after which the secretary may not make payments for an authorized project.

(g) Each municipality may apply to the secretary for expense reimbursement at the time it submits a local capital improvement project authorization request or any time after such authorization request has been approved by the secretary. The application for expense reimbursement shall be submitted on a form prescribed by the secretary and shall contain identification of the expenses for which reimbursement is sought and certification from the municipality that: (1) Expenditures for the project conform to the provisions of subdivision (4) of subsection (a) of this section and the municipality is entitled to the reimbursement requested in the application; and (2) the municipality agrees to maintain detailed accounting records of the project reflecting the expenditures for which reimbursement has been requested and to make such records available to its independent auditor and the state. The municipality shall provide any other certification required by the secretary. Not later than five business days after such certification, the Comptroller shall draw his or her order on the Treasurer, who shall pay the grant to the municipality.

(h) Each municipality receiving a local capital improvement project grant under this section shall retain detailed accounting records of all expenses incurred relative to the local capital improvement project for which a grant is received for a period of not less than three years following the completion of such project. If the secretary determines that such records are not maintained or a review of such records indicates that such grant, or any portion thereof, was used for a purpose other than its intended purpose, the secretary shall provide written notification to the chief executive officer of the municipality of such finding. Upon issuing a finding under this section, the secretary may require the municipality to promptly pay to the state an amount equal to the amount of the grant or he may cause the amount of any future grant made under this section to be reduced by such amount.

(i) On and after January 1, 2001, no municipality shall receive any financial assistance under this section for improvements to information technology systems to manage the century date change effect.

(j) No municipality shall be eligible to receive financial assistance under this section for reimbursement of the cost of preparing a municipal plan of conservation and development, pursuant to section 8-23, more than once in any ten-year calendar period.

(P.A. 87-584, S. 12, 18; P.A. 88-343, S. 18-20, 32; P.A. 89-370, S. 4, 15; June Sp. Sess. P.A. 91-3, S. 159, 168; June Sp. Sess. P.A. 91-13, S. 18, 21; May Sp. Sess. P.A. 92-7, S. 6, 36; P.A. 93-381, S. 9, 39; June Sp. Sess. P.A. 93-1, S. 7, 45; P.A. 94-53; P.A. 95-257, S. 12, 21, 58; 95-272, S. 3, 4, 29; 95-307, S. 1, 14; P.A. 97-244, S. 1, 13; June 5 Sp. Sess. P.A. 97-1, S. 7, 20; P.A. 99-66, S. 2, 3, 5; 99-241, S. 4, 66; P.A. 00-167, S. 58, 59, 69; P.A. 01-197, S. 2-4; P.A. 02-89, S. 10; May 9 Sp. Sess. P.A. 02-5, S. 3; P.A. 04-144, S. 3; P.A. 07-177, S. 1; 07-213, S. 10; 07-254, S. 2.)

History: P.A. 88-343 amended Subsec. (b) to make the program annual and to increase the bond authorization from $20,000,000 to $30,000,000, amended Subsec. (d) to provide that the notice of allocation shall be in accordance with Sec. 4-71a and amended Subsec. (e) to change the application date from February 1, 1988, to March first of each year; P.A. 89-370 amended Subsec. (f) to change project authorization date in Subdiv. (2) from July 1, 1987, to May 1, 1987; June Sp. Sess. P.A. 91-3 amended the local capital improvement program and created a new local transportation infrastructure program; June Sp. Sess. P.A. 91-13 deleted all changes made by June Sp. Sess. P.A. 91-3 and restored language existing as of January 1, 1991; May Sp. Sess. P.A. 92-7 amended Subsec. (a)(4) to clarify that all projects must be capital expenditures and to remove local pavement management programs to analyze the condition of town roads from the definition, amended Subsec. (b) to remove the provision adding interest to municipal accounts and amended Subsecs. (g) and (h) to insert provisions relating to the timing of payments; P.A. 93-381 replaced department of health services with department of public health and addiction services, effective July 1, 1993; June Sp. Sess. P.A. 93-1 redefined "local capital improvement project" to include improvements to emergency communication systems, effective July 1, 1993; P.A. 94-53 amended Subsec. (a) to redefine "local capital improvement project" to include sidewalk and pavement improvements; P.A. 95-257 replaced Commissioner and Department of Public Health and Addiction Services with Commissioner and Department of Public Health, effective July 1, 1995; P.A. 95-272 redefined "local capital improvement project" in Subsec. (a) to include public housing projects and amended Subsec. (b) to delete allocation amount of $30,000,000 and replace it with the amount authorized by the General Assembly, effective July 1, 1995; P.A. 95-307 amended Subsec. (f) by extending the time for a decision on an application from 30 to 45 days, effective July 6, 1995; P.A. 97-244 amended Subsec. (d) to add date for notification of amount of the allocation, amended Subsec. (e) to refer to projects rather than grants, amended Subsec. (f) to require application re prescribed forms and certification by the municipality and to establish criteria for approval, amended Subsec. (g) to add provisions re expense reimbursement and amended Subsec. (h) to add provision re retention of detailed accounting records of expenses, effective July 1, 1997; June 5 Sp. Sess. P.A. 97-1 added Subsec. (a)(4)(L) re renovations to or construction of veterans' memorial monuments, effective July 31, 1997 (Revisor's note: The reference in Subpara. (L) to "veterans memorial monuments" was changed editorially by the Revisors to "veterans' memorial monuments" for grammatical accuracy); P.A. 99-66 added Subsec. (a)(4)(M) re improvements to information technology systems in definition of "local capital improvement project" and added new Subsec. (i) re termination of assistance to municipalities as of January 1, 2001, effective May 27, 1999; P.A. 99-241 amended Subsec. (f) to add provision that the secretary make no payment if a municipality fails to request payment within five years of authorization, effective July 1, 1999; P.A. 00-167 amended Subsec. (a)(4) to include flood control projects, thermal imaging systems and bulky waste and landfill projects as "local capital improvement projects" and amended Subsec. (f) to extend the time within which municipalities must request payment for authorized projects from five years to seven years and provide for notice to municipalities of the deadline, effective July 1, 2000; P.A. 01-197 added Subsec. (a)(4)(P) to include preparation and revision of municipal plans of conservation and development and added new Subsec. (j) re eligibility for reimbursement for cost of preparing a municipal plan of conservation and development, effective July 1, 2001, and applicable to municipal plans of conservation and development prepared after that date; P.A. 02-89 amended Subsec. (a)(4) to delete as obsolete former Subpara. (M) re "improvements to information technology systems to manage the century date change effect, as defined in section 4d-16," and redesignate former Subparas. (N), (O) and (P) as Subparas. (M), (N) and (O); May 9 Sp. Sess. P.A. 02-5 amended Subsec. (a)(4) to include acquisition of automatic external defibrillators in the definition of "local capital improvement project" as new Subpara. (P), effective July 1, 2002; P.A. 04-144 added Subsec. (a)(4)(Q) re floodplain management and hazard mitigation activities and defining "floodplain management" and "hazard mitigation"; P.A. 07-177 amended Subsec. (a)(4) to add Subpara. (R) to include on-board oil refining systems in the definition of "local capital improvement project", effective July 1, 2007; P.A. 07-213 amended Subsec. (g) to change "fifteen days" to "five business days" and make technical changes, effective July 10, 2007; P.A. 07-254 amended Subsec. (a)(4) to redefine "local capital improvement project" by including activities related to the planning of a municipal broadband network in new Subpara. (R) (Revisor's note: New Subpara. (R) was repositioned editorially by the Revisors to immediately follow existing Subparas. (A) to (Q), inclusive, and new Subpara. (R) added by P.A. 07-177 to maintain continuity of itemized project purposes and was redesignated as Subpara. (S)).

Sec. 7-537. Local capital improvement grant anticipation notes. (a) A municipality may authorize and approve the issuance of local capital improvement grant anticipation notes. Proceeds from the issuance and sale of such grant anticipation notes shall be used to temporarily finance an eligible local capital improvement project approved by the Secretary of the Office of Policy and Management pursuant to subsection (f) of section 7-536. Such grant anticipation notes may be issued and sold to any lender on such terms and in such manner as shall be determined by a municipality. Such grant anticipation notes may be renewed from time to time by the issuance of other notes, provided the final maturity of such notes shall not exceed six months from the date of completion of an eligible local capital improvement project. Such notes and renewals shall not be subject to the requirements and limitations set forth in sections 7-378 and 7-378a. The provisions of section 7-374 shall apply to such notes and any renewals thereof. The officer or agency authorized by law or by vote of the municipality to issue such grant anticipation notes shall, within any limitation imposed by such law or vote, determine the date, maturity, interest rate, form, manner of sale and other details of such notes. Such notes may bear interest or be sold at a discount and the interest or discount on such notes, including renewals thereof. The expense of preparing, issuing and marketing such notes may not be included as a part of the cost of an eligible local capital improvement project.

(b) Local capital improvement grant anticipation notes shall be general obligations of the issuing municipality and each such obligation shall recite that the full faith and credit of the issuing municipality are pledged for the payment of the principal thereof and interest thereon.

(P.A. 87-584, S. 13, 18; June Sp. Sess. P.A. 91-3, S. 160, 168; June Sp. Sess. P.A. 91-13, S. 19, 21; May Sp. Sess. P.A. 92-7, S. 7, 36.)

History: June Sp. Sess. P.A. 91-3 included references to the new local transportation infrastructure program; June Sp. Sess. P.A. 91-13 deleted all changes made by June Sp. Sess. P.A. 91-3 and restored language existing as of January 1, 1991; May Sp. Sess. P.A. 92-7 amended Subsec. (a) to exclude the expense of preparing, issuing and marketing the notes from eligible project costs and to remove the provision requiring the immediate payment of such notes upon the issuance of a grant.

Sec. 7-538. Bond issue for local capital improvement projects. (a) For the purposes described in subsection (b) of this section, the State Bond Commission shall have the power, from time to time, to authorize the issuance of bonds of the state in one or more series and in principal amounts not exceeding in the aggregate five hundred twenty-five million dollars, provided thirty million dollars of said authorization shall be effective July 1, 2006.

(b) The proceeds of the sale of said bonds, to the extent of the amount stated in subsection (a) of this section, shall be used by the Office of Policy and Management for the purposes of sections 7-535 to 7-538, inclusive.

(c) All provisions of section 3-20, or the exercise of any right or power granted thereby which are not inconsistent with the provisions of sections 7-535 to 7-538, inclusive, are hereby adopted and shall apply to all bonds authorized by the State Bond Commission pursuant to said sections and temporary notes in anticipation of the money to be derived from the sale of any such bonds so authorized may be issued in accordance with said section 3-20 and from time to time renewed. Such bonds shall mature at such time or times not exceeding twenty years from their respective dates as may be provided in or pursuant to the resolution or resolutions of the State Bond Commission authorizing such bonds. None of said bonds shall be authorized except upon a finding by the State Bond Commission that there has been filed with it a request for such authorization, which is signed by or on behalf of the Secretary of the Office of Policy and Management and states such terms and conditions as said commission, in its discretion, may require. Said bonds issued pursuant to sections 7-535 to 7-538, inclusive, shall be general obligations of the state and the full faith and credit of the state of Connecticut are pledged for the payment of the principal of and interest on said bonds as the same become due, and accordingly and as part of the contract of the state with the holders of said bonds, appropriation of all amounts necessary for punctual payment of such principal and interest is hereby made, and the Treasurer shall pay such principal and interest as the same become due.

(P.A. 87-584, S. 14, 18; P.A. 88-343, S. 21, 32; P.A. 89-331, S. 6, 30; P.A. 90-297, S. 3, 24; June Sp. Sess. P.A. 91-4, S. 9, 25; May Sp. Sess. P.A. 92-7, S. 8, 36; June Sp. Sess. P.A. 93-1, S. 8, 45; P.A. 95-272, S. 5, 29; June 5 Sp. Sess. P.A. 97-1, S. 8, 20; P.A. 99-241, S. 5, 66; June Sp. Sess. P.A. 01-7, S. 3, 28; May 9 Sp. Sess. P.A. 02-5, S. 4; May Sp. Sess. P.A. 04-1, S. 4; June Sp. Sess. P.A. 05-5, S. 4.)

History: P.A. 88-343 increased bond authorization from twenty million to fifty million dollars; P.A. 89-331 increased the bond authorization from fifty million dollars to eighty million dollars; P.A. 90-297 increased the bond authorization from eighty million dollars to one hundred ten million dollars; June Sp. Sess. P.A. 91-4 increased the bond authorization from one hundred ten million dollars to one hundred forty million dollars; May Sp. Sess. P.A. 92-7 amended Subsec. (a) to increase the bond authorization from one hundred forty million dollars to one hundred seventy million dollars; June Sp. Sess. P.A. 93-1, effective July 1, 1993, amended Subsec. (a) to increase bond authorization from one hundred seventy million dollars to two hundred thirty million dollars, effective July 1, 1993, provided thirty million dollars of said authorization shall be effective July 1, 1994; P.A. 95-272 amended Subsec. (a) to increase authorization from two hundred thirty million to two hundred ninety million dollars, effective July 1, 1995, provided thirty million dollars shall be effective July 1, 1996; June 5 Sp. Sess. P.A. 97-1 amended Subsec. (a) to increase bond authorization from two hundred ninety million dollars to three hundred fifty million dollars, provided thirty million dollars is effective July 1, 1998, effective July 31, 1997; P.A. 99-241 amended Subsec. (a) to increase authorization from $350,000,000 to $410,000,000, effective July 1, 1999, provided $30,000,000 is effective July 1, 2000; June Sp. Sess. P.A. 01-7 amended Subsec. (a) to increase authorization from $410,000,000 to $470,000,000, provided $30,000,000 is effective July 1, 2002, effective July 1, 2001; May 9 Sp. Sess. P.A. 02-5 amended Subsec. (a) to provide that $65,000,000 of the authorization shall be effective July 1, 2003, effective July 1, 2002; May Sp. Sess. P.A. 04-1 amended Subsec. (a) to decrease aggregate authorization to $465,000,000 and to delete provision re funds authorized in 2003, effective July 1, 2004; June Sp. Sess. P.A. 05-5 amended Subsec. (a) to increase the aggregate authorization from $465,000,000 to $525,000,000, of which $30,000,000 is effective July 1, 2006, effective July 1, 2005.

Secs. 7-539 to 7-544. Reserved for future use.

CHAPTER 476a
FLOOD MANAGEMENT

Sec. 25-68j. Hazard mitigation and floodplain management grant program: Definitions. As used in sections 25-68k to 25-68n, inclusive:

(1) "Eligible applicant" means any municipality, regional planning agency organized under the provisions of chapter 127, any regional council of elected officials organized under the provisions of chapter 50, or any regional council of government organized under the provisions of sections 4-124i to 4-124p, inclusive;

(2) "Hazard mitigation" means activities that include, but are not limited to, actions taken to reduce or eliminate long-term risk to human life, infrastructure and property resulting from natural hazards including, but not limited to, flooding, high winds and wildfires; and

(3) "Floodplain management" means activities that include, but are not limited to, actions taken to retain the existing capacity of designated floodplain areas to store and convey flood waters.

(P.A. 04-144, S. 8.)

History: P.A. 04-144 effective July 1, 2004.

Local Capital Improvement Program (LOCIP)
Certified Municipal Entitlements
ENTITLEMENTS FOR 2011

MUNICIPALITY	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	Available 3/11
Andover	28,420	28,056	28,947	28,955	28,444	27,913	28,270	$28,129	$28,465	$28,735	62,111.00
Ansonia	182,297	180,193	178,096	177,577	183,724	177,179	176,373	$167,621	$174,849	$179,367	179,367.00
Ashford	53,680	52,845	53,550	53,451	54,548	54,225	52,892	$51,790	$52,989	$51,315	51,315.00
Avon	97,522	97,349	99,187	101,018	102,083	103,691	104,219	$105,218	$105,627	$106,650	106,650.00
Barkhamsted	36,804	35,939	36,276	36,196	36,180	36,835	36,472	$35,849	$36,227	$36,903	36,903.00
Beacon Falls	35,099	35,362	37,087	36,995	36,279	36,665	37,937	$37,538	$38,309	$38,903	140,871.57
Berlin	120,957	122,259	124,221	124,578	127,412	129,881	132,310	$128,158	$133,059	$127,659	273,354.88
Bethany	45,700	46,975	47,502	47,419	47,661	48,577	48,581	$47,882	$48,193	$48,050	48,361.00
Bethel	118,278	116,381	115,168	115,270	120,169	118,327	118,520	$114,416	$117,193	$115,982	819,877.00
Bethlehem	35,141	34,819	35,115	35,017	34,378	34,695	34,229	$34,463	$34,019	$34,259	68,283.00
Bloomfield	126,692	131,315	128,024	130,388	131,196	137,928	134,852	$130,091	$131,427	$127,115	258,542.00
Bolton	41,332	40,709	40,824	40,590	40,252	40,959	40,890	$40,043	$40,706	$39,588	274,646.00
Bozrah	26,659	25,903	26,215	26,159	26,480	26,263	26,571	$26,144	$26,366	$26,909	26,909.00
Branford	171,080	168,325	168,892	168,161	167,858	170,313	168,464	$164,305	$164,947	$157,841	391,119.41
Bridgeport	2,222,529	2,350,415	2,348,030	2,344,708	2,229,742	2,123,842	2,090,303	$2,004,673	$2,069,582	$2,215,017	4,285,375.88
Bridgewater	25,037	24,907	24,456	24,387	24,403	24,508	24,503	$24,363	$24,321	$23,834	48,802.50
Bristol	498,978	490,629	493,760	494,243	520,268	508,904	504,113	$486,019	$503,379	$478,988	631,595.24
Brookfield	103,371	102,014	102,150	102,802	102,353	104,397	104,469	$105,434	$106,326	$103,969	104,729.00
Brooklyn	69,417	70,345	69,347	70,063	70,858	74,723	71,219	$69,581	$70,862	$72,513	172,080.12
Burlington	71,604	69,950	71,054	71,693	72,387	73,695	74,013	$73,041	$73,633	$73,551	98,511.48
Canaan	19,526	18,938	19,398	19,343	19,274	18,864	18,879	$18,827	$18,863	$18,944	18,944.00
Canterbury	57,779	57,801	56,969	56,925	57,203	58,741	56,216	$55,618	$56,297	$57,223	57,223.06
Canton	64,406	63,068	64,605	64,757	66,775	69,054	69,315	$68,247	$69,052	$69,430	293,211.47
Chaplin	30,442	29,927	30,392	30,363	30,581	31,121	31,003	$29,965	$31,049	$29,216	62,354.00
Cheshire	188,753	183,217	183,149	182,673	182,415	185,740	185,945	$183,234	$186,568	$184,598	184,598.00
Chester	28,862	28,020	27,801	27,695	27,495	27,731	28,012	$27,525	$26,935	$26,781	155,179.81
Clinton	91,875	92,590	90,811	90,362	89,943	90,417	92,522	$89,295	$90,328	$88,091	88,091.00
Colchester	120,801	116,096	119,288	119,956	117,627	119,835	119,912	$118,684	$119,496	$120,273	120,273.00
Colebrook	26,387	26,172	25,785	25,708	25,834	25,574	25,626	$25,407	$25,497	$25,846	25,846.40
Columbia	39,350	38,989	40,523	40,904	39,731	40,418	40,418	$40,348	$40,197	$40,257	226,626.66
Cornwall	33,771	33,409	33,365	33,283	33,268	33,343	33,327	$33,194	$33,293	$32,989	32,989.00
Coventry	101,056	100,936	100,911	102,289	102,437	106,884	105,001	$101,855	$103,771	$103,207	120,935.31
Cromwell	81,416	80,977	81,359	81,135	84,682	84,114	84,530	$82,653	$84,591	$83,796	104,132.21
Danbury	502,790	507,848	506,680	510,150	550,266	533,302	529,226	$514,354	$550,428	$543,365	876,114.13
Darien	109,869	108,758	108,606	110,955	110,746	110,761	110,374	$109,072	$109,397	$110,210	110,210.00
Deep River	33,033	31,676	32,014	31,910	31,459	31,655	32,764	$31,405	$31,706	$30,903	30,903.00
Derby	105,106	106,210	103,381	102,981	100,556	98,314	104,359	$97,950	$99,393	$102,316	619,026.46
Durham	54,035	54,606	54,307	54,338	54,820	55,569	56,514	$55,451	$56,478	$55,109	55,109.00
Eastford	24,212	23,856	23,627	24,101	24,494	24,230	24,511	$23,422	$24,289	$23,161	23,161.00
East Granby	33,749	34,229	34,425	34,371	34,936	35,899	35,862	$35,248	$36,385	$35,555	185,804.45
East Haddam	90,403	90,477	90,773	90,579	91,949	90,340	90,585	$89,231	$90,170	$89,721	89,721.00
East Hampton	90,650	90,244	95,654	98,344	94,428	96,374	99,570	$94,898	$97,371	$97,392	114,704.00
East Hartford	426,200	423,158	421,912	417,852	411,401	427,946	424,786	$430,890	$413,115	$433,071	438,582.42
East Haven	236,908	235,710	227,384	227,207	227,259	227,296	227,409	$221,743	$223,056	$225,354	225,360.00
East Lyme	126,293	121,416	124,303	124,270	119,769	120,297	121,797	$122,534	$123,543	$121,913	238,730.34
Easton	66,255	66,724	66,870	66,716	66,858	66,790	66,041	$65,657	$66,534	$66,717	439,544.79
East Windsor	73,577	73,763	74,206	74,068	76,752	77,173	78,253	$76,728	$78,322	$76,564	145,640.36

Local Capital Improvement Program (LOCIP)

Certified Municipal Entitlements

ENTITLEMENTS FOR 2011

MUNICIPALITY	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	Available 3/11
Ellington	99,621	99,847	99,695	101,431	101,561	102,899	106,105	$99,977	$105,979	$107,979	107,979.00
Enfield	345,676	342,101	360,393	358,805	343,442	352,286	347,068	$339,606	$340,314	$337,821	1,106,741.00
Essex	41,796	41,245	41,859	41,718	41,938	41,636	41,903	$41,537	$41,754	$40,613	40,613.00
Fairfield	378,822	370,449	375,781	370,459	365,550	367,511	369,303	$363,779	$366,931	$368,430	368,430.00
Farmington	133,763	133,932	134,702	135,221	139,692	140,503	141,138	$137,955	$140,580	$138,104	252,426.52
Franklin	17,851	17,627	17,362	17,334	17,547	17,579	17,805	$16,797	$17,645	$17,349	17,349.00
Glastonbury	199,148	195,693	197,140	197,114	202,663	201,771	203,822	$199,534	$203,106	$203,717	203,717.00
Goshen	43,040	42,932	43,071	43,064	43,314	43,678	43,915	$43,727	$43,897	$43,047	43,269.00
Granby	81,415	80,654	81,456	82,442	84,566	85,444	86,038	$83,716	$84,542	$83,585	248,246.81
Greenwich	332,665	330,256	329,229	328,958	327,609	328,055	327,835	$326,691	$327,880	$317,252	317,252.00
Griswold	97,848	93,157	97,738	97,851	92,541	92,568	92,266	$91,936	$91,419	$94,740	156,639.99
Groton	235,907	224,735	230,287	231,580	229,217	223,678	239,079	$236,447	$224,744	$222,876	533,941.67
Guilford	141,259	138,992	138,787	139,083	140,853	140,475	141,388	$139,661	$140,687	$140,266	422,048.45
Haddam	70,818	71,488	72,150	72,023	71,531	72,080	72,945	$71,280	$73,487	$75,155	147,940.53
Hamden	421,156	431,157	420,840	418,408	414,422	414,541	433,175	$405,839	$417,201	$426,325	1,131,903.16
Hampton	30,547	30,229	30,568	30,558	30,579	31,180	30,896	$31,025	$31,302	$29,612	29,612.00
Hartford	1,864,744	1,890,989	1,888,858	1,891,674	1,924,483	1,929,863	1,941,528	$2,282,942	$1,991,022	$1,964,401	4,161,377.12
Hartland	20,323	20,005	20,224	20,163	19,980	20,217	20,486	$19,394	$20,273	$19,932	19,932.00
Harwinton	50,198	50,115	50,597	51,050	50,745	51,640	51,401	$50,595	$51,927	$51,287	103,214.00
Hebron	70,017	68,784	70,516	70,758	70,645	71,622	72,085	$70,873	$71,794	$72,444	72,461.00
Kent	43,241	42,031	42,088	41,984	42,089	41,796	41,821	$41,580	$41,895	$41,623	83,518.00
Killingly	153,680	144,446	144,910	146,497	156,719	149,018	150,048	$145,514	$145,242	$147,994	901,356.12
Killingworth	55,121	54,524	54,568	54,368	54,481	55,057	55,662	$54,949	$56,211	$55,303	174,677.80
Lebanon	77,405	74,712	74,759	74,621	75,144	74,963	75,090	$73,555	$73,675	$72,778	266,795.73
Ledyard	118,014	117,144	120,310	120,617	116,886	117,416	123,004	$115,828	$118,532	$116,894	116,894.10
Lisbon	33,195	31,908	32,156	32,076	31,471	31,172	30,467	$30,957	$30,847	$30,838	73,296.00
Litchfield	87,299	86,418	85,902	85,547	85,461	86,476	86,361	$84,725	$85,355	$84,457	84,457.00
Lyme	26,247	26,106	26,160	26,105	25,530	25,600	25,611	$25,492	$25,551	$25,928	26,828.00
Madison	113,238	113,693	113,594	113,307	113,883	115,381	115,863	$114,566	$115,128	$112,229	112,229.60
Manchester	421,156	407,948	407,362	406,768	409,517	421,402	421,575	$448,022	$432,789	$427,213	1,101,162.46
Mansfield	208,948	218,393	170,159	174,061	177,378	189,215	182,348	$174,491	$183,979	$183,703	183,703.00
Marlborough	50,468	49,623	50,694	51,053	50,894	51,603	52,328	$50,569	$51,653	$50,812	50,812.00
Meriden	523,797	527,033	530,044	529,441	522,742	533,407	524,164	$517,834	$517,269	$519,121	1,036,390.00
Middlebury	52,661	53,259	53,747	54,004	53,851	54,393	55,133	$55,314	$55,761	$55,941	55,941.00
Middlefield	33,102	32,892	33,793	33,655	32,799	33,101	32,843	$32,821	$33,197	$33,481	126,641.76
Middletown	319,575	316,323	315,807	315,411	337,637	332,780	333,584	$322,483	$342,126	$317,435	566,716.46
Milford	381,101	382,339	375,712	378,052	379,563	386,977	392,453	$391,494	$410,905	$384,191	696,306.96
Monroe	141,221	135,936	135,540	135,066	135,399	137,403	137,293	$134,550	$136,956	$135,451	406,957.00
Montville	148,039	149,533	153,102	153,215	147,390	151,117	149,661	$148,832	$150,976	$148,496	166,027.72
Morris	22,873	22,682	22,349	22,279	22,443	22,320	22,415	$21,436	$22,190	$21,985	105,974.74
Naugatuck	247,987	257,030	250,596	250,279	263,828	254,186	257,808	$245,480	$259,583	$254,126	709,107.58
New Britain	957,045	970,555	954,604	953,430	964,724	937,010	924,591	$880,681	$927,650	$931,158	1,005,021.69
New Canaan	117,990	117,373	117,435	117,502	117,176	116,987	117,187	$116,955	$117,119	$114,350	231,469.00
New Fairfield	81,627	81,296	79,757	79,645	79,880	81,370	80,021	$78,778	$80,164	$78,386	79,772.00
New Hartford	63,008	61,656	62,353	62,342	64,049	64,492	64,390	$62,652	$64,320	$64,369	64,369.00
New Haven	1,813,270	1,776,436	1,764,464	1,760,065	1,714,333	1,692,992	1,678,216	$1,901,108	$1,803,526	$1,754,365	3,872,696.22
Newington	212,069	213,269	211,149	209,355	208,733	210,268	220,248	$209,637	$214,002	$212,832	290,973.06

Local Capital Improvement Program (LOCIP)
Certified Municipal Entitlements
ENTITLEMENTS FOR 2011

MUNICIPALITY	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	Available 3/11
New London	312,286	297,284	284,765	285,386	281,508	281,979	273,444	$275,065	$293,373	$292,042	1,126,952.66
New Milford	200,207	198,550	201,123	202,020	199,289	200,897	207,890	$198,095	$200,988	$199,186	199,186.00
Newtown	198,426	194,547	195,864	196,864	201,046	201,689	204,146	$201,170	$191,605	$204,621	205,091.73
Norfolk	34,252	33,554	33,521	33,436	33,453	33,690	33,708	$33,555	$32,349	$32,201	89,317.05
North Branford	94,546	91,859	94,305	94,288	92,241	92,856	96,898	$91,977	$93,280	$91,938	130,148.13
North Canaan	31,227	31,893	31,424	31,331	32,023	31,431	31,217	$30,437	$30,843	$30,061	60,904.00
North Haven	153,502	154,579	153,644	153,260	155,769	157,084	168,830	$159,088	$157,592	$156,038	546,968.21
North Stonington	50,933	50,642	49,809	49,682	49,334	49,739	50,322	$48,861	$49,283	$49,841	123,884.77
Norwalk	650,768	633,940	641,201	639,431	628,640	646,549	632,914	$616,531	$623,199	$625,905	625,905.00
Norwich	328,258	306,675	304,352	306,818	301,185	300,331	299,690	$288,131	$294,788	$316,793	316,793.00
Old Lyme	51,346	50,691	49,981	49,894	49,547	49,697	49,143	$48,721	$48,927	$49,376	49,376.00
Old Saybrook	72,315	71,576	71,607	71,170	70,768	70,943	71,313	$69,634	$65,257	$67,807	67,807.00
Orange	98,398	98,772	98,141	98,301	100,298	100,505	101,239	$103,263	$102,483	$101,640	243,681.84
Oxford	86,400	87,894	89,712	91,107	92,786	95,489	99,715	$98,148	$100,725	$98,972	410,532.44
Plainfield	138,944	135,772	135,807	136,568	143,296	134,803	131,999	$125,373	$133,668	$132,668	140,963.00
Plainville	130,888	130,606	128,247	126,867	125,889	129,836	129,019	$127,881	$126,670	$125,324	251,994.00
Plymouth	97,936	98,940	102,225	101,904	99,800	102,467	102,256	$99,137	$99,754	$99,119	99,119.00
Pomfret	48,003	48,306	47,932	48,325	48,216	49,536	48,713	$47,807	$48,947	$48,232	48,232.00
Portland	64,778	65,255	68,476	68,363	67,550	68,576	69,613	$67,703	$68,957	$66,983	141,883.33
Preston	47,556	46,697	46,450	47,006	48,546	47,301	46,669	$45,690	$46,932	$45,477	327,598.78
Prospect	65,666	66,227	65,039	64,873	65,438	65,655	69,501	$65,740	$67,240	$67,150	134,390.00
Putnam	82,848	78,735	78,277	78,808	77,433	79,989	78,322	$76,921	$77,968	$79,437	305,890.00
Redding	69,103	68,926	69,054	68,951	69,139	69,016	69,108	$68,836	$68,326	$68,964	245,413.00
Ridgefield	155,822	154,903	154,684	154,332	155,111	154,205	153,716	$152,735	$154,290	$153,002	540,515.75
Rocky Hill	107,136	106,126	108,534	108,359	110,599	114,253	114,587	$112,064	$112,473	$113,338	159,394.84
Roxbury	36,764	36,593	36,571	36,504	36,432	36,535	36,490	$36,336	$36,470	$36,146	36,146.00
Salem	34,814	33,878	34,855	34,811	33,992	34,306	34,105	$34,134	$35,221	$34,519	106,558.00
Salisbury	45,922	46,065	46,083	45,963	45,602	45,223	45,172	$44,891	$44,987	$44,190	300,577.67
Scotland	21,694	21,342	21,650	21,614	22,066	21,961	22,313	$21,127	$22,035	$21,617	22,525.00
Seymour	114,531	114,732	117,094	118,111	115,846	116,785	122,983	$115,917	$117,907	$118,207	349,224.07
Sharon	51,295	50,991	50,952	50,820	50,373	50,577	50,551	$49,633	$49,718	$48,996	48,996.24
Shelton	266,284	264,626	270,559	269,901	264,515	270,951	270,415	$271,573	$273,609	$265,176	376,729.11
Sherman	28,259	28,166	28,330	28,434	28,185	28,115	28,211	$27,953	$28,134	$26,660	26,660.00
Simsbury	159,896	158,054	158,184	157,371	162,038	160,957	161,445	$158,925	$161,441	$157,471	319,016.00
Somers	86,843	87,257	88,372	88,573	89,236	94,065	91,992	$88,400	$91,975	$92,123	512,834.00
Southbury	123,442	122,224	123,662	125,475	129,960	131,342	130,749	$130,398	$130,696	$131,817	910,437.00
Southington	273,334	276,320	285,225	287,060	283,902	288,954	296,540	$283,703	$289,838	$285,572	285,572.85
South Windsor	163,125	162,016	164,449	166,029	171,484	173,135	173,112	$168,035	$172,070	$166,439	250,684.64
Sprague	27,171	27,311	26,498	26,906	26,099	27,404	26,645	$26,461	$27,005	$26,677	28,472.98
Stafford	112,127	114,036	111,984	111,960	112,489	113,887	117,507	$109,866	$111,986	$112,724	112,724.00
Stamford	805,196	799,707	798,432	794,023	794,055	795,039	788,604	$890,036	$806,693	$795,763	1,602,456.00
Sterling	40,899	40,056	39,899	40,421	42,729	40,959	41,190	$40,160	$40,913	$42,224	129,911.02
Stonington	115,629	114,253	113,613	114,280	115,077	112,385	113,009	$110,074	$111,540	$112,307	405,713.11
Stratford	394,563	389,497	385,665	384,385	380,071	388,592	375,026	$367,678	$373,582	$386,453	1,695,523.82
Suffield	84,114	85,911	87,142	87,980	89,811	94,259	94,940	$91,837	$94,057	$94,098	94,098.00
Thomaston	54,066	53,197	53,458	53,812	54,066	56,117	54,419	$54,138	$55,133	$55,537	111,820.00
Thompson	94,865	94,768	91,607	91,452	91,916	94,534	91,451	$88,894	$91,556	$92,234	92,234.00

Local Capital Improvement Program (LOCIP)

Certified Municipal Entitlements

ENTITLEMENTS FOR 2011

MUNICIPALITY	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	Available 3/11
Tolland	111,996	115,834	117,541	117,413	118,313	122,485	121,619	$118,997	$120,461	$120,293	260,224.00
Torrington	270,644	271,349	272,131	272,248	274,136	280,455	278,433	$264,543	$270,349	$276,268	1,001,176.38
Trumbull	241,865	244,616	242,723	243,360	242,328	244,643	246,486	$241,498	$243,639	$246,364	872,150.39
Union	14,753	14,655	14,709	14,737	14,814	14,427	14,462	$14,357	$14,408	$14,456	14,456.00
Vernon	217,170	219,062	220,862	220,867	222,105	231,266	231,588	$252,203	$242,371	$226,662	640,557.70
Voluntown	27,723	26,194	26,621	26,576	25,645	25,764	26,249	$24,913	$25,244	$25,304	51,065.47
Wallingford	300,266	298,848	300,921	302,303	299,146	302,909	314,979	$296,322	$299,589	$294,525	294,525.00
Warren	21,625	21,543	21,541	21,906	21,874	22,024	22,047	$21,933	$21,967	$21,955	24,059.11
Washington	55,168	54,808	54,652	54,466	53,974	54,020	53,996	$53,734	$53,621	$53,067	457,892.68
Waterbury	1,181,080	1,222,834	1,261,006	1,258,943	1,300,249	1,268,890	1,216,699	$1,153,976	$1,209,957	$1,226,831	2,354,870.14
Waterford	116,386	115,865	122,331	121,955	121,984	121,675	120,870	$119,796	$120,090	$120,188	602,619.00
Watertown	161,071	156,741	156,168	155,849	156,283	161,176	160,228	$155,013	$160,408	$159,863	393,142.78
Westbrook	41,803	41,584	42,022	41,875	41,350	42,758	43,194	$42,648	$42,292	$42,835	45,063.85
West Hartford	453,128	445,460	442,880	440,138	438,758	442,135	443,274	$463,667	$459,555	$461,222	461,222.00
West Haven	570,546	582,912	564,900	563,728	561,797	557,315	585,531	$539,815	$570,653	$591,849	612,889.43
Weston	67,151	66,496	66,494	66,276	65,895	66,255	66,242	$65,993	$66,051	$65,134	65,134.00
Westport	147,647	146,131	146,377	146,716	147,218	147,028	147,006	$146,938	$147,615	$142,830	142,830.00
Wethersfield	194,330	190,407	190,494	189,298	187,822	189,271	188,583	$184,612	$186,678	$189,799	617,167.27
Willington	62,821	60,505	60,445	60,202	60,836	61,430	61,375	$59,376	$60,591	$59,907	65,731.43
Wilton	114,045	112,960	113,100	112,780	113,035	112,569	111,703	$111,159	$111,998	$111,140	111,140.00
Winchester	92,800	91,476	91,589	91,443	92,116	92,680	91,966	$87,457	$88,890	$89,318	295,775.73
Windham	230,286	230,825	236,451	236,838	230,598	239,359	261,928	$220,269	$226,613	$247,091	481,725.72
Windsor	192,801	186,855	186,075	185,486	186,763	192,593	192,113	$184,329	$187,739	$184,272	184,272.00
Windsor Locks	78,789	78,795	79,372	79,282	80,859	83,435	84,094	$82,553	$82,284	$80,740	86,063.80
Wolcott	115,432	114,711	119,828	119,668	118,739	119,397	119,031	$118,266	$118,789	$116,427	117,365.81
Woodbridge	66,452	66,905	66,862	66,686	66,523	68,009	67,162	$66,503	$66,690	$65,813	65,813.00
Woodbury	76,653	75,543	75,255	75,322	75,006	76,190	76,613	$75,850	$76,913	$77,067	138,702.11
Woodstock	86,207	87,157	86,933	86,902	86,930	87,749	89,171	$87,063	$88,510	$87,112	87,112.00
Bantam	324	309	286	310	310	339	317	$313	$294	$281	1,515.00
Danielson	4,754	4,074	4,090	3,639	3,610	3,525	3,111	$3,135	$3,142	$2,957	36,662.94
Fenwick	317	299	288	363	340	477	553	$726	$722	$711	711.00
Groton-City	20,009	18,990	17,957	18,117	17,972	17,493	18,101	$18,765	$17,320	$16,708	52,145.00
Groton Long Point	3,456	3,341	2,882	2,906	2,884	2,863	3,259	$3,262	$3,040	$3,291	55,161.98
Jewett City	2,774	2,449	1,850	1,546	1,395	1,363	1,954	$2,519	$2,519	$2,327	13,332.17
Litchfield (Bor)	764	742	695	784	741	704	658	$664	$585	$562	10,559.00
Newtown (Bor)	460	420	399	557	451	433	431	$370	$359	$372	9,654.00
Stonington (Bor)	1,768	1,661	1,809	1,724	1,614	1,647	1,602	$1,714	$1,627	$1,625	4,976.00
Woodmont	267	245	237	218	228	221	208	$210	$256	$225	225.00
	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	$30,000,000	$30,000,000	$30,000,000	

LOCAL CAPITAL IMPROVEMENT PROGRAM (LoCIP)
AUTHORIZATION/EXPENDITURE FORM
LoCIP-1 Rev. 2/2012

LoCIP PROJECT NUMBER(if known)

_________-________-________

STATE OF CONNECTICUT
OFFICE OF POLICY AND MANAGEMENT
Prescribed by the Secretary pursuant to
CGS §7-536(c)

TOWN/CITY/BOROUGH OF: (the "Municipality")	NAME OF PROJECT:		
PROJECT DESCRIPTION:			
Contact Person and Title:	Phone Number	Fax Number	E-Mail Address:

PROJECT TYPE: *("x" applicable box)*

				Solid Waste Facilities	☐	Public Housing Projects	☐	Plan of Conservation and Development	☐
Roads	☐	Public Buildings	☐	Public Parks/Fields	☐	Veterans Memorials	☐	Automatic External Defibrillator	☐
Sidewalks	☐	Dams, Bridges and Flood Control	☐	CIP Preparation and Revision	☐	Thermal Imaging Systems	☐	Floodplain Management and Hazard Mitigation	☐
Sewers	☐	Water Treatment or Mains	☐	Emergency Communications	☐	Bulky Waste and Landfill Projects	☐	Onboard Oil Filter System	☐
								Broadband Network	☐

➔ **Request is for:** *(please check)* **Project Authorization ☐** **Interim Reimbursement ☐ - Request # ____** **Final Reimbursement ☐**

PROJECT COMPONENTS

	Project Authorization	Amount of Previous Reimbursements	Amount of Current Request*	Total Reimbursements to Date
ACQUISITION COST(S): Land, building(s), equipment, easement/development rights, etc.	$			
CONSTRUCTION COST(S): Construction or rehabilitation	$			
Site improvement, including demolition	$			
Architectural, engineering, legal expenses, etc.	$			
Total:	$			

** Attach expense summary sheet and provide documentation.*

OFFICE OF POLICY AND MANAGEMENT PROJECT AUTHORIZATION:

Date: ______________________________

By: ____ Title: Acting Undersecretary, Intergovernmental Policy Division

The undersigned certifies that:

1. I am the Chief Executive Officer of the Municipality listed above and have the authority to execute this certification on behalf of the Municipality.
2. The above named project (the "Project") is a "local capital improvement project" within the meaning of CGS §7-536(a)(4).
3. The Municipality has authorized the Project for which it seeks (or has received) approval.
4. The Project is consistent with the Municipality's Capital Improvement Plan.
5. The Municipality is entitled to reimbursement for the Project, pursuant to CGS §7-536(e).
6. The Municipality agrees to (1) maintain detailed accounting records with respect to the Project, reflecting the expenditures set forth above; and (2) make such records available to its auditors and to the state upon request.
7. The Municipality will not use funds received for the Project to satisfy a local matching requirement for a state assistance program(s) other than the Local Bridge Program, pursuant to §13a-175p to 13a-175u, inclusive.
8. The information contained on this form is true, accurate and complete.

By: ________________________________ *Title:* ________________________________

Signed at: ________________________________, *Connecticut, this* ______ *day of* ________________ *20* ______.

Upon completion, return this form to: Office of Policy and Management, 450 Capitol Ave., MS#54ORG, Hartford, CT 06106-1379, ***Attn: Sandra Huber***